
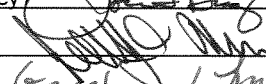
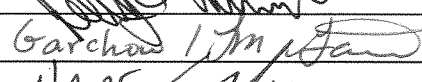
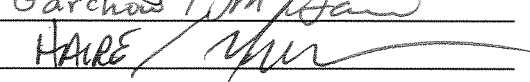

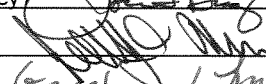
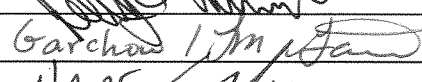
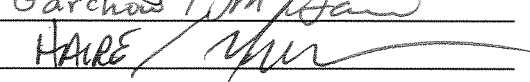

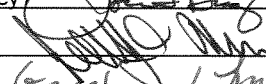
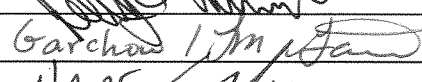
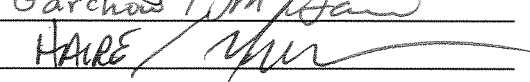


EXAM ASSIGNMENT TICKLER

| | | | | | |
|--|---|----------------------|--|--|------------|
| Chief: | Steve Garchow | Facility: | DC | Date of Written Exam: | 11/16/2011 |
| Start of Op Test: | | | 11/15/2011 | End of Op Test: | 11/16/2011 |
| Written Exam Developed By: Facility | | | Operating Test Developed By: Facility | | |
| Due Date | Description | Date Complete | Initials | Notes | |
| 5/18/2011 | Written Exam & Op Test Dates Confirmed | 9/19/2011 | JMB | | |
| 7/13/2011 | NRC Examiners & Facility Contact Assigned | 9/19/2011 | JMB | | |
| 7/13/2011 | Facility Contact Briefed on Security & Other Req's | 9/20/11 | JMB | | |
| 7/13/2011 | Corporate Notification Letter sent | 9/21/11 | JMB | ES-201 Att-4 produced by CE | |
| N/A | Reference material due (if NRC authored) | N/A | - | ES-201 Att-3 | |
| 11/1/2010 | Integrated exam outlines due | N/A | - | | |
| 11/5/2010 | Outlines reviewed by CE; feedback approved by BC | N/A | - | ES-201-2 signed by CE & BC | |
| 11/5/2010 | Feedback on integrated outlines provided to facility | N/A | - | | |
| 10/24/2011 | DRAFT exam / docs / support reference material due | 10/23/11 | JMB | | |
| 10/12/2011 | Peer review of written exam complete | N/A | - | Document review on ES-401-9 | |
| 10/12/2011 | Preliminary license applications due | 10/23/11 | JMB | NRC Forms 398/396 | |
| 10/19/2011 | Preliminary license applications and waivers reviewed | 10/23/11 | JMB | | |
| 10/19/2011 | DRAFT exam reviewed by CE; feedback approved by BC | 10/23/11 | JMB | | |
| 10/19/2011 | Feedback on DRAFT exam provided to facility | 10/23/11 | JMB | | |
| 1/10/2011 | On-site validation & 10% audit of license applications | 11/14/11 | JMB | | |
| 11/2/2011 | Final applications due & List of Applicants prepared | 11/10/11 | JMB | ES-201-4 prepared by LA | |
| 11/9/2011 | Final applications approved & waiver letters sent | 11/10/11 | JMB | | |
| 11/9/2011 | Branch Chief approves FINAL exam (Written & Op Test) | 11/10/11 | JMB | LA produces / BC signs Exam Approval Letter (ES-201 Att-5) | |
| 11/9/2011 | Proctoring/written exam admin guidelines reviewed w/ facility | N/A | - | | |
| 11/8/2011 | Exam material to exam team | N/A | - | | |
| 11/15/2011 | Administer Operating Test | 11/15/11 | JMB | | |
| 11/23/2011 | Facility post-exam documentation due | NA | JMB | | |
| 11/23/2011 | NRC written exam grading completed | N/A | - | ES-403-1 to BC | |
| 11/23/2011 | Examiner's document op test results on ES 303's | 11/17/11 | JMB | | |
| 11/30/2011 | Chief Examiner review of written exam & op test completed | 11/17/11 | JMB | Signed ES 303's to BC | |
| 12/7/2011 | Branch Chief review of exam results completed | 11/21/11 | JMB | | |
| 12/14/2011 | Waivers/deferrals reviewed for impact on licensing decision | 11/21/11 | JMB | | |
| 12/14/2011 | License/Denial letters mailed; Facility notified of results | 11/21/11 | JMB | | |
| 12/14/2011 | RPS/IP number of examinees updated | 11/10/11 | JMB | print Report-21 | |
| 12/28/2011 | Examination Report Issued | 12/11/11 | JMB | produced by CE | |
| 1/4/2012 | SUNSI checklist complete and exam docs to ADAMS | 11/29/11 | JMB | SUNSI checklist to LA | |
| 1/11/2012 | Ref Mat'l Returned after Final Resolution of Appeals | NA | - | | |

Replaces NUREG-1021, Revision 9, Supp 1, Forms ES-201-1 and ES-501-1

| Facility: <u>DIABLO CANYON</u> | | Date of Examination: <u>11/15/2011</u> | | |
|---|--|---|-----|-----|
| Item | Task Description | Initials | | |
| | | a | b* | c# |
| 1. W R I T T E N | a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401. | N/A | N/A | N/A |
| | b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled. | ↓ | ↓ | ↓ |
| | c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics. | ↓ | ↓ | ↓ |
| | d. Assess whether the justifications for deselected or rejected K/A statements are appropriate. | ↓ | ↓ | ↓ |
| 2. S I M U L A T O R | a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients. | JFB | JOK | JMG |
| | b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days. | JFB | JOK | JMG |
| | c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D. | JFB | JOK | JMG |
| 3. W / T | a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form. | N/A | N/A | N/A |
| | b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations | ↓ | ↓ | ↓ |
| | c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days. | ↓ | ↓ | ↓ |
| 4. G E N E R A L | a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections. | JFB | JOK | JMG |
| | b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate. | JFB | JOK | JMG |
| | c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5. | N/A | JOK | JMG |
| | d. Check for duplication and overlap among exam sections. | N/A | JOK | JMG |
| | e. Check the entire exam for balance of coverage. | JFB | JOK | JMG |
| | f. Assess whether the exam fits the appropriate job level (RO or SRO). | JFB | JOK | JMG |
| a. Author <u>JOHN F. BUCKLEY</u> b. Facility Reviewer (*) <u>Jeffrey D. Kocan</u> c. NRC Chief Examiner (#) <u>Stephen Garchow</u> d. NRC Supervisor <u>MARY HAIRE</u> | | Printed Name/Signature Date <u>10-18-11</u> <u>10-18-11</u> <u>11-7-11</u> <u>11/10/11</u> | | |
| Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines | | | | |

| Facility: <u>Diablo Canyon</u> | | Date of Examination: <u>11/15/2011</u> | | Operating Test Number: <u>L091C-NRC</u> | | | | | | | | | | | | | | | | |
|---|---|--|------------|---|------|-----------|---|------------|--------------------------|--|------------|---------------------------|--|---------|-------------------|---|---------|--|--|--|
| 1. GENERAL CRITERIA | | | Initials | | | | | | | | | | | | | | | | | |
| | | | a | b* | c# | | | | | | | | | | | | | | | |
| a. | The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution). | | JFB | JOK | JMS | | | | | | | | | | | | | | | |
| b. | There is no day-to-day repetition between this and other operating tests to be administered during this examination. | | N/A JFB | JOK | JMS | | | | | | | | | | | | | | | |
| c. | The operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a). | | JFB | JOK | JMS | | | | | | | | | | | | | | | |
| d. | Overlap with the written examination and between different parts of the operating test is within acceptable limits. | | JFB | JOK | SMB | | | | | | | | | | | | | | | |
| e. | It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level. | | JFB | JOK | JMS | | | | | | | | | | | | | | | |
| 2. WALK-THROUGH CRITERIA | | | -- | -- | -- | | | | | | | | | | | | | | | |
| a. | Each JPM includes the following, as applicable: <ul style="list-style-type: none"> • initial conditions • initiating cues • references and tools, including associated procedures • reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee • operationally important specific performance criteria that include: <ul style="list-style-type: none"> - detailed expected actions with exact criteria and nomenclature - system response and other examiner cues - statements describing important observations to be made by the applicant - criteria for successful completion of the task - identification of critical steps and their associated performance standards - restrictions on the sequence of steps, if applicable | | N/A JFB | JOK | SMB | | | | | | | | | | | | | | | |
| b. | Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2. | | N/A JFB | JOK | SMB | | | | | | | | | | | | | | | |
| 3. SIMULATOR CRITERIA | | | -- | -- | -- | | | | | | | | | | | | | | | |
| The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached. | | | JFB | JOK | SMB | | | | | | | | | | | | | | | |
| <table border="0"> <tr> <td></td> <td>Printed Name / Signature</td> <td>Date</td> </tr> <tr> <td>a. Author</td> <td>John F. Buckley </td> <td>10/18/2011</td> </tr> <tr> <td>b. Facility Reviewer (*)</td> <td>Jeff Kocan / </td> <td>10-18-2011</td> </tr> <tr> <td>c. NRC Chief Examiner (#)</td> <td>Stephen Garchow / </td> <td>11-7-11</td> </tr> <tr> <td>d. NRC Supervisor</td> <td>MARK HAIRE / </td> <td>11/8/11</td> </tr> </table> | | | | Printed Name / Signature | Date | a. Author | John F. Buckley  | 10/18/2011 | b. Facility Reviewer (*) | Jeff Kocan /  | 10-18-2011 | c. NRC Chief Examiner (#) | Stephen Garchow /  | 11-7-11 | d. NRC Supervisor | MARK HAIRE /  | 11/8/11 | | | |
| | Printed Name / Signature | Date | | | | | | | | | | | | | | | | | | |
| a. Author | John F. Buckley  | 10/18/2011 | | | | | | | | | | | | | | | | | | |
| b. Facility Reviewer (*) | Jeff Kocan /  | 10-18-2011 | | | | | | | | | | | | | | | | | | |
| c. NRC Chief Examiner (#) | Stephen Garchow /  | 11-7-11 | | | | | | | | | | | | | | | | | | |
| d. NRC Supervisor | MARK HAIRE /  | 11/8/11 | | | | | | | | | | | | | | | | | | |
| NOTE: * The facility signature is not applicable for NRC-developed tests # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence is required. | | | | | | | | | | | | | | | | | | | | |

| Facility: Diablo Canyon Date of Exam: 11/15/2011 Scenario Numbers: 01 / 02 / 03 Operating Test Number: L091C | | | | |
|--|--|--------------------------|-----|-----|
| QUALITATIVE ATTRIBUTES | | Initials | | |
| | | a | b* | c# |
| 1. | The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events. | JBS | JOK | SMB |
| 2. | The scenarios consist mostly of related events. | JBS | JOK | SMB |
| 3. | Each event description consists of <ul style="list-style-type: none"> the point in the scenario when it is to be initiated the malfunction(s) that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable) | JBS | JOK | SMB |
| 4. | No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event. | JBS | JOK | SMB |
| 5. | The events are valid with regard to physics and thermodynamics. | JBS | JOK | SMB |
| 6. | Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives. | JBS | JOK | SMB |
| 7. | If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given. | JBS | JOK | SMB |
| 8. | The simulator modeling is not altered. | JBS | JOK | SMB |
| 9. | The scenarios have been validated. Pursuant to 10CFR55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios. | JBS | JOK | SMB |
| 10. | Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301. | JBS | JOK | SMB |
| 11. | All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios). | JBS | JOK | SMB |
| 12. | Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios). | JBS | JOK | SMB |
| 13. | The level of difficulty is appropriate to support licensing decisions for each crew position. | JBS | JOK | SMB |
| Target Quantitative Attributes (Per Scenario; See Section D.5.d) | | Actual Attributes | -- | -- |
| 1. | Total malfunctions (5-8) | 7 / 7 / 7 | JBS | JOK |
| 2. | Malfunctions after EOP entry (1-2) | 2 / 2 / 2 | JBS | JOK |
| 3. | Abnormal events (2-4) | 4 / 4 / 4 | JBS | JOK |
| 4. | Major transients (1-2) | 1 / 1 / 1 | JBS | JOK |
| 5. | EOPs entered/requiring substantive actions (1-2) | 2 / 2 / 1 | JBS | JOK |
| 6. | EOP contingencies requiring substantive actions (0-2) | 1 / 0 / 1 | JBS | JOK |
| 7. | Critical tasks (2-3) | 3 / 2 / 3 | JBS | JOK |

Group 1 (I, S¹, S²)

| Facility: <u>Diablo Canyon</u> | | Date of Exam: <u>11/15/2011</u> | | Operating Test Number: <u>L091C</u> | | | | | | | | | | | | | |
|---|---|---------------------------------|-------------|-------------------------------------|------------------|-------------|-------------|------------------|-------------|-------------|------------------|-------------|-------------|-----------------------|--|---|---|
| A P P L I C A N T | E V E N T T Y P E | Scenarios | | | | | | | | | | | | T O T A L | M I N I M U M (*) | | |
| | | 1 | | | 2 | | | 3 | | | 4 | | | | | | |
| | | CREW POSITION | | | CREW POSITION | | | CREW POSITION | | | CREW POSITION | | | | | | |
| | | S R O | A T C | B O P | S R O | A T C | B O P | S R O | A T C | B O P | S R O | A T C | B O P | | R | I | U |
| RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> SRO-U <input type="checkbox"/> | RX | | | | | 2 | | | | | | | | 1 | 1 | 1 | 0 |
| | NOR | | | | | 1* | | | | | | | | 1 | 1 | 1 | 1 |
| | I/C | 1,2,3,4,7 | | | | 2,3,4,6,7 | | | | | | | | 10 | 4 | 4 | 2 |
| | MAJ | 5 | | | | 5 | | | | | | | | 2 | 2 | 2 | 1 |
| | TS | 1,2,3,4 | | | | | | | | | | | | 4 | 0 | 2 | 2 |
| RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | RX | | | | | | | | | | | | | | 1 | 1 | 0 |
| | NOR | | | | | | | | | | | | | | 1 | 1 | 1 |
| | I/C | | | | | | | | | | | | | | 4 | 4 | 2 |
| | MAJ | | | | | | | | | | | | | | 2 | 2 | 1 |
| | TS | | | | | | | | | | | | | | 0 | 2 | 2 |
| RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | RX | | | | | | | | | | | | | | 1 | 1 | 0 |
| | NOR | | | | | | | | | | | | | | 1 | 1 | 1 |
| | I/C | | | | | | | | | | | | | | 4 | 4 | 2 |
| | MAJ | | | | | | | | | | | | | | 2 | 2 | 1 |
| | TS | | | | | | | | | | | | | | 0 | 2 | 2 |
| RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | RX | | | | | | | | | | | | | | 1 | 1 | 0 |
| | NOR | | | | | | | | | | | | | | 1 | 1 | 1 |
| | I/C | | | | | | | | | | | | | | 4 | 4 | 2 |
| | MAJ | | | | | | | | | | | | | | 2 | 2 | 1 |
| | TS | | | | | | | | | | | | | | 0 | 2 | 2 |

Instructions:

- Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the "at-the-controls (ATC)" and "balance-of-plant (BOP)" positions. Instant SROs must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an Instant SRO *additionally* serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional instrument or component malfunctions on a 1-for-1 basis.
- Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.

| Facility: Diablo Canyon | | Date of Examination: 11/15/2011 | | | | | | | | Operating Test No.: L091C | | | | | | | |
|---|--|--|---|---|---|---|---|---|---|----------------------------------|---|---|---|---|---|---|--|
| Competencies | APPLICANTS | | | | | | | | | | | | | | | | |
| | RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> SRO-U <input type="checkbox"/> | | | | RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | | | | RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | | | | RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/> | | | | |
| | SCENARIO | | | | SCENARIO | | | | SCENARIO | | | | SCENARIO | | | | |
| | 1 | 2 | 3 | 4 | 1 | 2 | 3 | 4 | 1 | 2 | 3 | 4 | 1 | 2 | 3 | 4 | |
| Interpret/ Diagnose Events and Conditions | 1,2,3,4,5,7 | 1,2,3,4,5,6,7 | | | | | | | | | | | | | | | |
| Comply With and Use Procedures (1) | 1,2,3,4,5,7 | 1,2,3,4,5,6,7 | | | | | | | | | | | | | | | |
| Operate Control Boards (2) | | 1,2,3,4,5,6,7 | | | | | | | | | | | | | | | |
| Communicate and Interact | 1,2,3,4,5,7 | 1,2,3,4,5,6,7 | | | | | | | | | | | | | | | |
| Demonstrate Supervisory Ability (3) | 1,2,3,4,5,7 | | | | | | | | | | | | | | | | |
| Comply With and Use Tech Specs. (3) | 1,2,3,4 | | | | | | | | | | | | | | | | |
| Notes: (1) Includes Technical Specification compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs. | | | | | | | | | | | | | | | | | |

Instructions:

Check the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

09:40:44

Operator Licensing Exam Schedule

From 11/01/2011 To 12/01/2011

11/10/2011
Report 21

Region: 4

Phase Code: 5

| Exam Week | Site/Docket No./Insp Rpt # | # Candidates | Type | Exam Author | Chief Examiner | Examiners Assigned |
|------------|---|--------------|-------|-------------|---------------------|---|
| 09/17/2011 | Diablo Canyon / 05000275 / TAC #: X02505 | | Prep | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |
| 09/17/2011 | Diablo Canyon / 05000323 / TAC #: X02506 | | Prep | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |
| 11/14/2011 | Diablo Canyon / 05000275 / TAC #: X02505 | SROI - 1 | Admin | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |
| 11/14/2011 | Diablo Canyon / 05000323 / TAC #: X02506 | | Admin | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |
| 11/17/2011 | Diablo Canyon / 05000275 / TAC #: X02505 | | Doc | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |
| 11/17/2011 | Diablo Canyon / 05000323 / TAC #: X02506 | | Doc | FFF | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M. STEELY, CHRISTOPHER D. |

Sites: DIAB

Orgs: 4620

Exam Author: ALL

09:40:44

11/10/2011
Report 21

Operator Licensing Exam Schedule
From 11/01/2011 To 12/01/2011

Region: 4

Phase Code: 5

Summary By Date

| | | | | | |
|---------|----------------------|----------|----------|----------|----------------------------|
| 09/2011 | DIAB - Diablo Canyon | | | | |
| | RO - 0 | SROI - 0 | SROU - 0 | LSRO - 0 | Total for Diablo Canyon: 0 |
| 09/2011 | | | | | |
| | RO - 0 | SROI - 0 | SROU - 0 | LSRO - 0 | Total for 09/2011: 0 |
| 11/2011 | DIAB - Diablo Canyon | | | | |
| | RO - 0 | SROI - 1 | SROU - 0 | LSRO - 0 | Total for Diablo Canyon: 1 |

Sites: DIAB

Orgs: 4620

Exam Author: ALL

Operator Licensing Exam Schedule
From 11/01/2011 To 12/01/2011

Region: 4 Phase Code: 5

Summary By Site

| DIAB - Diablo Canyon | | | | |
|----------------------|----------|----------|----------|----------------------------|
| RO - 0 | SROI - 1 | SROU - 0 | LSRO - 0 | Total for Diablo Canyon: 1 |

Operator Licensing Exam Schedule
From 11/01/2011 To 12/01/2011

Region: 4 Phase Code: 5

Summary By Region

| Region 4 | SROI - 1 | SROU - 0 | LSRO - 0 | Total for Region 4: 1 |
|----------|----------|----------|----------|-----------------------|
| RO - 0 | | | | |

LOGIC OP

Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 11/11/11 11/12/11 11/13/11 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 11/11/11 11/12/11 11/13/11. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE NOTE |
|-------------------------|-----------------------------|--------------------|----------|--------------------|-----------|
| 1. John Buckley | ESC Exam Developer | <i>[Signature]</i> | 9/19/11 | <i>[Signature]</i> | 11/15/11 |
| 2. Paul Rafter | Exam Developer | <i>[Signature]</i> | 9/19/11 | <i>[Signature]</i> | 11/15/11 |
| 3. Gary Hutchins | Exam Developer | <i>[Signature]</i> | 9/12/11 | <i>[Signature]</i> | 11/15/11 |
| 4. John Becerra | SUPERVISOR, SIMEXM | <i>[Signature]</i> | 9/15/11 | <i>[Signature]</i> | 11/15/11 |
| 5. Jeff Logan | OPS Foreman / Instructor | <i>[Signature]</i> | 9-20-11 | <i>[Signature]</i> | 11-15-11 |
| 6. William Hendy | Manager - Operator Training | <i>[Signature]</i> | 9/28/11 | <i>[Signature]</i> | 11/17/11 |
| 7. Dale Lessard | Simulator Team | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11-10-11 |
| 8. Richard Hicks | OPERATOR | <i>[Signature]</i> | 10/12/11 | <i>[Signature]</i> | 11/17/11 |
| 9. CHRIS MEEHAN | Shift Foreman | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/15/11 |
| 10. Alex Brown | Reactor Operator | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/17/11 |
| 11. Thomas L. Lonsowski | LS Simulator Support | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/16/11 |
| 12. Jody Ryan | CFO, Cals Thru | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/16/11 |
| 13. Stanley Chan | CTO Cals Thru | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/16/11 |
| 14. MICHAEL FEUDLEY | DIR, NUCLEAR OPS FOR GSE | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/16/11 |
| 15. Trevor Renshaw | Systems Analyst GSE | <i>[Signature]</i> | 10/17/11 | <i>[Signature]</i> | 11/16/11 |

NOTES: * SIM VIDEOS - ONLY ON SITE 10/17/11

- ① Individuals contacted by telephone & concurred w/ Post-Examination statement.
- [Signature]* 11/16/11

ES-201

Examination Security Agreement

Form ES-201-3

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 11/14/11 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 11/14/11. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE NOTE |
|--------------------|----------------------------|--------------------|----------|--------------------|-----------|
| 1. Rothstein | Work Control lead | <i>[Signature]</i> | 11-14-11 | <i>[Signature]</i> | 11-15-11 |
| 2. Casexweic | Control operator | <i>[Signature]</i> | 11-14-11 | <i>[Signature]</i> | 11-17-11 |
| 3. Meadon Wilson | SEM | <i>[Signature]</i> | 11-14-11 | <i>[Signature]</i> | 11-15-11 |
| 4. Thomas Wright | QU ADJUSTOR | <i>[Signature]</i> | 11-14-11 | <i>[Signature]</i> | 11-15-11 |
| 5. Darrell Johnson | SEM | <i>[Signature]</i> | 11-15-11 | <i>[Signature]</i> | 11-15-11 |
| 6. Dan DeBorja | surrogate | <i>[Signature]</i> | 11-15-11 | <i>[Signature]</i> | 11-15-11 |
| 7. | | | | | |
| 8. | | | | | |
| 9. | | | | | |
| 10. | | | | | |
| 11. | | | | | |
| 12. | | | | | |
| 13. | | | | | |
| 14. | | | | | |
| 15. | | | | | |

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