

April 7, 2006

MEMORANDUM FOR: William M. Dean  
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Office of the Executive Director for Operations

FROM: Stephen D. Dingbaum/**RA**/  
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SUBJECT: STATUS OF OPEN AUDIT RECOMMENDATIONS

Attached is the OIG status of audit recommendations as of the end of March 2006.

Audits Closed. During the period from January 1, 2006, to present, we closed five audit reports: OIG-03-A-22, Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for FY 2003; OIG-04-A-03, Audit of NRC's FY 2003 Financial Statements; OIG-04-A-14, Audit of NRC's Implementation of Regulations Concerning Nondiscrimination Based on Handicap; OIG-05-A-01, Audit of the Reactor Program System; and, OIG-05-A-02, Audit of NRC's FY 2004 Financial Statements.

Agency Responses Overdue. During March, no agency responses for recommendations in reports became past due. During the month, responses to recommendations in two reports remained past due (OIG-00-E-09, *Special Evaluation of the Role and Structure of NRC's Executive Council*, Recommendations 1 and 2; OIG-00-A-05, *Review of NRC's Audit Follow-up System*, Recommendations 2 and 3).

Unresolved Recommendations. Recommendations contained in recently released reports remain unresolved due to OIG currently performing analysis on the agency responses to those recommendations.

The up-to-date status of all open recommendations is contained in the attachment to this document.

Attachment: As stated

cc: M. Malloy, OEDO  
P. Tressler, OEDO

## Status of Audit Recommendations - Open Audits

Report Number & Title	Unresolved	Resolved	Closed	Total
OIG-00-A-05 Review of NRC's Audit Follow-Up System	0	2	0	2
OIG-00-E-09 Special Evaluation of the Role and Structure of NRC's Executive Council	0	2	0	2
OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report	0	2	0	2
OIG-02-A-12 Review of ADAMS	0	1	0	1
OIG-02-A-14 Review of Security at NRC's Headquarters	0	2	0	2
OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information	0	2	0	2
OIG-03-A-11 Use of E-Mail at NRC	0	1	0	1
OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear	0	4	4	8
OIG-04-A-11 Review of NRC's Personnel Security Program	0	1	11	12
OIG-04-A-13 Memorandum Report: Review of NRC's Reactor Operating Experience Taks Force Report	0	2	4	6
OIG-04-A-15 Review of NRC's Drug-Free Workplace Plan	0	3	0	3
OIG-04-A-18 2004 Evaluation of the Impact of the Office of Investigations' Methods and Techniques in Addressing Allegations of Licensee Discrimination	0	1	2	3
OIG-04-A-20 Audit of NRC's Incident Response Program	0	14	3	17
OIG-04-A-21 System Evaluation of the Agencywide Documents Access and Management System	0	5	1	6
OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004	0	6	10	16
OIG-04-A-23 System Evaluation of the FEE Systems	0	3	3	6
OIG-04-A-24 Systems Evaluation of the GeneralLicense Tracking System	0	5	0	5
OIG-05-A-05 Audit of NRC's Drug Testing Program	0	11	1	12
OIG-05-A-06 Audit of NRC's Baseline Inspection Program	0	3	7	10
OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS)	0	8	0	8
OIG-05-A-09 Audit of the Budget Formulation Process	0	3	1	4
OIG-05-A-13 Audit of NRC's Telecommunications Program	0	6	7	13
OIG-05-A-14 System Evaluation of Listed Systems that Process Safeguards and/or Classified Information	0	7	3	10
OIG-05-A-16 Audit of NRC's Contract Closeout Process	0	4	0	4
OIG-05-A-17 Audit of NRC's Decommissioning Program	0	1	3	4
OIG-05-A-18 Security Controls Over Personal Computers and Laptops -	0	8	0	8
OIG-05-A-19 Audit of NRC's Generic Communications Program	0	4	0	4
OIG-05-A-20 Evaluation of NRC's Certification and Accreditation Efforts	2	0	0	2
OIG-05-A-21 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2005	0	10	0	10
OIG-05-A-22 Evaluation of NRC's Automated Information System Inventory Process	0	7	0	7
OIG-06-A-01 Audit of NRC's FY 2005 Financial Statements	0	10	1	11
OIG-06-A-05 Memorandum report: Audit of NRC's Controls Over Video News Releases	0	2	0	2
OIG-06-A-06 Audit of NRC's Integrated Personnel Security System	0	15	2	17

## Status of Audit Recommendations - Open Audits

Report Number & Title	Unresolved	Resolved	Closed	Total
OIG-06-A-07 Follow-Up Audit of the Nuclear Regulatory Commission's Decommissioning Fund Program	5	0	0	5
OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident	1	5	0	6
OIG-06-A-10 Audit of the Development of the National Source Tracking	2	0	0	2
OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process	2	0	0	2
OIG-99-A-01 NRC'S License Fee Development Process Needs Improvement	0	2	0	2
<b>Grand Total</b>	<b>12</b>	<b>162</b>	<b>63</b>	<b>237</b>

## Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	Last OIG Correspondence	Agency Response Due	Agency Response Received	Status
<b>OIG-00-A-05 Review of NRC's Audit Follow-Up System (8/14/2000)</b>						
2	Revise the MD 6.1 Handbook	EDO	2/12/2001	5/31/2005		Resolved
3	Assess the MD 6.1 Handbook	EDO	2/12/2001	5/31/2005		Resolved
<b>OIG-00-E-09 Special Evaluation of the Role and Structure of NRC's Executive Council (8/31/2000)</b>						
1	Update NRC's Management Directives	EDO	10/24/2005	3/31/2006		Resolved
1	Update NRC's Management Directives	EDO	10/5/2005	11/30/2005		Resolved
2	Communication - CIO and CFO	EDO	10/5/2005	11/30/2005		Resolved
<b>OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report (2/23/2001)</b>						
1	Develop a Management Directive	OCFO	3/16/2006	10/31/2006		Resolved
3	Include guidance on reporting unmet goals	OCFO	3/16/2006	10/31/2006		Resolved
<b>OIG-02-A-12 Review of ADAMS (6/12/2002)</b>						
1	Finalize and issue MD 2.5	EDO	12/1/2005	4/21/2006		Resolved
<b>OIG-02-A-14 Review of Security at NRC's Headquarters (8/15/2002)</b>						
1	Install power-assisted barriers	EDO			3/31/2006	Resolved
2	Construct appropriate security upgrades	EDO			3/31/2006	Resolved
<b>OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information (10/16/2002)</b>						
1	Update OUO Guidance	EDO	9/30/2005	6/30/2006		Resolved
2	Mandate defined markings	EDO	10/3/2005	6/30/2006		Resolved
<b>OIG-03-A-11 Use of E-Mail at NRC (3/21/2003)</b>						
1	Revise MD 3.53	EDO			3/21/2006	Resolved
<b>OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials (5/23/2003)</b>						
1	Conduct periodic inspections	EDO	2/7/2006	7/1/2006		Resolved
3	Document risk informed approach	EDO	2/7/2006	7/1/2006		Resolved
4	Require annual inventories	EDO	2/7/2006	7/1/2006		Resolved
8	Follow MD 11.7	EDO			7/8/2005	Resolved
<b>OIG-04-A-11 Review of NRC's Personnel Security Program (3/25/2004)</b>						
12	Cancel OPM investigations when intern terminates.	ADM	3/14/2006	1/2/2007		Resolved
<b>OIG-04-A-13 Memorandum Report: Review of NRC's Reactor Operating Experience Taks Force Report (3/30/2004)</b>						
1	Revise program objectives	NRR	3/23/2006	12/31/2006		Resolved
3	Establish an independent operating experience function	NRR	3/23/2006	12/31/2006		Resolved
<b>OIG-04-A-15 Review of NRC's Drug-Free Workplace Plan (5/24/2004)</b>						
1	Revise Plan to Include Deferral of Testing Clause	ADM,HR	2/2/2006	6/17/2006		Resolved
2	Obtain HHS Approval of Drug-Free Workplace Plan Prior to Implementation	ADM,HR	2/2/2006	6/17/2006		Resolved
3	Drug-Testing Plan Revisions Must Receive Approval from HHS	ADM,HR	2/2/2006	6/17/2006		Resolved
<b>OIG-04-A-18 2004 Evaluation of the Impact of the Office of Investigations' Methods and Techniques in Addressing Allegations of Licensee Discrimination (8/26/2004)</b>						

## Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	Last OIG Correspondence	Agency Response Due	Agency Response Received	Status
1	Reevaluate ADR	EDO,OE,OI	2/24/2006	4/28/2006		Resolved
<b>OIG-04-A-20 Audit of NRC's Incident Response Program (9/16/2004)</b>						
1	Establish agency IRP with Performance Standards & Evaluation Mechanism	NSIR			2/1/2006	Resolved
2	Revise MD 8.2, NRC Incident Response Program	NSIR			2/1/2006	Resolved
3	Update NUREG 0845	NSIR			2/1/2006	Resolved
4	Conduct Periodic Reviews of Region's IRPs	NSIR			2/1/2006	Resolved
6	Exercise Deployment of Response Staff	NSIR			2/1/2006	Resolved
7	Establish Procedures for Handling Events at Multiple Sites	NSIR			2/1/2006	Resolved
8	Conduct Exercises Involving Multiple Sites	NSIR			2/1/2006	Resolved
11	Revise IRP to Address Incidents Involving Materials/Fuel Cycle Facilities	NSIR			2/1/2006	Resolved
12	Conduct Routine Exercises with Fuel Cycle Facilities	NSIR			2/1/2006	Resolved
13	Update Response Technical Manual for GDPs	NSIR			2/1/2006	Resolved
14	Improve IRP Outreach with Licensees	NSIR			2/1/2006	Resolved
15	Establish Minimum Frequency of Exercising with Power Reactors	NSIR			2/1/2006	Resolved
16	Develop & Implement Well-defined Training Program	NSIR			2/1/2006	Resolved
17	Establish a centralized tracking system for IRO training	NSIR			2/1/2006	Resolved
<b>OIG-04-A-21 System Evaluation of the Agencywide Documents Access and Management System (9/30/2004)</b>						
1	Update the ADAMS Risk Assessment Report	OCIO	2/2/2006	10/6/2006		Resolved
2	Update the ADAMS Security Plan	OCIO	2/2/2006	10/6/2006		Resolved
4	Update the ADAMS Business Continuity Plan	OCIO	2/2/2006	10/6/2006		Resolved
5	Consistently define the protection requirements	OCIO	2/2/2006	10/6/2006		Resolved
6	Track all actions items resulting from testing of the ADAMS security controls	OCIO	2/2/2006	10/6/2006		Resolved
<b>OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 (9/30/2004)</b>						
5	Re-certify and re-accredit the NRC Data Center/Telecommunications System.	OCIO	3/17/2006	7/28/2006		Resolved
6	Re-certify and re-accredit the NRC Local Area Network/Wide Area Network	OCIO	3/17/2006	7/28/2006		Resolved
7	Re-certify and re-accredit the Emergency Response Data System.	OCIO	3/17/2006	7/28/2006		Resolved
8	Re-certify and re-accredit the Emergency Telecommunications System	OCIO	3/17/2006	7/28/2006		Resolved
9	Update the NRC LAN/WAN Risk Assessment.	OCIO	3/17/2006	7/28/2006		Resolved
10	Update the NRC LAN/WAN Business Continuity Plan.	OCIO	3/17/2006	7/28/2006		Resolved
<b>OIG-04-A-23 System Evaluation of the FEE Systems (9/30/2004)</b>						
1	Update the Fee Systems Security Plan	OCFO	12/23/2005	6/8/2006		Resolved
3	As-Built System Documentation	OCFO	12/23/2005	6/8/2006		Resolved
4	Update the Fee Systems Business Continuity Plan	OCFO	12/23/2005	6/8/2006		Resolved
<b>OIG-04-A-24 Systems Evaluation of the General License Tracking System (9/30/2004)</b>						
1	Update the GLTS Security Plan	NMSS,OCIO			2/21/2006	Resolved

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		Responsible Office	Last OIG Correspondence	Agency Response Due	Agency Response Received	Status
2	Update the GLTS self-assessment	NMSS,OCIO			2/21/2006	Resolved
3	Update the GLTS Business Continuity Plan	NMSS,OCIO			2/21/2006	Resolved
4	Update the GLTS Security Plan	NMSS,OCIO			2/21/2006	Resolved
5	Track testing of GLTS security controls	NMSS,OCIO			2/17/2006	Resolved
<b>OIG-05-A-05 Audit of NRC's Drug Testing Program (12/20/2004)</b>						
2	Update designated testing positions	ADM			1/31/2006	Resolved
3	Conduct quality review of random drug testing pool	ADM			1/31/2006	Resolved
4	Revise categories of testing designated positions	ADM			1/31/2006	Resolved
5	Re-evaluate categories of testing designated positions	ADM			1/31/2006	Resolved
6	Develop formal written procedures	ADM			1/31/2006	Resolved
7	Drug test absent employees	ADM			1/31/2006	Resolved
8	Develop, implement a quality assurance process for randomly selecting employees	ADM			1/31/2006	Resolved
9	Provide and update regularly employee-supervisor lists	ADM			1/31/2006	Resolved
10	Institute a quality assurance process to verify HHS requirements implementation	ADM			1/31/2006	Resolved
11	Develop policy to compile records	ADM			1/31/2006	Resolved
12	Update the Management Directive System to include drug testing policy	ADM			1/31/2006	Resolved
<b>OIG-05-A-06 Audit of NRC's Baseline Inspection Program (12/22/2004)</b>						
2	human performance trending	NRR	12/1/2005	5/31/2006		Resolved
3	informal issue guidance and tracking	NRR	12/1/2005	5/31/2006		Resolved
4	define effectiveness, performance measures	NRR	12/1/2005	5/31/2006		Resolved
<b>OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) (1/14/2005)</b>						
1	Re-certify and re-accredit IPSS	ADM			1/30/2006	Resolved
2	Update risk assessment risk levels	ADM			1/30/2006	Resolved
3	Update plan contact info	ADM			1/30/2006	Resolved
4	Update plan life cycle & IRO capability.	ADM			1/30/2006	Resolved
5	Update plan control descriptions.	ADM			1/30/2006	Resolved
6	Update self-assessment in-place controls.	ADM			1/30/2006	Resolved
7	Update contingency plan	ADM			1/30/2006	Resolved
8	Consistently define plan and assessment protection requirements	ADM			1/30/2006	Resolved
<b>OIG-05-A-09 Audit of the Budget Formulation Process (1/31/2005)</b>						
1	EDO, CFO Roles	OCFO	2/2/2006	10/31/2006		Resolved
2	PRC Role	OCFO			2/2/2006	Resolved
3	Document Process	OCFO	2/2/2006	10/31/2006		Resolved
<b>OIG-05-A-13 Audit of NRC's Telecommunications Program (6/7/2005)</b>						
1	Purchase and implement billing review software.	OCIO	2/24/2006	6/5/2006		Resolved

## Status of OIG Audit Recommendations

		Responsible	Last OIG	Agency Response	Agency Response	Status
2	Establish benchmarks.	OCIO	2/24/2006	6/5/2006		Resolved
3	Revise MD 2.3.	OCIO	2/28/2006	6/5/2006		Resolved
4	Establish telephone line and circuit inventory requirements.	OCIO	2/24/2006	6/5/2006		Resolved
5	Enforce toll-free number requirements.	OCIO	2/24/2006	6/5/2006		Resolved
7	Discontinue \$4 per day option.	OCFO	2/24/2006	6/5/2006		Resolved
<b>OIG-05-A-14 System Evaluation of Listed Systems that Process Safeguards and/or Classified Information (8/11/2005)</b>						
1	Correct the inaccuracies	NSIR, OIS			3/23/2006	Resolved
2	Validate the inventory	NSIR, OIS			3/23/2006	Resolved
3	procedures for notifying OIS	NSIR, OIS			3/23/2006	Resolved
4	Develop procedures	NSIR, OIS			3/23/2006	Resolved
5	Develop procedures approved security plan	NSIR, OIS			3/23/2006	Resolved
6	Develop procedures for owners respond to OIS requests	NSIR, OIS			3/23/2006	Resolved
7	Develop procedures for verifying all required security controls	NSIR, OIS			3/23/2006	Resolved
<b>OIG-05-A-16 Audit of NRC's Contract Closeout Process (8/26/2005)</b>						
1	FAR Noncompliance	ADM	10/18/2005	4/15/2006		Resolved
2	Internal Closeout Policy	ADM	10/18/2005	4/15/2006		Resolved
3	Performance Metric	ADM	10/18/2005	4/15/2006		Resolved
4	Deobligation Policy	ADM	10/18/2005	4/15/2006		Resolved
<b>OIG-05-A-17 Audit of NRC's Decommissioning Program (9/21/2005)</b>						
1	Retain Supporting Documentation	NMSS, OCFO	4/4/2006	10/31/2006		Resolved
<b>OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA (9/22/2005)</b>						
1	Security controls on laptops	OIS	3/8/2006	9/30/2006		Resolved
2	Rules of behavior agreement for security controls	OIS	3/8/2006	9/30/2006		Resolved
3	Verify required security controls	OIS	3/8/2006	9/30/2006		Resolved
4	Provide guidance on Executive Order 13103	OIS	3/8/2006	9/30/2006		Resolved
5	Rules of behavior agreement EO 13103	OIS	3/8/2006	9/30/2006		Resolved
6	Develop procedures for monitoring compliance with Executive Order 13103	OIS	3/8/2006	9/30/2006		Resolved
7	Develop procedures in the Management Directives for disposal of equipment	OIS	3/8/2006	9/30/2006		Resolved
8	Include procedures for disposal of equipment in security plan.	OIS	3/8/2006	9/30/2006		Resolved
<b>OIG-05-A-19 Audit of NRC's Generic Communications Program (9/30/2005)</b>						
1	Security Advisories in GC Program	NRR, NSIR	1/11/2006	6/30/2006		Resolved
2	OMB Control Numbers	NRR, NSIR	1/11/2006	6/30/2006		Resolved
3	Consistent Tracking Methodology	NRR, NSIR	1/11/2006	6/30/2006		Resolved
4	Effectiveness of GC Program	NRR	1/11/2006	6/30/2006		Resolved
<b>OIG-05-A-20 Evaluation of NRC's Certification and Accreditation Efforts (9/30/2005)</b>						

## Status of OIG Audit Recommendations

		Responsible	Last OIG	Agency Response	Agency Response	Status
1	Develop and implement procedures for monitoring C&As	OIS			3/8/2006	Unresolved
2	Develop and implement a mechanism for holding system sponsors/owners accountable for C&As.	OIS			3/8/2006	Unresolved
<b>OIG-05-A-21 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2005 (9/30/2005)</b>						
1	Categorize all NRC information systems	OIS	3/15/2006	7/20/2006		Resolved
2	Complete self-assessments August 1, 2006.	OIS	3/15/2006	7/20/2006		Resolved
3	Contingency Plan Test Procedures	OIS	3/15/2006	7/20/2006		Resolved
4	Maintain other agency C&As.	OIS	3/15/2006	7/20/2006		Resolved
5	Maintain other agency's self-assessments.	OIS	3/15/2006	7/20/2006		Resolved
6	Maintain annual contingency plan test results other agencies.	OIS	3/15/2006	7/20/2006		Resolved
7	Procedures for contractor oversight	OIS	3/15/2006	7/20/2006		Resolved
8	Review and update the six completed e-authentication risk assessments.	OIS	3/15/2006	7/20/2006		Resolved
9	Develop and implement plan for completing e-authentication risk assessments.	OIS	3/15/2006	7/20/2006		Resolved
10	Develop and implement procedures for security awareness and training.	OIS	3/15/2006	7/20/2006		Resolved
<b>OIG-05-A-22 Evaluation of NRC's Automated Information System Inventory Process (9/30/2005)</b>						
1	Correct the inaccuracies in the AIS inventories	OIS	12/23/2005	7/3/2006		Resolved
2	Validate information in the AIS inventories annually.	OIS	12/23/2005	7/3/2006		Resolved
3	Provide guidance to office directors, regional administrators, and system owners/sponsors	OIS	12/23/2005	7/3/2006		Resolved
4	Develop procedures adding new systems	OIS	12/23/2005	7/3/2006		Resolved
5	Develop procedures notifying of changes	OIS	12/23/2005	7/3/2006		Resolved
6	Develop procedures for recording system information for multiple components	OIS	12/23/2005	7/3/2006		Resolved
7	Modify AIS inventories to support requirements of FISMA	OIS	12/23/2005	7/3/2006		Resolved
<b>OIG-06-A-01 Audit of NRC's FY 2005 Financial Statements (11/10/2005)</b>						
1	Rec. 01 - Update Remediation Plan	OCFO	2/2/2006	11/30/2006		Resolved
2	Rec. 02 -Implement Compensating Controls	OCFO	2/2/2006	11/30/2006		Resolved
3	Rec. 03 - Improve Internal Controls	OCFO	2/2/2006	11/30/2006		Resolved
4	Rec. 04 - Strengthen Internal Use Software Practices	OCFO	2/2/2006	11/30/2006		Resolved
5	Rec. 05 - Coordinate with OIS and EDO	OCFO	2/2/2006	11/30/2006		Resolved
6	Rec. 06 - Devise a Communication Process	OCFO	2/2/2006	11/30/2006		Resolved
7	Rec. 07 - Implement Second Party Verification of the Accuracy of Vendor Tables	OCFO	2/9/2006	11/30/2006		Resolved
8	Rec. 08 - Periodic Assessment of CCR Data	OCFO	2/2/2006	11/30/2006		Resolved
9	Rec. 09 - Secondary Review of High Value Payments	OCFO	2/9/2006	11/30/2006		Resolved
11	Rec. 11 - Develop Rates in Compliance with OMB Circular A-25	OCFO	2/2/2006	11/30/2006		Resolved
<b>OIG-06-A-05 Memorandum report: Audit of NRC's Controls Over Video News Releases (12/22/2005)</b>						



## Status of OIG Audit Recommendations

Rec #	Description	Responsible	Last OIG	Agency Response	Agency Response	Status
1	Establish a written NRC policy concerning video news releases	OPA	2/17/2006	5/22/2006		Resolved
2	Communicate the Federal video news release policy	OPA	2/17/2006	5/22/2006		Resolved
<b>OIG-06-A-06 Audit of NRC's Integrated Personnel Security System (1/9/2006)</b>						
2	Reinvestigations notifications report	ADM	3/9/2006	9/15/2006		Resolved
3	IPSS user's guide	ADM	3/9/2006	9/15/2006		Resolved
4	IPSS reinvestigation data clean-up	ADM	3/9/2006	9/15/2006		Resolved
5	duplicate system data	ADM	3/9/2006	9/15/2006		Resolved
6	Correct access vs. clearance data	ADM	3/9/2006	9/15/2006		Resolved
7	Top-to-bottom cleanup of IPSS data	ADM			3/31/2006	Resolved
8	Overall IPSS data QC procedures	ADM	3/9/2006	9/15/2006		Resolved
9	Correct checks for badge issuance	ADM	3/9/2006	9/15/2006		Resolved
10	Add date logic controls	ADM	3/9/2006	9/15/2006		Resolved
12	Review IPSS role assignments	ADM	3/9/2006	9/15/2006		Resolved
13	IPSS audit trails	ADM	3/9/2006	9/15/2006		Resolved
14	IPSS audit trail reports	ADM	3/9/2006	9/15/2006		Resolved
15	Integrity Statement	ADM			3/31/2006	Resolved
16	Records retention plan	ADM	3/9/2006	9/15/2006		Resolved
17	Cost-benefit analysis	ADM	3/9/2006	9/15/2006		Resolved
<b>OIG-06-A-07 Follow-Up Audit of the Nuclear Regulatory Commission's Decommissioning Fund Program (2/6/2006)</b>						
1	Rec. 1 - Require verification of trust fund balances from trustees.	EDO,NRR			3/23/2006	Unresolved
2	Rec. 2 - Require specific prudent investment restrictions.	EDO,NRR			3/23/2006	Unresolved
3	Rec. 3 - Prescribe and implement a QA timeframe	EDO,NRR			3/23/2006	Unresolved
4	Rec. 4 - Document QA work performed.	EDO,NRR			3/23/2007	Unresolved
5	Rec. 5 - Update NRC's decommissioning formula.	EDO,NRR			3/23/2006	Unresolved
<b>OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response (2/16/2006)</b>						
1	Prioritizing Assignments	EDO,NSIR			3/23/2006	Resolved
2	Documenting Emergent Work	EDO,NSIR			3/23/2006	Resolved
3	Emergent Work Log	EDO,NSIR			3/23/2006	Unresolved
4	Communication Policies and Procedures	EDO,NSIR			3/23/2006	Resolved
5	Measure Communication Effectiveness	EDO,NSIR			3/23/2006	Resolved
6	Assessment Follow-Up	EDO,NSIR			3/23/2006	Resolved
<b>OIG-06-A-10 Audit of the Development of the National Source Tracking System (2/23/2006)</b>						
1	1. Conduct Regulatory Analysis	NMSS			3/30/2006	Unresolved
2	2. Validate IDB data	NMSS			3/30/2006	Unresolved
<b>OIG-99-A-01 NRC'S License Fee Development Process Needs Improvement (12/14/1999)</b>						

## Status of OIG Audit Recommendations

		Responsible	Last OIG	Agency Response	Agency Response	Status
-	2a - OMB Circular A-25 and SFFAS No. 4	OCFO	10/18/2005	11/30/2006		Resolved
+	2c - OMB Circular A-25 and SFFA No. 4	OCFO	10/18/2005	11/30/2006		Resolved