



# **OLG Commitment Audit Findings and Corrective Actions**

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July 17, 2012



# Areas For Improvement

- Staff understanding of the definition and use of commitments
- Consistent implementation of commitment management audits
- NRC Tracking of Commitments



## **Definition/Use of Commitments**

- Obligation – Legal Requirement
- Commitment – Voluntary Action
- Misapplied Commitments
  - regulatory decisions
  - findings of public health and safety in safety evaluations
  - Beware of past precedence
- Training for NRR / OGC / Region



# Commitment Obligation

- License Amendments
  - license condition
  - implementation requirement
- Exemption
  - limitation / condition
- Relief Request
  - imposed condition of relief
  - supplement to revise alternative



# Consistent Audits

- Audit Sample Selection
  - Document request template
  - Review of licensee's **list** of all open commitments
  - Review of SEs issued since last audit
- Implementation Verification
  - Direct inspection of implementing documents



# Commitment Tracking Database

- Resource Prohibitive
- Counter Proposal to Evaluate Extent of Misapplied Commitments via Audits
- Review Open Commitment List
- Review SEs Issued Since Last Audit
- Compile Results and Make Recommendation



# **OLG Commitment Audit**

## **QUESTIONS?**