

**U.S. NUCLEAR REGULATORY COMMISSION****Date:** August 6, 2012**TELEPHONE CONVERSATION RECORD****Mail Control  
or Report No(s).**

577802

**License No(s).**

44-00728-13

**Docket No(s).**

03013022

**Name of Licensee:**

University of Vermont

**Name of Participant(s):**Thomas Kellogg, Associate Radiation Safety Officer  
Steven R. Courtemanche, Health Physicist NRC RI**Telephone No.**

802-656-2570, 610-337-5075

**Subject:**

Voidance of Licensing Action

(NOTE: This will be used as the  
Documents Title in ADAMS)

8/6/2012 (10:00) Mr. Kellogg stated that he just came back from vacation and found the NRC's RAI on his desk. He had consulted with their financial group and determined that they have provided a trust fund to the NRC as a financial instrument for decommissioning and not an escrow account. I looked through ADAMS and saw that the annual submission of account activity was always described as Escrow Account Statements. The LTS Worksheet and the last review of financial assurance instruments (in 2008) indicated that the financial instrument was a trust fund. I requested that Mr. Kellogg check with its financial institution to see how they described the submissions they made to the NRC because they was no words in the submission indicating it was for a trust fund or an escrow account. (10:30) Mr. Kellogg called back and stated that the financial institution did not provide a cover letter indicating what the summary was for but had a logo in the right-hand corner showing it was for the UVM Decomm Fund. Based on the above information, we came to the conclusion that the error in the title of the document came from the NRC's end. Mr. Kellogg requested copies of the 2009 and 2011 annual statements since they were not provided to him by the financial institution nor were they public documents in ADAMS. I stated that I would fax the documents but he would have to receive them and immediately call me upon their arrival. (11:10) Mr. Kellogg called and stated that he had received the fax. I informed Mr. Kellogg that the next step for the NRC was to void out the licensing action and reaffirming that the licensee has proper financial assurance instruments in place. I requested that the financial institution put a cover sheet on their submission informing the NRC that the attachment is a summary of the holdings of a trust fund so that the document is not mislabeled in the future.

**Action Required: Void Licensing Action****Document Availability:**☒**Publicly Available**☐**Non-Publicly Available**☒**Non-Sensitive**☐**Non-Sensitive Copyright**☐**Sensitive- Proprietary**☐ **Sensitive – Privacy Act/ PII**☐ **Sensitive – Internal**☐ **Sensitive – Security-Related**☐ **Immediate Release****Normal Release Date: 08/14/2012****Delayed Release Date:****SUNSI Review Completed By:**

Steven R. Courtemanche

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