

U.S. NUCLEAR REGULATORY COMMISSION MANAGEMENT DIRECTIVE (MD)

MD 9.14	ORGANIZATION AND FUNCTIONS, OFFICE OF INTERNATIONAL PROGRAMS	DT-15-11
<i>Volume 9:</i>	Organization and Functions	
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EXECUTIVE SUMMARY		
Directive and Handbook 9.14, “Organization and Functions, Office of International Programs,” are being revised to reflect organizational changes, including the June 2009 reorganization of the Office of International Programs and a recent branch name change, and to update and clarify responsibilities of the office, including reporting requirements of the Nuclear Nonproliferation Act of 1978.		

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I. SUPERVISION

The Office of International Programs (OIP) is headed by a director who reports to the Commission.

II. FUNCTIONS

Consistent with U.S. Nuclear Regulatory Commission and U.S. policies, the office is responsible for administering the agency's international programs and providing policy advice and assistance to the Chairman, the Commission, and the NRC staff on international issues. Specifically, the office—

- A.** In consultation with other offices, provides overall program coordination and policy guidance for NRC international activities, including obligations under international conventions and treaties, exports and imports, international safety, safeguards, nuclear security, international physical protection, other nuclear nonproliferation matters, bilateral and multilateral activities, international research activities, and emergency preparedness.
- B.** In coordination with appropriate NRC and other U.S. Government offices—
 - 1. Plans, develops, and implements NRC programs of international nuclear safety; security and emergency preparedness cooperation and assistance; and export and import licensing, including interagency consultations on matters related to nuclear exports and imports; nuclear technology transfers; agreements for cooperation pursuant to Section 123 of the Atomic Energy Act, as amended; and subsequent arrangement requests under Section 131 of the Atomic Energy Act, as amended.
 - 2. Establishes and maintains working relationships with individual countries and international organizations, such as the International Atomic Energy Agency and the Nuclear Energy Agency.
 - 3. Plans, develops, and defines expected outcomes and manages international information and expert exchange programs with other countries and international organizations in the areas of nuclear safety, security, and emergency preparedness.
 - 4. Coordinates interagency reviews of international regulatory information exchange and research agreements for NRC.
 - 5. Administers NRC's international safety and security assistance program.
 - 6. Provides advice and recommendations on NRC foreign travel.

7. Administers NRC official representational funds for international activities.
8. Transmits to the Office of Congressional Affairs, within 30 days of receipt, any credible information generated by the NRC or received from an NRC licensee or an NRC license applicant regarding current activities of international states that is significant from the proliferation standpoint, for the purpose of informing appropriate congressional committees pursuant to reporting requirements of the Nuclear Nonproliferation Act (NNPA). Credible information subject to reporting under the NNPA refers to—
 - (a) All information generated by the NRC or received from an NRC licensee or an NRC license applicant with respect to the agency's activities to carry out the purposes and policies of the NNPA and to otherwise prevent nuclear proliferation, and
 - (b) The current activities of foreign nations that are significant from the proliferation standpoint. This does not apply to DOE/NNSA 10 CFR Part 810 and Subsequent Arrangement submissions.
9. Administers the NRC passport and visa process.
10. Coordinates the NRC foreign intelligence responsibilities regarding nuclear safety and security, exports, and nonproliferation.
11. Develops and maintains open source and classified analyses of foreign nuclear regulatory and energy programs, activities, and policies. Provides this information to the Commission and the NRC staff, including reports on worldwide developments concerning nuclear regulatory matters.
12. Leads the development of the agency's budget for international activities. Seeks input from program offices, and shares budget information with the agency's International Council. Prepares the integrated budget report for international activities. Applies the 5-Year International Strategy (5YIS) to the international activities product lines to align with the NRC's strategic plan.
13. Leads or coordinates with other program offices, as appropriate, for IAEA safety and security missions, including the Operational Safety Review Team, International Regulatory Review Service, and the Integrated Physical Protection Advisory Service.

III. DELEGATION OF AUTHORITY FROM THE COMMISSION TO THE DIRECTOR, OFFICE OF INTERNATIONAL PROGRAMS

- A. The director is authorized and directed by the Commission to take any actions necessary to implement the functions listed in this management directive (MD), or other official directives or communications, subject to the limitations they may prescribe.

- B.** In addition to the authority to issue specific export or import licenses, the director is authorized to grant exemptions from NRC regulations pertaining to the export and import of nuclear facilities, nuclear components and commodities, and source, byproduct, and special nuclear materials to the extent permitted by the Atomic Energy Act of 1954, as amended, in accordance with NRC regulations (Title 10 of the *Code of Federal Regulations*, Section 110.10, Subpart B, “Exemptions”) and Commission policy and direction. The Commission will be notified of any proposed exemption regarding a controversial or non-routine export matter.
- C.** The director is authorized and directed to take any actions necessary to implement the functions listed in this MD, including the issuance of orders and the issuance, suspension, revocation, or other appropriate disposition of licenses and license application. The director is the coordinator of the NRC international activities consistent with U.S. policies.
- D.** The director is authorized to serve as the head of the International Council, which, in consultation with appropriate senior NRC managers, coordinates and integrates NRC international activities across program offices.
- E.** The director, in consultation with appropriate program offices, is authorized to conduct a bilateral information exchange program related to security and safeguards information in accordance with NRC security policies.

IV. REDELEGATION OF AUTHORITY BY THE DIRECTOR, OFFICE OF INTERNATIONAL PROGRAMS

- A.** The director may, except where expressly prohibited, redelegate in writing to other OIP staff, the authority delegated to the director by this or other official directives or communications, subject to the limitations stated below and to any other stipulations the director may deem necessary.
- B.** The director will send a memorandum to the Commission specifying the redelegation, with a copy to the Executive Director for Operations, Secretary of the Commission, General Counsel, and Chief Human Capital Officer.
- C.** The director will stipulate any limitations on further redelegations of authority he or she makes.
- D.** The director will maintain a file of all redelegations.

V. REDELEGATION OF AUTHORITY TO THE DEPUTY DIRECTOR

The deputy director is authorized to act for the director during his or her absence.

VI. REDELEGATION OF AUTHORITY TO THE BRANCH CHIEFS

The branch chiefs are authorized to act for the director or deputy director during their absence at the request of the director or the deputy director.

VII. REFERENCES

Title 10 of the *Code of Federal Regulations* Part 110, "Export and Import of Nuclear Equipment and Material."

Nuclear Regulatory Commission Documents

Management Directive—

4.2, "Administrative Control of Funds."

5.13, "NRC International Activities Practices and Procedures."

Memorandum to Annette L. Vietti-Cook, Secretary, from Nadir L. Mamish, Director, Office of International Programs, "Delegation of Authority to Act for the Director," August 28, 2014 ([ML14239A399](#)).

United States Code

Atomic Energy Act of 1954, as amended (42 U.S.C. 2011 et seq.).

Nuclear Nonproliferation Act of 1978, Subsection 602(c)(1)(B), as amended (22 U.S.C. 3281(a)).

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Volume 9: Organization and Functions

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I. DESCRIPTION OF FUNCTIONS

The Office of International Programs is a single organizational component with three subdivisions: the International Cooperation and Assistance Branch, the Export Controls and Nonproliferation Branch, and the International Operations Branch.

A. International Cooperation and Assistance Branch

The International Cooperation and Assistance Branch has the following responsibilities:

1. Coordinates with foreign counterparts and developing countries;
2. Supports assistance efforts;
3. Coordinates, negotiates, and issues international arrangements for exchange of technical information with foreign entities;
4. Travels with Commissioners;
5. Coordinates with program offices;
6. Prepares briefing materials and country information;
7. Coordinates exchanges of classified and safeguards information with other countries;
8. Coordinates with the International Atomic Energy Agency (IAEA), the Nuclear Energy Agency (NEA), and other multi-lateral organizations, including screening requests from these international organizations, to ensure that the U.S. Nuclear Regulatory Commission is appropriately represented at these organizations; and
9. Coordinates NRC and interagency reviews of IAEA and NEA documents to ensure NRC's technical and regulatory perspectives are appropriately considered before the documents are finalized.

B. Export Controls and Nonproliferation Branch

The Export Controls and Nonproliferation Branch has the following responsibilities:

1. Licenses exports and imports;
2. Performs rulemaking activities associated with Part 110, "Export and Import of Nuclear Equipment and Material," of Title 10 of the *Code of Federal Regulations*;
3. Reviews Executive Branch nuclear non-proliferation initiatives; and
4. Develops international safeguards policy and tracking issues.

C. International Operations Branch

The International Operations Branch has the following responsibilities:

1. Processes and controls documents;
2. Plans and supports international travel;
3. Coordinates and plans for international assignees;
4. Maintains and tracks international arrangements and arrangement files;
5. Processes visas and passports;
6. Supports information technology and knowledge management;
7. Collects, analyzes, and creates information products related to NRC's international activities;
8. Supports the foreign training program and staff training; and
9. Manages office space and equipment; human resources; budget planning, formulation, and execution; acquisition management; and diversity.