

**SAFETY INSPECTION REPORT AND COMPLIANCE INSPECTION**

1. LICENSEE/LOCATION INSPECTED:  
Rocky Mountain Instrument Co.  
106 Laser Drive  
Lafayette, Colorado

2. NRC/REGIONAL OFFICE

U.S. Nuclear Regulatory Commission  
Region IV, 1600 East Lamar Blvd  
Arlington, Texas 76011-4511

REPORT NO: 2015-001

3. DOCKET NUMBER

040-38375

4. LICENSE NUMBER

05-35173-01E

5. DATE OF INSPECTION

May 21, 2015

**LICENSEE:**

The inspection was an examination of the activities conducted under your license as they relate to radiation safety and to compliance with the Nuclear Regulatory Commission (NRC) rules and regulations and the conditions of your license. The inspection consisted of selective examinations of procedures and representative records, interviews with personnel, and observations by the inspector. The inspection findings are as follows:

- ☐ 1. Based on the inspection findings, no violations were identified.
- ☐ 2. Previous violation(s) closed.
- ☒ 3. The violation(s), specifically described to you by the inspector as non-cited violations, are not being cited because they were self-identified, non-repetitive, and corrective action was or is being taken, and the remaining criteria in the NRC Enforcement Policy to exercise discretion, were satisfied.

☒ Non-Cited Violation(s) was/were discussed involving the following requirement(s) and Corrective Action(s):




10 CFR 40.53(c)(4) states, in part, that the licensee shall file the exempt distribution report covering the preceding year, on or before January 31 of each calendar year. Contrary to the requirement, the licensee filed the report on May 20, 2015.

The licensee's corrective actions included: revising the annual audit to include filing the report by the date specified in 40.53(c)(4); revising procedures to ensure the report is filed by January 31 of each calendar year; and adding a task date reminder to the RSO's calendar.

- ☐ 4. During this inspection certain of your activities, as described below and/or attached, were in violation of NRC requirements and are being cited. This form is a NOTICE OF VIOLATION, which may be subject to posting in accordance with 10 CFR 19.11.  
(Violations and Corrective Actions)

**Licensee's Statement of Corrective Actions for Item 4, above.**

I hereby state that, within 30 days, the actions described by me to the inspector will be taken to correct the violations identified. This statement of corrective actions is made in accordance with the requirements of 10 CFR 2.201 (corrective steps already taken, corrective steps which will be taken, date when full compliance will be achieved). I understand that no further written response to NRC will be required, unless specifically requested.

Title	Printed Name	Signature	Date
LICENSEE'S REPRESENTATIVE	Greg Minton		5/26/2015
NRC INSPECTOR	Michelle M. Hammond		May 26, 2015
BRANCH CHIEF	Jack E. Whitten		5/26/2015

☐ Non-Public ☐ Sensitive - Security-Related ☒ Public ☒ Non-Sensitive