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AUG 15 2007

U. S. Nuclear Regulatory Commission
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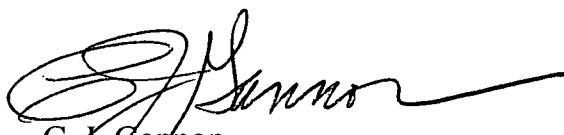
**SUSQUEHANNA STEAM ELECTRIC STATION
LICENSEE EVENT REPORT 50-387/2007-002-00
PLA-6256**

**Docket Nos. 50-387
and 50-388**

Attached is Licensee Event Report 50-387/2007-002-00. This event became reportable as a condition prohibited by Technical Specifications per 10 CFR 50.73(a)(2)(i)(B) when it was determined that a single loop of RHR suppression pool cooling would not have remained operable under certain conditions following installation of a 2002 modification at the Engineered Safeguard Service Water (ESSW) Pump house.

There were no actual consequences to the health and safety of the public as a result of this event.

No new regulatory commitments have been created through issuance of this report.


C. J. Gannon
Vice President – Nuclear Operations

Attachment

cc: Mr. R. V. Guzman, NRC Project Manager
Mr. R. R. Janati, DEP/BRP
Mr. F. W. Jaxheimer, NRC Sr. Resident Inspector
Mr. R. Osborne, Allegheny Electric

TE22
NRR

U.S. NUCLEAR REGULATORY
COMMISSION

LICENSEE EVENT REPORT (LER)

(See reverse for required number
of digits/characters for each block)

APPROVED BY OMB: NO. 3150-0104

EXPIRES: 06/30/2007

Estimated burden per response to comply with this mandatory collection request: 50 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Records and FOIA/Privacy Service Branch (T-5 F52), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to infocollects@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

1. FACILITY NAME Susquehanna Steam Electric Station – Unit 1

2. DOCKET NUMBER
050003873. PAGE
1 OF 4

4. TITLE ESSW Pump house Ventilation Inoperable Following Modification

5. EVENT DATE			6. LER NUMBER			7. REPORT DATE			8. OTHER FACILITIES INVOLVED	
MONTH	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REV NO.	MONTH	DAY	YEAR	FACILITY NAME	DOCKET NUMBER
06	20	2007	2007	002	00	08	15	2007	Susquehanna Unit 2	05000388
									FACILITY NAME	DOCKET NUMBER
										05000

9. OPERATING MODE 1	11. THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR §: (Check all that apply)			
	<input type="checkbox"/> 20.2201(b)	<input type="checkbox"/> 20.2203(a)(3)(i)	<input type="checkbox"/> 50.73(a)(2)(i)(C)	<input type="checkbox"/> 50.73(a)(2)(vii)
10. POWER LEVEL 100%	<input type="checkbox"/> 20.2201(d)	<input type="checkbox"/> 20.2203(a)(3)(ii)	<input type="checkbox"/> 50.73(a)(2)(ii)(A)	<input type="checkbox"/> 50.73(a)(2)(viii)(A)
	<input type="checkbox"/> 20.2203(a)(1)	<input type="checkbox"/> 20.2203(a)(4)	<input type="checkbox"/> 50.73(a)(2)(ii)(B)	<input type="checkbox"/> 50.73(a)(2)(viii)(B)
	<input type="checkbox"/> 20.2203(a)(2)(i)	<input type="checkbox"/> 50.36(c)(1)(i)(A)	<input type="checkbox"/> 50.73(a)(2)(iii)	<input type="checkbox"/> 50.73(a)(2)(ix)(A)
	<input type="checkbox"/> 20.2203(a)(2)(ii)	<input type="checkbox"/> 50.36(c)(1)(ii)(A)	<input type="checkbox"/> 50.73(a)(2)(iv)(A)	<input type="checkbox"/> 50.73(a)(2)(x)
	<input type="checkbox"/> 20.2203(a)(2)(iii)	<input type="checkbox"/> 50.36(c)(2)	<input type="checkbox"/> 50.73(a)(2)(v)(A)	<input type="checkbox"/> 73.71(a)(4)
	<input type="checkbox"/> 20.2203(a)(2)(iv)	<input type="checkbox"/> 50.46(a)(3)(ii)	<input type="checkbox"/> 50.73(a)(2)(v)(B)	<input type="checkbox"/> 73.71(a)(5)
	<input type="checkbox"/> 20.2203(a)(2)(v)	<input type="checkbox"/> 50.73(a)(2)(i)(A)	<input type="checkbox"/> 50.73(a)(2)(v)(C)	<input type="checkbox"/> OTHER Voluntary Report
	<input type="checkbox"/> 20.2203(a)(2)(vi)	<input checked="" type="checkbox"/> 50.73(a)(2)(i)(B)	<input type="checkbox"/> 50.73(a)(2)(v)(D)	Specify in Abstract below or in NRC Form 366A

12. LICENSEE CONTACT FOR THIS LER

FACILITY NAME

Eric J. Miller – Nuclear Regulatory Affairs

TELEPHONE NUMBER (Include Area Code)

570-542-3321

13. COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT

CAUSE	SYSTEM	COMPONENT	MANU-FACTURER	REPORTABLE TO EPIX	CAUSE	SYSTEM	COMPONENT	MANU-FACTURER	REPORTABLE TO EPIX

14. SUPPLEMENTAL REPORT EXPECTED		15. EXPECTED SUBMISSION DATE	
<input type="checkbox"/> YES (If yes, complete 15. EXPECTED SUBMISSION DATE) <input checked="" type="checkbox"/> NO		MONTH DAY YEAR	

ABSTRACT (Limit to 1400 spaces; i.e., approximately 15 single-spaced typewritten lines)

On June 20, 2007, with Susquehanna Unit 1 in Mode 1 at 100% power, it was determined that an unrecognized condition had existed at the Engineered Safeguard Service Water (ESSW) pump house that, administratively, rendered a single loop of Residual Heat Removal inoperable for the suppression pool cooling mode of operation. This condition was created in August 2002 when, for security related purposes, an access door to the pump house was permanently sealed with concrete block. Because the door was being relied upon in station procedures to provide an air circulation path necessary to mitigate the effects of a divisional HVAC failure in the ESSW pump house, this modification placed the station outside of its design basis relative to single failure requirements for the pump house ventilation system. Both an inadequate implementation of the corrective action process and process weaknesses associated with the handling of a complex modification implemented in 1985 contributed to this situation. In response to this event, PPL has "gagged" two pump house dampers to the open position. This measure will ensure circulation cooling in the event of a divisional HVAC failure until a permanent corrective measure can be implemented to address this single failure issue. Susquehanna's FSAR will be revised to address and recognize any design features or operator actions associated with this final resolution when implemented. Additionally, Susquehanna's modification program now requires performance of a failure modes and effects analysis that would have identified the latent, adverse consequences created through implementation of the 1985 modification. The program now also incorporates a formal impact evaluation process that makes it more difficult to implement a change like the 2002 modification without recognizing potential impacts on operational and design basis requirements. Finally, Susquehanna's corrective action program is significantly more robust than it was in the 1990's when actions to update the FSAR were not properly incorporated and taken to completion. This situation is being reported in accordance with 10 CFR 50.73(a)(2)(i)(B) as a condition prohibited by the plant's Technical Specifications. There were no safety consequences or compromises to public health and safety as a result of this event.

LICENSEE EVENT REPORT (LER)

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Susquehanna Steam Electric Station – Unit 1	05000387	YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	2 OF 4
		2007	- 002	- 00	

17. NARRATIVE (If more space is required, use additional copies of NRC Form 366A)

EVENT DESCRIPTION

On June 20, 2007, with Susquehanna Unit 1 in Mode 1 at 100% power, it was determined that an unrecognized condition had existed at the Engineered Safeguard Service Water (ESSW) pump house that rendered a single loop of Residual Heat Removal (RHR; EIS Code: BO) inoperable for the suppression pool cooling mode of operation. This condition was created in August 2002 when, for security related purposes, an access door to the pump house was removed with the opening then being sealed by concrete block. As will be described in the following discussion, this modification placed the station outside of its design basis relative to single failure requirements for the ESSW pump house ventilation system.

The ESSW pump house contains the Emergency Service Water (ESW; EIS Code: BI) and Residual Heat Removal Service Water (RHRSW; EIS Code: CC) pumps for the station. The pumps in both systems are divisionalized. The original plant design recognized that a loss of ventilation fans in one division of the ESSW pump house could result in a loss of all ESW and RHRSW pumps in that division. However, the remaining division of ESW and RHRSW would maintain capabilities for safely shutting down the plant.

In 1985, a plant change was made to eliminate the potential loss of ESW cooling to two RHR pumps and associated room coolers in one division as was postulated upon failure of an ESW bypass valve. Through this modification, the 'A' ESW loop that had previously supplied cooling to the 'A' loop RHR equipment, was now re-routed to cool pumps and pump auxiliaries in both the 'A' and 'B' RHR loops. A similar change was made to the 'B' ESW loop flow path. Although it was not recognized at the time, this cross-divisional modification created a situation where a Loss of Offsite Power (LOOP) event combined with a power failure to one division of ESSW pump house ventilation would leave only one loop of RHR suppression pool cooling to share between Susquehanna Units 1 and 2.

In 1991 following engineering evaluation of the unintended consequence created by the 1985 change, an Emergency Operating procedure was modified to direct opening of ESSW pump house doors in the event of a divisional HVAC failure. As is supported by analysis, manually opening the doors would permit sufficient air flow to cool the pumps in a LOOP event concurrent with failure of one division of ESSW ventilation. When the procedure was revised, the manual action should have been incorporated into the plant design basis because it was relied upon to maintain compliance with single-failure design requirements. Although the engineering evaluation recognized that an FSAR change should accompany the procedural change that was enacted, no such activity was ever generated within the corrective action process. As such, the FSAR was not updated to describe the action.

In 1998, it was recognized that a description of the operator action required to mitigate the effects of a divisional HVAC failure in the ESSW pump house was not included in the FSAR. An in-house memo indicated that the necessary update would occur in response to a related item already existing in Susquehanna's corrective action program. This corrective measure was not incorporated into the action item resolution as identified.

In 2002, a security related modification sealed the access door being relied upon to support ESSW pump house ventilation operability during a LOOP/divisional ventilation power loss event. Documentation provided with the modification recognized that the door was credited in emergency procedures but incorrectly concluded that it was not required for operability of ESSW ventilation. The operator action was removed from the procedure at the time the modification was implemented.

In 2005, a revised calculation concluded that pump house temperatures could become unacceptably high during summer conditions without the door flow path capability. Corrective actions were generated to establish an equivalent flow path. As of June 7, 2007, no action had yet been taken in response to the corrective actions.

On June 8, 2007 in response to concerns raised during on-site inspection activities, measures were taken to maintain two ESSW pump house ventilation dampers in an open position. This action provided the means to establish circulation cooling to a division of the ESSW pump house in the event of a divisional Heating Ventilation and Cooling (HVAC) failure.

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17. NARRATIVE (If more space is required, use additional copies of NRC Form 366A)

CAUSE OF EVENT

Two apparent causes have been associated with the removal and blocking of the ESSW pump house door in 2002:

1. Inadequate implementation of the corrective action process due to poor human performance – Inadequate use of the corrective action process resulted in failure to update the FSAR to indicate that opening of ESSW pump house doors is required to achieve safe shutdown of the plant in a LOOP with a concurrent power failure to one division of pump house ventilation. There were two distinct opportunities to update the FSAR. In 1991, no corrective action was generated to change the FSAR as was determined necessary through engineering analysis. In 1998 when it was again recognized that the FSAR failed to address the operator action, a memo was generated to document the deficiency. Although the memo anticipated that the required FSAR changes would be accomplished as part of an existing Condition Report, the Condition Report's action plan was never revised to execute the change.
2. Process weaknesses associated with the handling of complex modifications – The safety evaluation performed in support of the 1985 ESW cross-divisional modification failed to identify that the proposed change would create a single failure vulnerability in the suppression pool cooling mode of RHR. This shortcoming was attributable to process weaknesses associated with the handling of complex modifications.

ANALYSIS / SAFETY SIGNIFICANCE

This event became reportable in accordance with 10CFR50.73(a)(2)(i)(B) when it was determined that a single loop of RHR suppression pool cooling was administratively rendered inoperable following installation of a 2002 modification at the ESSW pump house. The RHR suppression pool cooling system was impacted from the time the modification was installed in August of 2002 until June 8, 2007 when measures were taken to establish an adequate ventilation flow path. This situation did not comply with both Unit 1 and Unit 2 Technical Specifications governing the RHR suppression pool cooling system (TS 3.6.2.3).

Actual Consequences:

There were no actual safety consequences resulting from this event. As discussed above, the blocked door would only become significant under a discreet set of circumstances (LOOP event combined with a power failure to one division of ESSW pump house ventilation). Such an event did not occur during the period in question. Until a more permanent resolution can be enacted, two dampers in the pump house have been "gagged" in the open position to ensure circulation cooling in the event of a divisional HVAC failure.

Potential Consequences:

From a probabilistic risk standpoint, there was essentially no risk associated with the removal and blocking of the ESSW door. Existing calculations indicate that the ESW and RHRSW pumps can fulfill their PRA mission time of 24 hours in the absence of pump house ventilation as long as 1 door can be opened. (NOTE: Other doors were not blocked and could be opened.)

The design basis mission time for ESW and RHRSW is defined in the FSAR as 30 days. For this extended mission time, temperatures in the Division (I/II) pump room would get high enough to cause that Division's ESW and RHRSW pumps to fail under the postulated circumstances even if one door were opened to compensate. This would result in only one loop of suppression pool cooling being available, in the long-term, to share between Units 1 and 2. This outcome is not in conformance with the design basis of the containment heat removal system. Although blocking of the ESSW pump house door placed the station outside of its design basis relative to single failure requirements specified in the FSAR, it has been demonstrated through previously existing analysis that one loop of suppression pool cooling is sufficient to bring both Units to a safe shutdown condition.

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17. NARRATIVE (If more space is required, use additional copies of NRC Form 366A)

CORRECTIVE ACTIONS

Completed Actions:

- Two ESSW pump house dampers have been “gagged” in the open position to ensure circulation cooling in the event of a divisional HVAC failure.
- Susquehanna’s modification program now requires performance of a failure modes and effects analysis that will help identify potentially adverse consequences created through the implementation of complex modifications.
- An impact evaluation process was incorporated into Susquehanna’s modification program that strengthens the station’s ability to recognize the impacts of engineering changes.
- Susquehanna’s corrective action program is significantly more robust than it was in the 1990’s. Implementation weaknesses existing at that time have been corrected.

Planned Actions:

- A permanent corrective measure will be implemented to ensure that at least one loop of RHR suppression pool cooling remains operable on each Unit during a LOOP event concurrent with an additional random single failure.
- The FSAR will be revised to address and recognize any design features or operator actions associated with the permanent resolution.

ADDITIONAL INFORMATION

Failed Components Information:

None

Past Similar Events:

None