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NUCLEAR REGULATORY COMMISSION

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BRIEFING ON ACTIVITIES OF THE CENTER FOR
NUCLEAR WASTE REGULATORY ANALYSIS (CNWRA)

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PUBLIC MEETING

Nuclear Regulatory Commission
One White Flint North
Rockville, Maryland

Tuesday, March 9, 1993

The Commission met in open session,
pursuant to notice, at 10:00 a.m., Ivan Selin,
Chairman, presiding.

COMMISSIONERS PRESENT:

IVAN SELIN, Chairman of the Commission
KENNETH C. ROGERS, Commissioner
FORREST J. REMICK, Commissioner
E. GAIL de PLANQUE, Commissioner

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STAFF SEATED AT THE COMMISSION TABLE:

SAMUEL J. CHILK, Secretary

MARTIN MALSCH, Deputy General Counsel

JAMES TAYLOR, Executive Director for Operations

ROBERT BERNERO, Director, NMSS

JOE YOUNGBLOOD, Director, Division of HLW Management,
NMSS

WES PATRICK, CNWRA

BUDHI SAGAR, CNWRA

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P-R-O-C-E-E-D-I-N-G-S

10:00 a.m.

CHAIRMAN SELIN: Good morning, ladies and gentlemen.

Today we're meeting to receive a briefing on the activities of the Center for Nuclear Waste Regulatory Analysis. The Center is operated by the Southwest Research Institute under contract with NRC. It's an NRC federally funded research and development center. Its purpose is to provide the NRC staff and through the staff the Commission with strong and independent technical and scientific support for our high-level waste program.

The nation's high-level waste program is a one of a kind effort which presents enormous challenges, demands knowledge and skills of the highest quality. The Center plays a vital role in this program by assisting the NRC staff in addressing these technical challenges and by doing so with personnel and management who are free from any conflict of interest with NRC licensing matters.

It was initiated with a five year contract awarded in October 1987. Since that time the high-level waste program has changed and matured considerably. The Center has also evolved to its

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1 present form.

2 The Commission is quite interested in
3 hearing directly from the Center of the current
4 problems and issues being faced and the prospects for
5 resolution. It's especially appropriate that we
6 receive timely information now, just as the DOE site
7 characterization program is gaining real momentum. I
8 assume that the meeting this morning will concentrate
9 on the substantive work of the Center, not
10 administrative and management points.

11 Commissioners, do you have anything you
12 care to say?

13 Mr. Taylor?

14 MR. TAYLOR: Good morning. I'll note that
15 this is the seventh briefing provided to the
16 Commission on Center activities and issues. To
17 present the briefing, Bob Bernero from NMSS and Joe
18 Youngblood are on my right. To my left are Wes
19 Patrick, President of the Center, and Budhi Sagar, the
20 Center's Technical Director.

21 I would like to note that also here with
22 us but sitting in the audience is John Latz, who has
23 elected to go on a part-time basis with respect to
24 Center activities and has been replaced as the Center
25 President by Wes Patrick.

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1 With those opening remarks, I'll ask Bob
2 Bernero to continue.

3 MR. BERNERO: As you requested, Mr.
4 Chairman, we will not dwell on the administrative
5 matters. We want to focus this briefing on the
6 current and significant technical issues so that the
7 Center can speak to the Commission on them.

8 Just in passing, I would like to note that
9 the Center currently has 52 core Center staff on board
10 by the end of this second quarter. They are
11 approaching the 54 projected by the end of the next
12 quarter and things look pretty good in that. In that
13 slide you have summary status. There is also an
14 important note that they are currently underrunning at
15 about 35 percent of the budgeted funding. It
16 basically would indicate that there's a programmatic
17 lag as the staff came up, but also there has been a
18 due amount of caution and there hasn't been full
19 utilization of contractors or subcontractors beyond
20 consultants and the like. We hope to take advantage
21 of this. We're right now exploring in light of the
22 recent budget marks how to best take advantage of this
23 situation for the program.

24 So, with that, I would like to turn it
25 over to Wes Patrick and Budhi for the technical

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1 issues.

2 MR. PATRICK: (Slide) Slide 3, please.

3 I certainly appreciate the opportunity to
4 provide you with this briefing today. What we're
5 going to do in the next few minutes that we have
6 together is first to lay out the basis, the
7 methodology that we use for identifying the issues and
8 we're going to cover that very briefly so that we can
9 get to the heart of the matter and discuss the issues
10 themselves. Then, for each of the five issues that
11 we've identified, we'll give a brief statement of our
12 perception of the issue. We'll then progress to
13 indicate how we are making progress toward the address
14 of that particular issue and finally just make a few
15 brief remarks on what our perspective is on the
16 outlook for resolving that particular issue.

17 (Slide) Slide 4, please.

18 We use a five element methodology as
19 indicated in slide 4 to identify what we typically
20 call uncertainties. What might also be characterized
21 as concerns or issues within the program. I would
22 note at the outset that the NRC staff has a role in
23 each one of these five areas. That role varies. In
24 some cases they are the principal technical
25 participant in such things as prelicensing

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1 interactions and the Center has a secondary role in
2 those cases. In other cases such as research
3 activities, we are the lead and they function as
4 project managers. So, there's quite a range of staff
5 interactions between the Center and the NRC in the
6 conduct of these areas of activity which we use to
7 identify and then seek resolution of the particular
8 issues that are arising within the program.

9 (Slide) Slide 5, please.

10 Today we're going to focus, as I indicated
11 before, on five broad issues. These are not the only
12 issues that have been identified, but we've selected
13 them because of their potential impact on the full
14 breadth of the program. Budhi and I will be working
15 back and forth to discuss these to set the stage for
16 the issue and describe our progress toward it and
17 resolution as we go through our briefing this morning.

18 (Slide) Slide 6, please.

19 The first issue that we've identified
20 deals with the matter of data and models of the
21 processes and conditions that exist at the site. DOE
22 is going to be required to present in their license
23 application a very comprehensive and thorough
24 description of all of those processes and conditions
25 that now exist and that might evolve over the

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1 millennia to come that could affect performance. It's
2 going to take not only a good deal of acquired data,
3 but an exercise of professional judgment and
4 interpretation of the data that have been acquired and
5 to cast those data into the context of what we call
6 conceptual models and then eventually mathematical
7 models.

8 Recognizing that there's no prior
9 experience with the 10,000 year licensing period that
10 is of interest to us here for the repository program,
11 we recognize that there's a need for objective
12 criteria for determining how much is enough. Have you
13 acquired enough data? Have you analyzed it thoroughly
14 enough so that you have some degree of assurance that
15 you understand both the current conditions of the site
16 and also how those conditions might evolve with time
17 in what we call scenarios, the future states of the
18 repository setting.

19 Delays in the site characterization
20 process to date have raised some concerns and some
21 issue that there may not be sufficient time for
22 certain of these data to be acquired and analyzed and
23 used in the design process and in the performance
24 assessment process as time goes on. In particular,
25 our concern goes to those coupled processes that take

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1 place in the near field of the repository which, by
2 the very nature of the materials involved, take very
3 long periods of time. I speak, for example, of things
4 such as heat flow and moisture migration in the
5 unsaturated zone of the repository.

6 There are difficulties that are
7 fundamental or inherent in forecasting the evolution
8 of these systems and those are at the very heart of
9 this particular issue that we're dealing with. One of
10 the concepts in geological investigation that comes
11 into play here is the notion of alternative conceptual
12 models where any given set of data could be
13 interpreted in a host of ways. Those are some of the
14 elements of this issue that we're addressing, the key
15 being how does one obtain reasonable assurance with
16 regard to the performance of the geological
17 repository.

18 DOCTOR SAGAR: I'll talk very briefly
19 about the progress that we are making at the Center in
20 resolving this issue. Very briefly I'll talk about
21 the three examples listed on slide 6.

22 The very first one deals with the field
23 experiment that is NRC sponsored near Las Cruces, New
24 Mexico. The experiment was designed to investigate
25 the effect of alternating perceptual models and also

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1 to judge the worth of data for forecasting the shape
2 of a contaminant plume in the unsaturated soils.

3 In the analysis of the data that we
4 performed over the last two years, we found that even
5 when we used the state-of-the-art mathematical models
6 to predict the shape of the plume, that the exact
7 shape could not be predicted. However, the news
8 wasn't all that bad because we were able to reproduce
9 certain integrated characteristics such as we were
10 able to reproduce the central height of the plume
11 reasonably well and we were able to reproduce the
12 dispersion about the central height of the plume.
13 This study is continuing to evaluate the effects of
14 data density. That is to try to sensor the large
15 amount of data that's available at the site to see how
16 much is enough. This would be a site specific study,
17 obviously, and I'm not quite sure if we would be able
18 to answer the generic question how much is enough at
19 the end of the study.

20 The second study I want to briefly talk
21 about is about the nickel alloy A-25, which is a
22 candidate waste container material that DOE has
23 selected. The particular concept we wanted to test in
24 the lab was the sensitization of this particular
25 alloy. When we did the experiments we observed the

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1 sensitization at 600 to 800 degrees C. However, the
2 conclusion we came to was that if we wanted to study
3 the same process at realistic temperatures of the
4 repository that a much longer test, such as ten years
5 or longer, would be required, which pertains to --

6 CHAIRMAN SELIN: Wait. Say that again,
7 Doctor Sagar?

8 DOCTOR SAGAR: If we wanted to study the
9 sensitization issue at temperatures that would be
10 prevalent in the repository, which is 200, 250 degrees
11 C, that a much longer time test, such as ten years or
12 longer, would be needed.

13 CHAIRMAN SELIN: We were briefed last week
14 by the Department of Energy's own advisory committee
15 and they were discussing some possibilities of, in
16 fact, storing the materials at higher temperatures
17 than had previously been considered. How would that
18 affect your analysis?

19 DOCTOR SAGAR: For this particular
20 phenomenon, the higher the temperature the shorter
21 would be the test.

22 CHAIRMAN SELIN: Sort of a conservation of
23 energy?

24 DOCTOR SAGAR: Right.

25 MR. PATRICK: But also the greater the

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1 impact is the implication of that, sensitization
2 occurring much more rapidly in the real repository
3 conditions.

4 DOCTOR SAGAR: I guess the point we are
5 trying to make is that depending on the design and
6 whether this is going to be hot repository versus cold
7 repository, the test span, the length of time that you
8 need would vary. Therefore, if the site
9 characterization of the design phase delays, you would
10 have to add more time to carry out the test.

11 CHAIRMAN SELIN: But let me go to the next
12 step. If this is not known to us which approach the
13 Department of Energy is going to take, would you have
14 to do both experiments? Could you do the one and
15 extrapolate to the other or would you need to have
16 both a hot and a cold temperature experiment to answer
17 your questions in advance?

18 DOCTOR SAGAR: I think the only way we
19 could extrapolate would be if we understood the
20 phenomenon. If it was empirical, you have to do both
21 tests. And there's quite a distance between those two
22 concepts, just extrapolating based on experimental
23 data versus mechanistic understanding of the phenomena
24 which you could then extrapolate. But both would
25 require considerable amount of experimentation, in my

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1 view.

2 CHAIRMAN SELIN: So that would suggest
3 that we try to get an authoritative answer from the
4 Department of Energy about which approach before we
5 enter into fairly expensive experimentation which, if
6 I understand you correctly, when you said, "Unless we
7 really understand the phenomena," I understand the
8 implications. We're not going to really understand
9 the phenomenon and therefore we would have to repeat
10 the test if the temperature basis switched.

11 DOCTOR SAGAR: That's correct.

12 CHAIRMAN SELIN: Is that correct?

13 COMMISSIONER REMICK: Would you see the
14 NRC doing confirmatory tests in this area or would you
15 expect DOE to carry out the tests?

16 MR. PATRICK: Well, I think the phenomena
17 are poorly enough understood with current state-of-
18 the-art that the NRC would have an interest in an area
19 as fundamental as this. We're dealing with the issue
20 of containment of the waste and the lifetime of the
21 container that at least some limited confirmatory
22 testing would be in order.

23 CHAIRMAN SELIN: So, are you answering
24 Commissioner Remick to say both, you would expect DOE
25 to do the test and that we would also do a

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1 confirmatory test?

2 MR. PATRICK: Yes, sir. Our view has
3 always been that NRC and the Center and serving them
4 should not be in the role of developing any major
5 component of the database, that the role there is to
6 both confirm those studies which the Department has
7 conducted and carried forward in their license
8 application, and second to explore some of the fringes
9 of performance which perhaps DOE has not investigated
10 but which, in fulfilling its safety responsibilities
11 the NRC would have a vested interest in making some
12 assessments.

13 CHAIRMAN SELIN: Without putting you on
14 the spot, Mr. Bernero, do you basically agree with --

15 MR. BERNERO: Yes, indeed.

16 CHAIRMAN SELIN: I'm putting you on the
17 spot.

18 MR. BERNERO: Yes, indeed. Wes is
19 reflecting the view that we have of the role of the
20 NRC and the role of the Center. We want to select
21 those crucial points. Systematic independent
22 performance assessment has been one of them. Then
23 going into the database, selecting those crucial
24 points where to have an independent opinion we have to
25 be sure that DOE has done a thorough job and getting

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1 on the edges, looking for key uncertainties that could
2 contribute.

3 But again, I think Wes put it and we have
4 discussed this many times, the burden of proof is
5 DOE's. So, the body of the database, the fullest
6 range of what is needed is DOE's responsibility and
7 we're here to affirm that or to deny it and we need a
8 certain degree of independence to do that.

9 CHAIRMAN SELIN: To accept somebody's
10 description in a different -- they can deal the cards,
11 but we want to make sure we cut them before.

12 COMMISSIONER ROGERS: On this question of
13 understanding the phenomena, how much will the
14 possibility of understanding will depend upon the
15 physical and chemical characteristics of where the
16 waste packages are being emplaced? Not just
17 temperature environment, but the actual material
18 that's adjacent to the packages. Presumably that's an
19 issue here.

20 DOCTOR SAGAR: If you're talking about the
21 environmental conditions, that strong waste package,
22 those are exceedingly important and they are
23 heterogenous because of the geology in which they
24 reside. In fact, the recent work we did shows that
25 even in a hot repository the containers can stay wet.

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1 It can be aqueous conditions even at very high
2 temperatures because of the way pressure, depression
3 and so on, some other phenomenon that occurs. So,
4 yes, that's indeed an issue that needs to be studied.

5 COMMISSIONER ROGERS: Well, I guess the
6 question I have is whether you can do an experiment
7 that's meaningful, either hot or cold, without having
8 data as to exactly what the environment is in which
9 the packages are going to be placed.

10 MR. PATRICK: Commissioner Rogers, one of
11 the things that we have done in what we call our
12 integrated waste package experimental program is to
13 take a very careful approach to experimental design
14 using some of the factorial experimentation concepts
15 to test a range of high and low values of each of the
16 parameters that we believe would be present in a Yucca
17 Mountain-like repository. We know enough about the
18 chemistry of the rock that using some geochemical
19 modeling techniques we can develop a range of
20 geochemical conditions for the water that is likely to
21 come in contact with the packages. We've taken that
22 factorial approach to in this interim period to try to
23 bound the range of conditions that might eventually be
24 of interest, depending on which design is, in fact,
25 selected.

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1 COMMISSIONER ROGERS: Okay.

2 MR. PATRICK: That's about the best you
3 can do at this stage of thinking and development of
4 the repository.

5 COMMISSIONER ROGERS: Yes.

6 DOCTOR SAGAR: The third example, the last
7 example regarding this particular issue relates to the
8 iterative performance assessment which is jointly
9 undertaken by the NRC staff, Research and NMSS, as
10 well as the Center, to study what particular data is
11 more critical than some other set of data. For
12 example, in the iterative performance assessment phase
13 2, which is continuing at this time, we find that if
14 there was one single parameter that was driving the
15 performance of the repository, that was the
16 infiltration rate. That was the depercolation rate.
17 That is the most uncertain at this moment. That needs
18 to be studied both in the field as well as in a
19 numerical sense.

20 The NRC idea team plans to do an analysis
21 in the phase 3 on that particular issue. We believe
22 that the two major programmatic documents which are
23 being developed by the NRC staff, with some assistance
24 from the Center, would make significant contributions
25 towards resolution of this particular issue. Those

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1 two documents are the format and content regulatory
2 guide and the licensing application review plan.
3 These documents, together with the prelicensing
4 interactions with the DOE and their contractors, we
5 believe would provide some definition of what data
6 models and interpretations are to expected from DOE at
7 the licensing time.

8 Ultimately the resolution of this concern,
9 we believe, would be to focus on the impacts of
10 various phenomena and models and data on performance.
11 That is the only test we can identify that would tell
12 us how much is enough.

13 (Slide) Slide 7, please.

14 The second issue we selected for
15 discussion is the validation issue, validation of
16 models and validation of submodels. The models would
17 be used in the predictive performance in the long-term
18 and we believe that the space scales and the time
19 scales in the repository are so large that there would
20 be no true validation in the scientific sense. Yet we
21 do require some sort of testing, some sort of
22 confidence building to arrive at a reasonable
23 assurance during the licensing process. That, we
24 believe, is an issue that would be there when we
25 review the license application.

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1 The Center and the NRC staff and the other
2 countries that are involved in the high-level waste
3 management have designed international programs. The
4 Center and the NRC staff are participating in at least
5 two of them, the INTRAVAL program, whose aim it is to
6 validate the models of hydrologic flow and transport,
7 and the DECOVALEX program which is dealing with
8 decoupled models of terminal mechanical and hydrologic
9 processes. We have selected two analog sites and we
10 believe natural analogs do provide an opportunity to
11 study the phenomena at the scales of the repository,
12 both space and time, and that they could be helpful in
13 the model validation exercise.

14 The fourth site is Chihuahua, Northern
15 Mexico, which is the host rock there is tuff and the
16 climate and the hydrologic regime and other factors
17 are remarkably similar to those at Yucca Mountain.
18 Further, the site hosts a uranium ore body which
19 contains uraninite, which we believe could be a very
20 good analog to the spent nuclear fuel. The uranium
21 migration is being studied, is being measured. It's
22 a fractured tuff rock, so we could try to figure out
23 the partition between the fractures and the matrix as
24 to how migration occurs in such a medium. And the
25 project is about a year old and we expect a

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1 considerable amount of information to come out in the
2 future.

3 The second site is on the --

4 MR. PATRICK: Commissioner de Planque?

5 COMMISSIONER de PLANQUE: I think you're
6 going to answer it. I didn't hear where.

7 DOCTOR SAGAR: The second site is in
8 Santorini, an island in Greece, and that's under
9 investigation as a potential natural analog site.
10 This is an archeological site. It has been excavated
11 since about 1967. The attraction on the site is that
12 the dates on which a particular geologic event
13 happened, which is a volcanic eruption, is quite well
14 known. It's about 3600 years old. So, we have the
15 initial conditions sort of fixed in this particular
16 site, which is the hardest thing in natural analog
17 projects. There are some metal artifacts that had
18 been discovered and there are plumes that one could
19 study what happened in the last 3600 years.

20 A variety of further lab and field studies
21 are also in progress on model validation. Again, we
22 believe that the resolution of this particular issue
23 is both in the DOE's hands and NRC's hands in the
24 sense of NRC trying to define what the expectation
25 would be regarding the validation of models as they

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1 are presented by DOE.

2 (Slide) Slide 7, please.

3 CHAIRMAN SELIN: Did you get your question
4 answered?

5 COMMISSIONER de PLANQUE: Yes.

6 MR. PATRICK: Slide 8.

7 The next issue deals with the use of early
8 site characterization data. As the Chairman
9 indicated, the momentum has begun. DOE has now
10 obtained all the necessary permits. They've launched
11 their field studies. They are preparing their ramp
12 areas to begin tunneling at the site and we believe
13 now that that portion of the program is behind them,
14 getting ready, that it's now time to turn some
15 additional attention toward the sequential use of the
16 acquired data as these excavations take place and as
17 the studies are themselves conducted. This is true
18 not only of the subsurface investigations, but the
19 surface investigations as well.

20 And here again, both DOE and NRC have a
21 need and role to play in the sequential use of this
22 data as it begins to be acquired. I've noted there on
23 the slide just a few of the uses that that data needs
24 to be put to, some of the early designs, the early
25 decision making processes that will be taking place,

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1 use of that data and iterative performance assessment
2 so that NRC can begin to make some judgments,
3 targeting back to one of the earlier issues, as to
4 whether the program that DOE is conducting is heading
5 in the right direction, whether they are, in fact,
6 launching a program that is providing data that is
7 adequate to the task from a regulator's point of view.
8 Those early sequential decision making processes, we
9 feel, are very important and need to be given
10 considerable attention at this point.

11 We feel also that as the excavations
12 begin, as the surface and subsurface data begin to be
13 acquired that things will be learned that could lead
14 to changes in testing strategies as well as changes in
15 design activities. Those are things that need to be
16 done in a sequential basis. Our own experiences on
17 projects has been that one gets so wrapped up in the
18 acquisition of the data that sometimes one forgets
19 that there's an analysis process and a utilization of
20 that data that needs to be taking place as well and we
21 need to turn our attentions to that.

22 Interestingly, this isn't really an area
23 where NRC staff can be particularly proactive and
24 forceful in terms of driving the availability of the
25 data. But the data are becoming available through the

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1 normal prelicensing process. The semi-annual updates
2 to the site characterization plan, for instance, the
3 participation that DOE is very good about and the
4 various public fora dealing with high-level waste as
5 well as the broader scientific agenda, those give us
6 access to a significant quantity of their data. Using
7 that, we have begun to exploit some of the data that
8 are currently available and Budhi will speak to three
9 particular areas that we feel are noteworthy at this
10 particular stage.

11 CHAIRMAN SELIN: Before you move on, Mr.
12 Patrick --

13 MR. PATRICK: Yes, Mr. Chairman.

14 CHAIRMAN SELIN: I'm pleased to hear you
15 saying -- noting the limitation in our role here. I'm
16 sure everybody understands this, but just in case I'd
17 like to be quite clear. Our considerations, on the
18 one hand, are not to sandbag DOE, to let them know
19 well in advance what we think we'll need to do our job
20 when it comes up, but not to run their program or take
21 charge of their program. It's up to them to decide
22 how to gather the data. It's up to them to decide
23 what they need for their own purposes. The amount of
24 cooperation is not to clear things in advance, but
25 just to make sure that there are no technical

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1 surprises. So, your statement of restraint about what
2 we can and should do is highly desirable.

3 MR. PATRICK: Thank you.

4 DOCTOR SAGAR: The first example I want to
5 talk about is the capability of geometrically modeling
6 the geological structures and stratigraphy at Yucca
7 Mountain. We acquired that capability and then
8 acquired as much of the early site data as we could
9 and tried to model that to see what kind of
10 stratigraphy do we expect. This one you go back to
11 the beginning of time and try to see if we could
12 reproduce the structure as we see it today.

13 One of the results of this particular
14 study was that we expected, given the geometry as we
15 know it today, that there should be a fault in the 40
16 mile wash at the site, which is not in the present
17 maps. The recent earthquake at the Little Skull
18 Mountain seems to confirm that, but that needs to be
19 further investigated if indeed it is such. Our
20 understanding is that DOE would indeed undertake some
21 studies to examine this particular issue.

22 We have undertaken some studies in the
23 geochemical area. We want to explain the sorption
24 processes mechanistically through ion exchange studies
25 and so on, and we have undertaken some basic

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1 experiments to try to verify existing thermodynamic
2 databases and fill in some gaps that we see in those
3 particular databases. We have made a study of the C-
4 14 transport issue recently and we have found that
5 partitioning of C-14, carbon-14 in the gas and the
6 liquid and the solid phases causes a significant
7 amount of retardation that needs to be studied.

8 The third example I want to talk about is
9 the volcanism issue. We have research projects
10 undergoing in this particular topic. The most recent
11 study on this issue was to study the probability of a
12 volcanic event happening in the vicinity of the site.
13 The issue was whether the Poisson kind of probability
14 distribution used by DOE was appropriate or the non-
15 homogeneous Poisson process will relate varied with
16 the space, with the distance from the center was the
17 more appropriate model to be used.

18 The conclusion that we reached from this
19 preliminary study was that a non-homogeneous Poisson
20 process was more appropriate to study the probability
21 and we did estimate the probabilities of a volcanic
22 event.

23 Again, the --

24 CHAIRMAN SELIN: How does the parameter
25 vary with distance of the center? Is there an

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1 equation or is it just a set of values that you
2 determined --

3 DOCTOR SAGAR: It's a set of values that
4 you determine based on what you know about the number
5 of events that had happened at different points in
6 space, and you find that as you go away from a certain
7 central location, a crater or a cone, that the
8 probability decreases as you move away. The greater
9 the distance, the lesser is the probability which
10 would create a non-homogenous Poisson process in
11 space.

12 CHAIRMAN SELIN: Are you just fitting a
13 curve to a bunch of data?

14 DOCTOR SAGAR: Yes. Yes, we are fitting
15 a curve. It's not a mechanistic model, if that's the
16 question.

17 MR. PATRICK: But this is one of those
18 early areas where you can use some of the early data
19 to begin to get some notion of spacial distribution
20 and it's important because it begins to address the
21 matter of where are the trends in these volcanic
22 processes. If you begin to couple the first area that
23 he spoke about, the structural geology, with the third
24 area that he spoke about, these trends in volcanism,
25 you begin to work mechanism into the basic

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1 observations of where volcanic events seem to be
2 trending. You begin to address a little more the
3 issue of why might they be trending there based on
4 structural geological considerations.

5 CHAIRMAN SELIN: Next time you get a
6 tremor, do you get a violently different distribution?
7 How stable is this equation as a predictor of what
8 happens?

9 DOCTOR SAGAR: Well, the stability of the
10 equation is dependent upon how many data points from
11 the past did you use. Now, some of the past data
12 itself may be suspected, maybe meaning something
13 different and the equation will change. The question
14 was the project that we were doing was to take so-
15 called accepted data from the past that has been
16 accepted by most of the investigators and
17 reinterpreted it through a different model. So, the
18 equation will probably change in the future.

19 CHAIRMAN SELIN: I was very leery about
20 fitting curves when you don't understand the
21 underlying mechanism to try to estimate both the form
22 of the distribution and the parameters within the
23 distribution.

24 DOCTOR SAGAR: Right.

25 CHAIRMAN SELIN: You can always fit a

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1 curve to any number of figures, but how good a
2 predictor it is is the -- okay.

3 DOCTOR SAGAR: I accept the comments. I
4 cannot say that this equation is the ultimate equation
5 that will give you the probably, but it's an attempt
6 to try to understand the data again.

7 The key element of resolution for this
8 particular issue is the timely release and utilization
9 of data both by DOE and by NRC and the Center in
10 making decisions.

11 (Slide) Slide 9.

12 CHAIRMAN SELIN: Before you get off that,
13 what is DOE doing on these questions? Again, we're
14 doing confirmatory research. Are we taking their
15 models and testing to see if they're okay or are we
16 going off independently and we're going to have two
17 different models of how the earth behaves? What's our
18 role compared to DOE's role?

19 DOCTOR SAGAR: In any research project
20 that we have undertaken at the Center, the very first
21 step has been to review all the literature, including
22 DOE's models. So, it's not independent in the sense
23 that we don't know what DOE has done. It's
24 independent in the sense that we try to look for
25 alternative interpretation of modeling aspect to see

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1 that it's not -- as you said, if you don't understand
2 mechanistically what's going on, then there are more
3 changes of interpreting it alternatively. The idea is
4 to see which one maybe --

5 CHAIRMAN SELIN: Do we have a process five
6 years from now when we sit down with our results and
7 DOE sits down with theirs and we try to reconcile
8 them? Where does this come together?

9 MR. PATRICK: One of the things that takes
10 place as part of an ongoing dialogue, there are
11 provisions for the NRC Center staff to meet with the
12 Department of Energy staff. In this particular area,
13 two weeks ago we were in the field with the leading
14 volcanologist on the Department of Energy's team,
15 Bruce Crowe and others, with our own volcanologist, to
16 address early on some of these differences and
17 interpretation at the staff level. Not to try to
18 resolve issues in any ultimate sense, but to address
19 them at the staff level to try to understand why our
20 interpretations of those same data might be different.
21 So, in addition to the formal published literature,
22 there's this informal dialogue that continues to go
23 on.

24 CHAIRMAN SELIN: Are these dialogues
25 available to the State of Nevada's volcanist?

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1 MR. PATRICK: Yes, sir.

2 COMMISSIONER de PLANQUE: Question about
3 the data that DOE is acquiring. If my understanding
4 is correct, the core samples that are being taken are
5 not being totally archived. Do you anticipate that
6 that's going to present any problem down the road?

7 DOCTOR SAGAR: My understanding is that
8 since they started a recent QA program and approval
9 was approved, that they are indeed archiving all of
10 the core. That's my understanding, that in the past,
11 the core that they had collected would probably not be
12 qualified and that the information will not be used in
13 licensing arena.

14 COMMISSIONER de PLANQUE: At what point in
15 time did you see that change?

16 DOCTOR SAGAR: Wesley might know better,
17 but my understanding is about two years ago.

18 MR. YOUNGBLOOD: For sure they're
19 archiving the cores. The samples they are, but not
20 the --

21 COMMISSIONER de PLANQUE: It's my
22 understanding that when they take a core and a
23 researcher uses that core for his or her work, that
24 there is not necessarily a quarter or an eighth or
25 whatever of each core section that's archived. It can

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1 be destroyed or used up in the process, although it
2 wasn't quite clear to me what was and what was not
3 being done in archiving.

4 CHAIRMAN SELIN: Mr. Bernero, will you go
5 and --

6 MR. BERNERO: Yes.

7 CHAIRMAN SELIN: Not necessarily by lunch
8 time, but will you check that out?

9 MR. TAYLOR: Get the answer.

10 COMMISSIONER de PLANQUE: By dinner.

11 MR. BERNERO: Yes. This is something --
12 my understanding from the origin of what they call the
13 sample management facility was that a segment of the
14 core was to be archived as well as samples.

15 COMMISSIONER de PLANQUE: We don't need to
16 debate it here.

17 CHAIRMAN SELIN: Calculating what reality
18 is, but try to --

19 MR. BERNERO: Yes. We need to track that
20 down.

21 COMMISSIONER de PLANQUE: And my question
22 is, if that's not being done, does that present a
23 problem for us later down the line?

24 MR. PATRICK: Yes. Without the practice
25 of doing core splits, as they're called, in place,

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1 then the issue of being able to replicate --

2 COMMISSIONER de PLANQUE: Right.

3 MR. PATRICK: -- previous results becomes
4 moot because without additional drilling that could be
5 a programmatic issue.

6 Anything else on that?

7 (Slide) Turning then to the slide 9, the
8 next issue that we'd like to discuss with you is also
9 a very interesting one for the repository program
10 because of some of its peculiarities. That issue is
11 the use of expert judgment in the licensing process,
12 in the regulatory arena. Certainly the future
13 performance of the repository is going to be greatly
14 dependent upon not only an understanding of the
15 current conditions, which we spoke to earlier, but
16 also the evolutionary changes in those conditions
17 which would define the boundary conditions and the
18 forcing functions that exist on the repository. Those
19 would include not only the normal natural processes
20 that are playing out, but some of the more disruptive
21 things that one might imagine in terms of a scenario
22 developing.

23 All of those things, tectonics, volcanics,
24 the hydrologic, geochemical, climatic processes and
25 events that are going on will require data

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1 acquisition, but will also require some interpretation
2 of those data and undoubtedly some use of expert
3 judgment in defining what the most likely models are,
4 the appropriate sets of models for defining how those
5 processes, how those conditions are going to play out
6 over time. A large dose of expert judgment should be
7 expected to be used and is a natural part of projects
8 of this sort that deal with the earth.

9 The question is not so much as to whether
10 to use expert judgment but the way in which that
11 expert judgment should be used, the processes, the
12 procedures for formalizing the use of expert judgment.

13 Qualification of experts is a particularly
14 interesting issue here because of the long time
15 periods involved. If you take a literal definition,
16 there are no experts because there are no people that
17 have made projections over these kinds of periods of
18 time. So, the skills that we anticipate would be used
19 are much the same as those geological skills that have
20 been used to do what we call post-dicting in the
21 geological environment where you make observations
22 today and you use expert judgments to try to determine
23 based on those observations what must have gone on or
24 what might have gone on in the past.

25 In this first-of-a-kind effort, we've

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1 taken a three prong approach to begin to try to get
2 our arms around the processes and procedures for using
3 expert judgment in the regulatory environment and
4 possibly addressing the need for some technical
5 guidance in this area.

6 DOCTOR SAGAR: The first one of those
7 three is an assessment of the current state-of-the-art
8 in the area of expert judgment with the help of
9 academic experts from outside the Center. We
10 undertook the study to see if we could come to some
11 conclusion regarding validity of expert opinion that
12 is based on the past use of expert opinion if we could
13 figure out how well those expert opinions were found
14 to be correct later on.

15 We found a few things. One is that -- and
16 this is, I think, both of the conclusions we reached
17 are somewhat obvious. The first one is that the
18 expert opinion cannot be, should not be a substitute
19 for real data if that data could be obtained, that you
20 use expert opinion only where such data is either
21 impossible to obtain or it's economic issue sometimes
22 in the industry. The second one is that the selection
23 of the experts is really -- or how we define experts
24 is really the most crucial element in using expert
25 opinions and expert judgments, that consensus is not

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1 really something -- is not really as fruitful as
2 trying to discover why experts differ on a certain
3 issue. That gives us a better understanding of the
4 issue that you are trying to explore through the
5 expert judgments.

6 We are also undertaking a study to study
7 how, to see how geotechnical experts make judgments
8 since they have been doing that in the industry, for
9 example, for many, many years and there's real money
10 involved in those decision making, that they may have
11 developed some way of how expert judgments are to be
12 used. That study is not complete yet.

13 Thirdly, we're undertaking an actual
14 elicitation as part of the iterative performance
15 assessment task. We have selected climatology or
16 climate, future climate at Yucca Mountain to be the
17 topic and we are trying to set up an expert panel that
18 we would elicit formally to basically learn what
19 pitfalls there are in doing such an expert elicitation
20 and see if these three studies would eventually lead
21 us to some conclusions as to how -- first if NRC needs
22 to provide guidance to DOE on how to use or what is
23 acceptable to NRC on expert judgments and, secondly,
24 if we do get to the point where indeed NRC ought to
25 provide some guidance, what that guidance should look

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1 like. We hope at the conclusion of these three
2 studies that we might be able to recommend some
3 aspects of this thing to the NRC.

4 COMMISSIONER ROGERS: Just on this issue
5 of how to use experts, do you think that it makes
6 sense to try to deal with this question once and for
7 all in a generic way or do you think that really the
8 particular issue that arises that can only be
9 addressed through the use of expert judgments has to
10 dictate exactly how you use them? Do you have a
11 feeling about that issue of whether it's wise to try
12 to anticipate beforehand all the ways in which experts
13 might be brought into an issue and come to some
14 conclusions of how they would be used or to allow the
15 flexibility of deciding that issue on a case by case
16 basis because the nature of the question may dictate
17 how you use the experts? Do you have any feelings
18 about that?

19 DOCTOR SAGAR: From these studies that we
20 have seen in literature, a large part of the expert
21 elicitation issue is generic. How to select experts
22 is more or less independent of what question you're
23 trying to get answered. The way whether you would
24 want to get the consensus or you would want to study
25 the reason for differences of opinion is a generic

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1 issue. Eventually the nature of the -- or how you
2 would use the data and the nature of the data
3 obviously depends on case by case. It depends on what
4 subject matter you're dealing with. But again it's my
5 belief that quite a bit of this issue is generic and
6 can be settled in a generic sense.

7 MR. BERNERO: Commissioner Rogers, I'd
8 like to interject. There is a good deal of importance
9 to the context of the use of expert judgment. As the
10 Commission is aware, we have looked at seismo-tectonic
11 issues in the past for how one can forecast the next
12 40 years for reactor integrity. Here it's a
13 substantially different context. We're looking at the
14 same geology, but now we're forecasting for millennia.
15 That's very important. There's very high interest in
16 this and in this context for the use of expert
17 judgment in the international community as well. At
18 the OECD Radioactive Waste Management Committee, very
19 strong interest in this. It's a worldwide perception,
20 I'd say, that we really need to do this because, as
21 Budhi said earlier, you can't really validate the
22 models in the conventional sense of the word.

23 COMMISSIONER ROGERS: Well, we'll wait and
24 see how it all comes out.

25 MR. PATRICK: (Slide) Slide 10, please.

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1 The final issue that we'd like to address
2 with you today is a rather broad one and it deals with
3 the subsystem and total system performance objectives
4 which are delineated in Part 60. In particular, the
5 total system performance objective that's laid out in
6 the EPA standard, which is currently in remand and
7 about to be repromulgated. This is really the most
8 fundamental of issues. It has programmatic aspects to
9 it as well as the technical aspects that we'd like to
10 address.

11 From a technical perspective, there's
12 really a concern here not only in how these subsystem
13 and total system performance objectives fit together
14 in a licensing context, but there also arises a
15 technical concern regarding the level of detail and
16 modeling these various phenomenon. We know that the
17 processes and conditions are very complex. That would
18 be true of any geological repository site. We feel
19 though that there has to be some additional detail and
20 associated attention paid to some of these near field
21 phenomena which are among the most complex that would
22 be taking place. Therein we see that the need to do
23 some additional detailed modeling with respect to,
24 say, waste package performance, release of
25 radionuclides from the engineered barrier system, may

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1 be appropriate, whereas in a total system performance
2 context one would need to use greatly simplified
3 models just to be able to accommodate the current
4 limitations in one's ability to compute processes such
5 as this.

6 From the outset, the programmatic issue or
7 the regulatory issue is identified using the technique
8 that we call systematic regulatory analysis. You've
9 been briefed on that area in the past as a Commission.
10 As we did that study, we identified several
11 uncertainties that bore on this particular issue. One
12 of them I've spoken to briefly and that is how do the
13 subsystem requirements fit into the total system
14 performance area. Other ones deal with such issues as
15 the validity, the appropriateness of some of the
16 subsystem requirements such as groundwater travel
17 time, the containment of the nuclides for a
18 substantially complete period, 300 to 1,000 years, and
19 then finally the gradual release of nuclides from that
20 environment.

21 Although some of those things are
22 regulatory issues, we have been able using iterative
23 performance assessment, two aspects of iterative
24 performance assessment in particular, to begin to
25 address the technical aspects of the problem. We know

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1 from our total system performance assessments, those
2 are assessments done by both the staff at the Center
3 and the staff at the NRC, that we can identify those
4 areas which are most crucial to performance and we can
5 calculate those using models which have been
6 appropriately simplified to be able to handle the
7 range of phenomena, the range of conditions that are
8 of interest. But we've also found in that process
9 that some of these phenomena need greater attention.
10 So, we've done what are called auxiliary analyses in
11 our parlance where we've looked specifically at such
12 things as transport of carbon-14 in the gaseous phase
13 as well as in the liquid phase. These auxiliary
14 analyses have also extended to such matters as the
15 effects of volcanic intrusions here, stepping aside
16 from the issue of whether we can project or predict
17 where those are going to occur and when they are going
18 to occur, but to parametrically evaluate what would
19 happen if they occurred with certain frequencies,
20 spacial distributions and sizes.

21 So, these auxiliary studies have enabled
22 us to look at some of these particularly important
23 effects of phenomena that could affect groundwater
24 flow or transport of radionuclides.

25 We feel there are several actions that

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1 need to be taken to bring ultimate resolution to this
2 particular area and that those actions are really
3 going to be taking place against the backdrop of a
4 much larger regulatory issue, and that is specifically
5 whether there is a need, a desire, a regulatory drive
6 to have a unique nexus or connection between the
7 subsystem requirements and the total system
8 performance requirement. At the Center, based on the
9 studies we've conducted so far, it seems to us that if
10 the subsystem performance objectives are viewed
11 strictly as a means to ensure or to mandate that
12 multiple barriers are used and that they are used at
13 particular minimum levels of performance, then there's
14 really no need for there to be a nexus in the sense of
15 a perfect connection between the subsystem
16 requirements and the total system requirements. But
17 it is, at its heart, a policy matter as to whether one
18 feels there needs to be a nexus. What a nexus would
19 bring on the positive side of the ledger is the
20 potential for a single regulatory performance measure
21 to be dealt with in the licensing arena.

22 NRC staff and ourselves are currently
23 wrestling with that issue. But within that broader
24 backdrop, some of the specific things that we're
25 looking at is to complete some evaluations that we

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1 have ongoing now and to complete those within the next
2 several months with regard to specific rulemakings and
3 staff guidances that we feel may be appropriate to
4 provide some information to the Department of Energy
5 regarding how to treat subsystems and total systems.

6 Second, we feel that the need for the
7 staged or the phased performance assessments need to
8 continue and they need to continue to get increasingly
9 thorough and increasingly more realistic as time goes
10 on. Again, there is a balance there to be struck
11 between what one can calculate from a total system
12 perspective in some of the more detailed auxiliary
13 analyses that will undoubtedly be needed to enable us
14 to understand some of the particular phenomena that
15 are of regulatory interest.

16 Once these are available, the results of
17 the performance assessments we feel can be put to
18 timely use both by the regulator and certainly DOE
19 will be putting those to use as part of their
20 performance assessment calculational exercises.

21 Those conclude our remarks. We'd be
22 pleased to entertain any further questions that you
23 would have.

24 CHAIRMAN SELIN: Commissioner Rogers?

25 COMMISSIONER ROGERS: Well, just coming

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1 right back to the point you've just left, this
2 question of subsystem performance, is it possible that
3 our own regulations which focus on subsystem
4 performance can result really in a suboptimal overall
5 performance rather than the best possible performance
6 of a potential repository? It seems to me that it's
7 conceivable that that can be the case. We know that
8 in another context by emphasizing unduly one
9 particular aspect of a complex system you ultimately
10 reduce the performance of the overall rather than
11 improve it. I wonder if there's a similar possibility
12 here that can arise through dictates of our own
13 regulations and whether you have any thoughts on that
14 matter.

15 MR. PATRICK: Well, we do have some
16 thoughts on it. One, to be able to sit here and
17 assert that it can't possibly be having such an
18 untoward effect of causing a suboptimum solution to be
19 found is not something that I think anybody could do
20 at this point. But I would point out that the history
21 of the development of those subsystem performance
22 objectives, as they're referred to, does give
23 considerable latitude. Going back and looking at the
24 discussions that took place at the time, certainly it
25 would bear examining those again in light of how the

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1 state-of-the-art has moved forward over this last
2 dozen years or so.

3 But as we go back and look at those, it
4 was very clear that the Commission over and over again
5 would say, "It appears that it may be possible for
6 this level of achievement to be obtained, but we want
7 to set the minimum standard here." We show that the
8 minimum standard will have a positive beneficial
9 effect on total performance. If the applicant wants
10 to drive for the higher level of performance, they're
11 certainly encouraged to do so. We see that in the
12 rulemaking record. We see that in NUREG-0804
13 specifically that deals with some of these particular
14 issues.

15 The other thing that we feel very strongly
16 about is the rule already has in place a provision
17 that at a particular site that there could be changes
18 in those subsystem requirements, number one, and in
19 fact there could be additional requirements put in
20 place as might be appropriate.

21 So, I guess to sum that all up,
22 Commissioner Rogers, I feel if we have a basic rule
23 that sets minimum standards to ensure that the
24 multiple barrier concept is implemented appropriately
25 and then allow those to move that will above that to

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1 drive to an optimum, a best possible performance
2 within the existing technological limitations and site
3 limitations, always being certain to minimally meet
4 the EPA standard, I think we do have very close to if
5 not the best of both worlds in that regard. A
6 personal view on that.

7 MR. BERNERO: Commissioner Rogers, I'd
8 just like to add a thought to that. In one area we
9 have a weaknesses. That is the site geology. The
10 pre-emplacement groundwater travel time is really not
11 that rigorous an index of performance. We don't have
12 a better index of performance. We've struggled for a
13 long time to find one and there is a weakness therein.
14 But I don't think that tends to lead us into
15 suboptimal sites because ultimately the overall
16 performance criterion will ensure site acceptability.

17 MR. PATRICK: And to tag on to your tag-
18 on, that is an area that the Center is specifically
19 addressing where we have a study underway, a
20 calculational study not a paper study, to examine
21 other ways that one might be able to evaluate the
22 goodness of the geological component of the total
23 system.

24 COMMISSIONER ROGERS: I guess the area
25 that concerns me the most is one that you didn't dwell

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1 on at any great length, but it seems to me is going to
2 be a difficult -- could be very difficult one, and
3 that is the validation of the process models, either
4 through the use of expert judgments or through the use
5 of data, because it certainly is conceivable that one
6 can challenge a model rather easily with respect to
7 its validity 10,000 years from now in terms of its
8 ability to span that full extension of time.

9 I don't expect you to give us an answer of
10 how that's all going to be solved, but I have a
11 feeling it's going to be -- it has a potential for
12 being a very sticky issue to settle. I would like to
13 hear more about how you propose to do that as time
14 evolves. I don't know that -- I'm sure we can't do it
15 today, but it does seem to me that that's going to be
16 a very, very important question, I think, although
17 sometimes these things break in a way that everybody
18 agrees that a model is an adequate representation and
19 they feel quite confident. But it does relate to how
20 you select experts and it does seem to me that there
21 is a sticky question of the outlier expert and how you
22 include them in the picture because they have
23 credentials, very often very important credentials and
24 yet their points of view may be quite different from
25 a collection of experts that you may be very

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1 comfortable with.

2 How to include that possibility of at
3 least those people's views somehow being if not
4 exactly included in the final result, that their views
5 can be used to challenge the experts, the other
6 experts, because it seems to me that that's the
7 important thing in using experts. Not that you can
8 find a collection of people who all agree, but that
9 there is a reasonable challenge to the basis for their
10 agreement that establishes their validity. These are
11 issues that I think are going to be very important in
12 the use of experts. I'm not so sanguine about how
13 they're all going to come out.

14 CHAIRMAN SELIN: Did you have other
15 comments?

16 COMMISSIONER ROGERS: No. No.

17 COMMISSIONER REMICK: In your discussion
18 of expert judgment, I assume you're talking about
19 experts used to develop data where data might not
20 otherwise exist or interpreting the data
21 mathematically and not in the adjudicatory sense of
22 expert witness.

23 DOCTOR SAGAR: No. We were talking about
24 actually --

25 COMMISSIONER REMICK: Okay. The

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1 systematic regulatory analysis is something I've had
2 a lot of interest in since you developed that. I was
3 wondering as a result of this -- let me digress a
4 minute and say that certainly one of the more
5 interesting, perhaps more useful use of the
6 probabilistic risk assessment is in the design of,
7 let's say, a power plant or something else where you
8 can look at alternatives and see what effect they have
9 on the risk.

10 As a result of your study of the
11 systematic regulatory analysis, is there any skeleton
12 that you've developed or a template that might be used
13 as a write regulations in the future of things to
14 check to see that the thing hangs together, that all
15 the pieces are there? Is there anything out of this
16 process that shows -- that one could use in that
17 sense, of a check list or a template in developing
18 future regulations to make sure that all the pieces
19 are there that should be there?

20 MR. PATRICK: It's not so easy as to put
21 a template together. I guess I should start by
22 remarking that that has not been a charge.

23 COMMISSIONER REMICK: Yes. I understand.

24 MR. PATRICK: We've not tried to take that
25 broad a sweeping of a view. But it is not so easy as

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1 putting a template together. But we do feel that the
2 procedures that have been developed and, in fact, are
3 still under development do help one to focus one's
4 attention on some of these most critical and most
5 crucial issues. Our staff had the opportunity to be
6 of some small help to the people in working up the
7 rulemaking for the monitored retrievable storage
8 system. I certainly can't speak for how useful they
9 found the exercise to be, but some of our staff
10 members actually "did the SRA" on those particular
11 modifications that were underway to search for
12 uncertainties that might be introduced as a result of
13 amending a rule or modifying a rule.

14 We're doing a similar thing with a pending
15 rulemaking that the staff is currently evaluating
16 within Part 60 to look at how 60.122 dealing with the
17 potentially favorable and adverse conditions at the
18 site might be better structured so that they interface
19 with the performance objectives.

20 COMMISSIONER REMICK: I see. That's what
21 I had in mind.

22 MR. PATRICK: And that's a specific task
23 that we undertook to be sure that in the process of
24 making a change to resolve one set of uncertainties
25 you don't generate a bunch of other ones.

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1 COMMISSIONER REMICK: That's interesting.
2 Thank you.

3 COMMISSIONER de PLANQUE: Just to -- were
4 you finished?

5 COMMISSIONER REMICK: I'm finished, yes.
6 Thank you.

7 COMMISSIONER de PLANQUE: Just two
8 questions. On the subsystem versus total system
9 concept, you may not have this information at the tip
10 of your fingertips, but couldn't you tell us how other
11 countries, like France, U.K. and Japan, are
12 approaching that from a strategy point of view?

13 MR. PATRICK: Sure.

14 DOCTOR SAGAR: As a matter of fact, none
15 of the other countries that we know about how the
16 subsystem quantitative requirements. They all have
17 qualitative requirements, but none of them have --
18 that's what I know.

19 MR. BERNERO: Yes. The one exception, for
20 years Sweden has had this hot isostatically pressed
21 copper container. If you ever try and pin them down,
22 it's really not all that definitive as a packaged
23 specification. They have it sometimes and other times
24 don't have it. Their principal focus in the program
25 is binary. They have activity on the package and they

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1 have their principal focus on the rock
2 characterization or site characterization activity.
3 So, they are the closest ones, I'd say, to someone in
4 a national program overseas that has at least one
5 subsystem specified.

6 COMMISSIONER de PLANQUE: But the others
7 are mainly looking at total system performance.

8 MR. BERNERO: Yes.

9 MR. PATRICK: Well, they take an approach
10 that's not -- correct me if I'm wrong, but not too
11 dissimilar from what the EPA standard says. There are
12 numerical criteria in the remanded EPA standard, but
13 then there's a Section 191.14, as I recall, that deals
14 with what are called assurance requirements. So, you
15 say, "Yes, I want to have multiple barriers, but I'm
16 not going to put specific numerical quantitative
17 criteria with regard to their performance. I will
18 feel assured if you've got a good waste package, if
19 you've got a slow groundwater travel time, if you've
20 got good geochemical conditions and so forth, but as
21 a regulator they've not specified those in
22 quantitative terms. They're still there as intrinsic
23 measures that build assurance in the hearts and minds
24 of the regulators and the public, but not to quantify
25 them.

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1 COMMISSIONER de PLANQUE: Okay.

2 DOCTOR SAGAR: But there are other major
3 differences, like they don't have the 10,000 year time
4 frame either.

5 COMMISSIONER de PLANQUE: Right.

6 DOCTOR SAGAR: They are talking of risk,
7 maximum risk whenever that might happen, a million
8 years after the repository is closed and so on and so
9 forth. So, we have quite a bit different regulation.

10 COMMISSIONER de PLANQUE: Okay. Back on
11 the issue of expert judgment, I don't know how new
12 this concept is to the public, but can you tell us
13 have there been studies done to figure out public
14 reaction to that concept and it being used in a
15 regulatory framework?

16 DOCTOR SAGAR: I think there are very few
17 studies that exist that we could put our hands on that
18 are in the laboratory area. We tried to get to the
19 EPA to see how they handle their air permitting and
20 waste injection kind of processes. Most of the
21 studies we saw were in business area where they were
22 trying to predict what the demand would be 30 years
23 from now. But none of the studies that we came across
24 had the long time frames that we have in the high-
25 level waste. It's very difficult to answer this

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1 question and that's how we started. We wanted to
2 validate expert opinion, see how well people have done
3 in the past.

4 The conclusion was we could not come to a
5 single conclusion that said under these circumstances
6 it succeeds and under these circumstances it doesn't,
7 which is what we set out to do. So, I don't think I
8 have an answer to your question.

9 COMMISSIONER de PLANQUE: Well, that
10 addresses whether or not experts believe in expert
11 judgment. I'm more concerned with how will the public
12 react to the use of expert judgment in this context
13 and it sounds like there hasn't been a lot of studies
14 done.

15 MR. PATRICK: There haven't been, but
16 specifically although there are some beginnings in
17 that area. I think one of the things that's relevant
18 with regard to that is that the public has a bit of a
19 problem with being told to believe someone because
20 they are an expert. I think we touch here on the
21 whole area of public knowledge, public awareness,
22 public perception regarding an entire industry, if you
23 will.

24 I think personally, not the Center's
25 opinion, I think personally that there has to be a

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1 great deal of work done in that area in the coming
2 years if the public is going to be comfortable, and
3 that's really what it comes down to, to be comfortable
4 with these kinds of decisions made over these long
5 periods of time by experts, by people who have not
6 themselves lived 10,000 years to see whether the
7 performance of their prediction is adequate. So, I
8 think that's an important element, is public
9 awareness, public education.

10 COMMISSIONER de PLANQUE: Okay. Thank
11 you.

12 CHAIRMAN SELIN: I'd just like to close
13 with a couple comments.

14 First of all on the expert opinion, it's
15 important to remember this is a regulatory process and
16 the stress that I think you've laid and I hope you
17 continue to lay on experts making known the process by
18 which they draw their conclusions is a lot more
19 important than just somebody saying, "36" and closing
20 it up at that point.

21 There's a story I've told a number of
22 times about Norbert Weiner going up to the blackboard
23 and putting up a complicated integral, looking at it
24 and says, "2 pi." Somebody else said, "Well, how did
25 you get that?" and he erased the 2 pi and looked at it

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1 again and he wrote, "2 pi," and he said, "See, I did
2 it a different way." He was an expert but you still
3 have to know the process.

4 Okay. A couple of -- there's a point in
5 that story. It will take a few weeks to figure it
6 out, but there's a point.

7 I'm struck by a couple of things. First,
8 in spite of Doctor Patrick's disclaimer, you are
9 clearly taking a look at the overall question and
10 saying, "What other holes are there to fix?" not just
11 what are the answers to the three or four questions
12 that we're doing, and I think that's useful. I
13 believe -- my own personal opinion is that over the
14 five or six years that the Center has been helping us
15 that you have established a reputation for
16 independence and for common sense, for not going off
17 on too many wild goose chases.

18 That leads to a second observation and
19 that is that we just have a lot more sources of
20 independent advice on the high-level waste question
21 than we did have five or six years ago and perhaps the
22 Commission will have to sit back and figure out how
23 much of this is complementary and how much of this is
24 redundant. But I believe that the breadth and depth
25 of your observations are both salutary for us to

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1 consider as we look at this question.

2 So, we thank you very much for the
3 presentation and look forward to your continuing work.
4 Thank you.

5 MR. PATRICK: Thank you.

6 (Whereupon, at 11:12 a.m., the above-
7 entitled matter was concluded.)
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WASTE REGULATORY ANALYSIS (CNWRA)

PLACE OF MEETING: ROCKVILLE, MARYLAND

DATE OF MEETING: MARCH 9, 1993

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CURRENT ISSUES IN THE HIGH-LEVEL WASTE PROGRAM

**Center for Nuclear Waste
Regulatory Analyses**

March 9, 1993

**Briefers: R. Bernero, NMSS
W. Patrick, CNWRA
B. Sagar, CNWRA**

**Contact: M. Knapp, NMSS
Phone: 504-3324**

Slide 1

SUMMARY STATUS CNWRA

STAFFING

- Planned Staff of 52
- Current Staff 49 Plus
2 Limited Term
- Ultimate Staff of 54

SPENDING

- Current Underrun of 35%
of CNWRA Funding

Slide 2

SCOPE OF BRIEFING

- **Basis for Selecting Issues**
- **Analysis of Issues**
 - **Statement of Issues**
 - **Progress on Addressing**
 - **Outlook for Resolution**

Slide 3

BASIS FOR SELECTING ISSUES

- **Systematic Regulatory Analyses**
- **Iterative Performance Assessment**
- **Development of Analytical Capabilities**
- **Research**
- **Prelicensing Interactions**

Slide 4

SELECTED ISSUES

- **Data and Models of Processes and Conditions**
- **Submodel and Model Validation**
- **Use of Early Site Characterization Data**
- **Use of Expert Judgement**
- **Subsystem and Total System Performance**

Slide 5

DATA AND MODELS OF PROCESSES AND CONDITIONS

ISSUE

- Objective Determination
- Timely Acquisition
- Differing Opinions

PROGRESS

- Unsaturated Flow
- Materials Degradation
- Iterative Performance
Assessment

RESOLUTION

- Prelicensing Guidance
- Focus on Performance

Slide 6

SUBMODEL AND MODEL VALIDATION

ISSUE

- Time & Space Scales
- Complexity

PROGRESS

- INTRAVAL
- DECOVALEX
- Natural Analogs
- Laboratory & Field Studies

RESOLUTION

- Control Expectations
- Technical Basis for
Guidance

Slide 7

USE OF EARLY SITE CHARACTERIZATION DATA

ISSUE

- Use in:
 - Design
 - Decision-Making
 - Testing Strategies

PROGRESS

- Structural Geology
- Geochemistry
- Volcanism/Tectonism

RESOLUTION

- Timely Release
- Timely Use

USE OF EXPERT JUDGEMENT

ISSUE

- Time & Space Scales
- Complexity of System
- Qualification of Experts

PROGRESS

- Current Practice
- Use in Other Industries
- Trial Use

RESOLUTION

- Technical Basis for
Guidance
- Public Confidence in
Process

SUBSYSTEM & TOTAL SYSTEM PERFORMANCE

ISSUE

- Relationship of Regulatory Requirements
- Level of Detail in Modelling

PROGRESS

- Systematic Regulatory Analysis
- Proposed Rulemakings
- Iterative Performance Assessment

RESOLUTION

- Complete Rulemakings
- Performance Assessments

Slide 10