

**STATUS OF RECOMMENDATIONS FROM OFFICE OF INSPECTOR GENERAL AUDIT
REPORT OIG-12-A-09, "AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S USE OF
CONFIRMATORY ACTION LETTERS"**

Recommendation 1:

Designate a centralized control point to oversee and implement an effective agency-wide confirmatory action letters (CALs) process.

Status Update:

This recommendation is closed as per Memorandum dated March 4, 2013.

Recommendation 2:

Assess and update the NRC Enforcement Policy, the NRC Enforcement Manual, and other associated guidance to ensure that NRC's approach for utilizing CALs is consistent, effective, and efficient.

Status Update:

Per your memorandum dated March 4, 2013, most of recommendation 2 has been reviewed and verified. The remaining open item is the CAL glossary revision in the Enforcement Policy (Policy). The Office of Enforcement (OE) is continuing to track this item on the OE SharePoint site "Enforcement Guidance Document Feedback Process," item #92 (<http://fusion.nrc.gov/oe/team/enforcement/Lists/OE%20Guidance%20Document%20Feedback/AllItems.aspx>). This tracked glossary revision will be included in the upcoming Policy revision. Currently, OE is working with other offices/regions to incorporate a few substantive technical issues in this revision. Due to the complexities and the outreach involved with these technical issues, OE estimates that the Policy revision notation vote paper will be sent to the Commission in fall 2014.

Recommendation 3:

Conduct periodic CAL audits that verify compliance with CAL policies and procedures.

Status Update:

This recommendation is closed as per Memorandum dated August 27, 2013.

Recommendation 4:

Implement a comprehensive, agencywide CAL tracking system.

Status Update:

This recommendation is closed as per Memorandum dated March 4, 2013.

The point of contact for all recommendations is Gerald Gulla, OE/EB, 301-415-2872.

ENCLOSURE