

INSPECTION PLANNING WORKSHEET

1. Prioritize and plan activities for addressing issues and potential problems with safety significance or lesser significance.
2. Identify the regulatory basis for addressing each issue or potential problem.
3. Make a preliminary determination as to whether the licensee may have violated NRC requirements.
4. Develop a follow-up action plan to include:
 - a. Priority of effort
 - b. Reference documents
 - c. Licensee data to review including logs, records, reports, schedules, etc.
 - d. Personnel to be interviewed for information
 - e. Possible need for outside expert assistance
5. Draft preliminary observations, findings, and conclusions.

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FINDING WORKSHEET

FINDING:

SAFETY SIGNIFICANCE:

REGULATORY REQUIREMENT OR STANDARD:

APPARENT CAUSE:

FINDING WORKSHEET

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CHECKLIST FOR 10 CFR 50.59 REQUIREMENTS REVIEW

I 10 CFR 50.59 Applicability

- a. Is there a more specific regulation that applies to the change to the facility or procedures (e.g., 50.47, 50.65)?

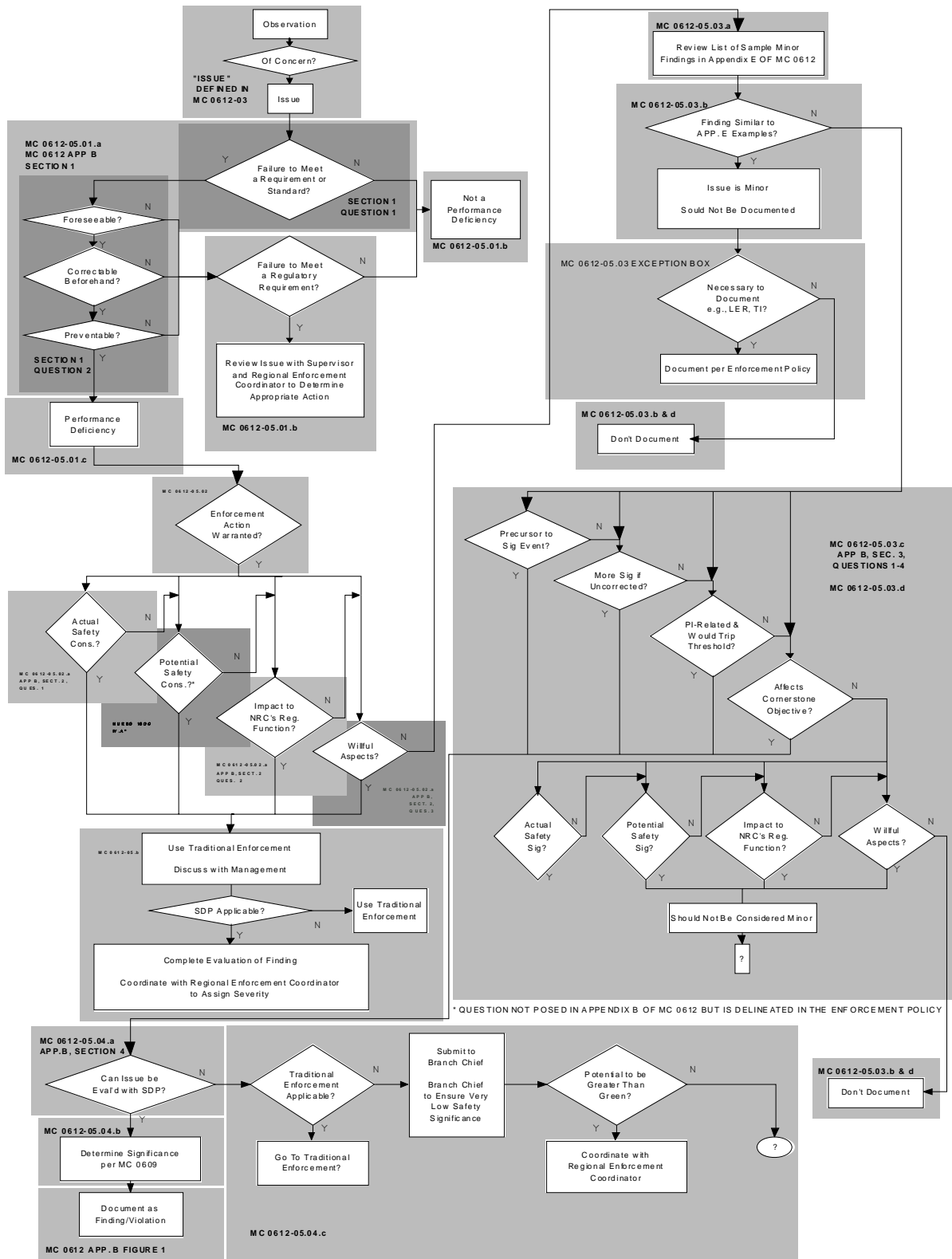
II 10 CFR 50.59 Determination

- a. Does the activity require a Technical Specification change or other Operating License amendment?
- b. Does the activity involve a modification or addition to, or removal from, the facility or procedures that affect a design function, method of performing a function or controlling the function, or an evaluation that demonstrates that the intended safety function will be accomplished?
- c. Does the activity involve departing from a method of evaluation described in the FSAR (as updated) used in establishing the design basis or in the safety analysis as follows:
 - i) Changing any of the elements of the methods described in the FSAR (as updated) unless the results of the analysis are conservative or essentially the same?
 - ii) Changing from a method described in the FSAR (as updated) to another method unless that method has been approved by the NRC for its intended application?

III 10 CFR 50.59 Safety Evaluation to Provide the Basis for a Decision on the need for a license amendment. Does the change:

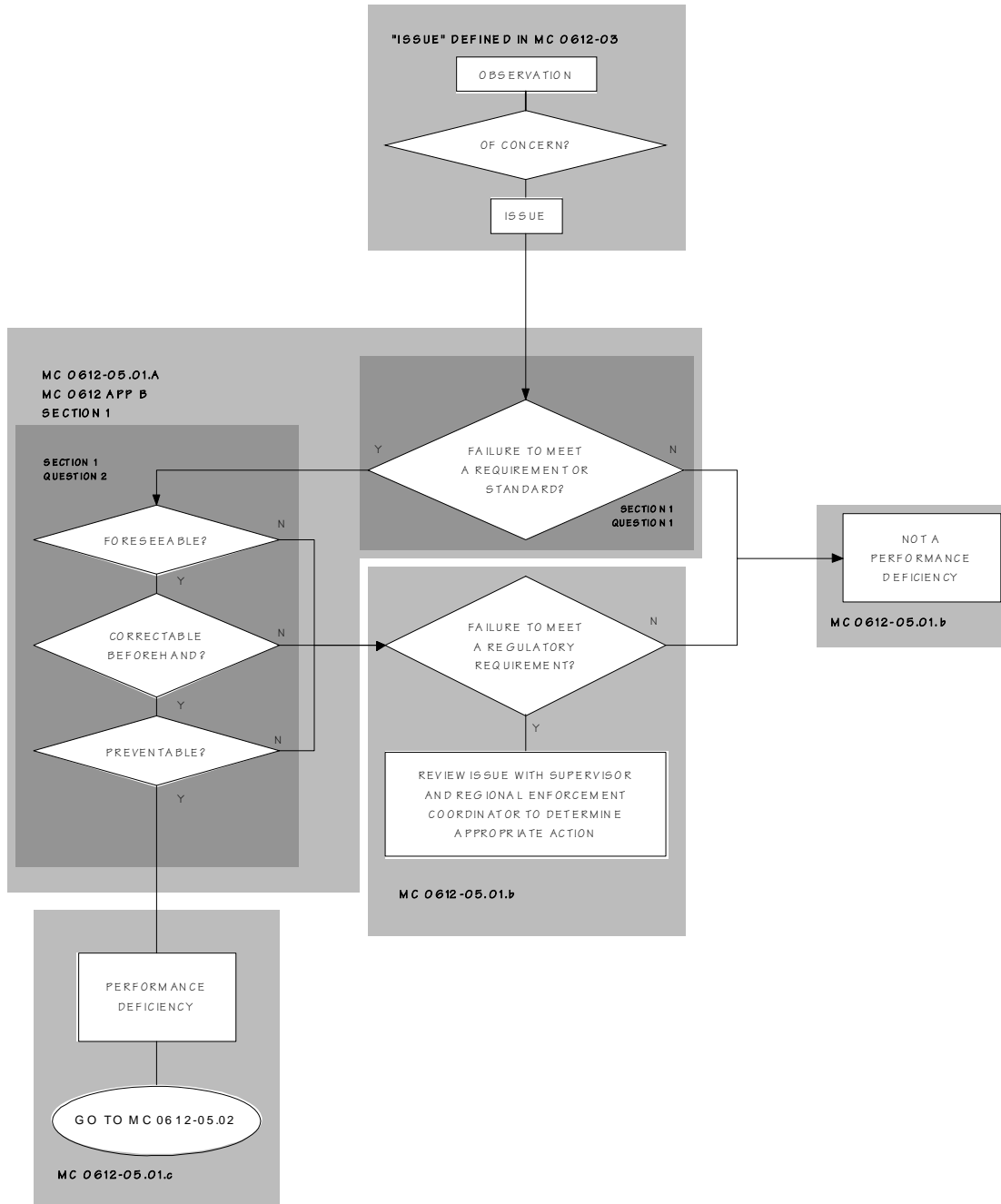
- a. Result in more than a minimal increase in the frequency of occurrence of an accident previously evaluated in the final safety analysis report (as updated)?
- b. Result in more than a minimal increase in the likelihood of occurrence of a malfunction of a structure, system, or component (SSC) important to safety previously evaluated in the final safety analysis report (as updated)?
- c. Result in more than a minimal increase in the consequences of an accident previously evaluated in the final safety analysis report (as updated)?
- d. Result in more than a minimal increase in the consequences of a malfunction of an SSC important to safety previously evaluated in the final safety analysis report (as updated)?
- e. Create a possibility for an accident of a different type than any previously evaluated in the final safety analysis report (as updated)?
- f. Create a possibility for a malfunction of an SSC important to safety with a different result than any previously evaluated in the final safety analysis report (as updated)?
- g. Result in a design basis limit for a fission product barrier as described in the FSAR (as updated) being exceeded or altered?
- h. Result in a departure from a method of evaluation described in the FSAR (as updated) used in establishing the design bases or in the safety analyses?

MC 0612 Process



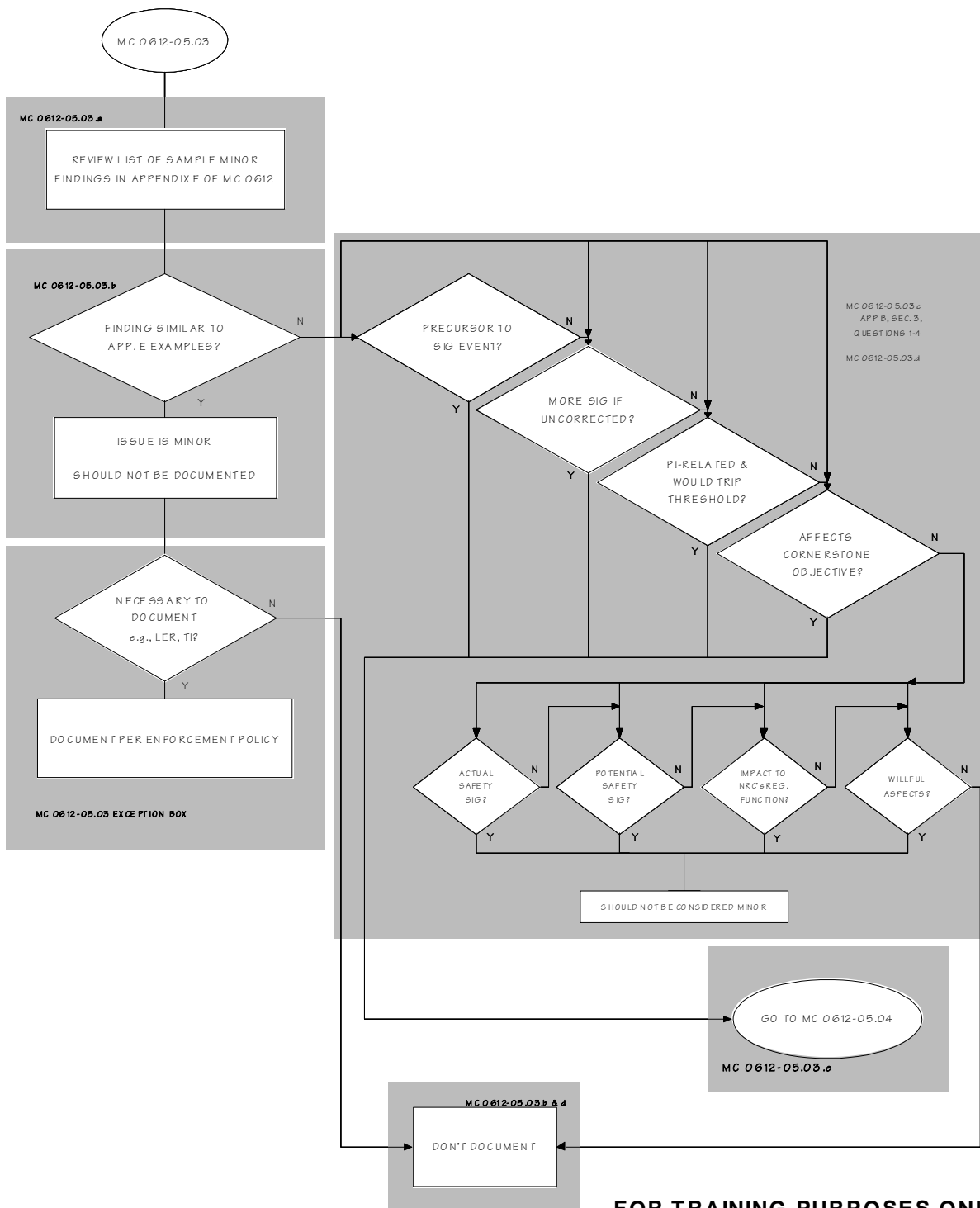
MC 0612

PERFORMANCE DEFICIENCY DETERMINATION



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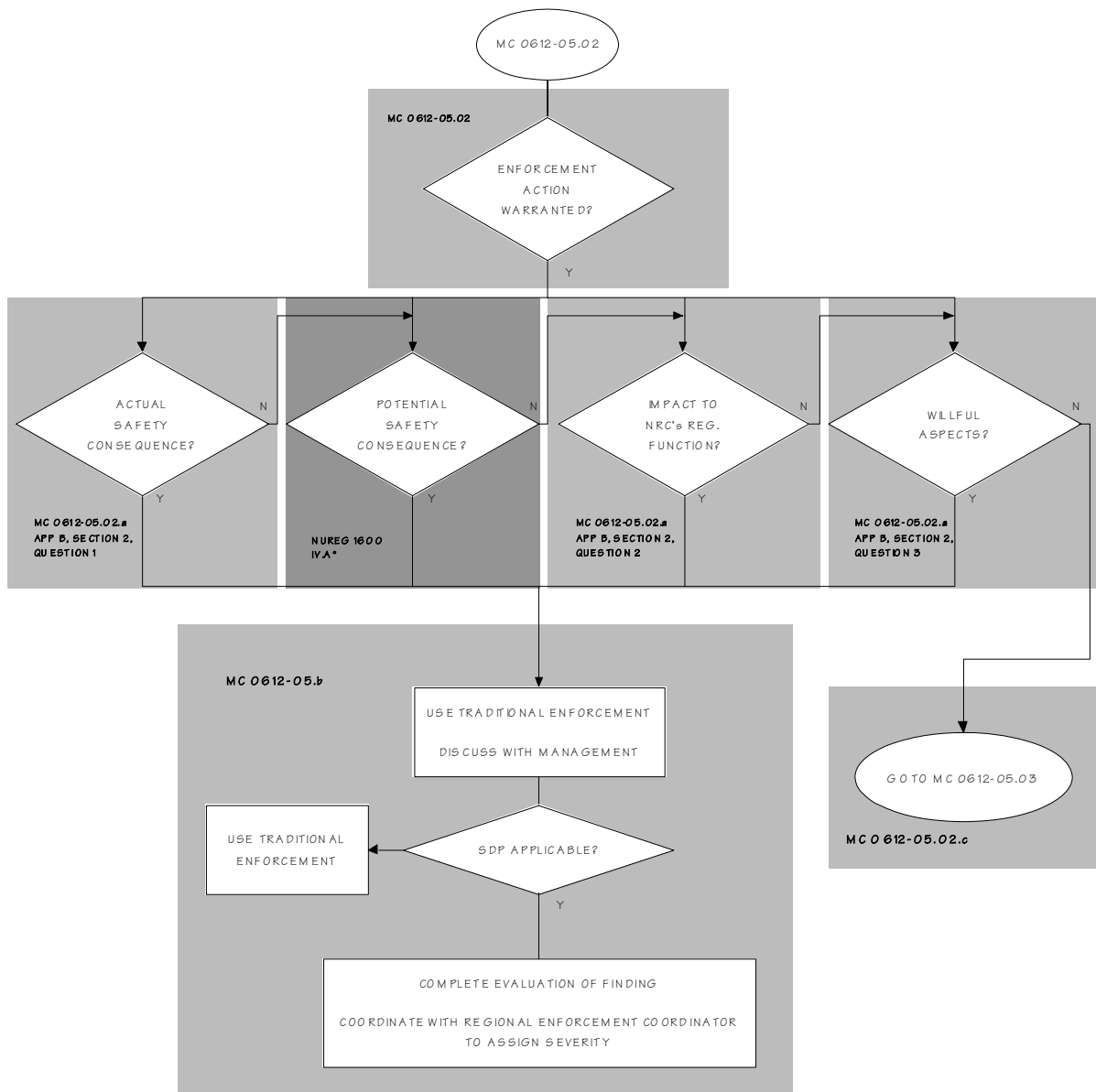
MC 0612
MINOR ISSUE SCREENING



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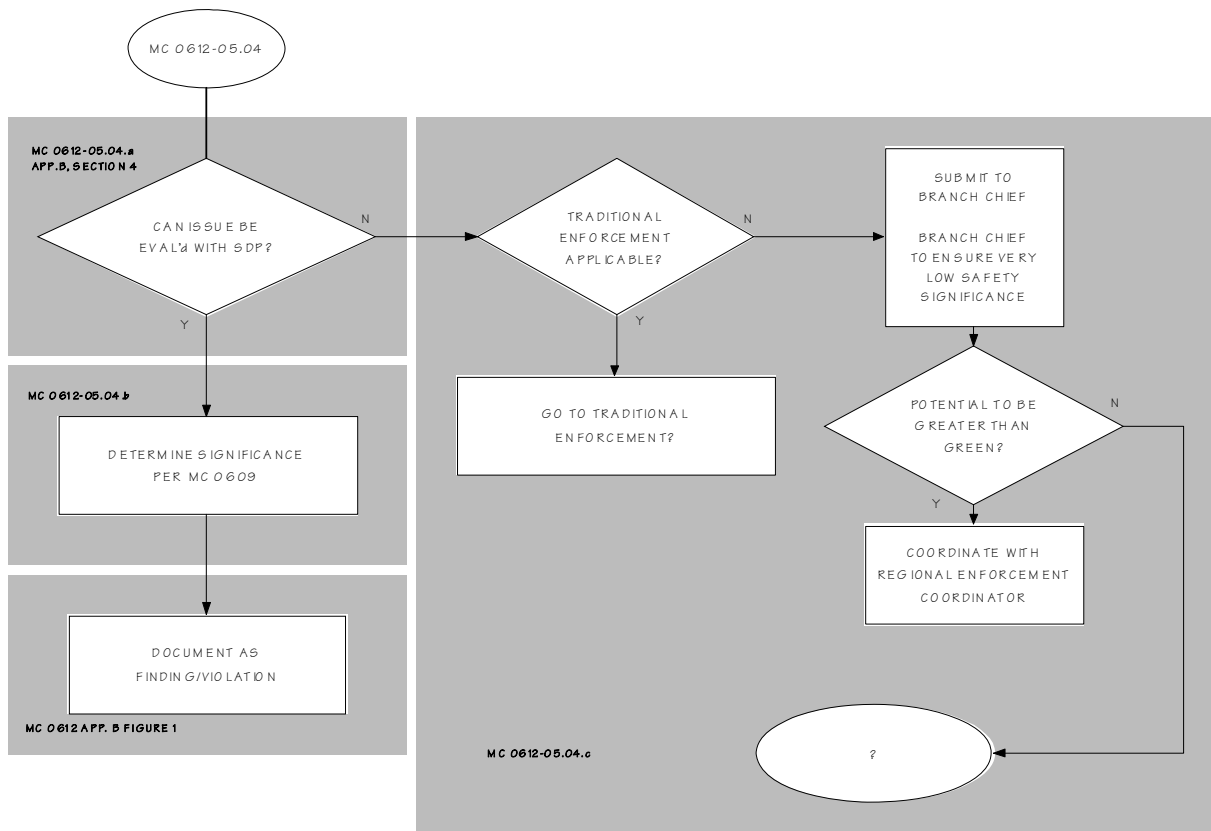
TRADITIONAL ENFORCEMENT SCREENING



* QUESTION NOT POSED IN APPENDIX B OF MC 0612 BUT IS DELINEATED IN THE ENFORCEMENT POLICY

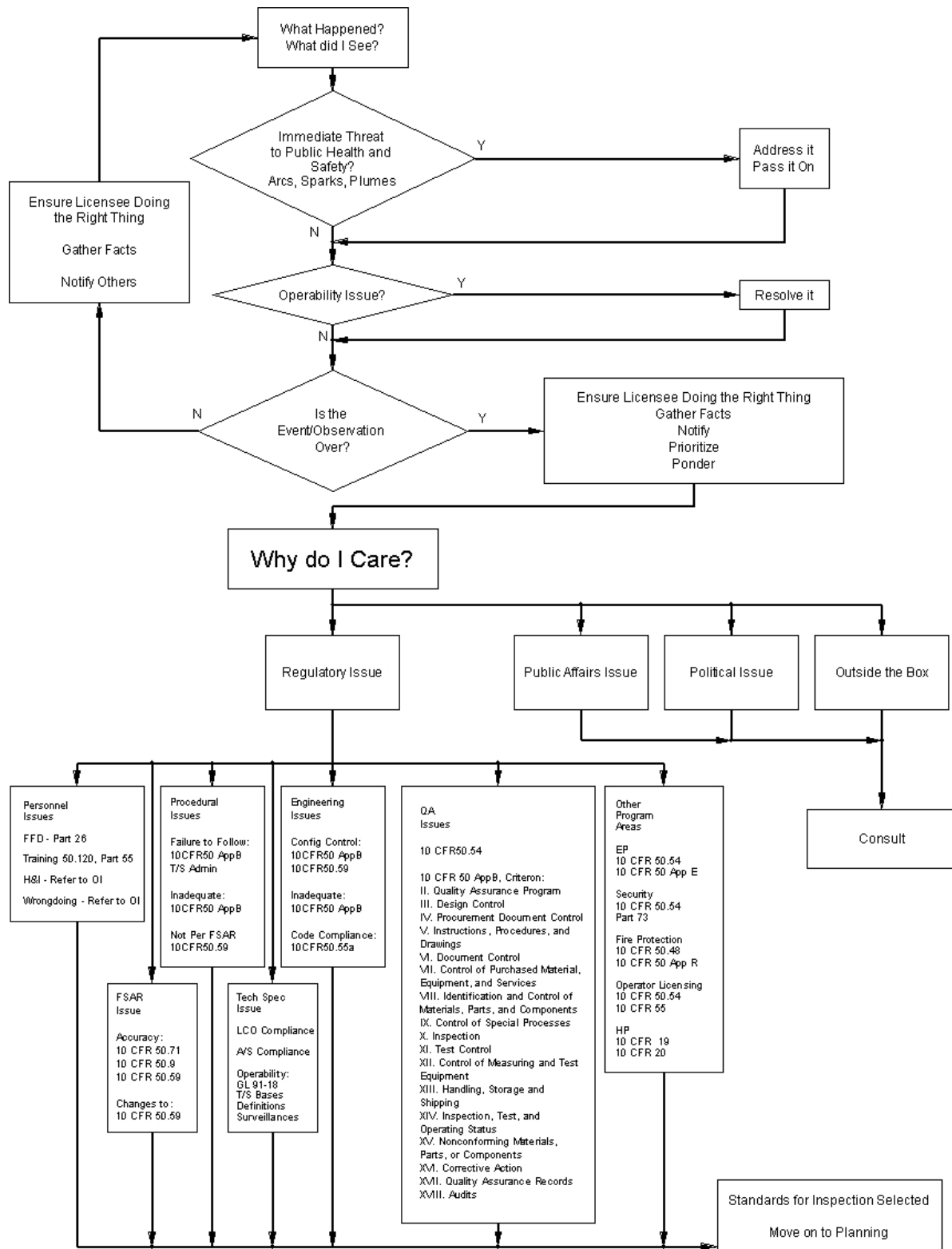
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MC 0612 SIGNIFICANCE SCREENING



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Inspection Thought Process - Part 1



Inspection Thought Process - Part 2

