

NRC FORM 662 (3-2007)		U.S. NUCLEAR REGULATORY COMMISSION						
AWARD OF INTERAGENCY AGREEMENT		1. DATE OF ISSUE 04/15/2013	2. AGREEMENT NUMBER NRC-HQ-12-I-10-0008 3					
6. ISSUED BY U.S. Nuclear Regulatory Commission Dominique Malone Mailstop: TWB/ 1 A31M Washington, DC 20555		4. AGENCY LOCATOR NO. 31000001	5. B & R NUMBER 2013-40-51-P-156					
PROJECT MANAGER Ron Deavers		7. JOB CODE B1457	8. APPROPRIATION SYMBOL 31x0200					
12. JOB CODE TITLE IAA for NRC Prism Implementation.		9. BOC 253A	10. DOCUMENT IDENTIFICATION NUMBER					
11. NAME AND ADDRESS OF SERVICING AGENCY US Department of Transportation Federal Aviation Administration 6500 S. MacArthur Blvd OKC, OK 73169								
13. AGREEMENT PERFORMANCE PERIOD <table style="width:100%;"> <tr> <td style="width:50%;">BEGIN 06/01/2012</td> <td style="width:50%;">END 05/31/2013</td> </tr> </table>		BEGIN 06/01/2012	END 05/31/2013					
BEGIN 06/01/2012	END 05/31/2013							
14. OBLIGATION AVAILABILITY PROVIDED BY								
A. THIS ACTION		\$ 210000						
B. TOTAL PLACED PRIOR TO THIS ACTION WITH THE PERFORMING ORGANIZATION UNDER THIS JOB CODE FOR THIS FISCAL YEAR		\$ 5960935						
C. TOTAL ORDERS TO DATE FOR THIS JOB CODE FOR THIS FISCAL YEAR		\$ 210000						
D. TOTAL ORDERS TO DATE FOR THIS AGREEMENT		\$ 6380935						
15. ATTACHMENTS THE FOLLOWING ATTACHMENTS ARE MADE A PART OF THIS AGREEMENT <input checked="" type="checkbox"/> STATEMENT OF WORK <input type="checkbox"/> ADDITIONAL TERMS AND CONDITIONS <input checked="" type="checkbox"/> OTHER (Specify) <u>DOT/ESC Forms</u>		16. SECURITY <input type="checkbox"/> WORK ON THIS AGREEMENT INVOLVES CLASSIFIED INFORMATION <input checked="" type="checkbox"/> WORK ON THIS AGREEMENT INVOLVES SENSITIVE UNCLASSIFIED INFORMATION <input type="checkbox"/> WORK ON THIS AGREEMENT IS UNCLASSIFIED AND NOT SENSITIVE						
17. FEE BILLABLE UNDER 10 CFR PART 170 <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO								
18. REMARKS This modification is being issued to allow for monthly vulnerability scanning and to provide incremental funding. DUNS number 614876758								
19. AUTHORITY TO ENTER INTO INTERAGENCY AGREEMENT (Check only one) <input type="checkbox"/> ENERGY REORGANIZATION ACT OF 1974, AS AMENDED <input type="checkbox"/> OTHER (Specify) <input checked="" type="checkbox"/> THE ECONOMY ACT OF 1932 <input type="checkbox"/> THE CLINGER-COHEN ACT OF 1996								
20. ADVANCE PAYMENT <input type="checkbox"/> IS NOT AUTHORIZED <input checked="" type="checkbox"/> IS AUTHORIZED (Requires approval by Director, DFS/OCFO)								
21. ESTIMATED COST FOR FULL PERFORMANCE OF THIS AGREEMENT								
FY 12	FY 13	FY 14	FY 15	FY 16	TOTAL			
\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0			
22. CERTIFICATION OF FUNDS								
This certifies that funds in the amount cited in Block 14.A. are available in the current fiscal year allowance for work authorized by this agreement. <table style="width:100%;"> <tr> <td style="width:50%;"> FUNDS CERTIFICATION OFFICIAL (Typed Name) Patti Humphreys </td> <td style="width:25%;"> SIGNATURE </td> <td style="width:25%;"> DATE 04/09/2013 </td> </tr> </table>						FUNDS CERTIFICATION OFFICIAL (Typed Name) Patti Humphreys	SIGNATURE 	DATE 04/09/2013
FUNDS CERTIFICATION OFFICIAL (Typed Name) Patti Humphreys	SIGNATURE 	DATE 04/09/2013						
23. SIGNATURES								
NRC ISSUING AUTHORITY (Typed Name and Title) Dominique Malone		SIGNATURE 	DATE 04/29/2013					
SERVICING AGENCY OFFICIAL/DESIGNEE (Typed Name and Title)		SIGNATURE	DATE					

NRC FORM 662 (3-2007) PRINTED ON RECYCLED PAPER

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

MAY 07 2013

ADM002

**NRC CONTACTS:
TECHNICAL:**

FULL NAME Ron Deavers		ADDRESS Mailstop TWFN/ 9 K11 Washington, DC 20555
TELEPHONE NUMBER (301) 415-7301	FACSIMILE NUMBER (301) 415-0666	
E-MAIL ADDRESS Ron.Deavers@nrc.gov		

ADMINISTRATIVE:

FULL NAME Dominique Malone		ADDRESS Mailstop TWB/ 1 A31M Washington, DC 20555
TELEPHONE NUMBER (301) 492-3613	FACSIMILE NUMBER (301) 492-3437	
E-MAIL ADDRESS Dominique.Malone@nrc.gov		

**OTHER AGENCY'S CONTACTS:
TECHNICAL:**

FULL NAME Mike Upton		ADDRESS 6500 S. MacArthur Blvd OKC, OK 73169
TELEPHONE NUMBER 405-954-8980	FACSIMILE NUMBER	
E-MAIL ADDRESS Mike.Upton@faa.gov		

ADMINISTRATIVE:

FULL NAME Susan Bramante		ADDRESS 6500 S. MacArthur., MPB 204 OKC, OK 73169
TELEPHONE NUMBER (405) 954-4747	FACSIMILE NUMBER	
E-MAIL ADDRESS Susan.Bramante@faa.gov		

BILLING INFORMATION: To receive reimbursement under this agreement, forward to NRC on a (check one):

☐ monthly ☐ quarterly ☒ other _____ basis; an original and three copies of Standard Form 1081 in accordance with the Treasury Fiscal Requirements Manual, Bulletin No. 78-09, or, if possible, bill monthly through the OPAC system. Send reimbursement requests to the following address:

Payment Policy and Obligations Team
Mail Stop: T-9 F30
Division of Financial Services
Office of the Chief Financial Officer
U.S. Nuclear Regulatory Commission
Washington, DC 20555-0001

Any NRC funds remaining unexpended at the end of a fiscal year may be carried over into future fiscal years unless otherwise notified by NRC.

REPORTING REQUIREMENTS: Submit reports to the NRC in accordance with the statement of work. Submit financial status reports on a (check one):

☒ monthly ☐ quarterly ☐ other _____ basis. These reports shall contain a brief letter status report which summarizes the expenditure of NRC funds. This report shall address the following categories, as applicable: (1) staff effort; (2) travel; (3) equipment and supplies; and (4) subcontract costs. Each report shall include by category: (a) costs for the previous month; (b) cumulative costs and uncoded obligations to date; and (c) projections for the remainder of the NRC obligated funds. The first monthly report shall provide the initial projections, and subsequent reports shall either indicate revised projections or indicate "no change in the cost and uncoded expenditure projection."

Submit these reports to the NRC Technical Contact by the 20th day of the month following the reporting period.

TERMINATING THE AGREEMENT: This agreement may be unilaterally terminated by either party generally upon 30 days' written notice to the other party. NRC will pay its share of any project expenses up to the termination date. Any expenses incurred in terminating this agreement will be paid by the party terminating the agreement. Any unexpended funds shall be returned to the NRC.

The purpose of this modification is to:

- 1) Include three (3) additional servers in the ESC Demilitarized zone to support NRC two factor authentications for STAQS user access. The additional servers are essential to the implementation and were inadvertently overlooked in the original implementation plan and cost estimate. The servers are required to support two-factor authentication and allow the NRC to meet the criteria to achieve Authority to Operate, which is an essential element for deploying the STAQS system.
- 2) Obligate funds in the amount of \$210,000.00 for monthly vulnerability scanning, continuing implementation of STAQS, the addition of the three (3) servers in the ESC Demilitarized zone, and provide incremental funding. Accordingly, the total obligated amount on this IAA is increased by \$210,000.00 from \$5,960,935.00 to \$6,170,935.00.

Period of Performance – June 1, 2012- May 31, 2013.

Obligated Amount – \$6,170,935

Ceiling Amount – \$ 7,745,495

Interagency/Intra-agency Agreement																		
Parties to the Agreement																		
REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER																
<p>1A. Department: U.S. Nuclear Regulatory Commission</p> <p>2A. Agency: NRC</p> <p>3A. Office: NRC</p> <p>4A. OBLIGATION NUMBER: 31000001</p> <p>5A. Agency Location Code (ALC): 40530529</p> <p>6A. Data Universal Numbering Sys. # (DUNS): 71X0200</p> <p>7A. Tax Identification # (TIN):</p> <p>8A. Treasury Appropriation Fund Symbol (TAFS):</p> <p>9A. Trading Partner Code: CUST ANDROP CODE</p> <p>10A. Accounting Classification Code:</p>	<p>1B. Department: Department of Transportation</p> <p>2B. Agency: Federal Aviation Administration</p> <p>3B. Office: Office of Information Technology</p> <p>4B. AGREEMENT NUMBER: FV TR 5M L5012 P6 12 10</p> <p>5B. Agency Location Code (ALC): 09001104</p> <p>6B. Data Universal Numbering Sys. # (DUNS): 805772007</p> <p>7B. Tax Identification # (TIN): 710088975</p> <p>8B. Treasury Appropriation Fund Symbol (TAFS): 66X6662</p> <p>9B. Trading Partner Code: 8912</p> <p>10B. Accounting Classification Code:</p>																	
Points of Contact for the Agreement																		
REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER																
<p>11A. Finance Point of Contact:</p> <p>Name: Paul Humphreys</p> <p>Address: 1431M Martin JAR Washington DC 20555</p> <p>Phone:</p> <p>Fax:</p> <p>E-mail: paul.humphreys@nrc.gov</p>	<p>11B. Finance Point of Contact:</p> <p>Name: Angela McKinney</p> <p>Address: 6100 S. MacArthur Blvd. AMK 205 (Rm 1) OK 75164</p> <p>Phone: 405-854-0010</p> <p>Fax:</p> <p>E-mail: angela.mckinney@faa.gov</p>																	
<p>12A. Program Point of Contact:</p> <p>Name: Dominique Malone</p> <p>Address: 1431M Martin JAR Washington DC 20555</p> <p>Phone:</p> <p>Fax:</p> <p>E-mail: dominique.malone@nrc.gov</p>	<p>12B. Program Point of Contact:</p> <p>Name: Bo Peeler</p> <p>Address: 6100 S. MacArthur Blvd. AMK 205 (Rm 1) OK 75164</p> <p>Phone: 405-854-3701</p> <p>Fax:</p> <p>E-mail: bo.peeler@faa.gov</p>																	
<p>13. Duration of Performance: 00LS 11/29/2013</p>		<p>14. Legal Authority: Public Law 104-209, 110 Stat. 2257</p>																
<p>15. Total Agreement Amount (estimate): \$ 34,778.93</p>		<p>16. Payment Terms and Schedule: Advance Payment Required</p>																
<p>17. Brief Description of the Supplies, Services and Deliverables Required and Option Years, if Any:</p> <p>Adding three virtual servers to the DMZ through the end of implementation</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="3" style="text-align: left;">Adding 3 Virtual Servers into DMZ:</th> </tr> </thead> <tbody> <tr> <td style="width: 40%;">Hosting Services</td> <td style="width: 10%;">\$</td> <td style="width: 50%;">18,561.60</td> </tr> <tr> <td>Security Management</td> <td>\$</td> <td>2,170.49</td> </tr> <tr> <td>Security Services</td> <td>\$</td> <td>14,027.00</td> </tr> <tr> <td>Total Costs</td> <td>\$</td> <td>34,778.93</td> </tr> </tbody> </table> <p>11/12 is the base year of agreement (FAPRISWESG-PNRC-12). This agreement has 4 option years that can be exercised. The final option year is 11/16.</p> <p>Subject to Availability of Funding: Advances will be taken in accordance with continuing resolution guidelines. Upon approval of the DOT Appropriation, advances will be taken quarterly.</p>				Adding 3 Virtual Servers into DMZ:			Hosting Services	\$	18,561.60	Security Management	\$	2,170.49	Security Services	\$	14,027.00	Total Costs	\$	34,778.93
Adding 3 Virtual Servers into DMZ:																		
Hosting Services	\$	18,561.60																
Security Management	\$	2,170.49																
Security Services	\$	14,027.00																
Total Costs	\$	34,778.93																
For Internal Use ONLY																		
Franchise Agreement Financial History (addendum)																		
REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER																
<p>18. Requesting Agency Representative:</p> <p>Name: Dominique Malone, Sr. Contract Specialist, NRC</p> <p>Signature: [Signature]</p> <p>Date: 4/23/2013</p>	<p>19. Servicing Agency Representative:</p> <p>Name: Bo Peeler, Program Director, Office of Information Services, AMK-000</p> <p>Signature: [Signature]</p> <p>Date: 4/23/2013</p>																	

NRC - Adding 3 VMs in DMZ FY13

Version Number: 2.0

Hosting Services	Server Capacity - includes costs for hardware, software, and associated items, travel (if required) as well as professional services to maintain server(s) at the Primary Facility.	\$18,581.44
	Total:	\$18,581.44
TeleCommunications	Labor (Network and Firewall Management)	\$2,170.49
	Total:	\$2,170.49
Security Services	Scanning Services (Monthly Vulnerability (10 months), Biannual Web App Scan (1 website), and Penetration Scanning (1 test))	\$14,027.00
	Total:	\$14,027.00
NRC - Adding 3 VMs in DMZ		\$34,778.93



NRC STAQS Implementation Project Change Request

Change Request Form	
Change #: NRCIMPL010	Requested By: Ron Deavers/Ray Crouse
Date Requested: 2/6/2013	Change Assigned To: Cliff Johnson/ Matt Taylor
Change Description: 1. Revise the STAQS Implementation IAA to include three additional servers in the ESC Demilitarized zone to support NRC two factor authentication for STAQS user access.	
Business Benefit: Enable the STAQS implementation to positively authenticate all users.	
Implications of Not Making the Change: <ul style="list-style-type: none">• ATO will not be granted• STAQS will not be deployed on schedule.	
Impact to the Project: STAQS will not provide secure access and the DAA will not grant an ATO. STAQS will not be deployed as planned.	
Alternatives: 1. None.	
Approval from Project Manager for Recommended Action:	
Cliff Johnson	Project Manager, DOT/FAA/ESC
Name	Title
Date Signature	
Approval from COR for Recommended Action:	
Ron Deavers	Contract Officer Representative, NRC
Name	Title
02/07/2013	Ronald E. Deavers
<small>Digitally signed by Ronald E. Deavers DN: c=US, o=U.S. Government, ou=NRC, ou=U.S. Nuclear Regulatory Commission, cn=Ronald E. Deavers, o.9.2342.19200300.100.1.1-200000945 Date: 2013.02.07 19:15:57 -0500</small>	
Date	Signature
Approval from Compusearch PM for Recommended Action:	
James Morgan	Project Manager, Compusearch

Interagency/Intra-agency Agreement

Parties to the Agreement

REQUESTING AGENCY/BUYER

U.S. Nuclear Regulatory Commission

SERVICING AGENCY/SELLER

Department of Transportation

Federal Aviation Administration

Office of Information Technology

FF-PRISM ESCI 12 Rev 13.01

1A Department: 2B Department: 3A Agency: 3B Agency: 4A Office: 4B Office: 4C AGREEMENT NUMBER: 4D Agency Location Code (ALC): 4E Data Universal Numbering Sys # (DUNS): 4F Tax Identification # (TIN): 4G Treasury Appropriation Fund Symbol (TAFS): 4H Treasury Program Code: 4I Accounting Classification Code:

1B Department: 2B Department: 3A Agency: 3B Agency: 4A Office: 4B Office: 4C AGREEMENT NUMBER: 4D Agency Location Code (ALC): 4E Data Universal Numbering Sys # (DUNS): 4F Tax Identification # (TIN): 4G Treasury Appropriation Fund Symbol (TAFS): 4H Treasury Program Code: 4I Accounting Classification Code:

1217ACINRDCIMPL 000000 25705 ACRTS1000 DA 1F 12X000000 R90000 107 792 00

Points of Contact for the Agreement

REQUESTING AGENCY/BUYER

SERVICING AGENCY/SELLER

11A Finance Point of Contact: 11B Finance Point of Contact: 12A Program Point of Contact: 12B Program Point of Contact:

11A Finance Point of Contact: 11B Finance Point of Contact: 12A Program Point of Contact: 12B Program Point of Contact:

13. Period of Performance: 14. Legal Authority:

13. Period of Performance: 14. Legal Authority:

15. Total Agreement Amount (estimate): 16. Payment Terms and Schedule:

15. Total Agreement Amount (estimate): 16. Payment Terms and Schedule:

17. Point Description of the Supplies, Services and Deliverables Required and Option Years, if Any:

Scanning Services:		
Development Environment	\$	16,918.00
Test Environment	\$	20,248.00
UAT/Integration Environment	\$	19,721.00
Staging Environment	\$	17,827.00
Production Environment	\$	16,827.00
Disaster Recovery Environment	\$	6,081.00
Travel	\$	10,175.00
Total Costs	\$	107,792.00

FY12 is the base year of agreement (FF-PRISM ESCI/PIRC-12). This agreement has 4 option years that can be exercised. The final option year is FY16.

Subject to Availability of Funding: Advances will be taken in accordance with continuing resolution guidelines. Upon approval of the (H) Appropriation, advances will be taken quarterly.

For Internal Use ONLY

Franchise Agreement Financial History (addendum)

Authorized Approvals

REQUESTING AGENCY/BUYER

SERVICING AGENCY/SELLER

Dominique Malone, Sr. Contract Specialist, NRC

Bo Peeler, Program Director, Office of Information Services, AMK-003

Patti Humphreys, FCO

Dominique Malone, 4/23/2013

ESC

**NRC STAQS Implementation Project
Change Request**

Approval from COR for Recommended Action:	
Ron Deavers	Contract Officer Representative, NRC
Name	Title
<i>Ron Deavers</i>	
Date	Signature
<i>11-29-12</i>	<i>[Signature]</i>
Approval from Sponsor Representative for Recommended Action:	
Ray Crouse	Sponsor Representative, NRC
Name	Title
<i>Ray Crouse</i>	
Date	Signature
<i>11-29-12</i>	<i>[Signature]</i>
Approval from Sponsor for Recommended Action:	
Pat Madden	Project Sponsor, NRC
Name	Title
Date	Signature
<i>11/29/2012</i>	<i>[Signature]</i>



NRC STAQS Implementation Project Change Request

Change Request Form	
Change #: NRCIMPL003	Requested By: ESC Information System Security
Date Requested: 09/27/2012	Change Assigned To: Matt C Taylor
Change Description: Implement monthly vulnerability scanning for all servers, databases, and web applications that are connected to the MMAC backbone. This pertains to the following environments: Development, Test, Data Migration, Training, and Production	
Business Benefit: Comply with FAA/ESC Information System Security Policy requiring monthly vulnerability scans for any server, application, or database that is connected to the network.	
Implications of Not Making the Change: <ul style="list-style-type: none">• Risk audit findings, system disconnection, and service interruption.	
Impact to the Project: <ol style="list-style-type: none">1. Additional Scanning Service expense.	
Alternatives: None	
Requested Action: ESC Information System Security staff recommends that ESC accept the change based on a quote to be provided by the ESC Business Office to the NRC Contract Officer.	
Approval from Project Manager for Recommended Action:	
Cliff Johnson	Project Manager, DOT/FAA/ESC
Name	Title
Date Signature	