



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

February 22, 2012

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
GRANT MANAGEMENT PROGRAM (OIG-09-A-16)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,  
MEMORANDUM DATED JANUARY 9, 2012

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated January 9, 2012. Based on this response, recommendations 1, 2, and 5 are closed. Recommendations 3, 4, 6, 7, 8, and 9 were closed previously. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Kathleen Stetson, Team Leader, at 415-8175.

Attachment: As stated

cc: N. Mamish, OEDO  
K. Brock, OEDO  
J. Arildsen, OEDO  
C. Jaegers, OEDO

## **Audit Report**

### **AUDIT OF NRC'S GRANT MANAGEMENT PROGRAM**

**OIG-09-A-16**

#### **Status of Recommendations**

Recommendation 1: Resolve outstanding Lean Six Sigma [LSS] issues, including definition of the competitive grant process, roles and responsibilities, development of a shared electronic grant database, and scope of SBCR [Office of Small Business and Civil Rights] reviews.

Agency Response Dated  
January 9, 2012:

The Office of Administration (ADM) resolved this recommendation in part by incorporating Lean Six Sigma recommendations in Management Directive (MD) 11.6, "Financial Assistance Program." The MD was revised to include the definition of the competitive grant process, roles and responsibilities, and the scope of SBCR reviews. The MD was published on September 16, 2011 and is posted on the agency's Website at:  
<http://www.internal.nrc.gov/policy/directives/toc/md11.6.htm>. With respect to the development of a shared electronic grant database, ADM developed a SharePoint site for grants management which includes an improved documents/reference library. The site is available at:  
<http://portal.nrc.gov/edo/adm/dc/grants/default.aspx>. ADM requests this recommendation be closed in its entirety.

OIG Analysis: OIG reviewed MD 11.6 and determined that it sufficiently included the definition of the competitive grant process, roles and responsibilities, and scope of SBCR reviews. OIG also reviewed the SharePoint site for grants management and determined that it met the intent of this recommendation. This recommendation is therefore considered closed.

**Status:** Closed.

## **Audit Report**

### **AUDIT OF NRC'S GRANT MANAGEMENT PROGRAM**

**OIG-09-A-16**

#### **Status of Recommendations**

Recommendation 2: Update Management Directive 11.6 to comprehensively address the NRC's [Nuclear Regulatory Commission's] competitive and non-competitive grant program, including (a) roles and responsibilities of individuals and offices involved in the grant process, (b) process for awarding grants, and (c) required monitoring by project officers.

Agency Response Dated  
January 9, 2012:

ADM revised MD 11.6 through the formal MD process to comprehensively address NRC's competitive and non-competitive grants program, including (a) roles and responsibilities of individuals and offices involved in the grants process, (b) process for awarding grants, and (c) required monitoring by project officers. The MD was published on September 16, 2011, and is posted on the agency's Website at:  
<http://www.internal.nrc.gov/policy/directives/toc/md11.6.htm>.  
ADM requests this recommendation be closed in its entirety.

OIG Analysis:

OIG reviewed MD 11.6 and determined that it comprehensively addresses NRC's competitive and non-competitive grant program, including (a) roles and responsibilities of individuals and offices involved in the grant process, (b) process for awarding grants, and (c) required monitoring by project officers. This recommendation is therefore considered closed.

**Status:**

Closed.

## **Audit Report**

### **AUDIT OF NRC'S GRANT MANAGEMENT PROGRAM**

**OIG-09-A-16**

#### **Status of Recommendations**

Recommendation 5: Ensure that staff working on grants complete the required training within the specified timeframe identified in response to recommendation 4.

Agency Response Dated  
January 9, 2012:

Grants staff completed the training identified in NRC's Grants Management Certification Program consistent with the response to Recommendation 4, which states "Develop grant specific training requirements for staff who work on grants to include a reasonable period of time (such as 18 months) for completion of the training." Staff currently working on grants received their certifications for the successful completion of all the requirements in the Grants Management Certification Program. The NRC Acquisition Manager will continue to monitor this training program to ensure that any new agency staff working on grants complete the training. ADM requests this recommendation be closed in its entirety.

OIG Analysis: OIG reviewed the training certificates for staff working on grants and determined that the required training was completed in the specified timeframe. This recommendation is therefore considered closed.

**Status:** Closed.