

March 31, 2014

MEMORANDUM TO: Chairman Macfarlane
Commissioner Svinicki
Commissioner Apostolakis
Commissioner Magwood
Commissioner Ostendorff

FROM: Roy P. Zimmerman, Director /RA/
Office of Enforcement

SUBJECT: CALENDAR YEAR 2013 ANNUAL REPORT OF THE U.S. NUCLEAR
REGULATORY COMMISSION'S ENFORCEMENT PROGRAM

Enclosed is the 19th Annual Report of the U.S. Nuclear Regulatory Commission's (NRC's) Enforcement Program. The Office of Enforcement (OE) prepared this report in accordance with a Commission-approved recommendation from a 1995 Enforcement Program review.¹ The 2013 Annual Report contains information regarding enforcement activities that occurred during the 2013 calendar year (CY). The report highlights escalated enforcement casework, noteworthy program focus areas, and ongoing activities that have had an impact on the agency's Enforcement Program. It also includes various supporting statistical tables and figures. The appendices to this report summarize cases involving publically available escalated enforcement actions.

This report serves as an important agency communication tool for both internal and external stakeholders in recognition that the enforcement program is an integral, valuable component of the agency's regulatory programs. This report will be placed in the NRC's Public Document Room, as well as in the Enforcement Documents Collection on the Electronic Reading Room Web page of the NRC's public Web site. Therefore, in accordance with the Commission's policy in effect during CY 2013, sensitive security-related information, Safeguards Information, or Classified Information has not been included in this report. A separate report is being provided containing summaries of the security-related enforcement actions which are not publicly available.

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¹ Recommendation II.G-2 of NUREG-1525, "Assessment of the NRC Enforcement Program," and SECY 95-084, "Assessment of the NRC Enforcement Program, Proposed Revision to the General Statement of Policy and Procedure for NRC Enforcement Actions, and Removal of Policy from 10 CFR Part 2, Appendix C," which the Commission approved in a staff requirements memorandum dated June 16, 1995.

The Commissioners

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