



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

August 18, 2014

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION
(OIG-13-A-22)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,
MEMORANDUM DATED JULY 30, 2014

Attached is the Office of the Inspector General's analysis and status of recommendations 1 and 2 as discussed in the agency's response dated July 30, 2014. Based on this response, recommendations 1 and 2 remain in resolved status. Please provide an updated status of the resolved recommendations by January 5, 2015.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: M. Galloway, OEDO
K. Brock, OEDO
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AUDIT REPORT

AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

Status of Recommendations

Recommendation 1: Develop and implement annual training that reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility.

Agency Response
Dated July 30, 2014:

To ensure NRC employees are aware of and properly trained in the personnel security reporting requirements, the Division of Facilities and Security, Personnel Security Branch, has met and reached agreement with the Office of the Chief Human Capital Officer to modify an existing annual training course to include the necessary security reporting requirements.

The Personnel Security Branch has developed a training module of the reporting requirements and submitted it to the Office of Nuclear Security and Incident Response for inclusion in the existing annual information security training. The agency will make this training available for the fall 2014 training cycle.

Targeted Completion Date: December 31, 2014

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the annual training course reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility.

Status: Resolved.

AUDIT REPORT

AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

Status of Recommendations

Recommendation 2: Develop and implement a process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

Agency Response
Dated July 30, 2014:

The Personnel Security Branch will continue to handle and accept reporting of items, events, or information in the same way (i.e., direct contact with staff through telephone, e-mail, and the resource mailbox). The Personnel Security Branch will develop, document (through revision of internal procedures), and implement a process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility by December 2014.

The Personnel Security Branch revised existing internal guidance to the reporting process in its Personnel Security Tracking System. The branch is tracking all self-reported information through the "Events" section. This section identifies the issue, the date of the incident or event, and any supporting documentation. Furthermore, the branch has added language to access authorization approval e-mails reminding individuals of their responsibility to follow reporting requirements in accordance with Management Directive 12.3, "NRC Personnel Security Program." Lastly, the branch has drafted the enclosed internal guidance on the consequences for NRC personnel who do not comply with reporting responsibilities. Once we have vetted this document with the offices and regions, we will schedule a date for implementation.

Targeted Completion Date: December 31, 2014

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that a process was developed and implemented that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

Status: Resolved.