



Office of the
Inspector General

**Defense Nuclear Facilities
Safety Board**
Washington, DC 20004-2901

April 12, 2016

MEMORANDUM TO: Mark T. Welch
General Manager

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
INFORMATION SECURITY PROGRAM (DNFSB-16-A-02)

REFERENCE: GENERAL MANAGER, DEFENSE NUCLEAR FACILITIES
SAFETY BOARD, CORRESPONDENCE DATED APRIL 5, 2016

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in DNFSB's response dated November 16, 2015. Based on this response, recommendations 1, 2, and 3 are resolved. Please provide an updated status of the resolved recommendations by August 19, 2016.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Howard, OGM
H. Herrera, OGM

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

<u>Recommendation 1:</u>	Update information security guidance for classified and sensitive unclassified information so that it addresses management of information security breaches and protection of electronically processed information.
Agency Response Dated April 5, 2016:	<p>Information Security Guidance is in development to address management of information security breaches and protection of electronically processed information. Final guidance is expected in July 2016.</p> <p>Pending release of final guidance, UCNI guidance was provided to staff, and a Security Notice was issued.</p> <p>In addition, DNFSB promulgated an Incident Response Plan, which provides the process for responding to ALL IT security breaches.</p> <p>Implementation of this recommendation is still in progress.</p>
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives a copy of the final guidance updating the information security guidance.
Status:	Resolved.

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 2: Implement technical controls in the DNFSB SharePoint site that limit access to UCNI documents on a need-to-know basis.

Agency Response Dated
April 5, 2016:

We have performed market research and identified software that will limit SharePoint access to UCNI on a need-to-know basis. Technical controls are expected to be implemented by June 2016.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives the verification that the technical controls have been implemented.

Status:

Resolved.

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

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Status of Recommendations

Recommendation 3: Incorporate into DNFSB policy the requirement for technical controls in SharePoint to control access to UCNI documents on a need-to know basis.

Agency Response
Dated April 5, 2016:

The Information Security Guidance noted in recommendation one will also include the requirement for technical controls in SharePoint to control access to UCNI documents on a need-to-know basis. The final guidance is expected in July 2016.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the policy has a requirement that technical controls in SharePoint has a need to know basis to control access to UCNI documents.

Status:

Resolved.