

Grant and Cooperative Agreement

CHOOSE ONE:

- ☐ COOPERATIVE AGREEMENT
- ☒ GRANT

CHOOSE ONE:

☐ EDUCATION☐ FACILITIES☒ RESEARCH☐ SDCR☐ TRAINING

1. GRANT/COOPERATIVE AGREEMENT NUMBER

NRC-HQ-11-G-04-0085

2. SUPPLEMENT NUMBER

M0007

3. EFFECTIVE DATE

08/18/2014

4. COMPLETION DATE

5. ISSUED TO

NAME/ADDRESS OF RECIPIENT (No., Street, City/County, State, Zip)
 UNIV TENNESSEE SYSTEM OFFICE
 1331 CIR PARK DR
 KNOXVILLE TN 379163801

6. ISSUED BY

U.S. NRC - HQ

Mailing Address: Acquisition Management Division
 Mail Stop: 3WFN-05-C64MP
 Washington DC 20555-0001

7. TAXPAYER IDENTIFICATION NO. (TIN)

9. PRINCIPAL INVESTIGATOR/ORGANIZATION'S PROJECT OR PROGRAM MGR. (Name & Phone)

Dr. Robert Hatcher

8. COMMERCIAL & GOVERNMENT ENTITY (CAGE) NO.

Email: bobmap@utk.edu, Phone: 865-974-5066

10. RESEARCH, PROJECT OR PROGRAM TITLE

Collaborative Research Project to Address Large Earthquake Seismology in the East Tennessee Seismic Zone

11. PURPOSE

See Schedule

12. PERIOD OF PERFORMANCE (Approximately)

09/26/2011 through 09/25/2015

13A.	AWARD HISTORY	13B.	FUNDING HISTORY
PREVIOUS	\$454,706.00	PREVIOUS	\$454,706.00
THIS ACTION	\$0.00	THIS ACTION	\$0.00
CASH SHARE	\$0.00	TOTAL	\$454,706.00
NON-CASH SHARE	\$0.00		
RECIPIENT SHARE	\$0.00		
TOTAL	\$454,706.00		

14. ACCOUNTING AND APPROPRIATION DATA

PURCHASE REQUEST NO.	JOB ORDER NO.	AMOUNT	STATUS

15. POINTS OF CONTACT

	NAME	MAIL STOP	TELEPHONE	E-MAIL ADDRESS
TECHNICAL OFFICER	Scott Stovall		301-251-7922	scott.stovall@nrc.gov
NEGOTIATOR				
ADMINISTRATOR	GORDANA ZUBER		301-287-0900	gordana.zuber@nrc.gov
PAYMENTS				

16. THIS AWARD IS MADE UNDER THE AUTHORITY OF:

Pursuant to Section 31b and 141b of the Atomic Energy Act of 1953, as amended.

17. APPLICABLE STATEMENT(S), IF CHECKED:

- ☒ NO CHANGE IS MADE TO EXISTING PROVISIONS
- ☐ FDP TERMS AND CONDITIONS AND THE AGENCY-SPECIFIC REQUIREMENTS APPLY TO THIS GRANT

18. APPLICABLE ENCLOSURE(S), IF CHECKED:

- ☐ PROVISIONS ☐ SPECIAL CONDITIONS
- ☐ REQUIRED PUBLICATIONS AND REPORTS

UNITED STATES OF AMERICA

COOPERATIVE AGREEMENT RECIPIENT

CONTRACTING/GRANT OFFICER

SHEILA H. BUMPASS

Sheila Bumpass

DATE

08/18/2014

AUTHORIZED REPRESENTATIVE

DATE

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

ADM002

Grant and Cooperative Agreement

ITEM NO. (A)	ITEM OR SERVICE (Include Specifications and Special Instructions) (B)	QUANTITY (C)	UNIT (D)	ESTIMATED COST	
				UNIT PRICE (E)	AMOUNT (F)
	<p>CFDA Number: 77.009</p> <p>NRC-HQ-11-G-04-0085 - M0007</p> <p>ZEROREQ-RES-14-0167</p> <p>The purpose of this modification is to:</p> <p>1) Rebudger funds in the amount \$12,120.00 (See Page 3)</p> <p>All other terms and conditions remain the same.</p> <p>Payment:</p> <p style="padding-left: 40px;">ASAP GRANT FUNDS REIMBURSEMENT SYS</p> <p style="padding-left: 40px;">US TREASURY</p> <p>Period of Performance: 09/26/2011 to 09/25/2015</p>				

As a result of this modification, M007:

1. Revise NRC grant budget as follows:

Cost Category	Approved Budget	Change in Budget	Revised Budget
Personnel	\$118,633.00	\$0.00	\$118,633.00
Fringe	\$5,060.00	\$4,521.00	\$9,581.00
Travel	\$97,989.00	\$0.00	\$97,989.00
Supplies	\$6,750.00	\$0.00	\$6,750.00
Construction	\$12,000.00	\$0.00	\$12,000.00
Other	\$77,607.00	(\$12,120.00)	\$65,487.00
Subcontract under \$25K	\$25,000.00	\$0.00	\$25,000.00
Subcontract over \$25K	\$25,947.00	\$9,575.00	\$35,522.00
Total Direct	\$368,986.00	\$1,976.00	\$370,962.00
Indirect Cost	\$85,720.00	(\$1,976.00)	\$83,744.00
TOTAL	\$454,706.00	\$0.00	\$454,706.00

All other terms and conditions remain the same.