

Facility: <u>Pilgrim (SRO-only written refake)</u>		Date of Examination: <u>12/21/11</u>
Developed by: Written - Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/> // Operating - Facility <input type="checkbox"/> NRC <input type="checkbox"/> NA <input checked="" type="checkbox"/>		
Target Date*	Task Description (Reference)	Chief Examiner's Initials
-180	1. Examination administration date confirmed (C.1.a; C.2.a and b)	TF
-120	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	TF
-120	3. Facility contact briefed on security and other requirements (C.2.c)	TF
-120	4. Corporate notification letter sent (C.2.d)	TF
[-90]	[5. Reference material due (C.1.e; C.3.c; Attachment 2)]	NA
{-75}	6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1's, ES-401-1/2, ES-401-3, and ES-401-4, as applicable (C.1.e and f; C.3.d)	TF
{-70}	[7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)]	TF
{-45}	8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6, and any Form ES-201-3 updates), and reference materials due (C.1.e, f, g and h; C.3.d)	TF
-30	9. Preliminary license applications (NRC Form 398's) due (C.1.i; C.2.g; ES-202)	TF
-14	10. Final license applications due and Form ES-201-4 prepared (C.1.i; C.2.i; ES-202)	TF
-14	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	NA
-14	12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g)	TF
-7	13. Written examinations and operating tests ^{NA} approved by NRC supervisor (C.2.i; C.3.h)	TF
-7	14. Final applications reviewed, ^{1 or 2} (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 4; ES-202, C.2.e; ES-204)	TF
-7	15. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k)	TF
-7	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i) ^{NA}	NA
<p>* Target dates are generally based on facility-prepared examinations and are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee.</p> <p>[Applies only] [Does not apply] to examinations prepared by the NRC.</p>		

Facility: <u>PILGRIM</u>		Date of Examination: <u>12/19/11</u>	
Item	Task Description	Initials	
		a	b* c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	MS	BE TF
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	MS	BE TF
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	MS	BE TF
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	MS	BE TF
2. S I M U L A T O R	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	N/A	
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.		
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.		
3. W I T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	N/A	
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations		
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.		
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	MS	BE TF
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	MS	BE TF
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	MS	BE TF
	d. Check for duplication and overlap among exam sections.	N/A	
	e. Check the entire exam for balance of coverage.	MS	BE TF
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	MS	BE TF
a. Author <u>Mark Santiago</u> b. Facility Reviewer (*) <u>Brendan Kane</u> c. NRC Chief Examiner (#) <u>Todd Fish</u> d. NRC Supervisor <u>Donald Jackson</u>		Printed Name/Signature Date <u>10/26/11</u> <u>10/27/11</u> <u>11/1/11</u> <u>11/1/11</u>	
Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines			

1. Pre-ExaminationPilgrim 2011
SRO RETAKE

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of _____ as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination PILGRIM 2011 SRO RETAKE

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of _____. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Mark Santiago	WTS Exam Writer	Mark Santiago	9/26/11	Dufourdain SEE ATTACHED	1-3-12	
2.	DAVID DUFOURDAIN	SR INSTRUCTOR / EXAM WRITER	Dufourdain	9/29/11	Dufourdain	1/3/12	
3.	STEPHEN REID	SR INST	Stephen Reid	10/11/11	A.P. Reid	1/3/12	
4.	DAVID NOYES	CRS Mkt. / QUES. VAL.	David Noyes	10/12/11	David Noyes	1/3/12	
5.	Brendan Kane	OPS SUPV / DUTY LINE VALIDATION	B Kane	10/27/11	Dufourdain ①	1/3/12	
6.	JOSEPH BRACKEN	SM / QUESTION VALIDATOR	Joseph Bracken	11/2/11	Dufourdain ①	1/5/12	
7.	John Webbers	CRS INSTR OPS Reviewer	John Webbers	11/8/11	John Webbers	1/20/12	
8.	Kenneth Knell	CRS / Exam Validator	Kenneth Knell	11/8/11	Dufourdain ①	1/5/12	
9.	Alexis Mose	TRAINING SUPT.	Alexis Mose	11-14-11	Alexis Mose	1/3/12	
10.	DAVID URONADI	OPS Mkt. / QUES. VAL.	David Uronadi	11/14/11	David Uronadi	12/21/11	
11.	CHARLES R. JULIUS	SRO / EXAM VALIDATION	Charles R. Julius	11/15/11	Dufourdain ①	1/3/12	
12.	Sheridan Anderson	CRS / Exam Validation	Sheridan Anderson	11/15/11	Dufourdain ①	1/3/12	
13.	RANDY HANLEY	ADM-T / Exam Review	Randy Hanley	11/17/11	Randy Hanley	1/3/12	
14.	Pat C. Cuyler	Instructor / Proctor	Pat C. Cuyler	12-20-11	Pat C. Cuyler	12-20-11	
15.							

NOTES:

① see attached email

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 2011 See Refake as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of _____. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Edwin Bowles	Exam Developer	<i>Edwin Bowles</i>	9/29/2011	<i>Edwin Bowles</i> SEE ATTACHED	1-3-12	
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							
11.							
12.							
13.							
14.							
15.							

NOTES:

1. Pre-ExaminationPilgrim 2011
SRO Retake

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 12/19/11 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 12/19/11. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Mark Santiago	WTS Exam Writer	Mark Santiago	9/26/11	Mark Santiago	12/20/11	-
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							
11.							
12.							
13.							
14.							
15.							

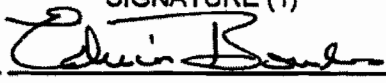

NOTES:

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 2011 52a Refake as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of _____. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Edwin Bowles	Exam Developer		9/29/2011		12/24/11	
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							
11.							
12.							
13.							
14.							
15.							

NOTES:

Facility: <u>Pilgrim</u>		Date of Exam: <u>12/11/11</u>		Exam Level: RO <input type="checkbox"/> SRO <input checked="" type="checkbox"/>		
Item Description			Initial			
			a	b*	c*	
1.	Questions and answers are technically accurate and applicable to the facility.			MP	Q	TF
2.	a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.			MP	Q	TF
3.	SRO questions are appropriate in accordance with Section D.2.d of ES-401			MP	Q	TF
4.	The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).					TF
5.	Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: ___ the audit exam was systematically and randomly developed; or ___ the audit exam was completed before the license exam was started; or X the examinations were developed independently; or ___ the licensee certifies that there is no duplication; or ___ other (explain)			MP	Q	TF
6.	Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	New		
		NA' 7	NA'	NA' 18	MP	Q
7.	Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory	C/A			
		NA' 3	NA' 20	MP	Q	TF
8.	References/handouts provided do not give away answers or aid in the elimination of distractors.			MP	Q	TF
9.	Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.			MP	Q	TF
10.	Question psychometric quality and format meet the guidelines in ES Appendix B.			MP	Q	TF
11.	The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.			MP	Q	TF
a. Author		Printed Name / Signature			Date	
b. Facility Reviewer (*)		<u>Mark Sandoz</u> / <u>Mark Sandoz</u>			<u>12/14/11</u>	
c. NRC Chief Examiner (#)		<u>Alexis MUSE</u> / <u>Alexis MUSE</u>			<u>12/14/11</u>	
d. NRC Regional Supervisor		<u>JOHN FISH</u> / <u>JOHN FISH</u>			<u>12/16/11</u>	
		<u>Donald Jackson</u> / <u>Donald Jackson</u>			<u>12/19/11</u>	
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer Initial Items in Column "c"; chief examiner concurrence required.						

Facility: PNPS		Date of Exam: 12-21-11	Exam Level: RO <input type="checkbox"/> SRO <input checked="" type="checkbox"/>
-----------------------	--	-------------------------------	---

Item Description	Initials		
	a	b	c
1. Clean answer sheets copied before grading	OUT	JPS	TF
2. Answer key changes and question deletions justified and documented N/A	OUT	JPS	NA
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	OUT	JPS	TF TK
4. Grading for all borderline cases (80 \pm 2% overall and 70 or 80, as applicable, \pm 4% on the SRO-only) reviewed in detail	OUT	JPS	TF TK
5. All other failing examinations checked to ensure that grades are justified N/A	OUT	JPS	NA
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	OUT	JPS	TF

	Printed Name/Signature	Date
a. Grader	DAVID FOUNTAIN <i>[Signature]</i>	12/21/11
b. Facility Reviewer(*)	John E. Hulse <i>[Signature]</i>	12/21/11
c. NRC Chief Examiner (*)	THOMAS HEDGECOCK <i>[Signature]</i>	12/22/11
d. NRC Supervisor (*)	TODD FISH <i>[Signature]</i>	12/22/11
	Donald Jackson <i>[Signature]</i>	1/5/12

(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.