

## **NRC INTERNATIONAL TRAVEL TRIP REPORT**

### **Traveler, Office, Division, Phone Number:**

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**Subject:** Consultancy Meeting on the Finalization of the Document Preparation Profile (DPP) for the IAEA TECDOC on Safety Culture in the Regulatory Body

### **Dates of Travel and States/Organization Visited:**

April 26 – May 1, 2015  
Vienna, Austria  
International Atomic Energy Agency (IAEA)

### **Desired Outcomes:**

The Nuclear Regulatory Commission (NRC) was invited to participate in the review and finalization of the Document Preparation Profile (DPP) for a TECDOC on Safety Culture in the Regulatory Body. Specifically, this TECDOC will provide guidance and examples from the consultants on establishing safety culture within the regulatory body as well as developing a regulatory framework for the promotion and oversight of licensee's safety culture.

### **Results Achieved:**

The DPP was reviewed and revised. The Background, Objective and Scope, and Overview sections were revised and clarified. The format and expectations for the Appendices, which will include the consultant's examples of safety culture policy and programs, were discussed and developed. The schedule for completion of the TECDOC was also finalized.

### **Summary of Trip:**

This consultancy meeting was held to begin development of a TECDOC on Safety Culture in the Regulatory Body. A draft of the DPP had already been developed by the IAEA and the meeting was held to review this draft, provide input, and agree on the rationale, scope and objectives, and format. Member States represented included: Finland, France, Pakistan, Korean, Japan, Indonesia, Vietnam, Tunisia, Sweden, and the United States.

The Background section of the document was expanded to include reference to various IAEA documents and reports which highlight the importance of safety culture in the regulatory body and the oversight of licensee's safety culture. The Objective and Scope section was revised so that the TECDOC will specifically address guidelines for establishing a safety culture policy and program within the regulatory body, and developing a regulatory framework for the promotion and oversight of safety culture in operating organizations.

The majority of information in the TECDOC will be included in the examples from the Member States consultants in the Appendices. Appendix one will include examples on safety culture policy, programs, training and self-assessment activities within the regulatory body. Appendix two will include examples of how Member States conduct oversight of safety culture for their licensees. These examples will include information on why and how the policy, program or activities were developed, any challenges that were encountered, and lessons learned during the process. These examples can be used by countries developing safety culture policy, programs and regulatory frameworks.

**Pending Actions/Planned Next Steps for NRC:**

The new draft of the DPP will be available to the consultants on the IAEA share point site for further review. Examples from the consultants will be uploaded to the share point site by November, 2015. The next consultancy meeting will be held at IAEA in Vienna in January, 2016.

**Points for Commission Consideration/Interest:**

The contents of this report is not likely to be of interest to the Commission.

**“On the Margins”:**

None.

**Attachments:**

This report can be found in ADAMS, under Accession No.: ML15132A405.