

ACCEPTANCE REVIEW MEMO (ARM)

Licensee: Hematech, L.L.C.

License No.: 40-27743-01

Docket No.: 030-36160

Mail Control No.: 471011

Type of Action: Amend

Date of Requested Action: 06-12-06

Reviewer
Assigned:

ARM reviewer(s): Torres

Response	Deficiencies Noted During Acceptance Review
	1. [] Open ended possession limits. Submit inventory. Limit possession. [] Inform licensee that we are limiting possession limits. [] Ask the licensee if they have any type-amount of EPAct Material.
7/27/06	2. Submit Appendix F. <i>Sent email 6/21/06 RITC</i>
	3.

Reviewer's Initials:

RITC

Date:

7/27/06

- ☐ Yes ☐ No Request for unrestricted use for Group 2 or higher category should be transferred by memo to FCDB within 10 days of receipt.
- ☐ Yes ☐ No Decommissioning notification should be completed within 30 days.
- ☐ Yes ☐ No Termination request < 90 days from date of expiration
- ☐ Yes ☐ No Expedite (medical emergency, no RSO, location of use/storage not on license, RAM in possession not on license, other)
- ☐ Yes ☐ No TAR needed to complete action.

Branch Chief's and/or Sr. HP's Initials:

Date:

SUNSI Screening according to RIS 2005-31

☐ Yes ☒ No **Non-Publicly Available, Sensitive** if any item below is checked

General guidance:

- ☒ RAM = or > than Category 3 (Table 1, RIS 2005-31), use Unity Rule
- ☒ Exact location of RAM (whether = or > than Category 3 or not)
- ☒ Design of structure and/or equipment (site specific)
- ☒ Information on nearby facilities
- ☒ Detailed design drawings and/or performance information
- ☒ Emergency planning and/or fire protection systems

Specific guidance for medical, industrial and academic (above Category 3):

- ☒ RAM quantities and inventory
- ☒ Manufacturer's name and model number of sealed sources & devices
- ☒ Site drawings with exact location of RAM, description of facility
- ☒ RAM security program information (locks, alarms, etc.)
- ☒ Emergency Plan specifics (routes to/from RAM, response to security events)
- ☒ Vulnerability/security assessment/accident-safety analysis/risk assess
- ☒ Mailing lists related to security response

Branch Chief's and/or Sr. HP's Initials:

RITC

Date:

6/21/06

Pre-Licensing Screening

Applicant Information:

Control No. 471011

Name: Hematech, L.L.C.	Type of Request: Amend Program Code(s): 03620
Location: SD	License No.: 40-27743-01 Docket No.: 030-36160

STEP 1–Radioactive Materials and Quantities Requested:

Instructions for Step 1: Complete Step 1 for all applications. If all your responses in Step 1 are "No" then do not complete Step 2 (Screening Criteria). Sign and date the completed step-sheet and add it as the sensitive and non-publicly available OAR in ADAMS. If a "yes" response is indicated for any item in Step 1, also complete Step 2. If the type of use is subject to a Security Order or the requirements for increased controls, complete Step 3 (Item A or Item B) without delay.		Yes or No
A.	The request is from a new applicant.	NO
B.	NUREG-1556, Volume 20, Section 4.9 indicates a licensing site visit is needed for the requested type of use, e.g., (1) Type A broad scope license, (2) panoramic irradiator containing > 10000 curies, (3) manufacturers or distributors using unsealed radioactive material or significant quantities of sealed material, (4) radioactive waste brokers, (5) radioactive waste incinerators, (6) commercial nuclear laundries, and (7) any other application that in the judgement of the reviewer and cognizant supervisor involves complex technical issues, complex safety questions, or unprecedented issues that warrant a site visit.	NO
C.	The applicant requested certain radionuclides and quantities that equal or exceed the Risk Significant Quantity (TBq) values in the table, below, that have been "highlighted" by the reviewer	NO

Table of Risk Significant Quantities

(Category 2 Quantities, IAEA Safety Guide No. RS-G-1.9, Categorization of Radioactive Sources, August 2005)

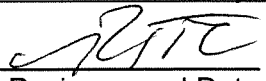
Radionuclide	Risk Significant Quantity (TBq ¹)	Risk Significant Quantity (Ci ¹)	Radionuclide	Risk Significant Quantity (TBq ¹)	Risk Significant Quantity (Ci ¹)
Am-241	0.6	16	Pm-147	400	11,000
Am-241/Be	0.6	16	Pu-238	0.6	16
Cf-252	0.2	5.4	Pu-239/Be	0.6	16
Cm-244	0.5	14	Ra-226 ²	0.4	11
Co-60	0.3	8.1	Se-75	2	54
Cs-137	1	27	Sr-90 (Y-90)	10	270
Gd-153	10	270	Tm-170	200	5,400
Ir-192	0.8	22	Yb-169	3	81

¹ The primary values are TBq. The curie (Ci) values are for informational purposes only.

² The Atomic Energy Act, as amended by the Energy Policy Act of 2005, authorizes NRC to regulate Ra-226 and NRC is in the process of amending its regulations for discrete sources of Ra-226.

Calculations of the Total Activity or the Unity Rule are attached to document whether or not the screening criteria in Step 2 were also completed to evaluate the application. NOTE—If an amendment of an existing license is being requested, the calculations will include the previously authorized quantities for the radionuclide(s).	Yes, No, or Not Applicable (NA)
Total Activity—multiple activities are requested for a single radionuclide and the sum of the activities equals or exceeds the quantity of concern for the radionuclide	—
Unity Rule—multiple radionuclides are requested and the sum of the ratios equals or exceeds unity, e.g., [(total activity for radionuclide A) ÷ (risk significant quantity for radionuclide A)] + [(total activity for radionuclide B) ÷ (risk significant quantity for radionuclide B)] ≥ 1.0.	—

Signature and Date for Step 1:

 6/21/06
License Reviewer and Date

Hematech LLC
4401 S. Technology Dr. Tech III
Sioux Falls, SD 57106
605-361-6793 Phone
605-361-9702 Fax

facsimile transmittal

To: Colleen Munnahan Fax: 817-860-8188
From: Zhongde Wang Date: June 12, 2006
Re: Amendment to Pages: 5 Including cover page
Material License
CC: #40-27743-01

☒ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

.....

NRC FORM 313 (10-2005) 10 CFR 30, 32, 33, 34, 35, 36, 39, and 40	U.S. NUCLEAR REGULATORY COMMISSION	APPROVED BY OMB: NO. 3150-0120 Estimated burden per response to comply with this mandatory collection request: 4.4 hours. Submittal of the application is necessary to determine that the applicant is qualified and that adequate procedures exist to protect the public health and safety. Send comments regarding burden estimate to the Records and FOIA/Privacy Services Branch (T-5 F53), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to infocollects@nrc.gov , and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0120), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.				
APPLICATION FOR MATERIAL LICENSE						
INSTRUCTIONS: SEE THE APPROPRIATE LICENSE APPLICATION GUIDE FOR DETAILED INSTRUCTIONS FOR COMPLETING APPLICATION. SEND TWO COPIES OF THE ENTIRE COMPLETED APPLICATION TO THE NRC OFFICE SPECIFIED BELOW.						
APPLICATION FOR DISTRIBUTION OF EXEMPT PRODUCTS FILE APPLICATIONS WITH: DIVISION OF INDUSTRIAL AND MEDICAL NUCLEAR SAFETY OFFICE OF NUCLEAR MATERIALS SAFETY AND SAFEGUARDS U.S. NUCLEAR REGULATORY COMMISSION WASHINGTON, DC 20555-0001		IF YOU ARE LOCATED IN: ILLINOIS, INDIANA, IOWA, MICHIGAN, MINNESOTA, MISSOURI, OHIO, OR WISCONSIN, SEND APPLICATIONS TO: MATERIALS LICENSING BRANCH U.S. NUCLEAR REGULATORY COMMISSION, REGION III 2443 WARRENVILLE ROAD, SUITE 210 LISLE, IL 60532-4352				
ALL OTHER PERSONS FILE APPLICATIONS AS FOLLOWS: IF YOU ARE LOCATED IN: ALABAMA, CONNECTICUT, DELAWARE, DISTRICT OF COLUMBIA, FLORIDA, GEORGIA, KENTUCKY, MAINE, MARYLAND, MASSACHUSETTS, MISSISSIPPI, NEW HAMPSHIRE, NEW JERSEY, NEW YORK, NORTH CAROLINA, PENNSYLVANIA, PUERTO RICO, RHODE ISLAND, SOUTH CAROLINA, TENNESSEE, VERMONT, VIRGINIA, VIRGIN ISLANDS, OR WEST VIRGINIA, SEND APPLICATIONS TO: LICENSING ASSISTANCE TEAM DIVISION OF NUCLEAR MATERIALS SAFETY U.S. NUCLEAR REGULATORY COMMISSION, REGION I 475 ALLENDALE ROAD KING OF PRUSSIA, PA 19406-1415		ALASKA, ARIZONA, ARKANSAS, CALIFORNIA, COLORADO, HAWAII, IDAHO, KANSAS, LOUISIANA, MONTANA, NEBRASKA, NEVADA, NEW MEXICO, NORTH DAKOTA, OKLAHOMA, OREGON, PACIFIC TRUST TERRITORIES, SOUTH DAKOTA, TEXAS, UTAH, WASHINGTON, OR WYOMING, SEND APPLICATIONS TO: NUCLEAR MATERIALS LICENSING BRANCH U.S. NUCLEAR REGULATORY COMMISSION, REGION IV 811 RYAN PLAZA DRIVE, SUITE 400 ARLINGTON, TX 76011-4005				
PERSONS LOCATED IN AGREEMENT STATES SEND APPLICATIONS TO THE U.S. NUCLEAR REGULATORY COMMISSION ONLY IF THEY WISH TO POSSESS AND USE LICENSED MATERIAL IN STATES SUBJECT TO U.S. NUCLEAR REGULATORY COMMISSION JURISDICTIONS.						
1. THIS IS AN APPLICATION FOR (Check appropriate item) <input type="checkbox"/> A. NEW LICENSE <input checked="" type="checkbox"/> B. AMENDMENT TO LICENSE NUMBER <u>40-27743-01</u> <input type="checkbox"/> C. RENEWAL OF LICENSE NUMBER _____		2. NAME AND MAILING ADDRESS OF APPLICANT (Include ZIP code) Hematech, Inc. 4401 S. Technology Dr. Sioux Falls, SD 57106				
3. ADDRESS WHERE LICENSED MATERIAL WILL BE USED OR POSSESSED 4401 S. Technology Dr. 3904 W. Technology Cr. Sioux Falls, SD 57106		4. NAME OF PERSON TO BE CONTACTED ABOUT THIS APPLICATION Zhongde Wang Ph.D. TELEPHONE NUMBER 605-361-6793 ext. 2228				
SUBMIT ITEMS 5 THROUGH 11 ON 8-1/2 X 11" PAPER. THE TYPE AND SCOPE OF INFORMATION TO BE PROVIDED IS DESCRIBED IN THE LICENSE APPLICATION GUIDE.						
5. RADIOACTIVE MATERIAL a. Element and mass number; b. chemical and/or physical form, and c. maximum amount which will be possessed at any one time.		6. PURPOSE(S) FOR WHICH LICENSED MATERIAL WILL BE USED.				
7. INDIVIDUAL(S) RESPONSIBLE FOR RADIATION SAFETY PROGRAM AND THEIR TRAINING EXPERIENCE.		8. TRAINING FOR INDIVIDUALS WORKING IN OR FREQUENTING RESTRICTED AREAS.				
9. FACILITIES AND EQUIPMENT.		10. RADIATION SAFETY PROGRAM.				
11. WASTE MANAGEMENT.		12. LICENSE FEES (See 10 CFR 170 and Section 170.31) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:70%;">FEE CATEGORY</th> <th style="width:30%;">AMOUNT ENCLOSED \$</th> </tr> <tr> <td> </td> <td> </td> </tr> </table>	FEE CATEGORY	AMOUNT ENCLOSED \$		
FEE CATEGORY	AMOUNT ENCLOSED \$					
13. CERTIFICATION. (Must be completed by applicant) THE APPLICANT UNDERSTANDS THAT ALL STATEMENTS AND REPRESENTATIONS MADE IN THIS APPLICATION ARE BINDING UPON THE APPLICANT. THE APPLICANT AND ANY OFFICIAL EXECUTING THIS CERTIFICATION ON BEHALF OF THE APPLICANT, NAMED IN ITEM 2, CERTIFY THAT THIS APPLICATION IS PREPARED IN CONFORMITY WITH TITLE 10, CODE OF FEDERAL REGULATIONS, PARTS 30, 32, 33, 34, 35, 36, 39, AND 40, AND THAT ALL INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT TO THE BEST OF THEIR KNOWLEDGE AND BELIEF. WARNING: 18 U.S.C. SECTION 1001 ACT OF JUNE 25, 1948 62 STAT. 749 MAKES IT A CRIMINAL OFFENSE TO MAKE A WILLFULLY FALSE STATEMENT OR REPRESENTATION TO ANY DEPARTMENT OR AGENCY OF THE UNITED STATES AS TO ANY MATTER WITHIN ITS JURISDICTION.						
CERTIFYING OFFICER - TYPED/PRINTED NAME AND TITLE Eddie J. Sullivan - COO		SIGNATURE Eddie J. Sullivan				
		DATE 6/12/2006				
FOR NRC USE ONLY						
TYPE OF FEE	FEE LOG	FEE CATEGORY				
		AMOUNT RECEIVED \$				
APPROVED BY		CHECK NUMBER				
		DATE				
		COMMENTS				

Hematech, Inc.
Request for Amendment to NRC Materials License

License number: 40-27743-01

Docket No.: 030-36160

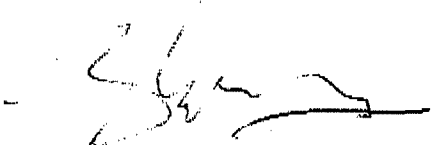
Date: 12 Jun 2006

Hematech, Inc. is requesting the following amendment to our NRC Materials License due to a name change from Hematech, LLC to Hematech, Inc.

Item #1: Hematech, Inc.

Hematech, Inc. is the surviving entity of several mergers. Hematech, Inc. retains all tax identification numbers from Hematech, LLC and all contracts and licenses.

We respectfully request that these amendments be made to our materials license.



Zhongde Wong, Ph.D.
Radiation Safety Officer

Delaware

PAGE 1

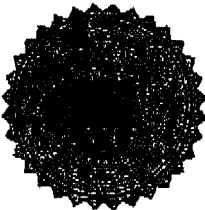
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "HEMATECH, LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "HEMATECH, LLC" TO "HEMATECH, INC.", FILED IN THIS OFFICE ON THE EIGHTH DAY OF JUNE, A.D. 2006, AT 7:25 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2904175 8100V

060557583

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 4814779

DATE: 06-09-06

State of Delaware
Secretary of State
Division of Corporations
Delivered 07:05 PM 06/08/2006
FILED 07:25 PM 06/08/2006
SRV-060557583 - 2904175 FILE

CERTIFICATE OF CONVERSION OF

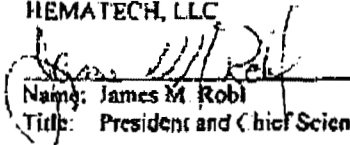
HEMATECH, LLC
(a Delaware limited liability company)
TO
HEMATECH, INC.
(a Delaware corporation)

HEMATECH, LLC, a limited liability company formed and existing under the Delaware Limited Liability Company Act, as amended (the "LLC Act"), does hereby certify as follows:

- FIRST:** That the entity Hematech, LLC was first formed as a limited liability company under the laws of the State of Delaware on June 3, 1998, under the name "Hematech, LLC"
- SECOND:** That, pursuant to Section 18-216 of the LLC Act and Section 265 of the Delaware General Corporation Law, Hematech, LLC shall convert from a limited liability company to a corporation incorporated under the laws of the State of Delaware.
- THIRD:** That the name of the corporation shall be "Hematech, Inc." as set forth in the Certificate of Incorporation filed contemporaneously herewith in accordance with subsection (b) of Section 265 of the Delaware General Corporation Law.
- FOURTH:** That Hematech, LLC is a limited liability company existing under the laws of the State of Delaware.
- FIFTH:** That the conversion of Hematech, LLC into Hematech, Inc. shall be effective upon the filing of this Certificate of Conversion with the Secretary of State of the State of Delaware.
- SIXTH:** That each of the conversion of Hematech, LLC to Hematech, Inc. and the Certificate of Incorporation of Hematech, Inc. has been approved in accordance with the provisions of Section 265 of the Delaware General Corporation Law and Section 18-216 of the LLC Act and in the manner provided for in the Limited Liability Company Operating Agreement of Hematech, LLC dated as of August 13, 1998, as amended, which is the agreement that governs the internal affairs of Hematech, LLC and the conduct of its business.

IN WITNESS WHEREOF, Hematech, LLC has caused this Certificate of Conversion to be executed by its duly authorized officer as indicated below this 8th day of June 2006.

HEMATECH, LLC


Name: James M. Robl
Title: President and Chief Scientific Officer

ny 444665

(FOR LEMS USE)
INFORMATION FROM LTS

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Program Code: 03620
Status Code: 0
Fee Category: 3M
Exp. Date: 20130131
Fee Comments:
Decom Fin Assur Reqd: N
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Decom Fin Assur Regd: N
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Decom Fin Assur Regd: N
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Decom Fin Assur Req'd: N
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Decom Fin Assur Req'd: N
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From: Roberto Torres
To: Zhondge Wang
Date: 06/21/2006 2:00:31 PM
Subject: NRC Form

Dr. Wang:

10 CFR 30.34(b) states that "no license issued or granted pursuant to the regulations...nor any right under a license shall be transferred, assigned or in any manner disposed of, either voluntarily or involuntarily, directly or indirectly, through transfer of control of any license to any person, unless the Commission shall...find that the transfer is in accordance with the provisions of the Act and shall give its consent in writing."

Because Hematech, LLC changed to Hematech, Inc., I need that a corporate official from Hematech fill out and sign the attached form to be in compliance with this regulatory requirement. Call me if you have any questions on how to fill the form.

Roberto J. Torres
Acting Chief
U.S. Nuclear Regulatory Commission, Region IV
Division of Nuclear Materials Safety
Nuclear Materials Licensing Branch
611 Ryan Plaza Drive, Suite 400
Arlington, Texas 76011
Telephone 817-860-8189
Facsimile 817-860-8188
rjt@nrc.gov

Change of Control and/or Change of Ownership
(includes Change of Name)

10 CFR 30.34(b) states that "no license issued or granted pursuant to the regulations...nor any right under a license shall be transferred, assigned or in any manner disposed of, either voluntarily or involuntarily, directly or indirectly, through transfer of control of any license to any person, unless the Commission shall...find that the transfer is in accordance with the provisions of the Act and shall give its consent in writing. Although not specifically addressed by 10 CFR 30.34, licensees undergoing a name change may also be affected by this regulation.

Control over licensed activities can be construed as the authority to decide when and how a license (licensed material and/or activities) will be used. A change of ownership may be an example of a change of control. The central issue is whether the authority over the license has changed. In all cases, determining whether a change of control has taken place or whether a change is in name only is the Commission's responsibility.

Licensees must notify the Commission when they are undergoing a possible change of control and/or a change of name. While this notification is not required within a certain time frame, NRC needs adequate time to review the submittal to ensure that the transfer is in accordance with the regulations.

In order to process your request for a change of control/ownership and/or a name change, the information on the following pages is required. Our fax number is (817) 860-8263 or (817) 860-8188. If you have any questions regarding our discussion or this fax, please contact me. When responding to this fax, please include the license, docket, and mail control numbers, located at the top of this page as well as the following pages. Thank you.

Information Required for Change of Control and/or Change of Ownership
(to include a name change)
Source: NUREG-1556, Volume 15

Please provide the following information concerning changes of control (transferor and/or transferee, as appropriate). If any items are not applicable, so state.

1. Provide a complete description of the transaction (i.e., transfer of stocks or assets, or merger). Indicate whether the name has changed and include the new name. Include the name and telephone number of a licensee contact who NRC may contact if more information is needed.

A. Description of the transaction:

B. ☐ No name change

☐ New name of licensed organization: _____

C. ☐ No change in contact

☐ New contact: _____

☐ New telephone number: _____

2. Describe any changes in personnel or duties that relate to the licensed program. Include training and experience for new personnel.

A. ☐ No changes in personnel having control over licensed activities.

☐ Changes in personnel having control over licensed activities (e.g. officers of a corporation):

B. ☐ No changes in personnel named in the license.

☐ Changes in personnel named in the license (e.g. RSO, AUs) - include training, experience and responsibilities:

3. Describe, in detail, any changes in the organization, location, facilities, equipment or procedures that relate to the licensed program.

☐ Organization:

☐ Equipment:

☐ Location:

☐ Procedures:

☐ Facility:

☐ Not applicable

-2-

4. Describe the status of the surveillance program (i.e., surveys, wipe tests, quality control) at the present time and the expected status at the time that control is to be transferred.

A. Description of the status of all surveillance program:

B. Surveillance Items & Records: calibrations, leak tests, surveys, inventories, and accountability requirements will be current at the time of transfer

☐ Yes ☐ No (explain)

5. Confirm that all records concerning the safe and effective decommissioning of the facility will be transferred to the transferee or to NRC, as appropriate. These records include documentation of surveys of ambient radiation levels and fixed and/or removable contamination, including methods and sensitivity.

Records transferred to:

☐ New licensee ☐ NRC for license termination ☐ Not applicable

6. Confirm that the transferee will abide by all constraints, conditions, requirements and commitments of the transferor or that the transferee will submit a complete description of the proposed licensed program.

_____ will abide by all constraints, conditions,
(transferee company)
requirements and commitments of _____
(transferor company)

Signature/Title
Transferee Official

Signature/Title
Transferor Official

date

date

OR

☐ Description of proposed licensed program from transferee attached (with signature)

OR

☐ Not applicable (name change only)

Certifying Officer - Signature

Date

Certifying Officer - Typed name and title

Mail Envelope Properties (4499974F.1BE : 18 : 64234)

Subject: NRC Form
Creation Date 06/21/2006 2:00:31 PM
From: Roberto Torres

Created By: RJT@nrc.gov

Recipients	Action	Date & Time
hematech.com zwang (Zhondge Wang)		
nrc.gov		
ARL_PO.ARL_DO	Delivered	06/21/2006 2:00:31
PM		
RJT BC (Roberto Torres)	Opened	06/21/2006 2:00:35
PM		

Post Office	Delivered	Route
	Pending	hematech.com
ARL_PO.ARL_DO	06/21/2006 2:00:31 PM	nrc.gov

Files	Size	Date & Time
MESSAGE	1620	06/21/2006 2:00:31 PM
Appendix F Change of Ownership.pdf	145501	10/14/2005 4:09:36
PM		

Options

Auto Delete:	No
Expiration Date:	None
Notify Recipients:	Yes
Priority:	Standard
ReplyRequested:	No
Return Notification:	None

Concealed Subject:	No
Security:	Standard

To Be Delivered:	Immediate
Status Tracking:	Delivered & Opened

Information Required for Change of Control and/or Change of Ownership
(to include a name change)

Source: NUREG-1556, Volume 15

Please provide the following information concerning changes of control (transferor and/or transferee, as appropriate). If any items are not applicable, so state.

1. Provide a complete description of the transaction (i.e., transfer of stocks or assets, or merger). Indicate whether the name has changed and include the new name. Include the name and telephone number of a licensee contact who NRC may contact if more information is needed.

A. Description of the transaction: *Hematech LLC was incorporated into Kirin Pharmaceutical*

B. ☐ No name change

☒ New name of licensed organization: *Hematech, Inc*

C. ☒ No change in contact

☐ New contact: _____

☐ New telephone number: _____

2. Describe any changes in personnel or duties that relate to the licensed program. Include training and experience for new personnel.

A. ☒ No changes in personnel having control over licensed activities.

☐ Changes in personnel having control over licensed activities (e.g. officers of a corporation):

B. ☒ No changes in personnel named in the license.

☐ Changes in personnel named in the license (e.g. RSO, AUs) - include training, experience and responsibilities:

3. Describe, in detail, any changes in the organization, location, facilities, equipment or procedures that relate to the licensed program.

☐ Organization:

☐ Equipment:

☐ Location:

☐ Procedures:

☐ Facility:

☒ Not applicable

4. Describe the status of the surveillance program (i.e., surveys, wipe tests, quality control) at the present time and the expected status at the time that control is to be transferred.

A. Description of the status of all surveillance program:

The status of the surveillance program at the present time and at the time transferred is all current and meets the NRC requirement.

B. Surveillance Items & Records: calibrations, leak tests, surveys, inventories, and accountability requirements will be current at the time of transfer

☒ Yes ☐ No (explain)

5. Confirm that all records concerning the safe and effective decommissioning of the facility will be transferred to the transferee or to NRC, as appropriate. These records include documentation of surveys of ambient radiation levels and fixed and/or removable contamination, including methods and sensitivity.

Records transferred to:

☐ New licensee ☐ NRC for license termination ☒ Not applicable

6. Confirm that the transferee will abide by all constraints, conditions, requirements and commitments of the transferor or that the transferee will submit a complete description of the proposed licensed program.

_____ will abide by all constraints, conditions,
(transferee company)
requirements and commitments of _____
(transferor company)

Signature/Title
Transferee Official

Signature/Title
Transferor Official

date

date

OR

- ☐ Description of proposed licensed program from transferee attached (with signature)

OR

☒ Not applicable (name change only)

Certifying Officer - Signature

Date

Zhongde Wang, RSO
Certifying Officer - Typed name and title

7-27-06