



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

July 13, 2015

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
USE OF CONFIRMATORY ACTION LETTERS
(OIG-12-A-09)

REFERENCE: DIRECTOR, OFFICE OF ENFORCEMENT,
MEMORANDUM DATED JUNE 17, 2015

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated June 17, 2015. Based on this response, recommendation 2 remains in resolved status. Recommendations 1, 3, and 4 were previously closed. Please provide an updated status on this recommendation by December 18, 2015.

If you have any questions or concerns, please call me at 415-5915 or RK Wild, Team Leader, at 415-5948.

Attachment: As stated

cc: P. Holahan, OE
M. Galloway, OEDO
J. Arildsen, OEDO
J. Jolicoeur, OEDO
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Audit Report

AUDIT OF NRC'S USE OF CONFIRMATORY ACTION LETTERS

OIG-12-A-09

Status of Recommendations

Recommendation 2: Assess and update the *NRC Enforcement Policy*, the *NRC Enforcement Manual*, and other associated guidance to ensure that NRC's approach for utilizing CALs is consistent, effective, and efficient.

Agency Response

Dated June 17, 2015:

This is in response to your December 18, 2014, memorandum to the Executive Director for Operations, "Status of Recommendations: Audit of U.S. Nuclear Regulatory Commission (NRC)'s Use of Confirmatory Action Letters (OIG-12-A-09)." As per your memorandum, recommendations 1, 3 and 4 are closed and recommendation 2 is resolved. Recommendation 2 will be closed once the Commission approves the draft NRC Enforcement Policy (Policy) which incorporates the revised Glossary term "Confirmatory Action Letter." This proposed Glossary revision is only one of a number of other enforcement related revisions to the Policy. The staff has received and resolved all public comments on the draft Policy and on March 30, 2015, the draft Policy was distributed to the regional offices and program offices for their review and comments. The Office of Enforcement is currently working with the respective program and regional offices to resolve internal NRC comments and anticipates that the Commission will receive this Commission Paper by the fall of 2015.

OIG Analysis:

The action the agency has taken to update the *Policy* continues to address the intent of the recommendation. This recommendation will be closed when OIG reviews and verifies that the *Policy* has been revised with CAL terminology that is consistent with the CAL terminology contained in *NRC Enforcement Manual*, and other associated guidance.

Status:

Resolved.