

Grant and Cooperative Agreement

CHOOSE ONE:

- ☐ COOPERATIVE AGREEMENT
- ☒ GRANT

CHOOSE ONE: ☐ EDUCATION ☐ FACILITIES ☒ RESEARCH ☐ SDCR ☐ TRAINING

1. GRANT/COOPERATIVE AGREEMENT NUMBER NRC-HQ-11-G-04-0085		2. SUPPLEMENT NUMBER M0005		3. EFFECTIVE DATE 03/13/2014		4. COMPLETION DATE	
5. ISSUED TO NAME/ADDRESS OF RECIPIENT (No., Street, City/County, State, Zip) UNIV TENNESSEE SYSTEM OFFICE 1331 CIR PARK DR KNOXVILLE TN 379163801				6. ISSUED BY US NRC - HQ Mailing Address: ACQUISITION MANAGEMENT DIVISION MAIL STOP 3WFN-05-C64MP WASHINGTON DC 20555-0001			
7. TAXPAYER IDENTIFICATION NO. (TIN)				9. PRINCIPAL INVESTIGATOR/ORGANIZATION'S PROJECT OR PROGRAM MGR. (Name & Phone) Dr. Robert Hatcher Email: bobmap@utk.edu, Phone: 865-974-5066			
8. COMMERCIAL & GOVERNMENT ENTITY (CAGE) NO.							
10. RESEARCH, PROJECT OR PROGRAM TITLE Collaborative Research Project to Address Large Earthquake Seismology in the East Tennessee Seismic Zone							
11. PURPOSE See Schedule on next page.							
12. PERIOD OF PERFORMANCE (Approximately) 09/26/2011 through 09/25/2014							
13A.		AWARD HISTORY		13B.		FUNDING HISTORY	
PREVIOUS		\$454,706.00		PREVIOUS		\$454,706.00	
THIS ACTION		\$0.00		THIS ACTION		\$0.00	
CASH SHARE		\$0.00		TOTAL		\$454,706.00	
NON-CASH SHARE		\$0.00					
RECIPIENT SHARE		\$0.00					
TOTAL		\$454,706.00					
14. ACCOUNTING AND APPROPRIATION DATA							
PURCHASE REQUEST NO.		JOB ORDER NO.		AMOUNT		STATUS	
15. POINTS OF CONTACT							
	NAME	MAIL STOP	TELEPHONE	E-MAIL ADDRESS			
TECHNICAL OFFICER	Scott Stovall		301-251-7922	scott.stovall@nrc.gov			
NEGOTIATOR							
ADMINISTRATOR	M'LITA R. CARR		301-287-0909	MLita.Carr@nrc.gov			
PAYMENTS							
16. THIS AWARD IS MADE UNDER THE AUTHORITY OF: Pursuant to Section 31b and 141b of the Atomic Energy Act of 1953, as amended.							
17. APPLICABLE STATEMENT(S), IF CHECKED: <input checked="" type="checkbox"/> NO CHANGE IS MADE TO EXISTING PROVISIONS <input type="checkbox"/> FDP TERMS AND CONDITIONS AND THE AGENCY-SPECIFIC REQUIREMENTS APPLY TO THIS GRANT				18. APPLICABLE ENCLOSURE(S), IF CHECKED: <input type="checkbox"/> PROVISIONS <input type="checkbox"/> SPECIAL CONDITIONS <input type="checkbox"/> REQUIRED PUBLICATIONS AND REPORTS			
UNITED STATES OF AMERICA				COOPERATIVE AGREEMENT RECIPIENT			
CONTRACTING/GRANT OFFICER M'LITA R. CARR		DATE 03/18/2014		AUTHORIZED REPRESENTATIVE		DATE	

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

ADM002

Grant and Cooperative Agreement

ITEM NO. (A)	ITEM OR SERVICE (Include Specifications and Special Instructions) (B)	QUANTITY (C)	UNIT (D)	ESTIMATED COST	
				UNIT PRICE (E)	AMOUNT (F)
	<p>CFDA Number: 77.009</p> <p>NRC-HQ-11-G-04-0085 - M0005</p> <p>(REQ: ZEROREQ-RES-14-0066)</p> <p>11. PURPOSE:</p> <p>The purpose of this modification is to:</p> <p>1) To rebudget funds in the amount of \$50,947.00. (See Page 3)</p> <p>*All other terms and conditions remain the same.*</p> <p>LIST OF CHANGES:</p> <p>Payment:</p> <p>ASAP GRANT FUNDS REIMBURSEMENT SYS</p> <p>US TREASURY</p> <p>Period of Performance: 09/26/2011 to 09/25/2014</p>				

DESCRIPTION OF MODIFICATION:

The purpose of this modification M0005 is:

1. Re-budget grant funds.

As a result of this modification:

1. Revise NRC grant budget as follows:

Cost Category	Original NRC Budget	Change in Budget	Revised NRC Budget
Personnel	\$118,633.00		\$118,633.00
Fringe Benefits	\$3,017.00	\$2,043.00	\$5,060.00
Travel	\$97,989.00		\$97,989.00
Supplies	\$6,750.00		\$6,750.00
Construction	\$12,000.00		\$12,000.00
Other	\$125,496.00	(\$47,889.00)	\$77,607.00
Subcontracts under \$25k		\$25,000.00	\$25,000.00
Subcontracts over \$25k		\$25,947.00	\$25,947.00
Total Direct Cost	\$363,885.00	\$5,101.00	\$368,986.00
Indirect Costs	\$90,821.00	(\$5,101.00)	\$85,720.00
TOTAL	\$454,706.00		\$454,706.00

Base Period: September 26, 2011 – September 25, 2014 (unchanged)

Assistance Award Ceiling: \$454,706.00 (unchanged)

Total Obligated Amount: \$454,706.00 (unchanged)

All other terms and conditions remain the same.