

U.S. Nuclear Regulatory Commission

Privacy Impact Assessment

(Designed to collect the information necessary to make relevant determinations regarding the applicability of the Privacy Act, the Paperwork Reduction Act information collections requirements, and record management requirements.)

for the

Data Information Sharing Hub (DISH)

Date: June 28, 2007

A. GENERAL SYSTEM INFORMATION

1. Provide brief description of the system:

Provides a consolidated central information sharing vehicle to permit NRC applications to exchange data in a collaborative and secure manner while implementing the agency's Enterprise Architecture Transition Plan in support of the IT/IM Strategic Plan, Goal 3 - Seek common solutions, reduce duplication, and promote sharing of data, system, and services components across the agency.

2. What agency function does it support?

Provides inter-connectivity between NRC applications.

3. Describe any modules or subsystems, where relevant, and their functions.

TBD

4. Points of Contact:

Project Manager	Office/Division/Branch	Telephone
Dereje Tessema	OIS/BPIAD	301-415-5713
Business Project Manager	Office/Division/Branch	Telephone
James Corbett	OIS/BPIAD	301-415-8720
Technical Project Manager	Office/Division/Branch	Telephone

TBD		
Executive Sponsor	Office/Division/Branch	Telephone
Edward T. Baker	Director, OIS	301-415-8700

5. Does this Privacy Impact Assessment (PIA) support a proposed new system or a proposed modification to an existing system?

a. ___ New System ☒ Modify Existing System ___ Other (Explain)

b. If modifying an existing system, has a PIA been prepared before?

NO

(1) If yes, provide the date approved and ADAMS accession number.

B. INFORMATION COLLECTED AND MAINTAINED

(These questions are intended to define the scope of the information requested as well as the reasons for its collection. Section 1 should be completed only if information is being collected about individuals. Section 2 should be completed for information being collected that is not about individuals.)

1. INFORMATION ABOUT INDIVIDUALS

a. Does this system maintain information about individuals?

No, DISH does not retain any data.

(1) If yes, what group(s) of individuals (e.g., Federal employees, Federal contractors, licensees, general public) is the information about?

b. What information is being maintained in the system about individuals (describe in detail)?

N/A

c. Is the information being collected from the subject individuals?

N/A

(1) If yes, what information is being collected from the individuals?

- d. Will the information be collected from 10 or more individuals who are **not** Federal employees?

N/A

- (1) If yes, does the information collection have OMB approval?

- (a) If yes, indicate the OMB approval number:

- e. Is the information being collected from internal files, databases, or systems?

N/A

- (1) If yes, identify the files/databases/systems and the information being collected.

- f. Is the information being collected from an external sources(s)?

N/A

- (1) If yes, what is the source(s) and what type of information is being collected?

- g. How will this information be verified as current, accurate, and complete?

N/A

- h. How will the information be collected (e.g. form, data transfer)?

N/A

- i. What legal authority authorizes the collection of this information?

N/A

- j. What is the purpose for collecting this information?

N/A

2. **INFORMATION NOT ABOUT INDIVIDUALS**

- a. What type of information will be maintained in this system (describe in detail)?

None, DISH does not retain any data.

- b. What is the source of this information? Will it come from internal agency sources and/or external sources? Explain in detail.

N/A

- c. What is the purpose for collecting this information?

N/A

C. USES OF SYSTEM AND INFORMATION

(These questions will identify the use of the information and the accuracy of the data being used.)

- 1. Describe all uses made of the information.

N/A, DISH does not retain any data.

- 2. Is the use of the information both relevant and necessary for the purpose for which the system is designed?

N/A

- 3. Who will ensure the proper use of the information?

N/A

- 4. Are the data elements described in detail and documented?

N/A

- a. If yes, what is the name of the document that contains this information and where is it located?

- 5. Will the system derive new data or create previously unavailable data about an individual through aggregation from the information collected?

N/A

- a. If yes, how will aggregated data be maintained, filed, and utilized?

- b. How will aggregated data be validated for relevance and accuracy?

- c. If data are consolidated, what *controls* protect it from unauthorized access, use, or modification?
- 6. How will the information be *retrieved* from the system (be specific)?
N/A
- 7. Will this system provide the capability to identify, locate, and monitor (e.g., track, observe) individuals?
NO
 - a. If yes, explain.
 - (1) What controls will be used to prevent unauthorized monitoring?
- 8. Describe the report(s) that will be produced from this system.
N/A
 - a. What are the reports used for?
 - b. Who has access to these reports?

D. RECORDS RETENTION AND DISPOSAL

(These questions are intended to establish whether the information contained in this system has been scheduled, or if a determination has been made that a general record schedule can be applied to the information contained in this system. Reference NUREG-0910, "NRC Comprehensive Records Disposition Schedule.")

- 1. Has a retention schedule for this system been approved by the National Archives and Records Administration (NARA)?
No, DISH does not retain any data.
 - a. If yes, list the disposition schedule.
- 2. Is there a General Records Schedule (GRS) that applies to information in this system?

No, DISH does not retain any data.

a. If yes, list the disposition schedule.

3. If you answered no to questions 1 and 2, complete NRC Form 637, NRC Electronic Information System Records Scheduling Survey, and submit it with this PIA.

E. ACCESS TO DATA

1. INTERNAL ACCESS

- a. What organizations (offices) will have access to the information in the system?

N/A, no information retained in DISH.

(1) For what purpose?

(2) Will access be limited?

- b. Will other systems share or have access to information in the system?

N/A, no information retained in DISH.

- c. How will information be transmitted or disclosed?

N/A

- d. What controls will prevent the misuse (e.g., unauthorized browsing) of information by those having access?

N/A

- e. Are criteria, procedures, controls, and responsibilities regarding access documented?

TBD

(1) If yes, where?

2. EXTERNAL ACCESS

- a. Will external agencies/organizations/public share or have access to the information in this system?

No, no information retained DISH.

(1) If yes, who.

- b. What information will be shared/disclosed and for what purpose?

N/A

- c. How will this information be transmitted/disclosed?

N/A

F. TECHNICAL ACCESS AND SECURITY

1. Describe security controls used to limit access to the system (e.g., passwords). Explain.

System is an application to application connector that operates under the governance and policies that are established.

2. Will the system be accessed or operated at more than one location (site)?

Agency wide.

- a. If yes, how will consistent use be maintained at all sites?

3. Which user group(s) (e.g., system administrators, project manager, etc.) have access to the system?

TBD

4. Will a record of their access to the system be captured?

YES

- a. If yes, what will be collected?

All relevant information will be logged

5. Will contractors have access to the system?

YES

a. If yes, for what purpose?

System administration and support.

- Ensure that the following Federal Acquisition Regulation (FAR) clauses are referenced in all contracts/agreements/purchase order where a contractor has access to a Privacy Act system of records to ensure that the wording of the agency contracts/agreements/purchase order make the provisions of the Privacy Act binding on the contractor and his or her employees:
 - 52.224-1 Privacy Act Notification.
 - 52.224-2 Privacy Act.

6. What auditing measures and technical safeguards are in place to prevent misuse of data?

Logs and audits

7. Are the data secured in accordance with FISMA requirements?

TBD

a. If yes, when was Certification and Accreditation last completed?

PRIVACY IMPACT ASSESSMENT REVIEW/APPROVAL
(For Use by OIS/IRSD/RFPSB Staff)

System Name: Data Information Sharing Hub (DISH)

Submitting Office: Office of Information Services (OIS)

A. PRIVACY ACT APPLICABILITY REVIEW

 X Privacy Act is not applicable.

 Privacy Act is applicable. Currently covered under System of Records, NRC- . No modification to the system notice is required.

 Privacy Act is applicable. Creates a new system of records. FOIA/PA Team will take the lead to prepare the system notice.

 Privacy Act is applicable. Currently covered under System of Records, NRC- . Modification to the system notice is required. FOIA/PA Team will take the lead to prepare the following changes:

Comments:

DISH does not collect or maintain information about individuals. DISH does not retain any data. It provides inter-connectivity between NRC applications.

Reviewer's Name	Title	Date
Sandra S. Northern	Privacy Program Officer	July 10, 2007

B. INFORMATION COLLECTION APPLICABILITY DETERMINATION

 X No OMB clearance is needed.

 OMB clearance is needed.

 Currently has OMB Clearance. Clearance No. _____

Comments:

DISH does not collect or maintain information about individuals and does not retain any data. The access to the system would be for identifying purposes only, and would not be considered a collection of information under 5 CFR 1320, OMB's implementing regulations for the Paperwork Reduction Act.

Reviewer's Name	Title	Date
Christopher J. Colburn	Senior Analyst	July 11, 2007

C. RECORDS RETENTION AND DISPOSAL SCHEDULE DETERMINATION

- ☒ No record schedule required.
- ☐ Additional information is needed to complete assessment.
- ☐ Needs to be scheduled.
- ☐ Existing records retention and disposition schedule covers the system - no modifications needed.
- ☐ Records retention and disposition schedule must be modified to reflect the following:

Comments:

RASS has determined that no records schedule is required for DISH. DISH does not maintain any information. Provides inter-connectivity for various NRC applications to securely share information.

Reviewer's Name	Title	Date
Tracy L. Clark	Records Management Analyst	July 6, 2007

D. BRANCH CHIEF REVIEW AND CONCURRENCE

- ☒ This IT system **does not** collect, maintain, or disseminate information in identifiable form from or about members of the public.
- ☐ This IT system **does** collect, maintain, or disseminate information in identifiable form from or about members of the public.

I concur in the Privacy Act, Information Collections, and Records Management reviews:

/RA/
 Margaret A. Janney, Chief
 Records and FOIA/Privacy Services Branch
 Information and Records Services Division
 Office of Information Services

Date 07/11/2007

**TRANSMITTAL OF PRIVACY IMPACT ASSESSMENT/
PRIVACY IMPACT ASSESSMENT REVIEW RESULTS**

TO: Edward T. Baker, III, Director, Office of Information Services (OIS)	
Name of System: Data Information Sharing Hub (DISH)	
Date RFPSB received PIA for review: June 29, 2007	Date RFPSB completed PIA review: July 11, 2007
Noted Issues: No information about individuals collected or maintained by DISH - Privacy Act not applicable. No information collection issues. No records schedule is required for DISH.	
Margaret A. Janney, Chief Records and FOIA/Privacy Services Branch Office of Information Services	Signature/Date: /RA/ 07/11/2007
<i>Copies of this PIA will be provided to:</i> <i>James C. Corbett, Director Business Process Improvement and Applications Division Office of Information Services</i> <i>Kathy L. Lyons-Burke, CISSP Senior IT Security Officer (SITSO)/Chief Information Security Officer (CISO) Office of Information Services</i>	