



**Medical Reviews**  
***2012 Examiners Conference***  
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## Form 396 – input for the review

- NRC's reviewing physician needs **medical evidence** submitted via Form 396 to make an assessment. Form 396 explicitly states "*PROVIDE EXPLANATION AND ATTACH SUPPORTING MEDICAL EVIDENCE FOR NRC REVIEW*" in the restrictions section of Part A.
  - Form 396 also contains blocks entitled "RELATIONSHIP OF RESTRICTION TO DISQUALIFYING CONDITION (*Briefly indicate how restriction will correct the disqualifying condition*)" and "EXPLANATION(S)" to provide supporting info
- Using Form 396 (*with attachments as needed*), facilities must convey the appropriate **medical evidence** and its relationship to ANSI/ANS-3.4 disqualifying conditions and minimum requirements


# Any problem with this 396???

ON THE BASIS OF THE RECOMMENDATION OF THE PHYSICIAN, IT IS REQUESTED THAT THE APPLICANT'S  
FOLLOWS: Check all that apply. **(PROVIDE EXPLANATION AND ATTACH SUPPORTING MEDICAL EVIDENCE)**

- ☐ 1. NO RESTRICTIONS
- ☐ 2. CORRECTIVE LENSES MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 3. HEARING AID MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 4. SOLO OPERATION IS NOT AUTHORIZED
- ☒ 5. MUST TAKE MEDICATION AS PRESCRIBED TO MAINTAIN MEDICAL QUALIFICATIONS
- ☐ 6. MUST SUBMIT MEDICAL STATUS REPORT EVERY ☐ 3, ☐ 6, OR ☐ 12 MONTHS
- ☐ 7. MUST NOT PERFORM LICENSED DUTIES REQUIRING A RESPIRATOR
- ☐ 8. OTHER RESTRICTION OR EXCEPTION
- ☐ 9. RESTRICTION CHANGE FROM PREVIOUS SUBMITTAL
- ☐ 10. INFORMATION ONLY

PROPOSED WORDING OF RESTRICTION (Block 8 above)

RELATIONSHIP OF RESTRICTION TO DISQUALIFYING CONDITION (Briefly indicate how restriction will correct the disqualifying condition)

**Operator must take medication to maintain cardiac risk at acceptable levels.** 

EXPLANATION (S)

## ***Needs more info - especially since it involves a potentially disqualifying condition***

ON THE BASIS OF THE RECOMMENDATION OF THE PHYSICIAN, IT IS REQUESTED THAT THE APPLICANT'S  
FOLLOWS: Check all that apply. ***(PROVIDE EXPLANATION AND ATTACH SUPPORTING MEDICAL EVIDENCE)***

- ☐ 1. NO RESTRICTIONS
- ☐ 2. CORRECTIVE LENSES MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 3. HEARING AID MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 4. SOLO OPERATION IS NOT AUTHORIZED
- ☒ 5. MUST TAKE MEDICATION AS PRESCRIBED TO MAINTAIN MEDICAL QUALIFICATIONS
- ☐ 6. MUST SUBMIT MEDICAL STATUS REPORT EVERY ☐ 3, ☐ 6, OR ☐
- ☐ 7. MUST NOT PERFORM LICENSED DUTIES REQUIRING A RESPIRATOR
- ☐ 8. OTHER RESTRICTION OR EXCEPTION
- ☐ 9. RESTRICTION CHANGE FROM PREVIOUS SUBMITTAL
- ☐ 10. INFORMATION ONLY

PROPOSED WORDING OF RESTRICTION *(Block 8 above)*

RELATIONSHIP OF RESTRICTION TO DISQUALIFYING CONDITION *(Briefly indicate how restriction will correct the disqualifying condition)*

**Operator must take medication to maintain cardiac risk at acceptable levels.**

EXPLANATION (S)

**No other info attached**

- What cardiac condition?
- ANS 3.4 qualification?
- What medication?
- Is "no solo" appropriate?

## How about this one?

ON THE BASIS OF THE RECOMMENDATION OF THE PHYSICIAN, IT IS REQUESTED THAT THE APPLICANT FOLLOWS: Check all that apply. *(PROVIDE EXPLANATION AND ATTACH SUPPORTING MEDICAL EVIDENCE)*

- ☐ 1. NO RESTRICTIONS
- ☒ 2. CORRECTIVE LENSES MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 3. HEARING AID MUST BE WORN WHEN PERFORMING LICENSED DUTIES
- ☐ 4. SOLO OPERATION IS NOT AUTHORIZED
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- ☐ 6. MUST SUBMIT MEDICAL STATUS REPORT EVERY ☐ 3, ☐ 6, OR ☐ 12 MONTHS
- ☐ 7. MUST NOT PERFORM LICENSED DUTIES REQUIRING A RESPIRATOR
- ☐ 8. OTHER RESTRICTION OR EXCEPTION
- ☒ 9. RESTRICTION CHANGE FROM PREVIOUS SUBMITTAL
- ☐ 10. INFORMATION ONLY

PROPOSED WORDING OF RESTRICTION *(Block 8 above)*

RELATIONSHIP OF RESTRICTION TO DISQUALIFYING CONDITION *(Briefly indicate how restriction will correct the disqualifying condition)*  
**Prescriptions to maintain cardiac risk within acceptable level.**

EXPLANATION (S)

**Removing medical report requirement: Demonstrating better control of diabetes.**

## Same issue...

ON THE BASIS OF THE RECOMMENDATION OF THE PHYSICIAN, IT IS REQUESTED THAT THE APPLICANT FOLLOWS: Check all that apply. (PROVIDE EXPLANATION AND ATTACH SUPPORTING MEDICAL EVIDENCE)

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- ☒ 2. CORRECTIVE LENSES MUST BE WORN WHEN PERFORMING LICENSED DUTIES
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- ☐ 8. OTHER RESTRICTION OR EXCEPTION
- ☒ 9. RESTRICTION CHANGE FROM PREVIOUS SUBMITTAL
- ☐ 10. INFORMATION ONLY

PROPOSED WORDING OF RESTRICTION (Block 8 above)

RELATIONSHIP OF RESTRICTION TO DISQUALIFYING CONDITION (Briefly indicate how restriction will correct)

Prescriptions to maintain cardiac risk within acceptable level.

EXPLANATION (S)

Removing medical report requirement: Demonstrating better control of diabetes. *K*

No other info included

- How is diabetes being controlled?
- A1C reading?
- Meds?
- What about cardiac condition?



## Form 396 – input for the review

- *To support the NRC reviewing physicians in performing a meaningful review, some basic information must be included:*
  - Medical problem/issue (link to ANSI/ANS-3.4 disqualifying condition or minimum req'ts)
  - Related medical examination and diagnostic studies results
  - Current diagnosis (controlled?, stable?)
  - Treatment plan or license restriction to ensure ANSI/ANS-3.4 requirements and “not endanger public health and safety” are met

*"You got some 'splainin' to do"*





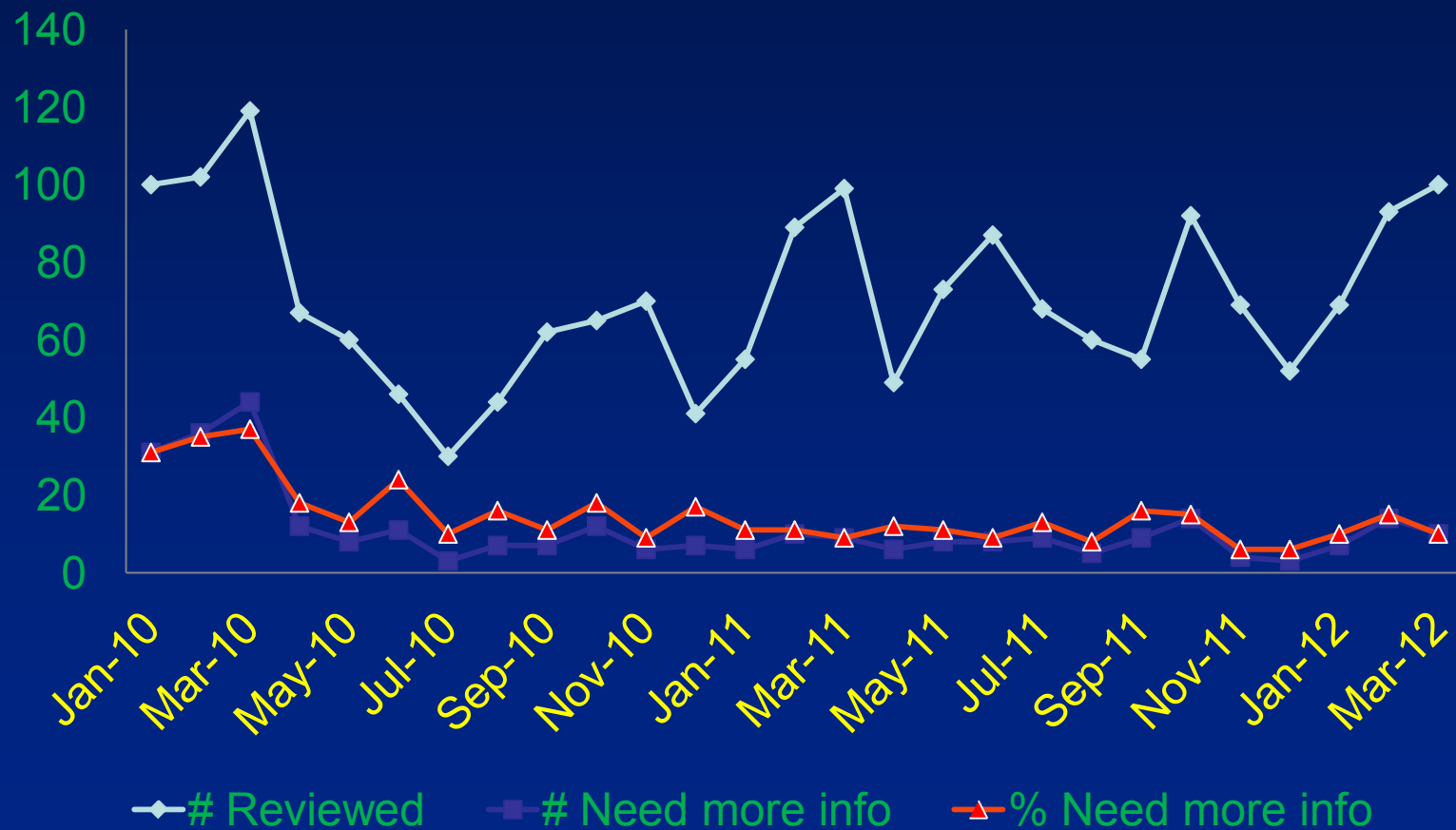
## Form 396 reviews – *simplified & summarized:*

- “If I were a third party reviewer, *what information would I need* to be able to conclude:
  - that the applicant meets ANSI/ANS-3.4 requirements,
  - that the recommended license conditions address these requirements, and
  - that the reported physical condition and general health would not be expected to cause operational errors endangering public health and safety?”

## **Include the following with Form 396**

- Sleep Apnea - must provide sleep study information
- Diabetes - must include HbA1c results (hemoglobin)
- New meds - include name of meds, dosage amounts, and frequency taken.
- Lasik eye surgery - include vision test results after surgery
- Blood pressure med change - must include results of new BP, (and why did previous meds not work).

# Form 396 review statistics



## Wrap up

- Questions?
- Comments?
- Feedback?