

October 18, 2007

Mr. John F. McCann  
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SUBJECT: ENTERGY APPLICATION FOR ORDER APPROVING INDIRECT TRANSFER  
OF CONTROL OF LICENSES - REQUEST FOR ADDITIONAL INFORMATION  
(TACS NOS. MD6178 THROUGH MD6185)

Dear Mr. McCann:

By letter dated July 30, 2007, Entergy Nuclear Operations, Inc., requested that the Nuclear Regulatory Commission (NRC) consent to the indirect transfer of control of the Pilgrim, Indian Point 1, 2, and 3, FitzPatrick, Vermont Yankee, Palisades, and Big Rock Point facilities.

The NRC staff is reviewing the information provided by Entergy to support its application and has determined that additional information is needed to continue the review. The specific questions are found in the enclosed request for additional information.

Please contact me at (301) 415-1364 if you have any questions on this issue.

Sincerely,

**/RA/**

Douglas V. Pickett, Senior Project Manager  
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Division of Operating Reactor Licensing  
Office of Nuclear Reactor Regulation

Docket Nos. 50-003, 50-155, 50-247, 50-255, 50-271,  
50-286, 50-293, 50-333, 72-7, 72-12, 72-43

Enclosure:  
As stated

cc w/encl: See next page

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Enclosure:  
As stated  
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## REQUEST FOR ADDITIONAL INFORMATION

### FINANCIAL QUALIFICATIONS – SENSITIVITY ANALYSIS

To approve certain license transfers under 10 CFR 50.80, and all new license applications under 10 CFR Part 50, or 10 CFR Part 52, the NRC staff must determine, among other things, that the licensee or applicant has fulfilled, or will continue to fulfill, certain regulatory requirements regarding financial qualifications and that the financial qualifications required for NRC review are reasonable. Transfer applications under 10 CFR 50.80, and new license applications under 10 CFR Part 50, and 10 CFR Part 52, reference 10 CFR 50.33 and require applicants to submit certain financial information relating to operations.

10 CFR 50.33(f)(2) states “[T]he applicant shall submit information that demonstrates the applicant possesses or has reasonable assurance of obtaining the funds necessary to cover estimated operation costs for the period of the license. The applicant shall submit estimates for total annual operating costs for each of the first five years of operation of the facility. The applicant shall also indicate the source(s) of funds to cover these costs.”

Applicants may submit the required financial information in the form of a five line Projected Income Statement. The first line of the Projected Income Statement, (the preferred format), Total Revenue, will contain all sources of revenue anticipated by the applicant to cover the costs of operations. It is further anticipated that the primary source of revenue will come from the sale of electricity from the unit, defined as Price (P) times Quantity (Q), where P is the average price of one Megawatt Hour of electricity and Q, the number of Megawatts sold per year. Any ancillary sales producing revenue shall be included, as well as any other sources of revenue anticipated by the applicant to cover costs of operation. The second line of the Projected Income Statement will be all operations and maintenance costs (O&M), thus Total O&M. Applicants need not delineate and define all the expenses associated with running a nuclear power plant. However, if so submitted, the NRC staff will collapse all the individual O&M expenses into a single Total O&M line. Subtracting Total O&M from Total Revenue will provide Operating Income, the third line of the Projected Income Statement. Next, the applicant will provide Taxes and Other Income information, the fourth line of the Projected Income Statement. Subtracting the Taxes and Other Income from Operating Income provides Net Income, the fifth line of the Projected Income Statement.

#### PROJECTED INCOME STATEMENT

(+)	Total Revenue
(-)	<u>Total O&amp;M</u>
(=)	Operating Income
(-/+) )	<u>Taxes and Other Income</u>
(=)	Net Income

Enclosure

By submitting the information in this format, the NRC staff will consider the applicant to have fulfilled the initial requirements of 10 CFR 50.33(f)(2).

However, the NRC staff is further required to find on the reasonableness of the Projected Income Statement. The NRC staff does not have sufficient resources to examine the reasonableness of detailed O&M expenses, but believes that the applicant submitting such O&M expense information has superior expertise and knowledge. Therefore, the NRC staff will concentrate on examining Total Revenue. A simple and effective method of determining the reasonableness of the Projected Income Statement is to conduct sensitivity analyses. A sensitivity analysis is one of the better alternatives to understanding uncertainty in any type of financial model. A sensitivity analysis determines the effect on the overall result by changing one variable at a time. Applicants will be required to submit two additional Projected Income Statements, so that the NRC staff will have the ability to conduct sensitivity analysis on Total Revenue according to the following, based on 10 CFR 50.33(3)(iii)<sup>1</sup>:

- 1) A sensitivity analysis will be submitted showing the resulting financial effect of reducing P by 10 percent, leaving all other factors unchanged. This will have direct results on Taxes and Other Income, thus changing Net Income.
- 2) A sensitivity analysis will be submitted showing the resulting financial effect of reducing Q by 10 percent, leaving all other factors unchanged. This will have direct results on Total O&M, and Taxes and Other Income, thus changing Net Income.

Negative changes to Net Income can be the result of either increases in expenses, or decreases in revenue, or a combination of the two. The NRC staff has chosen to study the results of augmenting revenue, regardless of whether any negative impact to the forecast may come from higher than forecast expenses, or lower than forecast revenue, and thus determine the reasonableness of having enough revenue to cover expenses.

By providing these sensitivity analyses, the NRC staff is better able to determine that the applicant possesses or has reasonable assurance of obtaining the funds necessary to cover estimated operating expenses.

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<sup>1</sup> 10 CFR 50.33(f)(3)(iii) states that the staff may ask for and obtain "Any other information considered necessary by the Commission to enable it to determine the applicant's financial qualification."

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