

May 24, 2016

ADAMS ACCESSION NO. ML16145A063

Email 1/7/2016

Tom,

Greetings from NMP. As we discussed yesterday, attached is the NRC information request for the March 2016 Problem Identification and Resolution inspection at Ginna. If possible I would like to have access to the requested documents by February 23, 2016. As always contact me if you have any questions.

R/

Ken Kolaczyk

**Request for Items for the Robert Emmett Ginna Nuclear Power Plant
PI&R Inspection
March 2016**

General

1. Organization charts, phone list, and list of system engineers.
2. List of system numbers/designations and risk-ranking of systems.
3. Access to a set of system prints (P&IDs).
4. Final Safety Analysis Report, Technical Specifications and the Technical Requirements Manual (including the bases).
5. Schedule of the date/time/location of all meetings associated with the implementation of the site corrective action program, such as the Station Ownership Committee (SOC), Management Review Committee (MRC), and Plant Health Committee (PHC), etc.
6. During the inspection, please provide a computer that has access to the site network, CAP Webpage, corrective action database, and can print to a local printer.
7. Change management plans developed to implement activities outlined in the Ginna business plan for calendar years 2015 and 2016.

Corrective Actions

1. Copies of procedures related to the corrective action program, including identification, cause evaluations (root, apparent, common, etc.), corrective actions, trending, self-assessments, audits, operability determinations, work management, operating experience, etc.

2. List of all corrective action program issue/condition reports initiated since March 1, 2014 (last inspection) until March 1, 2016 - in electronic spreadsheet format if possible - include system number/designation, date initiated, significance level, status (open or closed), title/short description, and, date closed or due, and if possible the number of due date extensions.
3. Separate list of all corrective action program issue/condition reports initiated before the last PI&R inspection that remain open - in electronic spreadsheet format if possible - include system number/designation, date initiated, significance level, status (open or closed), title/short description, and, date closed or due, and if possible the number of due date extensions.
4. List of all root cause evaluations (RCE), apparent cause evaluations (ACE), and common cause evaluations (CCE), performed since the last PI&R inspection.
5. Matrix of the total number of issue/condition reports generated annually since the last PI&R inspection and sorted by initiating department if possible.
6. For all non-cited (NCV) and cited violations (VIO) and findings (FIN) issued since the last PI&R inspection (including the PI&R) provide copies of the associated issue/condition report and supporting information for each VIO/NCV/FIN.
7. Provide a list of all LERs submitted and 10 CFR 50.72 reports made since the last PI&R inspection. Please provide any associated issue/condition reports if applicable.
8. List of open temporary modifications, operability determinations, control room deficiencies and operator workarounds\challenges with corresponding CAP and work order numbers.
9. List of rework and repeat maintenance items and maintenance metrics including the backlog of corrective and elective maintenance issues. Provide a list of the items in the corrective and elective maintenance backlog.
10. Summary list of system health coding since the last PI&R (including copies of system health reports since the last PI&R available onsite).
11. List of all maintenance rule systems, include risk significance of system (high or low), system color and whether the system is considered a maintenance rule (a)(1) system. For all systems classified (a)(1) please provide the (a)(1) evaluation and enhanced monitoring plan developed for the structure, system or component.
12. A copy of the aging management program commitments.

Operating Experience

1. List of operating experience reviews, NRC Information Notices (IN), Generic Letters (GL), Bulletin's, and Part 21 notices since the last PI&R inspection. Please provide a list of issue/condition reports initiated to evaluate the above items.

2. List of Industry Operating Experience issues (NSSS vendor reports, EPRI Reports, experience reports from similar facilities) entered into the corrective action program for review since the last PI&R Inspection. (Note: Actual INPO/EPRI reports are not requested only Ginna's evaluations).

Audits and Assessments

1. A copy of all QA reviews (audits, assessments, etc.) and self-assessments of the corrective action program performed since the last PI&R inspection.
2. List of all QA audits, self-assessments and safety culture reviews since the last PI&R inspection.
3. Copy of the corrective action program trend reports and performance indicators used by management since the last PI&R inspection.
4. A list of corrective action program reports generated as a result of identified trends (either by station or department) initiated since the last PI&R inspection. Include a brief description of the trend, how identified (internal or external), when it was identified and whether the IR/CR is open or closed.
5. Copies of reports/meeting minutes/presentations provided to offsite review committees such as the NSRB or other management oversight committees since the last PI&R inspection.

Safety Conscious Work Environment and ECP

1. Procedures related to the Employee Concerns Program (ECP).
2. List of all safety culture reviews (audits, assessments, surveys, trend review, etc.) initiated since the last PI&R inspection; and a list of any corrective action program issue/condition reports generated as a result of these reviews.

You may provide this information in whatever format you prefer, however, feel free to copy this information to CD if it is more convenient to you. If you have any questions concerning preparations for this inspection, please feel free to contact Ken Kolaczyk, Senior Resident Inspector, USNRC/Region I/DRP/Projects Branch 1, at (315) 342-4041.