

May 6, 2016

MEMORANDUM TO: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

FROM: Michael R. Johnson **/RA/**
Deputy Executive Director for Reactor
and Preparedness Programs
Office of the Executive Director for Operations

SUBJECT: RESPONSE TO AUDIT OF NRC'S REACTOR OVERSIGHT
PROCESS: REACTOR SAFETY BASELINE INSPECTION
PROCEDURES (OIG-16-A-12)

This memorandum is in response to your memorandum dated April 6, 2016, regarding the Office of the Inspector General (OIG) Audit, OIG-16-A-12, "Audit of NRC's Reactor Oversight Process: Reactor Safety Baseline Inspection Procedures," (Agencywide Documents Access and Management System accession number ML16097A515). The Office of Nuclear Reactor Regulation is providing the response, target completion date, and point of contact for each OIG recommendation (enclosed).

Enclosure:
Audit Response

CONTACT: Scott A. Morris, NRR/DIRS
(301) 415-1004

May 6, 2016

MEMORANDUM TO: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

FROM: Michael R. Johnson
Deputy Executive Director for Reactor
and Preparedness Programs
Office of the Executive Director for Operations

SUBJECT: RESPONSE TO AUDIT OF NRC'S REACTOR OVERSIGHT
PROCESS: REACTOR SAFETY BASELINE INSPECTION
PROCEDURES (OIG-16-A-12)

This memorandum is in response to your memorandum dated April 6, 2016, regarding the Office of the Inspector General (OIG) Audit, OIG-16-A-12, "Audit of NRC's Reactor Oversight Process: Reactor Safety Baseline Inspection Procedures," (Agencywide Documents Access and Management System accession number ML16097A515). The Office of Nuclear Reactor Regulation is providing the response, target completion date, and point of contact for each OIG recommendation (enclosed).

Enclosure:
Audit Response

CONTACT: Scott A. Morris, NRR/DIRS
(301) 415-1004

DISTRIBUTION: OEDO-16-00219

RidsEdoMailCenter Resource
RidsOgcMailCenter Resource
RidsNrrMailCenter Resource
RidsNrrOd Resource
RidsOisMailCenter Resource
RidsRgn1MailCenter Resource
RidsRgn2MailCenter Resource
RidsRgn3MailCenter Resource
RidsRgn4MailCenter Resource

ADAMS Accession No.: ML16104A081

Pckg No.: ML16097A567

OFFICE	NRR/DIRS/IRIB	NRR/DIRS/IRIB	NRR/DIRS	NRR	OEDO/DEDR
NAME	JPeralta	DWillis	SMorris	WDean	MJohnson
DATE	04/13/2016	04/14/2016	04/14/2016	04/25/2016	5/6/16

OFFICIAL RECORD COPY

**Audit of NRC's Reactor Oversight Process:
Reactor Safety Baseline Inspection Procedures
OIG-16-A-12**

Status of Recommendations

Recommendation 1

Develop and implement controls to ensure mandatory and discretionary language used in inspection procedures is clear and consistent.

Response

We agree with this recommendation. As part of the ongoing periodic assessment process outlined in Appendix B to Inspection Manual Chapter (IMC) 0307, "Reactor Oversight Process Baseline Inspection Procedure Reviews," the staff has undertaken actions to ensure that baseline inspection procedures are reviewed, and if necessary, revised to ensure mandatory and discretionary language used is clear and consistent. In addition, IMC-0040, "Preparing, Revising and Issuing Documents for the U.S. Nuclear Regulatory Commission Inspection Manual," will be revised to strengthen relevant provisions and controls associated with establishing mandatory and discretionary inspection language in inspection documents.

Completion Date: 12/31/2016

Point of Contact: Scott A. Morris, NMSS
301-415-1004

Recommendation 2

Notify staff and managers of controls developed to ensure that mandatory and discretionary language used in inspection procedures is clear and consistent.

Response

We agree with this recommendation. Once IMC-0040 has been revised to incorporate more stringent controls and provisions to ensure that mandatory and discretionary language used in inspection procedures is clear and consistent, all regional inspection management and staff will be formally notified through a memorandum from the Director, Division of Inspection and Regional Support, Office of Nuclear Reactor Regulation.

Completion Date: 12/31/2016

Point of Contact: Scott A. Morris, NMSS
301-415-1004

Enclosure