

MFN 05-112  
Enclosure 2

## **ENCLOSURE 2**

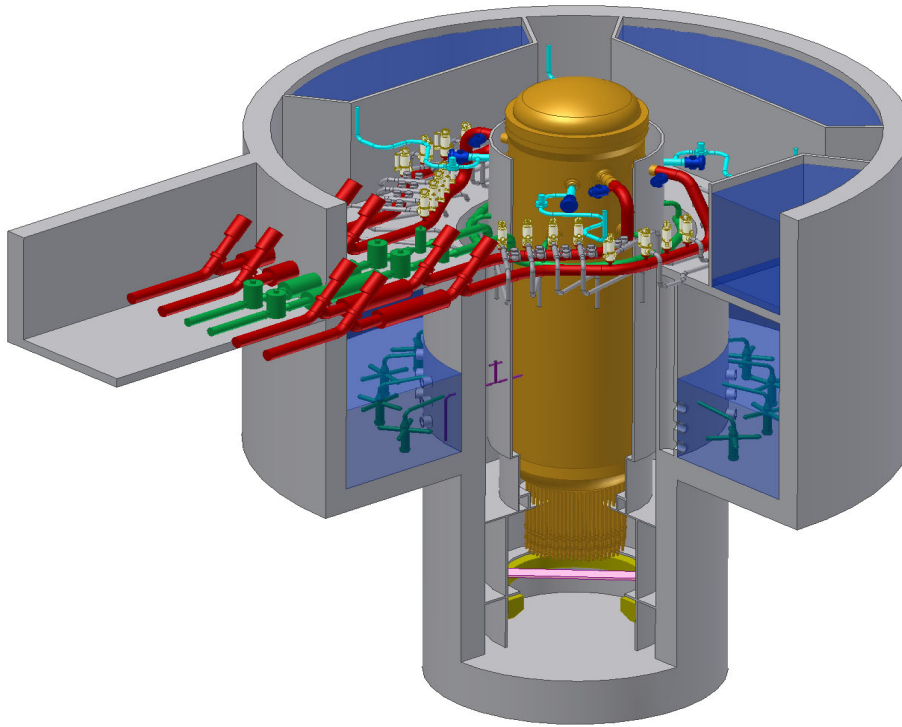
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# **ESBWR Design Control Document**

## **Tier 2**

### **Chapter 18**

#### ***Human Factors Engineering***

(Conditional Release - pending  
closure of design verifications)



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**Global Abbreviations And Acronyms List**

<b><u>Term</u></b>	<b><u>Definition</u></b>
10 CFR	Title 10, Code of Federal Regulations
A/D	Analog-to-Digital
AAS	Alarm and Annunciators subsystem
AASHTO	American Association of Highway and Transportation Officials
AB	Auxiliary Boiler
ABMA	Anti-Friction Bearing Manufacturers Association
ABS	Auxiliary Boiler System
ABWR	Advanced Boiling Water Reactor
ac / AC	Alternating Current
AC	Air Conditioning
ACF	Automatic Control Function
ACI	American Concrete Institute
ACS	Atmospheric Control System
AD	Administration Building
ADS	Automatic Depressurization System
AEC	Atomic Energy Commission
AFIP	Automated Fixed In-Core Probe
AGMA	American Gear Manufacturer's Association
AHS	Auxiliary Heat Sink
AHU	Air Handling Units
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AL	Analytical Limit
ALARA	As Low As Reasonably Achievable
ALWR	Advanced Light Water Reactor
AMCA	Air Movement and Control Association
ANI	American Nuclear Insurers
ANS	American Nuclear Society
ANSI	American National Standards Institute
AOO	Anticipated Operational Occurrence
AOV	Air Operated Valve
API	American Petroleum Institute
APRM	Average Power Range Monitor
APR	Automatic Power Regulator
APRS	Automatic Power Regulator System
ARI	Alternate Rod Insertion
ARI	Air-Conditioning and Refrigeration Institute
ARMS	Area Radiation Monitoring System



### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
ASA	American Standards Association
ASA	Acoustical Society of America
ASCE	American Society of Civil Engineers
ASD	Adjustable Speed Drive
ASDC	Alternate Shutdown Cooling
ASHRAE	American Society of Heating, Refrigerating, and Air Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASQ	American Society for Quality
AST	Alternate Source Term
ASTM	American Society of Testing Methods
ASTM	American Society for Testing and Materials
AT	Unit Auxiliary Transformer
ATLM	Automated Thermal Limit Monitor
ATWS	Anticipated Transients Without Scram
AV	Allowable Value
AVR	Automatic Voltage Regulator
AWS	American Welding Society
AWWA	American Water Works Association
B&PV	Boiler and Pressure Vessel
BAF	Bottom of Active Fuel
BE	Best Estimate
BHP	Brake Horse Power
BiMAC	Basemat-Internal Melt Arrest Coolability
BISI	Bypass and Inoperable Status Indication
BMP	Basemat Melt Penetration
BOC	Beginning of Cycle
BOP	Balance of Plant
BOPCWS	Balance of Plant Chilled Water Subsystem
BPU	Bypass Unit
BPV	Bypass Valve
BPWS	Banked Position Withdrawal Sequence
BRE	Battery Room Exhaust
BRL	Background Radiation Level
BTP	NRC Branch Technical Position
BTU	British Thermal Unit
BWR	Boiling Water Reactor
BWROG	Boiling Water Reactor Owners Group
CAV	Cumulative Absolute Velocity
C&FS	Condensate and Feedwater System

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
C&I	Control and Instrumentation
C/C	Cooling and Cleanup
CB	Control Building
CBGAHVS	Control Building General Area
CBHVAC	Control Building HVAC
CBHVS	Control Building Heating, Ventilation and Air Conditioning System
CBP	Containment Bypass and Leakage
CCFP	Conditional Containment Failure Probability
CCI	Core-Concrete Interaction
CDF	Core Damage Frequency
CDU	Condensing Unit
CEA	Consumer Electronics Association
CET	Containment Event Tree
CFR	Code of Federal Regulations
CH	Chugging
CIRC	Circulating Water System
CIS	Containment Inerting System
CIV	Combined Intermediate Valve
CLAVS	Clean Area Ventilation Subsystem of Reactor Building HVAC
CLCH	Convection-Limited Containment Heating
CM	Cold Machine Shop
CMAA	Crane Manufacturers Association of America
CMS	Containment Monitoring System
CMU	Control Room Multiplexing Unit
CO	Condensate Oscillation
COL	Combined Operating License
COLR	Core Operating Limits Report
CONAVS	Controlled Area Ventilation Subsystem of Reactor Building HVAC
COP	Containment Overpressure Protection
COPS	Containment Overpressure Protection System
COTS	Commercial Off-the-Shelf Software
CPET	Containment System Event Tree
CPR	Critical Power Ratio
CP	Control Processor
CPS	Condensate Purification System
CPU	Central Processing Unit
CR	Control Rod
CRD	Control Rod Drive
CRDA	Control Rod Drop Accident

## Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
CRDH	Control Rod Drive Housing
CRDHS	Control Rod Drive Hydraulic System
CRDS	Control Rod Drive System
CREHVAC	Control Room Envelope HVAC
CRGT	Control Rod Guide Tube
CRHA	Control Room Habitability Area
CRHAHVS	Control Room Habitability Area HVAC Sub-system
CRT	Cathode Ray Tube
CS&TS	Condensate Storage and Transfer System
CSAU	Code Scaling, Applicability, and Uncertainty
CSDM	Cold Shutdown Margin
CS / CST	Condensate Storage Tank
CT	Main Cooling Tower
CTI	Cooling Technology Institute
CTSS	Communications Continuous Tone-Controlled Squelch System
CTVCF	Constant Voltage Constant Frequency
CUF	Cumulative usage factor
CV	Containment Vessel
CWS	Chilled Water System
D-RAP	Design Reliability Assurance Program
DAC	Design Acceptance Criteria
DACS	Data Acquisition and Calibration System
DAS	Data Acquisition System
DAW	Dry Active Waste
DBA	Design Basis Accident
DBE	Design Basis Event
DB%	Dry-Basis-Percent
dc / DC	Direct Current
DCD	Design Control Document
DCH	Direct Containment Heating
DCPSS	Direct Current Power Supply System
DCS	Drywell Cooling System
DCIS	Distributed Control and Information System
DEGB	Double Ended Guillotine Break
DEPSS	Drywell Equipment and Pipe Support Structure
DF	Decontamination Factor
D/F	Diaphragm Floor
DG	Diesel-Generator
DG HVAC	DG Heating, Ventilation & Air Conditioning

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
DHR	Decay Heat Removal
DLC	Data Logging Computer
DLF	Dynamic Load Factor
DM&C	Digital Measurement and Control
DPS	Diverse Protection System
DM&C	Digital Measurement and Control
DOF	Degree of Freedom
DOI	Dedicated Operators Interface
DORT	Discrete Ordinates Techniques
DOT	Department of Transportation
dPT	Differential Pressure Transmitter
DPS	Diverse Protection System
DPV	Depressurization Valve
DQR	Doppler Reactivity Coefficient
DRC	Dynamic Qualification Report
DR&T	Design Review and Testing
D-RAP	Design Reliability Assurance Program
DS	Independent Spent Fuel Storage Installation
DTM	Digital Trip Module
DW	Drywell
EAB	Exclusion Area Boundary
EB	Electrical Building
EBAS	Emergency Breathing Air System
EBHV/EBHVAS	Electrical Building HVAC/EB HVAC Subsystem
ECA	Electronic Components Assemblies Materials Association
ECCS	Emergency Core Cooling System
E-DCIS	Essential DCIS (Distributed Control and Information System)
EDO	Environmental Qualification Document
EFDS	Equipment and Floor Drainage System
EFPY	Effective Full Power Years
EFU	Emergency Filter Unit
EHC	Electro-Hydraulic Control (Pressure Regulator)
EIA	Electronic Industries Alliance
EMI/RFI	Electromagnetic Induction/Radio Frequency Induction
ENS	Emergency Notification System
EOC	Emergency Operations Center
EOC	End of Cycle
EOF	Emergency Operations Facility
EOP	Emergency Operating Procedures

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
EPDS	Electric Power Distribution System
EPG	Emergency Procedure Guidelines
EPRI	Electric Power Research Institute
EQ	Environmental Qualification
EQD	Environmental Qualification Document
EQEDC	Environmental Qualification Environmental Design Criteria
ERICP	Emergency Rod Insertion Control Panel
ERIP	Emergency Rod Insertion Panel
ERM	Engineering Review Memorandum
ESF	Engineered Safety Feature
ESP	Early Site Permit
ETD	Emergency Trip Device
ETS	Emergency Trip System
EVE	Ex-Vessel Steam Explosion
EW	East-West
EWS	Engineering Work-Station
FAA	Federal Aviation Administration
FAC	Flow-Accelerated Corrosion
FAPCS	Fuel and Auxiliary Pools Cooling System
FATT	Fracture Appearance Transition Temperature
FB	Fuel Building
FBFPHV	Fuel Building Fuel Pool HVAC
FBGAHV	Fuel Building General Area HVAC
FBHV	Fuel Building HVAC
FCI	Fuel-Coolant Interaction
FCI	Fluid Controls Institute Inc.
FCISL	Fuel Cladding Integrity Safety Limit
FCFWMD	Feedwater Control Feedwater Master Demand
FCM	File Control Module
FCS	Flammability Control System
FCU	Fan Cooling Unit
FDA	Final Design Approval
FDDI	Fiber Distributed Data Interface
FE	Finite Element
FEBAVS	Fuel Building Ventilation System
FEM	Finite Element Model
FFT	Fast Fourier Transform
FFWTR	Final Feedwater Temperature Reduction
FHA	Fire Hazards Analysis

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
FHA	Fuel Handling Accident
FIV	Flow-Induced Vibration
FM	Factory Mutual
FMCRD	Fine Motion Control Rod Drive
FMEA	Failure Modes and Effects Analysis
FPC	Fuel Pool Cleanup
FPS	Fire Protection System
FO	Diesel Fuel Oil Storage Tank
FOAKE	First-of-a-Kind Engineering
FPC	Fuel Pool Cleanup
FPE	Fire Pump Enclosure
FS	Partial Full Scale
FSI	Fluid Structure Interaction
FTDC	Fault-Tolerant Digital Controller
FW	Feedwater
FWCS	Feedwater Control System
FWL	Feedwater Line
FWLB	Feedwater Line Break
FWS	Fire Water Storage Tank
GCS	Generator Cooling System
GDC	General Design Criteria
GDCS	Gravity-Driven Cooling System
GE	General Electric Company
GENE	GE Nuclear Energy
GEN	Main Generator System
GETAB	General Electric Thermal Analysis Basis
GL	Generic Letter
GLRWATBV	Generator Load Rejection With Failure of All Turbine Bypass Valves
GM	Geiger-Mueller Counter
GMAW	Gas Metal Arc Welding
GM-B	Beta-Sensitive GM (Geiger-Mueller Counter) Detector
GNF	Global Nuclear Fuel
GSI	Generic Safety Issue
GSIC	Gamma-Sensitive Ion Chamber
GSOS	Generator Sealing Oil System
GT	Gamma Thermometers
GTC	Gamma Thermometer Control Unit
GTCAL	GT calibration module
GTM	Gamma Thermometer Monitoring Unit

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
GTMON	GT monitor module
GWSR	Ganged Withdrawal Sequence Restriction
H	Horizontal
HAZ	Heat-Affected Zone
HCF	High Cycle Fatigue
HCU	Hydraulic Control Unit
HCW	High Conductivity Waste
HDVS	Heater Drain and Vent System
HEI	Heat Exchange Institute
HELB	High Energy Line Break
HELSA	High Energy Line Separation Analysis
HEP	Human Error Probability
HEPA	High Efficiency Particulate Air/Absolute
HFE	Human Factors Engineering
HFF	Hollow Fiber Filter
HGCS	Hydrogen Gas Cooling System
HI	Hydraulic Institute
HIC	High Integrity Container
HID	High Intensity Discharge
HIS	Hydraulic Institute Standards
HM	Hot Machine Shop & Storage
HP	High Pressure
HP/IP	High Pressure/Intermediate Pressure
HPME	High Pressure Melt Ejection
HPN	Health Physics Network
HPNSS	High Pressure Nitrogen Supply System
HPS	Heater Power Supply
HPT	High-Pressure Turbine
HRA	Human Reliability Assessment
HSI	Human-System Interface
HSU	Heater Switching Unit
HSSS	Hardware/Software System Specification
HTO	Tritiated Oxide (also called 'tritiated water')
HV	Horizontal Vent Chugging
HVAC	Heating, Ventilation and Air Conditioning
HVG	High Value Gate
HVS	High Velocity Separator
HVT	Horizontal Vent Test
HWC	Hydrogen Water Chemistry

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
HWCS	Hydrogen Water Chemistry System
HWS	Hot Water System
HX	Heat Exchanger
I&C	Instrumentation and Control
I/O	Input/Output
IAS	Instrument Air System
IASCC	Irradiation Assisted Stress Corrosion Cracking
IBA	Intermediate Break Accident
IBC	International Building Code
IC	Ion Chamber
IC	Isolation Condenser
ICC	International Code Council
ICD	Interface Control Diagram
ICGT	In-core Guide Tubes
ICP	Instrument and Control Power
ICPR	Initial Critical Power Ratio
ICPSS	I&C Power Supply System
ICS	Isolation Condenser System
IDPVO	Inadvertent Depressurization Valve Opening
IE	Inspection and Enforcement
IEB	Inspection and Enforcement Bulletin
IEC	International Electrotechnical Commission
IED	Instrument and Electrical Diagram
IEEE	Institute of Electrical and Electronic Engineers
IESNA	Illuminating Engineering Society of North America
IFTS	Inclined Fuel Transfer System
IGSCC	Intergranular Stress Corrosion Cracking
IIS	Iron Injection System
ILRT	Integrated Leak Rate Test
IMC	Induction motor control
IMCC	Induction motor controller cabinet
IOP	Integrated Operating Procedure
I/O	Input/Output
IOSRV	Inadvertent Opening of a SRV
IOT	Integrated Operating Transient
IMC	Induction Motor Controller
IMCC	Induction Motor Controller Cabinet
IRM	Intermediate Range Monitor
ISA	Instrument Society of America



### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
ISI	In-Service Inspection
ISLT	In-Service Leak Test
ISM	Independent Support Motion
ISMA	Independent Support Motion Response Spectrum Analysis
ISRVO	Independent Safety Relief Valve Opening
ISO	International Standards Organization
ITA	Inspections, Tests or Analyses
ITAAC	Inspections, Tests, Analyses and Acceptance Criteria
ITA	Initial Test Program
IVR	In-Vessel Retention
JAPC	Japan Atomic Power Company
LANL	Los Alamos National Laboratory
LAPP	Loss of Alternate Preferred Power
LB	Lower Bound
LBB	Leak Before Break
LBL	Large Break LOCA
LCF	Low Cycle Fatigue
LCO	Limiting Conditions for Operation
LCS	Leakage Control System
LCW	Low Conductivity Waste
LD	Logic Diagram
LDA	Lay down Area
LDW	Lower Drywell
LD&IS	Leak Detection and Isolation System
LED	Light Emitting Diode
LERF	Large Early Release Frequency
LFCV	Low Flow Control Valve
LHGR	Linear Heat Generation Rate
LLRT	Local Leak Rate Test
LMU	Local Multiplexer Unit
LO	Dirty/Clean Lube Oil Storage Tank
LOCA	Loss-of-Coolant-Accident
LOFW	Loss-of-feedwater
LOOP	Loss of Offsite Power
LOPP	Loss of Preferred Power
LP	Low Pressure
LPCI	Low Pressure Coolant Injection
LPCRD	Locking Piston Control Rod Drive
LPFL	Low Pressure Flooder

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
LPMS	Loose Parts Monitoring System
LPRM	Local Power Range Monitor
LPSP	Low Power Setpoint
LPZ	Low Population Zone
LUA	Lead Use Assembly
LWMS	Liquid Waste Management System
MAAP	Modular Accident Analysis Program
MAPLHGR	Maximum Average Planar Linear Head Generation Rate
MAPRAT	Maximum Average Planar Ratio
MBB	Motor Built-In Brake
MCC	Motor Control Center
MCCI	Molten Corium-Concrete Interaction
MCES	Main Condenser Evacuation System
MCOP	Manual containment overpressure protection (function)
MCM	One thousand circular mils (cross-sectional wire size)
MCPR	Minimum Critical Power Ratio
MCR	Main Control Room
MCRP	Main Control Room Panel
MELB	Moderate Energy Line Break
MFAP	Main Fire Alarm Panel
MIT	Massachusetts Institute of Technology
MLHGR	Maximum Linear Heat Generation Rate
MMI	Man-Machine Interface
MMIS	Man-Machine Interface Systems
MOC	Middle of Cycle
MOV	Motor-Operated
MOV	Motor-Operated Valve
MPC	Maximum Permissible Concentration
MPI	Balance of Plant terminology established by COL applicant
MPL	Master Parts List
MRBM	Multi-Channel Rod Block Monitor
MS	Main Steam
MSIV	Main Steam Isolation Valve
MSL	Main Steam Line
MSLB	Main Steam Line Break
MSLBA	Main Steam Line Break Accident
MSR	Moisture Separator Reheater
MSS	Manufacturers Standardization Society
MSV	Mean Square Voltage

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
MT	Main Transformer
MTTR	Mean Time To Repair
MCP	Mechanical Vacuum Pump
MWS	Makeup Water System
MVD	Medium Voltage Distribution System
MVP	Mechanical Vacuum Pump
MWS	Makeup Water System
NBR	Nuclear Boiler Rated
NBS	Nuclear Boiler System
NCIG	Nuclear Construction Issues Group
NDE	Nondestructive Examination
NE-DCIS	Non-Essential Distributed Control and Information System
NEMA	National Electric Manufacturers' Code
NDRC	National Defense Research Committee
NDT	Nil Ductility Temperature
NDTT	Nil Ductility Transition Temperature
NEMA	National Electrical Manufacturers Association
NFPA	National Fire Protection Association
NIST	National Institute of Standard Technology
NICWS	Nuclear Island Chilled Water Subsystem
NLF	Non-LOCA Fault
NIM	Network Interface Module
NMS	Neutron Monitoring System
NOAA	National Ocean and Atmospheric Administration
NOV	Nitrogen Operated Valve
NPHS	Normal Power Heat Sink
NPSH	Net Positive Suction Head
NRC	Nuclear Regulatory Commission
NRHX	Non-Regenerative Heat Exchanger
NS	Non-seismic (non-seismic Category I)
NSOA	Nuclear Safety Operational Analysis
NSSFC	National Severe Storms Forecast Center
NSSS	Nuclear Steam Supply System
NT	Nitrogen Storage Tank
NTSP	Nominal Trip Setpoint
NWS	National Weather Service
O&M	Operation and Maintenance
O-RAP	Operational Reliability Assurance Program
OBCV	Overboard Control Valve

## Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
OBE	Operating Basis Earthquake
OELD	Office of the Executive Legal Director
OGS	Offgas System
OHLHS	Overhead Heavy Load Handling System
OIS	Oxygen Injection System
OLMCPR	Operating Limit Minimum Critical Power Ratio
OLMLHGR	Operating Limit Minimum Linear Heat Generation Rate
OLU	Output Logic Unit
OOS	Out-of-Service
OPRM	Oscillation Power Range Monitor
ORNL	Oak Ridge National Laboratory
OSC	Operational Support Center
OSHA	Occupational Safety and Health Administration
OSI	Open Systems Interconnect
OSTUL	One-Sided Upper Tolerance Level
P&ID	Piping and Instrumentation Diagram
PA/PL	Page/Party-Line
PABX	Private Automatic Branch (Telephone) Exchange
PAM	Post Accident Monitoring
PAR	Passive Autocatalytic Recombiner
PAS	Plant Automation System
PASS	Post Accident Sampling Subsystem of Containment Monitoring System
P/C	Power Center
PCC	Passive Containment Cooling
PCCS	Passive Containment Cooling System
PCD	Plant Configuration Database
PCF	Plant Computer Functions
PCT	Peak Cladding Temperature
PCV	Primary Containment Vessel
PDA	Piping Design Analysis
PFD	Process Flow Diagram
PG	Power Generation
PGA	Peak Ground Acceleration
PGCS	Power Generation and Control Subsystem of Plant Automation System
PH	H <sup>+</sup> ion concentration
PH	Pump House
PIP	Position Indicator Probe or Plant Investment Protection
PIRT	Phenomena Identification and Ranking Table
PL	Parking Lot

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
PLC	Programmable Logic Controller
PM	Preventive Maintenance
PMCS	Performance Monitoring and Control Subsystem of NE-DCIS
PMF	Probable Maximum Flood
PMH	Probable Maximum Hurricane
PMP	Probable Maximum Precipitation
Ppb	parts per billion
PQCL	Product Quality Check List
PRA	Probabilistic Risk Assessment
PRDF	Pressure Regulator Downscale Failure
PROM	Programmable Read-Only Memory
PRMS	Process Radiation Monitoring System
PRNM	Power Range Neutron Monitoring
PS	Plant Stack or Pool Swell
PSA	Probabilistic Safety Assessment
PSD	Power Spectral Density
PSHA	Probabilistic Seismic Hazard Analysis
PSS	Process Sampling System
PSTF	Pressure Suppression Test Facility
PSW	Plant Service Water
PSWS	Plant Service Water System
PT	Pressure Transmitter
PWR	Pressurized Water Reactor
QA	Quality Assurance
QAPD	Quality Assurance Program Document
QA/QC	Quality Assurance/Quality Control
QG	Quality Group
RACS	Rod Action Control Subsystem
R	Difference between $k_{\text{eff}}$ at BOC& maximum calculated strongest rod out $k_{\text{eff}}$ at any exposure point
RAM	Reliability, Availability and Maintainability
RAPI	Rod Action and Position Information
RAT	Reserve Auxiliary Transformer
RB	Reactor Building
RBC	Rod Brake Controller
RBCC	Rod Brake Controller Cabinet
RBCWS	Reactor Building Chilled Water Subsystem
RBFB	Reactor Building Fuel Building
RBHV	Reactor Building HVAC (Heating, Ventilation and Air Conditioning)

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
RBHVS	Reactor Building HVAC System
RBS	Rod Block Setpoint
RBV	Reactor Building Vibration
RC&IS	Rod Control and Information System
RCC	Remote Communication Cabinet
RCCV	Reinforced Concrete Containment Vessel
RCCW	Reactor Component Cooling Water
RCCWS	Reactor Component Cooling Water System
RCPB	Reactor Coolant Pressure Boundary
RCS	Reactor Coolant System
RDA	Rod Drop Accident
RDC	Resolver-to-Digital Converter
REPAVS	Refueling and Pool Area Ventilation Subsystem of Fuel Building HVAC (Heating, Ventilation and Air Conditioning)
RFP	Reactor Feed Pump
RG	Regulatory Guide
RGT	Radcal Gamma Thermometer
RHR	Residual Heat Removal (function)
RHX	Regenerative Heat Exchanger
RMS	Root Mean Square
RMS	Radiation Monitoring Subsystem
RLP	Reference Loading Pattern
RMU	Remote Multiplexer Unit
RP	Report Generator (RG)
RO	Reverse Osmosis
ROAAM	Risk-Oriented Accident Analysis Methodology
ROM	Read-only Memory
RPS	Reactor Protection System
RPV	Reactor Pressure Vessel
RRPS	Reference Rod Pull Sequence
RRS	Required Response Spectra
RSM	Rod Server Module
RSPC	Rod Server Processing Channel
RSS	Remote Shutdown System
RSSM	Reed Switch Sensor Module
RSW	Reactor Shield Wall
RTD	Resistance Temperature Detector
RTIF	Reactor Trip and Isolation Function(s)
RTNSS	Regulatory Treatment of Non-Safety Systems

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
RT <sub>NDT</sub>	Reference Temperature of Nil-Ductility Transition
RTP	Reactor Thermal Power
RVs	Relief Valves
RW	Radwaste Building
RWBCR	Radwaste Building Control Room
RWBGA	Radwaste Building General Area
RWBHVAC	Radwaste Building HVAC (Heating, Ventilation and Air Conditioning)
RWCU/SDC	Reactor Water Cleanup/Shutdown Cooling
RWE	Rod Withdrawal Error
RWM	Rod Worth Minimizer
SA	Severe Accident
SACF	Single Active Component Failure
SAG	Sever Accident Guidelines
SAM	Severe Accident Management or Seismic Anchor Motion
SAR	Safety Analysis Report
SAT	Severe Accident Treatment
SAW	Submerged Arc Welding
SB	Service Building
SBA	Small Break Accident
S/C	Digital Gamma-Sensitive GM (Geiger-Mueller Counter) Detector
SC	Suppression Chamber
S/D	Scintillation Detector
S/DRSRO	Single/Dual Rod Sequence Restriction Override
S/N	Signal-to-Noise
S/P	Suppression Pool
SAS	Service Air System
SB&PC	Steam Bypass and Pressure Control System
SBL	Small Line Break
SBO	Station Blackout
SBWR	Simplified Boiling Water Reactor
SCEW	System Component Evaluation Work
SCMP	Software Configuration Management Plan
SCS	Soil Conservation Service
SCRRI	Selected Control Rod Run-in
SDC	Shutdown Cooling
SDM	Shutdown Margin
SDS	System Design Specification
SEOA	Sealed Emergency Operating Area
SER	Safety Evaluation Report

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
SF	Service Water Building
SFA	Spent Fuel Assembly
SFP	Spent fuel pool
SIL	Service Information Letter
SIP	Separation Indicator Probe
SIT	Structural Integrity Test
SIU	Signal Interface Unit
SJAE	Steam Jet Air Ejector
SLC	Standby Liquid Control
SLCS	Standby Liquid Control System
SLMCPR	Safety Limit Minimum Critical Power Ratio
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SMAW	Shielded Metal Arc Welding
SMU	SSLC (Safety System Logic and Control) Multiplexing Unit
SMP	Software Management Plan
SOSRV	Stuck Open Safety Relief Valve
SOT	System Operational Transient
SOV	Solenoid Operated Valve
SP	Setpoint
S/P	Suppression Pool
SPC	Suppression Pool Cooling
SPDS	Safety Parameter Display System
SPTMS	Suppression Pool Temperature Monitoring Subsystem of Containment Monitoring System
SQA	Software Quality Assurance
SQAP	Software Quality Assurance Program
SR	Surveillance Requirement
SRM	Source Range Monitor
SRNM	Startup Range Neutron Monitor
SRO	Senior Reactor Operator
SRP	Standard Review Plan or Savannah River Plant
SRS	Software Requirements Specification
SRSRO	Single Rod Sequence Restriction Override
SRSS	Square Root Sum of Squares
SRV	Safety Relief Valve
SRVDL	Safety Relief Valve Discharge Line
SSAR	Standard Safety Analysis Report
SS	Sub-scale
SST	Sub-scale Test
SSC(s)	Structure, System and Component(s)



### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
SSE	Safe Shutdown Earthquake
SSI	Soil Structure Interaction
SSLC	Safety System Logic and Control
SSP	Software Safety Plan
SSPC	Steel Structures Painting Council
SSS	Balance of Plant terminology established by COL applicant
ST	Spare Transformer
STI	Startup Test Instruction
STP	Sewage Treatment Plant
STRAP	Scram Time Recording and Analysis Panel
STRP	Scram Time Recording Panel
SV	Safety Valve
SVTP	Software Test Plan
SWH	Static Water Head
SWMS	Solid Waste Management System
SY	Switch Yard
TAF	Top of Active Fuel
TASS	Turbine Auxiliary Steam System
TB	Turbine Building
TBCE	Turbine Building Compartment Exhaust
TBAS	Turbine Building Air Supply
TBDRE	Turbine Building Decontamination Room Exhaust
TBE	Turbine Building Exhaust
TBLOE	Turbine Building Lube Oil Area Exhaust
TBS	Turbine Bypass System
TBHV	Turbine Building HVAC (Heating, Ventilation and Air Conditioning)
TBHVACS	Turbine Building HVAC System
TBV	Turbine Bypass Valve
TC	Training Center
TCCWS	Turbine Component Cooling Water System
TCS	Turbine Control System
TCV	Turbine Control Valve
TDH	Total Developed Head, Torispherical Drywell Head
TEDE	Total Effective Dose Equivalent
TEMA	Tubular Exchanger Manufacturers' Association
TFSP	Turbine First Stage Pressure
TG	Turbine Generator
TGSS	Turbine Gland Seal System

### Global Abbreviations And Acronyms List

<b><u>Term</u></b>	<b><u>Definition</u></b>
THA	Time-History Accelerograph
TIA	Telecommunications Industry Association
TIP	Traversing In-core Probe
TLOS	Turbine Lubricating Oil System
TLU	Trip Logic Unit
TMI	Three Mile Island
TMSS	Turbine Main Steam System
TPM&D	Thermal Performance Monitor and Diagnostic
TRAC	Transient Reactor Analysis Code
TRM	Technical Requirements Manual
TS	Technical Specification(s)
TSC	Technical Support Center
TSCHVACS	TSC HVAC Subsystem
TSI	Turbine Supervisory Instrument
TSM	Tech Spec Monitoring
TSV	Turbine Stop Valve
TTWFATBV	Turbine trip with failure of all bypass valves
UAT	Unit Auxiliary Transformer
UB	Upper Bound
UBC	Uniform Building Code
UCB	University of California at Berkeley
UCSB	University of California at Santa Barbara
UHS	Ultimate Heat Sink
UL	Underwriter's Laboratories Inc.
UPS	Uninterruptible Power Supply
UPSS	Uninterruptible Power Supply System
URD	Utilities Requirements Document
USE	Upper Shelf Energy
USGS	US Geological Survey
USI	Unresolved Safety Issue
USM	Uniform Support Motion
USMA	Uniform Support Motion Response Spectrum Analysis
USNRC	United States Nuclear Regulatory Commission
USS	United States Standard
UTM	Universal Transverse Mercator system (as found on USGS topographical maps)
UV	Ultraviolet
V&V	Verification and Validation
V&VP	Verification and Validation Plans
Vac / VAC	Volts Alternating Current

**Global Abbreviations And Acronyms List**

<b><u>Term</u></b>	<b><u>Definition</u></b>
Vdc / VDC	Volts Direct Current
VDU	Video Display Unit or Visual Display Unit
VLU	Voter Logic Unit
VT	Vertical
VW	Vent Wall
VWO	Valves Wide Open
WD	Wash Down Bays
WH	Warehouse
WRL	Wide Range Level
WS	Water Storage
WT	Water Treatment
WW	Wetwell
XMFR	Transformer
ZPA	Zero Period Acceleration

## 18. HUMAN FACTORS ENGINEERING

### 18.1 OVERVIEW

As discussed in SRP 18R1, this Chapter reviews the Human Factors Engineering (HFE) programs for the ESBWR. As discussed in Subsection 1.1.2.2: Per 10 CFR 52.45, this DCD Tier 2 supports the Final Design Approval (FDA) and standard Design Certification (DC) for the ESBWR Standard Plant. In accordance with a standard design certification under Part 52, this Chapter provides technical information, which is technically relevant to the ESBWR design. The technical information includes the HFE program. However, as discussed in SRP 18R1, because technology is continually advancing, details of the HFE design need not be complete before the NRC issuance of a design certification. As such, this presentation under 10 CFR Part 52 primarily focuses on the HFE design process.

This chapter describes the ESBWR Human-System Interface (HSI) design goals and bases, the standard HSI design features and the detailed HSI design and implementation process for the ESBWR standard plant operator interface. The ESBWR Emergency Procedure Guidelines (EPGs) and the inventory of instrumentation and controls needed by the control room staff for the performance of Emergency Operating Procedures (EOPs) are also described. The incorporation of Human Factors Engineering (HFE) principles into all phases of the design of these interfaces is provided for as described in this chapter. The overall design and implementation process is described in detail in Licensing Topical Report, titled “Man Machine Interface System and Human Factors Engineering Implementation Plan” (GEEN NEDO-33217 October 2005). This presents a comprehensive, synergistic, iterative design approach for the development of human-centered control and information infrastructure for the ESBWR.

Section 18.1.4 contains a description of the main control room standard HSI design features and HSI technologies. The Remote Shutdown System is described in Section 18.1.5. Section 18.1.6 discusses how the systems that make up the HSI are integrated together and with the other systems of the plant. Section 18.1.7 discusses the detailed design implementation process. Section 18.1.8 discusses the HFE related COL license information requirement.

*HFE Program Goals* - The general objectives of the program can be stated in “human-centered” terms, which, as the HFE program develops, will be refined and used as a basis for HFE planning, test and evaluation activities. Generic “human-centered” HFE design goals include the following:

- personnel tasks can be accomplished within time and performance criteria
- the HSIs, procedures, staffing/qualifications, training and management and organizational support will support a high degree of operating crew situation awareness
- the plant design and allocation of functions will maintain operation vigilance and provide acceptable workload levels i.e., to minimize periods of operator underload and overload
- the operator interfaces will minimize operator error and will provide for error detection and
- recovery capability

*Assumptions and Constraints* - An assumption or constraint is an aspect of the design will identified, such as a:

- a. specific staffing plan or the use of specific HSI technology, that is an input to the HFE program
- b. rather than the result of HFE analyses and evaluations. The design assumptions and constraints

*Applicable Facilities* - The HFE program will address the main control room, remote shutdown facility, technical support center (TSC), emergency operations facility (EOF), and local control stations (LCSs).

*Applicable HSIs, Procedures and Training* - The applicable HSIs, procedures, and training included in the HFE program will include all operations, accident management, maintenance, test, inspection and surveillance interfaces (including procedures). This includes monitoring the designs being presented by ESBWR suppliers, to ensure that supplier design are consistent with the HFE requirements of the ESBWR HFE Program.

*Applicable Plant Personnel* - Plant personnel who will be addressed by the HFE program include licensed control room operators as defined in 10 CFR Part 55 and the following categories of personnel defined by 10 CFR 50.120: nonlicensed operators, shift supervisor, shift technical advisor, instrument and control technician, electrical maintenance personnel, mechanical maintenance personnel, radiological protection technician, chemistry technician, and engineering support personnel to the extent that they perform tasks that are directly related to plant safety.

The Man-Machine Interface System (M-MIS) will employ modern digital technology to implement the majority of the monitoring, control, and protection functions for the ESBWR. Description of the technology is contained in the ESBWR system documentation prepared for the ESBWR DCD. Segmentation of major functions, separation of redundant equipment within a segment, and use of fault tolerant equipment will provide reliability and protection against the propagation of failures. Application of signal validation to selected parameters will be used to assure plant operators have data of high quality. Multiplexed data communication will be used to reduce the cost and complexity of the instrumentation and control cable runs throughout the plant. The high accuracy and drift-free operation of the digital systems will reduce the overall maintenance calibration burden. Fiber optic cables for data transmission will be used to provide high data transmission rates with electrical isolation and protection from electromagnetic interference at reduced costs.

Standardization of hardware and software, and modularity of design will be used to simplify maintenance and provide protection against obsolescence.

It is expected that the M-MIS using modern technologies will result in significant cost savings over the life of the plant through higher availability factors, lower maintenance costs, and reduced inadvertent plant trips.

The same approach to the HSI will be taken toward the design of the technical support center, emergency operations facility, remote shutdown stations, and local control stations. The NE-DCIS documentation will define the data communications and display needs of the technical support center and emergency operations facility. The Remote Shutdown System documentation

will describe the capability of the remote shutdown stations to control and monitor the safe shutdown of the plant from outside the main control room.

The HSI design implementation activity will include the development of dynamic models for evaluating the overall plant response as well as individual control systems, including operator actions. These dynamic models will be:

- (1) Suitable for analyzing both steady state and transient behavior;
- (2) Used to confirm the design of the advanced alarm system concepts;
- (3) Used to confirm the adequacy of control schemes;
- (4) Used to confirm the allocation of control to an automatic system or operator;
- (5) Used to develop and validate plant operating procedures; and
- (6) Incorporated, as directly as possible, into plant general-purpose or limited use simulators.

A dynamic part-task simulator will be built to support the requirement for development of dynamic models. Using the part-task experience from the ABWR, an initial set of systems will be identified for modeling, including the development of the graphical user interfaces to be used by the operator. The part-task simulator will be used in preliminary ESBWR design and expanded to include ESBWR-unique design features. As the ESBWR design progresses, the part-task simulator will evolve through a series of iterative evaluations and will result in the development of a complete control room full scope simulator post COL phase. In addition, the simulator facility is intended to be the focal point for COL Applicant operator evaluations and feedback checkpoints throughout the entire M-MIS design process. Figure 3 denotes the intended sequence of these checkpoints within the process.

The general development of eleven key implementation plans, analyses, and evaluation of the following are identified and detailed in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 4):

- operating experience review
- functional requirements analysis and function allocation
- task analysis
- staffing and qualifications
- human reliability analysis
- HSI design
- procedure design
- training design
- human factors verification and validation
- design implementation
- human performance monitoring

ESBWR plans and execution will provide assurance that a modification to the reference ABWR to accommodate ESBWR changes will not compromise the ESBWR defense-in-depth and diversity (D-D&D) analysis for ESBWR. The D-D&D analysis will be a design input to the SFRA and will be iterated as any other engineering discipline. Important aspects of defense-in-depth are identified in RG 1.174, and will be evaluated include:

- A reasonable balance is preserved among prevention of core damage, prevention of containment failure, and consequence mitigation.
- There is no over-reliance on programmatic activities to compensate for weaknesses in plant design. This may be pertinent to changes in credited human actions (HAs).
- System redundancy, independence, and diversity are preserved commensurate with the expected frequency, consequences of challenges to the system, and uncertainties .
- Defenses against potential common cause failures are preserved, and the potential for the introduction of new common cause failure mechanisms is assessed. Caution should be exercised in crediting new HAs to verify that the possibility of significant common cause errors is not created.
- Independence of barriers is not degraded.
- Defenses against human errors are preserved. For example, establish procedures for a second check or independent verification for risk-important human actions (HAs) to determine that they have been performed correctly.
- The intent of the General Design Criteria (GDC) in Appendix A to 10 CFR Part 50 is maintained. GDC that may be relevant are 3 - Fire Protection, 13 - Instrumentation and Control, 17 - Electric Power Systems, 19 - Control Room, 35 - Emergency Core Cooling System, 38 - Containment Heat Removal, and 44 - Cooling Water.

Safety margins often used in deterministic analyses to account for uncertainty and provide an added margin to provide adequate assurance that the various limits or criteria important to safety are not violated. Such safety margins are typically not related to HAs, but the reviewer should take note to see if there are any that may apply to the particular case under review. It is also possible to add a safety margin (if desired) to the HA by demonstrating that the action can be performed within some time interval (or margin) that is less than the time identified by the analysis.

Design goals and design bases for the HSI in the main control room and in remote locations are established in Section 18.1.2. Section 18.1.4 contains a description of the main control room standard HSI design features and HSI technologies. The Remote Shutdown System is described in Section 18.1.5. Section 18.1.6 discusses how the systems that make up the HSI are integrated together and with the other systems of the plant. Section 18.1.7 discusses the detailed design implementation process. Section 18.1.8 discusses the HFE related COL license information requirement.

The ESBWR Emergency Procedure Guidelines (EPGs), which provide the basis for human factors evaluations of emergency operations, are contained in Appendix 18A. Appendix 18B discusses the differences between the ESBWR Emergency Procedure Guidelines and the U.S. Boiling Water Reactor Owners Group (BWROG) EPG/SAG Revision 2. The input data and

results of calculations performed during the preparation of the ESBWR Emergency Procedure Guidelines are contained in Appendix 18C. Appendices A, B and C are included for completeness in considering Human Factors in Design. The COL Holder will modify these EPGs/SAGs as appropriate for their plant and include them in Chapter 13 of their FSAR. Appendix 18D presents a characterization of a main control room HSI equipment implementation that incorporates the ESBWR standard design features discussed in Section 18.1.3. A general description of the design and implementation process for the ESBWR HSI is presented in Section 18.2 and in detail in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan). Appendix E is a short description of Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan). The inventory and supporting analysis of emergency operation information and controls specific to ESBWR will be developed in by COL and captured in Appendix 18F and 18H<sup>[TJ8]</sup>. The design development and validation testing of the standard control room design features equipment and configuration are described in Appendix 18G. [EAA10].



**18.1.1 Reference**

1. GEEN NEDO-33217, “Man Machine Interface System and Human Factors Engineering Implementation Plan”, October 2005

### 18.1.2 Design Goals and Design Bases

The primary goal for HSI designs is to facilitate safe, efficient and reliable operator performance during all phases of normal plant operation, abnormal events and accident conditions. To achieve this goal, information displays, controls and other interface devices in the control room and other plant areas are designed and are implemented in a manner consistent with good human factors engineering practices. Further, the following specific design bases are adopted:

- The proven M-MIS design of the reference ABWR plants will serve as a design basis for the ESBWR M-MIS implemented under this plan. It is recognized that different operational needs, human factors considerations, and industry standards, codes, and regulations exist between the reference plants and the ESBWR M-MIS implemented under this plan. An analysis of the design differences between the ABWR and ESBWR design will establish a Baseline Review Record.(BRR). Potential differences may lead to changes in M-MIS design, and these changes will be analyzed against the current ESBWR plans. Therefore, M-MIS design changes will be the result of ABWR-ESBWR plant differences and new requirements identified in the ESBWR specific plans. This approach will support a graded review under NUREG 0711 paragraph 1.4.
- During all phases of normal plant operation, abnormal events and emergency conditions, the ESBWR is operable by two reactor operators. In addition, the operating crew will include one assistant control room shift supervisor, one control room shift supervisor, and auxiliary equipment operators as required by task analysis. During accidents, technical assistance is available to the operating crew from personnel in the technical support center. Four licensed operators are on shift at all times, consistent with the staffing requirements of 10 CFR 50.54m. The main control room staff size and roles are evaluated and detailed in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan).
- The HSI design promotes efficient and reliable operation through expanded application of automated operation capabilities.
- The HSI design utilizes only proven technology.
- Safety-related systems monitoring and control capability is provided in full compliance with pertinent regulations regarding divisional separation and independence.
- The HSI design is highly reliable and provides functional redundancy such that sufficient displays and controls are available in the main control room and remote locations to conduct an orderly reactor shutdown and to cool the reactor to cold shutdown conditions, even during design basis equipment failures.
- The principal functions of the Safety Parameter Display System (SPDS) as required by Supplement 1 to NUREG-0737, is integrated into the HSI design.
- Accepted human factors engineering principles are utilized for the HSI design in meeting the relevant requirements of General Design Criterion 19.
- The design basis for the Remote Shutdown System is as specified in Section 7.4.

### 18.1.3 Planning, Development, and Design

An integrated program plan to incorporate Human Factors Engineering (HFE) principles and to achieve an integrated design of the control and instrumentation systems and HSI of the ESBWR was prepared and implemented. The HFE program plan, titled “Man-Machine Interface System And Human Factors Engineering Implementation Plan”, is provided in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan) and presents a comprehensive, synergistic design approach with provisions for task analyses and human factors evaluations. Also included are formal decision analysis procedures to facilitate selection of design features which satisfy top level requirements and goals of individual systems and the overall plant. Procedures developed as part of the program plan address the following areas:

- Development of system functional and performance requirements.
- Operating experience review
- Analysis of tasks and allocation of functions.
- Evaluation of human factors and human-machine interfaces.
- Human Reliability Analysis
- Design of hardware and software.
- Procedure development
- Training Program Development
- Verification and validation of hardware, software, and procedure development (refer to Chapter 13).
- Design Implementation
- Human Performance Monitoring

The program plan and the associated procedures provided guidance for the conduct of the ESBWR HSI design development activities, including (1) definition of the standard design features of the control room HSI and (2) definition of the inventory of controls and instrumentation necessary for the control room crew to follow the operation strategies given in the ESBWR Emergency Procedure Guidelines and to complete the important operator actions described in the Probabilistic Risk Assessment

#### ***18.1.3.1 Standard Design Features***

The ESBWR control room HSI design contains a group of standard features, which form the foundation for the detailed HSI design.

The development of the control room HSI standard design features was accomplished through consideration of existing control room operating experience; a review of trends in control room designs and existing control room data presentation methods; evaluation of new HSI technologies, alarm reduction and presentation methods; and validation testing of two dynamic control room prototypes. The prototypes were evaluated under simulated normal and abnormal reactor operating conditions and utilized experienced nuclear plant control room operators.

Following the completion of the prototype tests and employing their results, the standard control room HSI design features were finalized.

### ***18.1.3.2 Inventory of Controls and Instrumentation***

The ESBWR Emergency Procedure Guidelines (EPGs), presented in Appendix 18A, and the important operator actions identified in the Probabilistic Risk Assessment (PRA), presented in Chapter 19, provided the bases for an analysis of the information and control capability needs of the main control room operators based upon the operation strategies. This analysis defines a minimum set of controls, displays, and alarms which will enable the operating crew to perform the actions that would be specified in the Emergency Operating Procedures (EOPs) and the important operator actions identified in the PRA. The COL applicant will complete the analysis (Subsection 18.1.8).

### ***18.1.3.3 Detailed Design Implementation Process***

The process by which the detailed equipment design implementation of the ESBWR HSI is completed is discussed in Section 18.1.7 and in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 5). This process builds upon the standard HSI design features that are discussed in Subsections 18.1.3.1 and 18.1.3.2. Embedded in the process are a number of NRC conformance reviews in which various aspects and outputs of the process are evaluated against established acceptance criteria.

### **18.1.4 Control Room Standard Design Features**

This Section presents the standard design features of the Human System Interface (HSI) in the control room. The standard design features are based upon proven technologies and have been demonstrated, through broad scope control room dynamic simulation tests and evaluation, to satisfy the ESBWR HSI design goals and design bases as given in Section 18.1.2. The specific technologies utilized in the main control room HSI are listed in Subsection 18.1.4.2 and Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 3.4). Appendix 18D presents an example of a control room HSI design implementation that incorporates these design features. Validation of the implemented MCR design includes evaluation of the standard design features performed as part of the design implementation process as defined by the acceptance criteria derived from summary results reports produced under Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan).

#### ***18.1.4.1 Standard Design Feature Descriptions***

##### **18.1.4.1.1 Listing of Features**

The ESBWR control room HSI design incorporates the following standard features:

- (1) A single, integrated control console staffed by two operators; the console has a low profile such that the operators can see over the console from a seated position.
- (2) The use of computer system driven on-screen control Video Display Units (VDUs) for safety-related system monitoring and nonsafety-related system control and monitoring.
- (3) The use of a separate set of on-screen control VDUs for safety-related system control and monitoring (Essential DCIS) and separate on-screen control VDUs for nonsafety-related

system control and monitoring (Non-Essential DCIS); the operation of these two sets of VDUs is entirely independent. Further, the first set of VDUs and all equipment associated with their functions of safety-related system control and monitoring are divisionally separated and qualified to Class 1E standards.

- (4) The use of dedicated function switches on the control console.
- (5) Operator selectable automation of pre-defined plant operation sequences.
- (6) The incorporation of an operator selectable semi-automated mode of plant operations, which provides procedural guidance on the main control console VDUs but does not control plant systems and equipment.
- (7) The capability to conduct all plant operations in an operator manual mode.
- (8) The incorporation of a large display panel that presents information for use by the entire control room operating staff.
- (9) The inclusion on the large display panel of fixed-position displays of key plant parameters and major equipment status.
- (10) The inclusion in the fixed-position displays of both Class 1E-qualified and non-1E display elements.
- (11) The independence of the fixed-position displays from the Non-Essential Distributed Control and Instrumentation System (NE-DCIS).
- (12) The inclusion within the large display panel of a large VDU, which is driven by the Non-Essential Distributed Control and Instrumentation System (NE-DCIS).
- (13) The incorporation of a “monitoring only” supervisor’s console which includes VDUs on which display formats available to the operators on the main control console are also available to the supervisors.
- (14) The incorporation of the Safety Parameter Display System (SPDS) function as part of the plant status summary information, which is continuously displayed on the fixed-position displays on the large display panel.
- (15) A spatial arrangement between the large display panel, the main control console and the shift supervisor’s console, which allows the entire control room operating crew to conveniently view the information presented on the large display panel.
- (16) The use of fixed-position alarm tiles on the large display panel.
- (17) The application of alarm processing logic to prioritize alarm indications and to filter unnecessary alarms.
- (18) The use of VDUs to provide alarm information in addition to the alarm information provided through the fixed-position alarm tiles on the large display panel.

The COL applicant shall validate the design of each of the main control room standard design features.

The remainder of this subsection provides further descriptions of these standard design features.

#### 18.1.4.1.2 Main Control Console

The main control console (MCC) comprises the workstations for the two control room plant operators. It is configured such that each operator is provided with controls and monitoring information necessary to perform their assigned tasks and allows the operators to view all of the displays on the large display panel from a seated position.

The main control console, in concert with the large display panel, provides the controls and displays required to operate the plant during normal plant operations, abnormal events and emergencies. These main control console controls and displays include the following:

- On-screen control VDUs for safety-related system monitoring and nonsafety-related system control and monitoring, which are driven by the NE-DCIS.
- A separate set of on-screen controls VDUs for safety-related system control and monitoring and separate on-screen control VDUs for nonsafety-related system control and monitoring; the operation of these two sets of VDUs is entirely independent of the NE-DCIS. Further, the first set of VDUs and the equipment associated with their functions of safety-related system control and monitoring are divisionally separated and qualified to Class 1E standards.
- Dedicated function switches.

The main control console is also equipped with a limited set of dedicated displays for selected functions (e.g., the Turbine Control).

In addition to the above equipment, the main control console is equipped with both intra-plant and external communications equipment and a laydown space is provided for hard copies of procedures and other documents required by the operators during the performance of their duties.

#### 18.1.4.1.3 NE-DCIS Driven VDUs

A set of on-screen control VDUs is incorporated into the main control console design to support the following activities:

- Monitoring of plant systems, both safety-related and nonsafety-related.
- Control of nonsafety-related system components; and presentation of system and equipment alarm information.

This set of VDUs is driven by the plant NE\_DCIS. Thus, data collected by the NE-DCIS is available for monitoring on these VDUs. The available display formats can be displayed on any of these VDUs.

#### 18.1.4.1.4 DCIS Independent VDUs

Sets of VDUs that are independent of the NE-DCIS are also installed on the main control console. Independent processors drive these VDUs. They are divided into two subsets:

- (1) The first subset consists of those VDUs that are dedicated, divisionally separated devices. The VDUs in this group can only be used for monitoring and control of equipment within a given safety division. The VDUs are qualified, along with their supporting display processing equipment, to Class 1E standards.

- (2) The second subset of NE-DCIS independent VDUs is used for monitoring and control of nonsafety-related plant systems. The VDUs in this subset are not qualified to Class 1E standards.

#### **18.1.4.1.5 Dedicated Function Switches**

Dedicated function switches are installed on the main control console. These devices provide faster access and feedback compared to that obtainable with soft controls. These dedicated switches are implemented in hardware, so that they are located in a fixed position and are dedicated in the sense that each individual switch is used only for a single function, or for two very closely related functions (e.g., valve open/close).

The dedicated function switches on the main control console are used to support such functions as initiation of automated sequences of safety-related and nonsafety-related system operations, manual scram and reactor operating mode changes.

#### **18.1.4.1.6 Automation Design**

The ESBWR incorporates selected automation of the operations required during a normal plant startup/shutdown and during normal power range maneuvers. Subsection 7.7 describes the Plant Automation System (PAS) function that is the primary ESBWR function for implementing the automation features for normal ESBWR plant operations.

##### **18.1.4.1.6.1 Automatic Operation**

When placed in automatic mode, the PAS performs sequences of automated plant operations by sending supervisory mode change commands and set point changes to lower-level, nonsafety-related plant system controllers. The PAS cannot directly change the status of a safety-related system. When a change in the status of a safety-related system is required to complete the selected operation sequence, the PAS provides prompts to guide the operator in manually performing the change using the appropriate safety-related operator interface controls on the main control console.

The operator can stop an automatic operation at any time. The PAS logic also monitors plant status, and will automatically revert to manual operating mode when a major change in plant status occurs (e.g., reactor scram or turbine trip). When such abnormal plant conditions occur, PAS automatic operation is suspended and the logic in the individual plant systems and equipment directs the automatic response to the plant conditions. Similarly, in the event that the operational status of the PAS or interfacing systems changes (e.g., equipment failures), operation reverts to manual operating mode. When conditions permit, the operator may manually reinstate PAS automatic operation.

The COL applicant shall evaluate the effects of automation strategies on operator reliability and the appropriateness of the ESBWR automation design. Also, COL applicant shall consider malfunctions of the PAS.

##### **18.1.4.1.6.2 Semi-Automated Operation**

The PAS also includes a semi-automatic operational mode, which provides automatic operator guidance for accomplishing the desired normal changes in plant status; however, in this mode, the PAS performs no control actions. The operator must activate the necessary system and

equipment controls for the semi-automatic sequence to proceed. The PAS monitors the plant status during the semi-automatic mode in order to check the progression of the semi-automatic sequence and to determine the appropriate operator guidance to be activated.

#### **18.1.4.1.6.3 Manual Operation**

The manual mode of operation in the ESBWR corresponds to the manual operations of conventional BWR designs in which the operator determines and executes the appropriate plant control actions without the benefit of computer-based operator aids. The manual mode provides a default operating mode in the event of an abnormal condition in the plant. The operator can completely stop an automated operation at any time by simply selecting the manual-operating mode. The PAS logic will also automatically revert to manual mode when abnormal conditions occur.

#### **18.1.4.1.7 Large Display Panel**

The large display panel provides information on overall plant status with real-time data during all phases of plant operation. The information on the large display panel can be viewed from the main control console and the supervisor's console. The large display panel includes fixed-position displays, a variable display and spatially dedicated alarm windows.

#### **18.1.4.1.8 Fixed-Position Display**

The fixed-position portion of the large display panel provides key plant information for viewing by the entire control room staff. The dynamic display elements of the fixed-position displays are driven by dedicated microprocessor-based controllers, which are independent of the NE-DCIS

Those portions of the large display panel, which present safety-related information, are qualified to Class 1E standards. The COL applicant shall address the human factors aspects of TMI Item I.E.3, Safety System Status Monitoring.

The information presented in the fixed-position displays includes the critical plant parameter information, as defined by the SPDS requirements of NUREG-0737, Supplement 1, and the Type A Post-Accident Monitoring (PAM) instrumentation required by Regulation Guide 1.97. (Refer to Section 7.5 for a discussion of the PAM variables.)

#### **18.1.4.1.9 Large Variable Display**

The large variable display that is included on the large display panel is a VDU, which is driven by the plant NE-DCIS. Any screen format resident in the NE-DCIS can be shown on this large variable display.

#### **18.1.4.1.10 Supervisors' Console**

A console provided for the control room supervisors is equipped with VDUs on which any screen format resident in the NE-DCIS and available to the operators at the main control console is also available to the supervisors.

#### **18.1.4.1.11 Safety Parameter Display System**

NUREG-0737 provides guidance for implementing Three Mile Island (TMI) action items. NUREG-0737, Supplement 1, clarifies the TMI action items related to emergency response



capability, including item I.D.2, “Safety Parameter Display System” (SPDS). The principal purpose of the SPDS is to aid control room personnel during abnormal and emergency conditions in determining the safety status of the plant and in assessing whether abnormal conditions warrant corrective action by operators to prevent core damage. During emergencies, the SPDS serves as an aid in evaluating the current safety status of the plant, in executing symptom-based emergency operating procedures, and in monitoring the impact of engineered safeguards or mitigation activities. Selection of the parameters for inclusion in the SPDS display is based upon the ESBWR Emergency Procedure Guidelines (EPGs, Appendix 18A). The SPDS also operates during normal operation, continuously displaying information from which the plant safety status can be readily and reliably assessed. The ESBWR does not provide a separate SPDS, but rather, the principal functions of the SPDS (as required by NUREG-0737, Supplement 1) are integrated into the overall control room display capabilities. Displays of critical plant variables sufficient to provide information to plant operators about the following critical safety functions are provided on the large display panel as an integral part of the fixed-position displays:

- Reactivity control.
- Reactor core cooling and heat removal from the primary system.
- Reactor coolant system integrity.
- Radioactivity control; and Containment conditions.

Displays to assist the plant operator in execution of symptom-based emergency operating procedures are available at the main control console VDUs. Examples of these VDU displays are trend plots and operator guidance. Information regarding entry conditions to the symptomatic emergency procedures is provided through the fixed-position display of the critical plant parameters on the large display panel. The critical plant parameters on the large display panel are also viewable from the control room supervisor’s monitoring station. The supplemental SPDS displays on the VDUs on the main control console are also accessible at the control room supervisor’s monitoring station and may be provided in the Technical Support Center (TSC) and, optionally, in the Emergency Operations Facility (EOF). (Refer to Section 13.3 for the requirements on the TSC and EOF.)

Entry conditions to the symptom-based Emergency Operating Procedures (EOPs) are annunciated on the dedicated hardware alarm windows on the large display panel. The large display panel also displays the containment isolation status, safety-related systems status, and the following critical parameters:

- (1) Reactor Pressure Vessel (RPV) pressure.
- (2) RPV water level.
- (3) Core neutron flux (startup range and power range instruments).
- (4) Suppression pool temperature.
- (5) Suppression pool water level.
- (6) Drywell temperature.
- (7) Drywell pressure.
- (8) Drywell water level.

- (9) Control rod scram status.
- (10) Drywell oxygen concentration. (when monitors are in operation)
- (11) Drywell hydrogen concentration (when monitors are in operation)
- (12) Wetwell oxygen concentration (when monitors are in operation)
- (13) Wetwell hydrogen concentration (when monitors are in operation), and
- (14) Containment radiation levels.

The oxygen monitoring instrumentation system is normally in continuous operation and hence the large display panel also includes continuous fixed-position display of wetwell and drywell oxygen concentrations. The hydrogen monitoring instrumentation is automatically started on a Loss-Of-Coolant Accident (LOCA) signal and, hence, continuous display is not required. Additional post-accident monitoring parameters, such as effluent stack radioactivity release (refer to Section 7.5 for a list of post-accident monitoring parameters), may be displayed at the large variable display or at the main control console VDUs on demand by the operator.

The SPDS is required to be designed so that the displayed information can be readily perceived and comprehended by the control room operating crew. Compliance with this requirement is assured because of the incorporation of accepted human factors engineering principles into the overall control room design implementation process (refer to Subsection 18.1.3.3 for a discussion of the design implementation process).

All of the continuously displayed information necessary to satisfy the requirements for the SPDS, as defined in NUREG-0737, Supplement 1, is a COL information requirement.

The COL applicant shall evaluate the SPDS against the requirements of Paragraph 3.8a of NUREG-0737, Supplement 1, and confirm that the design meets the applicable criteria.

#### **18.1.4.1.12 Fixed-Position Alarms**

Specially dedicated fixed-position alarm tiles on the large display panel annunciate the key, plant-level alarm conditions that indicate entry into the emergency operating procedures or otherwise potentially affect plant availability or plant safety, or indicate the need of immediate operator action.

#### **18.1.4.1.13 Alarm Processing Logic**

Alarm prioritization and filtering logic is employed in the ESBWR design to enhance the presentation of meaningful alarm information to the operator and reduce the amount of information, which the operators must absorb, and process during abnormal events.

Alarm prioritizing is accomplished in the ESBWR through the designation of three categories of alarm signals. The first of these is the important alarms. These are defined as those alarms, which notify the operators of changes in plant status regarding safety, and include those items, which are to be checked in the event of accidents or anticipated operational occurrences.

The second category is the system-specific alarms, which are provided to notify the operators of system-level abnormalities or non-normal system statuses. Examples of these are:

- Main pump trips caused by system process, power source or control abnormalities.

- Valve closures in cooling or supply lines.
- Decreases in supply process values.
- Loss of a backup system.
- System isolation.
- Bypassing safety-related systems and systems which are undergoing testing.

Equipment alarms make up the third category of alarms in the prioritizing scheme.

Alarm suppression in the ESBWR is based upon the following concepts:

- Suppression based on the operating mode. The plant-operating mode is defined on the basis of the hardware or process status, and alarms that are not relevant to the current operating mode are suppressed. For example, alarms that are needed in the “RUN” mode but are unnecessary in the “SHUTDOWN” mode are suppressed.
- Suppression of subsidiary alarms. Alarms are suppressed if they are logically consequent to the state of operation of the hardware or to the process status. For example, scram initiation (a plant-level alarm condition announced with a fixed-position alarm tile on the large display panel) will logically lead to a fine motion control rod drive (FMCRD) hydraulic control unit scram accumulator low pressure (also an alarm condition). Such subsidiary alarms are suppressed if they simply signify logical consequences of the systems operation.
- Suppression of redundant alarms. When there are overlapping alarms, such as “high” and “high-high” or “low” and “low-low”. Only the most severe of the conditions is alarmed and the others are suppressed.

Operators may activate or deactivate the alarm suppression logic at any time.

#### **18.1.4.1.14 Equipment Alarms**

Alarms that are not indicated by fixed-position alarm tiles on the large display panel (i.e., those alarms of nominally lower level importance such as those related to specific equipment status) are displayed to the control room operating staff through the main control console VDUs. The supplemental alarm indications and supporting information regarding the plant-level alarms, which are presented on the large display panel, are also presented on the VDUs.

#### **18.1.4.1.15 Control Room Arrangement**

In the ESBWR main control room arrangement, the main control console is located directly in front of the large display panel for optimum viewing efficiency by the plant operators seated at the main console. The shift supervisor’s console is also placed in front of the large display panel, but at a somewhat greater distance than the main control console. The shift supervisor is, thus, in a position behind the control console operators. This arrangement allows all control room personnel to view the contents of the large panel displays (see Figure 18D-1).

#### **18.1.4.2 Control Room HSI Technology**

The ESBWR main control room standard design features described in the preceding subsections include, in their design, equipment that utilizes a variety of technologies to control and monitor

the plant processes. This subsection provides a summary listing and description of the technologies, which are utilized in these control and monitoring functions. For this purpose, the term “technology” is taken to have the following definition: “The equipment, including both hardware and software, employed to directly accomplish the functions of control and monitoring of the plant processes”.

Hardware such as consoles, panels, cabinets, control room lighting, Heating Ventilation Air Conditioning (HVAC) and plant communication equipment, which has a supporting role but is not directly involved in the control and monitoring processes, is excluded.

The scope of this section is limited to the main control room and the remote shutdown station areas of the plant and includes all technology, regardless of use in prior designs.

The list format includes a brief description of each item of equipment:

- (1) Hardware switches such as multi-position rotary, pushbutton, rocker, toggle and pull-to-lock types.
- (2) Soft switch, the functions of which may be changed through the execution of software functions.
- (3) Continuous adjustment controls, such as rotary controls and thumbwheels.
- (4) Visual Display Units (VDUs) with full color screens, including large reverse projection screens, cathode ray tubes and flat panel display screens.
- (5) On-screen control utilized with the units in 2 and 4, above.
- (6) VDU screen format such as large screen optical projection display formats; text displays, including menus and tabular information and graphical displays, including trend plots, 2-D Plots, P&IDs and other diagrams and pictorial information.
- (7) Analog meters which employ a hardware medium to pictorially or graphically present quantitative and qualitative information concerning plant process parameters; this includes analog meters using digitally controlled Light Emitting Diodes (LEDs) and digital readouts.
- (8) Fixed-position digital displays which present alphanumeric information in a hardware medium. These can be back-lit.
- (9) Fixed-position hardware mimic displays which schematically represent plant systems and components and their relationships utilizing pictorial elements, labels and indicator lights.
- (10) Fixed-position alarm tiles which use light to indicate the alarm state.
- (11) An audio signal system that is coordinated to the alarm tiles in #10, above, and utilizes prioritization and alarm reduction logic and pre-defined set points to alert operators to plant status changes.
- (12) Printers and printer/plotters used to provide hard copy output in the form of plots, logs and text.
- (13) Keyboards that are composed of alphanumeric and/or assignable function keys and function as computer input devices.

### **18.1.5 Remote Shutdown System**

The Remote Shutdown System (RSS) provides a means to safely shut down the plant from outside the main control room. It provides control of the plant systems needed to bring the plant to hot shutdown, with the subsequent capability to attain cold shutdown, in the event that the control room becomes uninhabitable.

The RSS design is described in Section 7.4.2. All of the controls and instrumentation required for RSS operation are identified in Section 7.4.2 and in Figure 7.4-2.

The COL applicant shall evaluate alternate design approaches for reliability and confirmation of the adequacy of the RSS design.

### **18.1.6 Systems Integration**

#### ***18.1.6.1 Safety-Related Systems***

The operator interfaces with the safety-related systems through a variety of methods. Dedicated hardware switches are used for system initiation and logic reset, while system mode changes are made with other hardware switches. Safety-related VDUs provide capability for individual safety equipment control, status display and monitoring; nonsafety-related VDUs are used for additional safety-related system monitoring. The large fixed-position display provides plant overview information. Instrumentation and control aspects of the microprocessor-based Safety System Logic and Control (SSLC) are described in Section 7.3.4.

Divisional separation for control, alarm and display equipment is maintained. The SSLC processors provide alarm signals to their respective safety-related alarm processors and provide display information to the divisionally dedicated VDUs. The SSLC microprocessors communicate with their respective divisional VDU controllers through Essential Distributed Control and Information System (E-DCIS). The divisional VDUs have on-screen control capability and are classified as safety-related equipment. These VDUs provide control and display capabilities for individual safety systems if control of a system component is required.

Divisional isolation devices are provided between the safety-related systems and nonsafety-related communication networks so that failures in the nonsafety-related equipment will have no impact on the ability of the safety-related systems to perform their design functions. The nonsafety-related communication network is part of Non-Essential Distributed Control and Information System (NE-DCIS) described in Section 7.7.7.

Safety-related system process parameters, alarms and system status information from the SSLC are communicated to the NE-DCIS through isolation devices for use by other equipment connected to the communication network. Selected operator control functions are performed through dedicated hardware control switches, which are Class 1E qualified and divisionally separated on the main control console. These hardware switches communicate with the safety-related systems logic units through hardwire transmission lines.

The divisionally dedicated VDUs are classified as safety-related equipment. These VDUs provide control and display capabilities for individual safety-related systems if control of a system component is required. Normally, such control actions are performed for equipment surveillance purposes only, as the normal method of system control is through the mode-oriented master sequence switches.

### ***18.1.6.2 Nonsafety-Related Systems***

Operational control of nonsafety-related systems is accomplished using nonsafety-related, on-screen control VDUs and dedicated hardware switches. Hardware switches for nonsafety-related equipment on the MCC are connected with nonsafety-related systems logic units by hardwired cabling.

Nonsafety-related data is processed through the NE-DCIS, which provides redundant and distributed control and instrumentation data communications network to support the monitoring and control of interfacing plant systems.

Alarms for entry conditions into the emergency operating procedures are provided by the alarm processing units, both safety and nonsafety-related. Equipment level alarm information is presented by the computer system on the MCC VDUs.

The fixed position wide display panel (WDP) provides the critical plant operating information such as power, water level, temperature, pressure, flow and status of major equipment and availability of safety systems with mimic on the main control room during plant normal, abnormal and emergency operating condition.

### **18.1.7 Detailed Design of the Operator Interface System**

The standard design features of the ESBWR main control room HSI, discussed in Subsection 18.1.3.1, provide the framework for the detailed equipment hardware and software designs developed following the design and implementation process described in Section 18.2. This process is illustrated in Figure 18.1-1.

Implementation Plan Process Flow Chart  
PROCESS FOR PERFORMANCE AND PREPARATION OF HFE

Figure 1-2

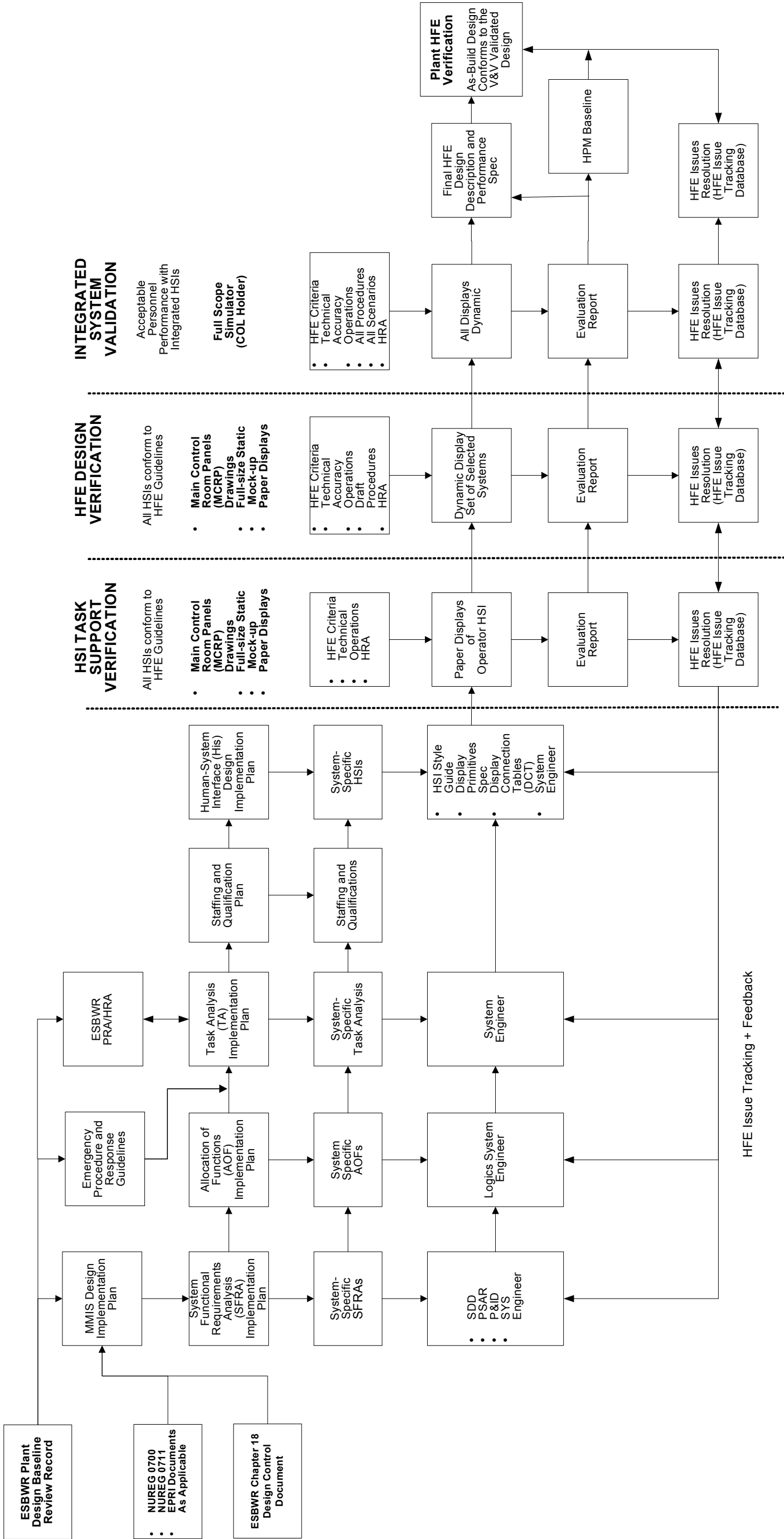


Figure 18.1-1. ESBWR M-MIS Implementation Plan Process Flowchart

As part of Subsection 18.2 and in detail in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan), discussion of the HSI design and implementation plan elements, detailed acceptance criteria are specified and used to govern and direct all ESBWR HSI design implementations, which reference the Certified Design. These detailed acceptance criteria, will encompass the set of necessary and sufficient design implementation related activities required to maintain the implemented HSI design in compliance with accepted Human Factors Engineering (HFE) principles and accepted digital electronics equipment and software development methods.

Also, as part of the detailed design implementation process described in Section 18.2 and Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan), operator task analysis is performed as a basis for evaluating details of the design implementation and HSI requirements are specified.. The evaluation of the integrated control room design includes the confirmation of the ESBWR main control room standard design features.

### **18.1.8 COL Information**

This section describes those actions and developments, which will be completed by the COL applicant.

#### ***18.1.8.1 Plant Specific Reactor Building Operating Values for EPGs/SAGs***

The plant specific Reactor Building operating parameter values of temperature, radiation, and water level must be determined to support the generation of the Emergency Procedure and Severe Accident Guidelines (EPG/SAGs) in Appendix 18A.

#### ***18.1.8.2 EPG/SAG Appendix C: Calculation Input Data and Results***

Plant specific input data as described in the BWR Owners Group EPG/SAG Rev. 2, Appendix C: Calculation is required to generate the plant specific variables and limits curves as results, that are used in the plant specific EPGs/SAGs in Appendix 18A.

#### ***18.1.8.3 HSI Design Implementation Process***

The HSI Design Implementation Process as described in Subsection 18.2 and Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan) is the responsibility of the COL Applicant. In addition, the following specific COL information is in effect.

##### **18.1.8.3.1 Number of Operators Needing Controls Access**

The number of operators needing access to the controls on the main control panel is evaluated and the ESBWR control room staffing arrangement is confirmed to be adequate. In addition, the roles and responsibilities of the shift supervisor and assistant shift supervisor are clearly specified. The results of the evaluation are placed in the Human Factors Engineering (HFE) Issue Tracking System.

##### **18.1.8.3.2 Automation Strategies and Their Effect on Operator Reliability**

Automation strategies for plant operation are evaluated for effects on operator reliability and the appropriateness of the ESBWR automation design confirmed. This evaluation is performed according to the criteria of Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan) and the results of the evaluation are placed in the HFE Issue Tracking System.



**18.1.8.3.3 SPDS Integration With Related Emergency Response Capabilities**

The design of the Safety Parameter Display System is evaluated against the requirements of Paragraph 3.8a of NUREG-0737, Supplement 1, and confirmed to be in compliance with all applicable criteria. The results of the evaluation are placed in the HFE Issue Tracking System.

**18.1.8.3.4 Standard Design Features Design Validation**

The design of each of the main control room standard design features is validated using the applicable criteria in developed in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan). The results of the validation are placed in the HFE Issue Tracking System.

**18.1.8.3.5 Remote Shutdown System Design Evaluation**

Digital versus analog design approaches for the Remote Shutdown System (RSS) are evaluated for reliability and the adequacy of the ESBWR RSS design (Subsection 18.1.5) confirmed. The results of the evaluation are placed in the HFE Issue Tracking System.

**18.1.8.3.6 Local Valve Position Indication**

The necessity for providing local Valve Position Indication (VPI) for each valve in any of the following categories is evaluated:

- (1) All power-operated valves (e.g., motor, hydraulic and pneumatic),
- (2) All large manual valves (i.e., 5 cm or larger),
- (3) Small manual valves (i.e., less than 5 cm) which are important to safe plant operations.

These evaluation records are placed in the HFE Issue Tracking System.

***18.1.8.4 Operator Training***

The operator-training program meets the requirements of 10 CFR 50.120 and 10 CFR 55.

***18.1.8.5 Safety System Status Monitoring***

The human factors aspects of TMI Item I.E.3, "Safety System Status Monitoring", are addressed as part of the detailed design implementation process (Subsection 18.2).

***18.1.8.6 PAS Malfunction***

Malfunctions of the Plant Automation System (PAS) function of the process computer system are considered.

***18.1.8.7 Local Control Stations***

All operations at local control stations, which are critical to plant safety, are considered. The results of these evaluations are incorporated into the HFE Issue Tracking System.

***18.1.8.8 As-Built Evaluation of MCR and RSS***

A report is prepared which documents that the as-built Main Control Room (MCR) and Remote Shutdown Station (RSS) conform to the certified and validated main control room and remote shutdown station configurations. Aspects of the as-built MCR and RSS considered in this report

are the area and panel layouts, operator environment, alarms, displays, controls and general human-system interface characteristics.

#### ***18.1.8.9 Accident Monitoring Instrumentation***

The instrumentation described in TMI Item II.F.1, “Additional Accident Monitoring Instrumentation,” is evaluated with regard to the impact of the inclusion of that instrumentation in the MCR HSI on the potential for operator error. The results of this evaluation are placed in the HFE Issue Tracking System.

#### ***18.1.8.10 In-Core Cooling Instrumentation***

The instrumentation described in TMI Item II.F.2, “Instrumentation For Detection of Inadequate Core-Cooling,” is evaluated with regard to the impact of the inclusion of that instrumentation in the MCR HSI on the potential for operator error. The results of this evaluation are placed in the HFE Issue Tracking System.

#### ***18.1.8.11 Performance of Critical Tasks***

The HSI is evaluated with respect to providing the controls, displays and alarms necessary for timely performance of critical tasks. Critical tasks include, as a minimum, those operator actions which have significant impact on the Probabilistic Risk Assessment (PRA) results, as presented in Section 19D.7, and the operator actions to isolate the reactor and inject water for the postulated event scenarios of a common-mode failure of the Safety System Logic and Control System and/or the Essential Distributed Control and Information System concurrent with the design basis main steamline, feedwater line or shutdown cooling line break Loss Of Coolant Accident. The results of this evaluation are placed in the HFE Issue Tracking System.

#### ***18.1.8.12 Plant Status and Post-Accident Monitoring***

The main control instrumentation described in TMI Item I.D.5 (2), “Plant Status and Post-Accident Monitoring” is evaluated with regard to the impact of the inclusion of that instrumentation in the MCR HSI on the potential for operator error and the results of the evaluation are placed in the HFE Issue Tracking System.

#### ***18.1.8.13 Integrated System Validation of HSI V&V on a dynamic simulator***

Human reliability analysis assumptions such as decision-making and diagnosis strategies for dominant sequences are validated by walkthrough analyses with personnel with operational experience using a plant-specific control room mockup or simulator. Reviews should be conducted before the final quantification stage of the PRA, see Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan).

The results of this evaluation are placed in the HFE issue Tracking System.

#### ***18.1.8.14 Emergency Operation Information and Control***

Analysis based upon the operation strategies given in the Emergency Procedure Guidelines (EPGs) and the significant operator actions determined by the PRA are performed. As a result of these analysis the minimum inventory of controls, displays and alarms needs of the main control room operators, is determined.

Information and control needs for each operative instruction or action are developed through task analysis.

The results of this evaluation are placed in the HFE issue Tracking System and Appendix 18F.

#### ***18.1.8.15 Supporting Analysis for Emergency Operation I&C***

Guidelines developed from a research program of advanced control panel designs are used to specify the type of implementation device for controls, displays and alarms: Fixed Position Controls, Alarm and Displays, Divisional and Non-divisional VDUs.

Results of the operational analysis of each step of the ESBWR EPGs and PRA important operator actions are considered.

EPG considerations are included to address issues associated with ATWS stability changes. The results of this evaluation are placed in the HFE Issue Tracking System and Appendix 18H.

#### ***18.1.8.16 Human Performance Monitoring***

This outlines a strategy for going forward with human performance monitoring (HPM) that links human factor engineering methods used during the design with methods for monitoring human performance during operation by the COL applicant.

## 18.2 HFE PROGRAM MANAGEMENT

### 18.2.1 The HFE Program and Implementation Plans

The HFE Design Team establishes the HFE Program and Implementation Plans that provide overall direction and integration of the HFE-related design implementation and evaluation activities for the specific HSI scope which includes the RSS and MCR areas of operational interface. The HFE Program Plan identifies the individuals who comprise the HFE Design Team and establishes the processes through which the HFE Design Team performs its functions. Included in the HFE Program Plan is a system for documenting human factors issues that may be identified throughout the implementation of the designs, and the actions taken to resolve those issues. The HFE Design Team also establishes the Implementation Plans for conducting each of the following HFE-related activities:

- (1) Operating Experience Review
- (2) System functional requirements analysis.
- (3) Staffing and Qualifications
- (4) Allocation of functions.
- (5) Human reliability analysis
- (6) Task analysis.
- (7) Procedure Development
- (8) Human-system interface design.
- (9) Human Performance Monitoring
- (10) Training Program Development
- (11) Human factors verification and validation.

The Implementation Plans establish methods and criteria for the conduct of each of these HFE-related activities, which are consistent with accepted HFE practices and principles. (For additional detailed information regarding the scope and content of the HFE Program and Implementation Plans, refer to the Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 4).

### 18.2.2 Human Factors Engineering Program Plan

- (1) The Human Factors Engineering (HFE) Program Plan shall establish:
  - (a) Methods and criteria, for the development and evaluation of the Main Control Room (MCR) and Remote Shutdown System (RSS) HSI, which are consistent with accepted HFE practices and principles.
  - (b) The methods for addressing:
    - (i) The ability of the operating personnel to accomplish assigned tasks
    - (ii) Operator workload levels and vigilance
    - (iii) Operating personnel “situation awareness

- (iv) The operator's information processing requirements
- (v) Operator memory requirements
- (vi) The potential for operator error
- (c) HSI design and evaluation scope that applies to the Main Control Room (MCR) and Remote Shutdown System (RSS).

The HSI scope shall address normal, abnormal and emergency plant operations and test and maintenance interfaces that impact the function of the operations personnel. The HSI scope shall also address the development of operating technical procedures for normal, abnormal and emergency plant operations and the identification of personnel training needs applicable to the HSI design. The developments of operating technical procedures are a COL action item (see Section 13.5). The establishment of an operator-training program, which meets the requirements of 10 CFR 50, is also a COL license information requirement (see Subsection 18.1.8.4).

- (d) The HFE Design Team as being responsible for:
  - (i) The development of HFE plans and procedures
  - (ii) The oversight and review of HFE design, development, test, and evaluation activities
  - (iii) The initiation, recommendation, and provision of solutions through designated channels for problems identified in the implementation of the HFE activities
  - (iv) Verification of implementation of solutions to problems
  - (v) Assurance that HFE activities comply to the HFE plans and procedures
  - (vi) Phasing of activities
- (e) The methods for identification, closure and documentation of human factors issues.
- (f) The HSI design configuration control procedures.
- (2) The HFE Program Plan shall also establish:
  - (a) That each HFE issue/concern shall be entered on the HFE Issue Tracking System log when first identified, and each action taken to eliminate or reduce the issue/concern should be documented. The final resolution of the issue/concern, as accepted by the HFE Design Team, shall be documented along with information regarding HFE Design Team acceptance (e.g., person accepting, date, etc.) the individual responsibilities of the HFE Design Team members when an HFE issue/concern is identified, including definition of who should log the item, who is responsible for tracking the resolution efforts, who is responsible for acceptance of a resolution, and who shall enter the necessary closeout data.
  - (b) That the HFE Issue Tracking System shall address human factors issues that are identified throughout the development and evaluations of the Main Control Room and Remote Shutdown System HSI design implementation.
  - (c) That the MCR and RSS designs shall be implemented using HSI equipment technologies that are consistent with those defined in Section 18.1.4.2.

- (d) That in the event other HSI equipment technologies are alternatively selected for application in the MCR and RSS design implementations:
  - (i) A review of the industry experience with the operation of those selected new HSI equipment technologies shall be conducted.
  - (ii) The Operating Experience Review (OER) of those new HSI equipment technologies shall include both a review of literature pertaining to the human factors issues related to similar system applications of those new HSI equipment technologies and interviews with personnel experienced with the operation of those systems.
  - (iii) Any relevant HFE issues/concerns associated with those selected new HSI equipment technologies, identified through the conduct of the OER, shall be entered into the HFE Issue Tracking System for closure.
- (e) That a review of HSI operating experience shall be conducted in accordance with Section 18.3
- (3) The HFE Program Management Plan document shall include:
  - (a) The purpose and organization of the plan.
  - (b) The relationship between the HFE program and the overall plant equipment procurement and construction program (organization and phasing).
  - (c) Definition of the HFE Design Team and their activities, including:
    - (i) Description of the HFE Design Team function within the broader scope of the plant equipment procurement and construction program, including charts to show organizational and functional relationships, reporting relationships, and lines of communication.
    - (ii) Description of the responsibility, authority and accountability of the HFE Design Team organization.
    - (iii) Description of the process through which management decisions will be made regarding HFE.
    - (iv) Description of the process through which the HFE Design Team will make technical decisions.
    - (v) Description of the tools and techniques (e.g., review forms, documentation) to be utilized by the HFE Design Team in fulfilling their responsibilities.
    - (vi) Description of the HFE Design Team staffing, job descriptions of the individual HFE Design Team personnel and their personal qualifications.
    - (vii) Definition of the procedures that will govern the internal management of the HFE Design Team.
  - (d) Definition of the HFE Issue Tracking System and its implementation, including:
    - (i) Individual HFE Design Team member responsibilities regarding HFE issue identification, logging, issue resolution, and issue closeout.

- (ii) Procedures and documentation requirements regarding HFE issue identification. These shall include description of the HFE issue, effects of the issue if no design change action is taken and an assessment of the criticality and likelihood of the identified HFE issue manifesting itself into unacceptable HSI performance.
  - (iii) Procedures and documentation requirements regarding HFE issue resolution. These procedures shall include evaluation and documentation of proposed solutions, implemented solutions, evaluated residual effects of the implemented solution and the evaluated criticality and likelihood of the implemented resolution of the HFE issue manifesting itself into unacceptable HSI performance.
- (e) Identification and description of the following implementation plans to be developed:
  - (i) Operating Experience Review
  - (ii) System Functional Requirements Development
  - (iii) Staffing and Qualifications
  - (iv) Allocation of Function.
  - (v) Human Reliability Analysis
  - (vi) Task Analysis
  - (vii) Procedure Development
  - (viii) Training Program Development
  - (ix) Human-System Interface Design
  - (x) Human Factors Verification and Validation
  - (xi) Human Factors Verification and Validation.
  - (xii) Human Performance Monitoring
- (f) Definition of the phasing of HFE program activities, including:
  - (i) The plan for completion of HFE tasks which addresses the relationships between HFE elements and activities, the development of HFE reports and the conduct of HFE reviews
  - (ii) Identification of other plant equipment procurement and construction activities that are related to HFE Design Team activities but outside the scope of the team (e.g., I&C equipment manufacture)
- (g) Definition of HFE documentation requirements and procedures for retention and retrieval.
- (h) Description of the manner in which HFE Program requirements will be communicated to applicable personnel and organizations, including those which may be subcontracted, who are responsible for the performance of work associated with the Main Control Room and Remote Shutdown System design implementation (See Figure 18.1-1).

### 18.2.3 HFE Design Team Composition

- (1) The composition of the Human Factor Engineering (HFE) Design Team shall include, as a minimum, the technical skills presented in Article (4), below.
- (2) The education and related professional experience of the HFE Design Team personnel shall satisfy the minimum personal qualification requirements specified in Article (4), below, for each of the areas of required skills. In those skill areas where related professional experience is specified, qualifying experience of the individual HFE Design Team personnel shall include experience with previous plants in the main control room and Remote Shutdown System (RSS) Human System Interface (HSI) designs and design implementation activities. The required professional experiences presented in those personal qualifications of Article (4) are to be satisfied by the HFE Design Team as a collective whole. Therefore, satisfaction of the professional experience requirements associated with a particular skill area may be realized through the combination of the professional experience of two or more members of the HFE Design Team who each, individually, satisfy the other defined credentials of the particular skill area but who do not possess all of the specified professional experience. Similarly, an individual member of the HFE Design Team may possess all of the credentials sufficient to satisfy the HFE Design Team qualification requirements for two or more of the defined skill areas.
- (3) Alternative personal credentials may be accepted as the basis for satisfying the minimum personal qualification requirements specified in Article (4), below. Acceptance of such alternative personal credentials shall be evaluated on a case-by-case basis and approved, documented and retained in auditable plant construction files by the COL applicant. The following factors are examples of alternative credentials which are considered acceptable:
  - (a) Professional Engineer's license in the required skill area may be substituted for the required Bachelor's degree.
  - (b) Related experience may substitute for education at the rate of six semester credit hours for each year of experience up to a maximum of 60 hours credit.
  - (c) Where course work is related to job assignments, post-secondary education may be substituted for experience at the rate of two years of education for one year experience. Total credit for post-secondary education shall not exceed two years experience credit.
- (4) Required Skill Area /Personal Qualification
  - (a) Technical Project Management

Bachelor's degree, and five years experience in nuclear power plant design operations, and three years management experience.
  - (b) Systems Engineering

Bachelor of Science degree, and four years cumulative experience in at least three of the following areas of systems engineering; design, development, integration, operation, and test and evaluation.
  - (c) Nuclear Engineering



Bachelor of Science degree, and four years nuclear design, development, test or operations experience.

(d) Instrumentation and Control (I&C) Engineering

Bachelor of Science degree, and four years experience in design of hardware and software aspects of process control systems, and experience in at least one of the following areas of I&C engineering; development, power plant operations, and test and evaluation, and familiarity with the theory and practice of software quality assurance and control.

(e) Architect Engineering

Bachelor of Science degree, and four years power plant control room design experience.

(f) Human Factors Engineering

Bachelor's degree in Human Factors Engineering, Engineering Psychology or related science, and four years cumulative experience related to the human factors aspects of human-computer interfaces. Qualifying experience should include at least the following activities within the context of large-scale human-machine systems (e.g. process control): design, development, and test and evaluation, and four years cumulative experience related to the human factors field of ergonomics. Qualifying experience shall include experience in at least two of the following areas of human factors activities; design, development, and test and evaluation.

(g) Plant Operations

Have or have held a Senior Reactor Operator license; two years experience in BWR nuclear power plant operations.

(h) Computer System Engineering

Bachelor's degree in Electrical Engineering or Computer Science, or graduate degree in other engineering discipline (e.g., Mechanical Engineering or Chemical Engineering), and four years experience in the design of digital computer systems and real time systems applications.

(i) Plant Procedure Development

Bachelor's degree, and four years experience in developing nuclear power plant operating procedures.

(j) Personnel Training

Bachelor's degree, and four years experience in the development of personnel training programs for power plants, and experience in the application of systematic training development methods.

(k) System Safety Engineering

Bachelor's degree, and four years of experience in system safety engineering.

(l) Maintainability/Inspectability Engineering

Bachelor's degree, and four years cumulative experience in at least two of the following areas of power plant maintainability and inspectability engineering activity: design, development, integration, and test and evaluation.

(m) Reliability/Availability Engineering

Bachelor's degree, and four years cumulative experience in at least two of the following areas of power plant reliability engineering activity: design, development, integration, and test and evaluation, and knowledge of computer-based human interface systems.

### 18.3 OPERATING EXPERIENCE REVIEW

Any relevant HFE issues/concerns associated with those selected new HSI equipment technologies, identified through the conduct of the OER, shall be entered into the HFE Issue Tracking System for closure. That a review of HSI operating experience shall be conducted as follows:

(1) For the first implementation of the ESBWR Certified Design:

- (a) That the lessons learned from the review of previous nuclear plant HSI designs, shall be entered into the HFE Issue Tracking System to assure that problems observed in previous designs have been adequately addressed in the ESBWR design implementation.
- (b) Reviews of operating experience with the following ESBWR HSI design areas, in which further development of the industry's experience base can be expected, shall be completed
  - Use of flat panel and CRT displays.
  - Use of electronic on-screen controls.
  - Use of wide display panels.
  - Use of prioritized alarm systems.
  - Automation of process systems.
  - Operator workstation design integration.

Those operating experience reviews shall include review of reports provided by industry organizations (i.e., EPRI, etc.); review of applicable research in these design areas, as may be documented in reports from universities, national laboratories and the NRC, and in proceedings published by HFE professional societies; and review of applicable research and experience reports published by the HSI equipment vendors. Further, the review of operating experience in each of the six above identified areas shall include feedback obtained from actual users. Therefore, if the documents selected for the conduct of the operating experience review for a particular area do not include the results of user feedback, then interviews with users of at least two applications of that particular technology area shall also be conducted. Finally, the results from all these operating experience review activities shall be entered into the HFE Issue Tracking System to assure that the ESBWR implementation reflects the experience gained by the resolution of design problems in operating plants.

(2) For all subsequent implementations of the ESBWR design:

- (a) If a previously implemented ESBWR HSI design is utilized directly and without change, then no further review of operating experience is required.
- (b) If a previously implemented ESBWR HSI design is not being utilized directly, then the operating experience of the most recent implementations, up to three, shall be reviewed through the conduct of operator interviews and surveys and the evaluation of Licensing Event Reports and the results of these reviews shall be entered into the

HFE Issue Tracking System to assure that previous design problems have been adequately addressed in the ESBWR design implementation.

- (c) The Operating Experience Review (OER) of any new HSI equipment technologies shall include both a review of literature pertaining to the human factors issues related to similar system applications of those new HSI equipment technologies and interviews with personnel experienced with the operation of those systems.

See also in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 2.6) for additional details of the OER Plan

## 18.4 FUNCTIONAL REQUIREMENTS ANALYSIS AND FUNCTION ALLOCATION

### 18.4.1 System Functional Requirements Analysis Implementation Plan

- (1) The System Functional Requirements Analysis Implementation Plan shall establish, See also Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 4.3) for additional details of the SFRA plan:
  - (a) Methods and criteria for conducting the System Functional Requirements Analysis which are consistent with accepted HFE practices and principles.
  - (b) That system requirements shall define the system functions and those system functions shall provide the basis for determining the associated HSI performance requirements.
  - (c) That functions critical to safety shall be defined (i.e., those functions required to achieve safety system performance requirements; or those functions which, if failed, could pose a safety hazard to plant personnel or to the general public).
  - (d) That descriptions shall be developed for each of the identified functions and for the overall system configuration design itself. Each function shall be identified and described in terms of inputs (observable parameters which will indicate systems status) functional processing (control process and performance measures required to achieve the function), functional operations (including detecting signals, measuring information, comparing one measurement with another, processing information, and acting upon decisions to produce a desired condition or result such as a system or component operation actuation or trip) outputs, feedback (how to determine correct discharge of function), and interface requirements so that sub functions are related to larger functional elements.
- (2) The System Functional Requirements Analysis Implementation Plan shall include:
  - (a) The methods for identification of system level functions based upon system performance requirements. The functions shall be defined as the most general, yet differentiable means whereby the system requirements are met, discharged, or satisfied. Functions shall be arranged in a logical sequence so that any specified operational usage of the system can be traced in an end-to-end path.
  - (b) The methods for developing graphic function descriptions (e.g., Functional Flow Block Diagrams and Time Line Diagrams). The functions shall be described initially in graphic form. Function diagramming shall be done starting at a “top level”, where major functions are described, and continuing to decompose major functions to lower levels until a specific critical end-item requirement emerges (e.g., a piece of equipment, software, or an operator).
  - (c) The method for developing detailed function narrative descriptions, which encompass:
    - (i) Observable parameters that indicate system status.
    - (ii) Control process and data required to achieve the function.

- (iii) How to determine the manner in which proper discharge of function is to be determined.
- (d) Analysis methods that define the integration of closely related sub functions so that they can be treated as a unit.
- (e) Analysis methods that divide identified sub functions into two groups according to whether:
  - (i) Common achievement of the sub function is an essential condition for the accomplishment of a higher level function.
  - (ii) The sub function is an alternative supporting function to a higher-level function or the sub function accomplishment is not necessarily a requisite for a higher level function.
- (f) Requirements to identify for each integrated sub function:
  - (i) The basis for why accomplishment of the sub function is required.
  - (ii) The control actions necessary for accomplishment of the sub functions.
  - (iii) The parameters necessary for the sub function control actions.
  - (iv) The criteria for evaluating the results of the sub function control actions.
  - (v) The parameters necessary for evaluation of the sub function.
  - (vi) The criteria to be used to evaluate the sub function.
  - (vii) The criteria for selecting alternative function assignments if the evaluation criteria b is not satisfied

#### **18.4.2 Allocation of Function Implementation Plan**

- (1) Allocation of Function Implementation Plan shall establish (See Section 4.4 in Reference 1 for additional details of the SFRA plan):
  - (a) The methods and criteria for the execution of function allocation, which are consistent with accepted HFE practices and principles.
  - (b) That aspects of system and functions definition shall be analyzed in terms of resulting human performance requirements based on the expected user population.
  - (c) That the allocation of functions to personnel, system elements, and personnel system combinations shall reflect:
    - (i) Areas of human strengths and limitations
    - (ii) Sensitivity, precision, time, and safety requirements
    - (iii) Reliability of system performance
    - (iv) The number and the necessary skills of the personnel required to operate and maintain the system
  - (d) That the allocation criteria, rationale, analyses, and procedures shall be documented.

- (e) Analyses shall confirm that the personnel can perform tasks allocated to them while maintaining operator situation awareness, acceptable personnel workload, and personnel vigilance.
- (2) The Allocation of Function Implementation Plan shall include:
  - (a) Establishment of a structured basis and criteria for function allocation.
  - (b) Definition of function allocation analyses requirements, including:
    - (i) Definition of the objectives and requirements for the evaluation of function allocations
    - (ii) Development of alternative function allocations for use in the conduct of comparative evaluations
    - (iii) Development of criteria to be used as the basis for selecting between alternative function allocations
    - (iv) Development of evaluation criteria weighing factors
    - (v) Development of test and analysis methods for evaluating function allocation alternatives
    - (vi) Definition of the methods to be used in conducting assessments of the sensitivity of the comparative function allocation alternatives analyses results to the individual analysis inputs and criteria
    - (vii) Definition of the methods to be employed in selecting individual function allocation for incorporation into the implemented design

## 18.5 TASK ANALYSIS

### 18.5.1 Task Analysis Implementation Plan

- (1) The Task Analysis Implementation Plan shall establish (see Section 4.5 in Reference 1 for additional details of the SFRA plan):
  - (a) The methods and criteria for conduct of the task analyses which are consistent with accepted HFE practices and principles.
  - (b) The scope of the task analysis, which shall include operations performed at the operator interface in the Main Control Room and at the Remote Shutdown System. The analyses shall be directed to the full range of plant operating modes, including startup, normal operations, abnormal operations, transient conditions, low power and shutdown conditions. The analyses shall also address operator interface operations during periods of maintenance, test and inspection of plant systems and equipment, including the HSI equipment.
  - (c) That the analysis shall link the identified and described tasks in operational sequence diagrams. The task descriptions and operational sequence diagrams shall be used to identify which tasks are critical to safety in terms of importance for function achievement, potential for human error, and impact of task failure. Human actions, which are identified through PRA sensitivity analyses to have significant impact on safety, shall also be considered “critical” tasks. Where critical functions are automated, the analyses shall address the associated human tasks including the monitoring of the automated function and the backup manual actions which may be required if the automated function fails.
  - (d) Task analysis shall develop narrative descriptions of the personnel activities required for successful completion of the task. A task shall be a group of activities, often occurring in temporal proximity, which utilize a common set of displays and controls. Task analyses shall define the input, process, and output required by and of personnel.
  - (e) The task analysis shall identify requirements for alarms, displays, data processing, and controls.
  - (f) The task analysis results shall be made available as input to the personnel training programs.
- (2) The Task Analysis Implementation Plan shall include:
  - (a) The methods and data sources to be used in the conduct of the task analysis.
  - (b) The methods for conducting the initial (high level) task analysis, including:
    - (i) Converting functions to tasks.
    - (ii) Developing narrative task descriptions.
    - (iii) Developing the basic statement of the task functions.
    - (iv) Decomposition of tasks to individual activities.
    - (v) Development of operational sequence diagrams.



- (c) The methods for developing detailed task descriptions that address:
  - (i) Information requirements. (i.e., information required to execute a task, including cues for task initiation)
  - (ii) Decision-making requirements (i.e., decisions that are probably based on the evaluations, description of the decisions to be made and the evaluations to be performed)
  - (iii) Response requirements (i.e., actions to be taken, frequency of action, speed/time line requirements, any tolerance/accuracy requirements associated with the action, consideration of any operational limits of personnel performance or of equipment body movements required by an action taken, and any overlap of task requirements such as serial vs. parallel task elements)
  - (iv) Feedback requirements (i.e., feedback required to indicate adequacy of actions taken)
  - (v) Personnel workload (i.e., both cognitive and physical workload and the estimation of the level of difficulty associated with a particular workload condition)
  - (vi) Any associated task support requirements (i.e., special/protective clothing, job aids or reference materials required; any tools and equipment required, or any computer processing support aids)
  - (vii) Workplace factors (i.e., the workspace envelope required by the action taken, workspace environmental conditions, location that the work is to be performed, the physical/mental attributes of the work)
  - (viii) Staffing and communication requirements (i.e., the number of personnel, their technical specialty, and specific skills, the form and content of communications and other personnel interaction required when more than one person is involved)
  - (ix) The identification of any hazards involved in execution of the task
- (d) The methods for identification of critical tasks. The identified critical tasks shall include, at the minimum, those operator actions which have significant impact on the PRA results, as presented in Section 19D.7, and the operator actions to isolate the reactor and inject water for the postulated event scenarios of a common mode failure of the Safety System Logic and Control System and/or the Essential Distributed Control and Instrumentation System concurrent with a design basis main steam line, feed water line or shutdown cooling line break LOCA.
- (e) The methods for establishing information and control requirements.
- (f) The methods for conducting alarm, display, processing, and control requirements analysis.
- (g) The methods through which the application of task analysis results are assembled and documented to provide input to the development of personnel training programs.
- (h) The methods to be used to evaluate the results of the task analysis.

## 18.6 STAFFING AND QUALIFICATIONS

### 18.6.1 Background

Plant staff and their qualifications are important considerations throughout the design process. Initial staffing level is established based on experience with ABWR Reference plants, staffing goals, initial analyses, and regulatory requirements. ESBWR staffing and qualifications plans will systematically re-examine the ABWR assumptions and consider staffing reductions warranted by the use of passive safety systems.

### 18.6.2 ESBWR Baseline Staffing Assumptions

From the ESBWR DCD Chapter 18, the preliminary staffing assumption for reactor control and monitoring will consist of the following assignments:

<u>Quantity</u>	<u>Qualification</u>	<u>Assignment</u>
1	Senior Reactor	Provides overall supervision of control room operations
2	Reactor Operators	One is assigned to normal control actions at MCC. Second operator is assigned to maintenance activities, including blocking and tagging permits.
1	Senior Reactor Operator	Assigned to shift but not necessarily in the Main Control Room (MCR). Act as relief for shift SRO.
1	Reactor Operator	Assigned to shift, but not in the MCR. On call to be in MCR within 5 min.
2	Maintenance Technicians	Qualified to operate equipment in the plant. Assigned to shift qualified to provide engineering support as a shift technical adviser
1	Administration Clerk	

The licensed operator will remain in control of plant operation during all states of operation. During normal operations the operator will monitor the automated control functions. The operator will be able to assume manual control of those functions that have been automated for reasons other than regulatory requirements. The operating crew's training will include manual operation of an automated function that has been returned to manual monitoring and control.

### 18.6.3 Staffing and Qualifications Plan

The HFE team will develop a staffing analysis plan to perform an iterative HFE process in accordance with Figure 18.1-1 and Section 18.1-1 reference 1 (M-MIS HFE Implementation Plan, in figure 1.2) herein. The basis for staffing and qualifications plan will address these issues:

***18.6.3.1 Operating Experience Review***

- operational problems and strengths that resulted from staffing levels in ABWR Reference systems
- initial staffing goals and their bases including staffing levels of ABWR Reference plants
- systems and a description of significant similarities and differences between ABWR Reference systems and ESBWR systems( See Baseline Review Record)
- staffing considerations described in NRC Information Notice 95-48, "Results of Shift Staffing Study"
- staffing considerations described in NRC Information Notice 97-78, "Crediting of Operator Actions in Place of Automatic Actions and Modifications of Operator Actions, Including Response Times"

***18.6.3.2 Functional Requirements Analysis and Function Allocation***

- mismatches between functions allocated to personnel and their qualifications
- changes the roles of personnel due to plant system and HFE modifications

***18.6.3.3 Task Analysis***

- the knowledge, skills, and abilities needed for personnel tasks addressed by the task analysis
- personnel response time and workload
- personnel communication and coordination, including interactions between them for diagnosis, planning, and control activities, and interactions between personnel for administrative, communications, and reporting activities
- the job requirements that result from the sum of all tasks allocated to each individual both inside and outside the control room
- decreases in the ability of personnel to coordinate their work due to plant and HFE modifications
- availability of personnel considering other activities that may be ongoing and for which operators may take on responsibilities outside the control room (e.g., fire brigade)
- actions identified in 10 CFR 50.47, NUREG-0654, and procedures to meet an initial accident response in key functional areas as identified in the emergency plan
- staffing considerations described by the application of ANSI/ANS 58.8-1994, "Time Response Design Criteria for Safety-Related Operator Actions"

***18.6.3.4 Human Reliability Analysis***

- the effect of overall staffing levels on plant safety and reliability
- the effect of overall staffing levels and crew coordination for risk-important HAs

- the effect of overall staffing levels and the coordination of personnel on human errors associated with the use of advanced technology

#### ***18.6.3.5 HSI Design***

- Staffing demands resulting from the locations and use (especially concurrent use) of controls and displays
- coordinated actions between individuals
- decreases the availability or accessibility of information needed by personnel due to plant system and HFE modifications
- the physical configuration of the control room and control consoles
- the availability of plant information from individual workstations and group-view interfaces

#### ***18.6.3.6 Procedure Development***

- staffing demands resulting from requirements for concurrent use of multiple procedures
- personnel skills, knowledge, abilities, and authority identified in procedures

#### ***18.6.3.7 Training Program Development***

The training program will address crew coordination concerns that are identified during the development of training

## 18.7 HUMAN RELIABILITY ANALYSIS

Human reliability analysis (HRA) is a required activity of a probabilistic risk assessment (PRA) for both pre- and post-initiator human actions [ASME, 2002].

### 18.7.1 Purpose

This section describes how the HRA information and tools are used to support the HSI HFE design goals. An initial “design level” ESBWR PRA/HRA will be submitted in support of NRC licensing requirements using the ABWR reference HFE design documentation. The HRA will address the impact of human-error mechanisms on the HSI design. Human error identified and quantified in the PRA will be analyzed to determine if new or modified HSI design features are needed to reduce the likelihood and impact of errors. The HRA activity will quantitatively integrate the HFE program into the PRA and risk analysis. In addition, the results will be design input to the software safety plan activities described in Section 5.1.6.

### 18.7.2 HRA Requirements Development

The specific requirements for the HRA implementation plan will be developed by the M-MIS design team following the iterative links with the HFE program shown in Figure 4.7-1, which leads to an “as designed PRA/HRA” at the completion of design. The HRA will be performed iteratively as the design progresses. The PRA and HRA will be performed early in the design process to provide insights and guidance both for systems design and for HFE purposes. The robustness of the HRA depends, in large part, on the analyst's understanding of personnel tasks, the information related to them, and the factors, which influence human performance.

Accordingly, the HRA will be carried out interactively as the design progresses. At the very least, the initial PRA/HRA phase will be finalized when the plant design and HFE are complete.

The HRA is conducted to screen for important human actions and evaluate their potential for and mechanisms of human errors that impact the frequency of key accident scenarios for the PRA. Thus, HRA is an essential tool for identifying, screening and evaluating specific human actions based on the impact of potential errors on plant safety. The HRA also supports the HFE design goal of minimizing personnel errors, detecting errors when they do occur, and recovering from errors and hardware failures through careful design of the HSI. HRA is expected to provide valuable insight into desirable characteristics of the HSI design as the design evolves. Consequently, the HFE design effort will give special attention to those plant scenarios, risk-important human actions, and HSIs that have been identified by PRA/HRA as being important to plant safety and reliability.

The HRA will interact with the verification and validation program by designing test scenarios and updating quantitative evaluations based on validation results. The HRA models will establish a basis for future human performance monitoring and help prioritize corrective actions.

### 18.7.3 Methodology

The specific methodology and modeling approaches will be established by the design team using information from HRA reports on data, models and methods [e.g. from sources such as NUREG/CR-1278, 1983, EPRI NP-3583, 1984, NUREG/CR-4772, 1987, EPRI NP-6560-L 1990, EPRI TR-100259, 1992, NUREG/CR-6350, 1996, Hollnagel, 1998, Julius, 2001, NUREG-

1792, Draft 2004, and NUREG/CR-6883, 2005]. Standards include ASME RA-S-2002, IEEE Std. 1082-1997, and IEEE Draft Std 1574, which is in development.

The HRA inputs will include descriptions and analyses of operator functions and task requirements, previous PRA identified actions and errors, performance factors associated with the operational characteristics of HSI design, procedures for normal, startup, shutdown and emergency operations as well as training programs.

Although there are many different approaches for conducting HRAs, there are several analysis components that increase the quality of the HRA. These include:

- Performing a design specific PRA/HRA to identify significant risk reduction improvements relating to the reliability of core and containment heat removal systems that can be practically implemented during the plant design.
- The PRA will include both internal and external events to the extent possible during the design phase. The main output will be a listing of potentially risk-important human actions from the PRA/HRA.
- Risk important human actions from the PRA/HRA will be used as input to the HFE design effort (i.e., to support function allocation analyses, task analyses, HSI design, procedure development, and training). The design effort will demonstrate how these human actions are well supported by the HSI design and that there is suitable crew availability and time to accomplish the action given that the need is detected.
- Using a multidisciplinary team to analyze human actions within the context of the PRA.
- For some actions the level III requirements in ASME, 2002 may be applied to support quantification of the risk important human actions in dominant accident sequences. The HRA assumptions involving diagnosis, decision-making, and planning and implementation strategies during accident responses may be validated by event simulations using experienced crews, walkthrough analyses using personnel with operational experience to apply procedures for conditions in plant-specific control room mockup or simulator. Such reviews may be conducted to support final quantification of the PRA.
- Obtaining design information related to those factors that affect human performance.
- These include: accident analyses from design basis events, operational experience, and PRAs to define quantification elements such as the time available for action, HSI design details that indicate the cue for an action and the feedback of the effects of taking the action, task analyses to determine the steps, timing and special tools required to carry out the sub steps of the human action, and the applicability of general or specific written procedures.
- Evaluating the effects of HSI advanced technology on human performance and the potential to change the human error mechanisms due to advanced technology. The evaluation of new design features will assess at a minimum the following effects on the existing HRA:
  - The original HRA assumptions and assessed error mechanisms are valid for the modified design

- The human errors analyzed in the existing HRA are still relevant
- New error mechanisms may become important that were not modeled by the existing HRA/PRA
- The probability of errors by operators and maintenance personnel may change, which may require use of a different modeling construct and
- The consequences of errors, established in the existing HRA, may change.
- Analyzing human actions with an emphasis on human error mechanisms.
- The likelihood of operator error will be minimized for risk-important HAs by identifying key error mechanisms and then providing means for error detection and recovery capability within the HSI design, procedures, and training elements under the HFE program.
- Obtaining appropriate sources of human error data for the types of human actions and associated error mechanisms that are modeled including human to human dependencies and dependencies between human actions and hardware failures.
- Performing sensitivity and uncertainty analyses on the human success and error probability estimates within the PRA sequences to evaluate the impact of human errors on the plant systems.
- These analyses will use a variety of importance measures and HRA sensitivity analyses assumptions to ensure that risk important actions are not overlooked.
- Integrating the PRA and HRA activities into plant design activities by defining safety important actions, supporting HIS design, procedures and training element development to ensure that HRA performance factor assumptions are met in the design.
- Providing a thorough documentation of the HRA process, integration with the HFE elements, methods used, assumptions made and the database for the human error probabilities that feed into the PRA. Such document will support evaluation of future COL applicant changes to the HSI design.

## 18.8 HUMAN-SYSTEM INTERFACE DESIGN

This describes the process through which the ESBWR Main Control Room (MCR) and Remote Shutdown System (RSS) areas of operator interface will be implemented and evaluated. The HSI design implementation-related Design Acceptance Criteria (DAC), which are established through Rulemaking, (refer to Section 3.3 of the Tier 1 Design Certification material for the GE ESBWR design) are defined such that there exists a direct correspondence between the DAC entries and requirements imposed herein on those design activities whose results are to be made available for the NRC conformance reviews. Satisfaction of the specific requirements Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan) shall result in full compliance with the Certified Design Commitment and the corresponding Acceptance Criteria presented in the Tier 1 (Rulemaking) DAC established for the HSI design implementation.

### 18.8.1 HSI Design Implementation Plan

- (1) The HSI Design Implementation Plan shall establish (see Section 4.8 and 5.0 in Reference 1 for additional details):
  - (a) The methods and criteria for HSI equipment design and evaluation of HSI human performance, equipment design and associated work place factors, such as illumination in the MCR and in the RSS area, which are consistent with accepted HFE practices and principles.
  - (b) That the HSI design shall implement the information and control requirements developed through the task analyses, including the displays, controls and alarms necessary for the execution of those tasks identified in the task analyses as being critical tasks (see paragraph V.2.d of this table).
  - (c) The methods for comparing the consistency of the HSI human performance equipment, design and associated workplace factors with that modeled and evaluated in the completed task analysis.
  - (d) That the HSI design shall not incorporate equipment (i.e., hardware or software function) which has not been specifically evaluated in the task analysis.
  - (e) The HSI design criteria and guidance for control room operations during periods of maintenance, test and inspection of control room HSI equipment and of other plant equipment that has control room personnel interface.
  - (f) The test and evaluation methods for resolving HFE/HSI design issues. These test and evaluation methods shall include the criteria to be used in selecting HFE/HSI design and evaluation tools which:
    - (i) May incorporate the use of static mockups and models for evaluating access and workspace-related HFE issues
    - (ii) Shall require dynamic simulations and HSI prototypes for conducting evaluations of the human performance associated with the activities in the critical tasks identified in the task analysis
- (2) The Human System Interface Design Implementation Plan shall include:



- (a) Identification of the specific HFE standards and guidelines documents that substantiate that the selected HSI Design Evaluation Methods and Criteria are based upon accepted HFE practices and principles
- (b) Definition of standardized HFE design conventions.
- (c) Definition that the standard design features, the standard HSI equipment technologies, and the displays, controls and alarms shall be incorporated as requirements on the HSI design
- (d) Definition of the design/evaluation tools (e.g., prototypes) which are to be used in the conduct of the HSI design analyses, the specific scope of evaluations for which those tools are to be applied and the rationale for the selection of those specific tools and their associated scope of application

## 18.9 PROCEDURE DEVELOPMENT

Procedures are essential to plant safety because they support and guide personnel interactions with plant systems and their response to plant-related events.

The HFE team will develop a process for procedure development using applicable requirements from NUREG-0800 section 13.5. The plant normal operating, abnormal operating, alarm response, and emergency operating procedures for the ESBWR will be developed as an integral part of the M-MIS and HSI development. The ESBWR procedure modifications from previous plants will address all personnel tasks that are affected by the changes in plant systems and HSIs. The procedures will be developed or modified to reflect the characteristics and functions of the plant improvements. The same human factors principles will be applied to both aspects of the interface to verify complete integration and consistency.

The procedures will be provided in their final form as part of the overall M-MIS Implementation Process. The M-MIS implementation will include steps, which provide verification that all functions and tasks assigned to the plant procedures are included in the operating procedures. The M-MIS implementation process will include validation of the operating procedures using the mockup/part-task and full-scope simulator facility.

In addition to the standard hard paper (laminated) copies, the plant procedures will be presented electronically on the video display units in the main control room. Electronically displayed procedures will conform to the following requirements unless simulation reveals deficiencies and a need for different implementation requirements:

1. Procedures will be in the form of logic or flow charts;
2. Procedures will normally provide on the same display as the procedure the parameters necessary for the operator to make each decision required. This will include checklists of prerequisites or interlocks to steps where applicable;
3. Procedures will provide the capability for the operator to access those controls needed to carry out the tasks directly from the procedure display;
4. Procedures will provide for the verification of operator decisions, with the operator retaining final control and authority whether or not to proceed. Automatic logging of those cases of disagreement will be provided;
5. Plant parameters and status presented as part of the procedure displays will be continuously updated; and
6. Procedures displayed in the HSI shall conform to industry and regulatory guidelines regarding HFE principles.

## 18.10 TRAINING PROGRAM DEVELOPMENT

Training of plant personnel is an important factor in ensuring safe and reliable operation of nuclear power plants. The training program applied by the COL applicant will help to provide reasonable assurance that plant personnel have the knowledge, skills, and abilities to properly perform their roles and responsibilities. Training program development will be coordinated with the other elements of the HFE design process by including for example a systematic analysis of job and task requirements.

### 18.10.1 Purpose

The aim of an implementation plan for training program development is to systematically incorporate information from the other HFE design tasks to support implementation of an ESBWR personnel training by the COL applicant. As minimum the training program will include the following five activities:

- A systematic analysis of the tasks and jobs that are triggered by cues from the HIS or procedures.
- Development of learning objectives derived from an analysis of desired performance through the training program
- Design and implementation of training based on the learning objectives
- Evaluation of trainee mastery of the objectives during training
- Evaluation and revision of the training based on the performance of trained personnel in the job setting.

### 18.10.2 Elements for training program development

The following elements will be supported by the HFE design team to be further developed by the COL applicant: General Approach, Organization of Training, Learning Objectives, Content of Training Program, Evaluation of Training, and Periodic Re-training.

#### 18.10.2.1 *General Approach*

A systems approach to the training of plant personnel will be developed.

The approach will follow applicable guidance in NUREG-0800 Section 13.2 ("Training"), as defined in 10 CFR 55.4, and as required by 10 CFR 52.78 and 50.120. An overall scope of training will be defined by the COL applicant and supported by the HFE design team. It will include the following elements:

- Categories of personnel will be defined (e.g., senior reactor operator) to be trained
- Specific plant conditions (normal, upset, and emergency) will be defined using information from normal startup and shutdowns, expected transients, design basis events and key PRA/HRA sequences.
- Specific operational activities (e.g., operations, maintenance, testing and surveillance) will be defined from procedures, technical specifications and pre-initiator actions in the PRA/HRA.

- Key actions will be defined as required by cues from the HSIs (e.g., in the main control room, emergency operations facility, remote shutdown panel, local control stations).

The COL applicant training program plan will provide reasonable assurance that personnel have the qualifications commensurate with the performance requirements of their jobs. Training will address:

- Specific training will be provided for a full range of positions of operational personnel including licensed and nonlicensed personnel whose actions may affect plant safety.
- The training program will address a full range of plant functions and systems including those that may be different from those in predecessor plants (e.g., passive systems and functions)
- The training program will address the full range of relevant HSIs (e.g., main control room, remote shutdown panel, local control stations) including characteristics that may be different from those in predecessor plants (e.g., display space navigation, operation of "soft" controls) as is appropriate for each job classification.

The training program will address a full range of plant conditions as defined above.

#### ***18.10.2.2 Organization of Training***

The specific roles of the COL applicant and the HFE team for development of training requirements, development of training information sources, development of training materials, and implementation of the training program will be defined in a training plan. The initial role for the HFE team is expected to be to provide input materials to the COL training program and if requested to conduct specific training modules.

The qualifications of organizations and personnel involved in the development and conduct of training will be defined in the training plan.

The COL applicant and the HFE team will define the facilities and resources to be used during different phases of the design and operation. The facilities may include a plant-reference simulator and part-task training simulators. The plan for these facilities will follow the guidance contained in ANSI 3.5 and Regulatory Guide 1.149.

#### ***18.10.2.3 Learning Objectives***

Learning objectives for each job description will be derived from the COL applicant analysis and information from the HFE team that describes desired performance after training. This analysis will include but not be limited to training needs identified in the following elements:

- Licensing Basis - Final Safety Analysis Report, system description manuals and operating procedures, facility license and license amendments, licensee event reports, and other documents identified by the COL applicant staff and HFE team as being important to training
- Operating Experience Review - previous training deficiencies and operational problems that may be corrected through additional and enhanced training, and positive characteristics of previous training programs. Such information is available through industry owner groups, and may also come from the COL applicant.

- Function Analysis and Allocation - functions identified as new or modified will come from the HFE design team.
- Task Analysis - tasks identified through the HFE process as posing unusual demands including new or different tasks, and tasks requiring a high degree of coordination, high workload, or special skills are expected to be provided by the HFE team to the COL training program.
- Human Reliability Analysis – This analysis as part of the PRA/HRA provided by the HFE design team is expected to be used to define coordinated roles for the operational crew to reduce the likelihood and/or consequences of human error associated with risk-important HAs and the use of advanced technology. Generic design PRA/HRA models will be made plant specific by the COL applicant.
- HSI Design – The HFE design team will identify HSI features whose purpose or operation may be different from the past experience or expectations of personnel. This is vitally important in the areas where an expanded role for passive safety systems has been incorporated into the defense in depth safety functions.
- Procedure Development– The basic BWR symptom based emergency procedures will be updated to address the impact of passive safety systems by the HFE team and modified by the COL applicant to address plant specific issues. The HFE team will address specific tasks that have undergone extensive revision during past procedure development to address plant safety concerns.
- Verification and Validation (V&V) –The HFE design team will provide scenarios and information to the COL applicant to support V&V testing. The COL applicant will be responsible for identifying training concerns identified during V&V. Issues for training improvement are expected to arise from V&V of the HSI usability with the procedures for specific scenarios that lead to operator performance errors (e.g., misdiagnoses of plant event).

Learning objectives for personnel training that address the knowledge and skill attributes associated with all relevant topics and dimensions of a trainee's job will be initially developed by the HFE design team and enhanced by the COL applicant. Table 18.1, illustrates generic learning objectives for interactions with the plant, the HSIs, and other personnel.

**Table 18.1**

**Example Knowledge and Skill Dimensions for Learning Objectives Identification**

<b>Topic</b>	<b>Knowledge</b>	<b>Skill</b>
Plant Interactions	Understanding of plant processes, systems, operational constraints, and failure modes.	Skills associated with monitoring and detection, situation awareness, response planning and implementation.
HSI and Procedure Interactions	Understanding of procedures and HSI structure, functions, failure modes, and interface management tasks (actions,	Skills associated with interface management tasks.

	errors, and recovery strategies).	
Personnel Interactions (In the CR and in the plant)	Understanding information requirements of others, how actions will be coordinated with others, policies and constraints on crews' interaction.	Skills associated with crew's interactions (i.e., teamwork)

#### ***18.10.2.4 Content of Training Program***

- The COL applicant will provide a training program design based on the HFE team plan for training. The training plan will define specifically how learning objectives will be conveyed to the trainee. The plan will include:
- How lectures, simulators, and on-the-job training will be used to convey particular categories of learning objectives.
- The HFE design process is expected to define an initial listing of specific plant conditions and scenarios for training. The COL applicant and the owner groups are expected to upgrade specific training scenarios by incorporating lessons learned during the operational period.
- The HFE team will develop a training implementation plan. It will consider the order and schedule of training segment building blocks. The COL applicant will adopt and update the schedule leaving room for special scenario development and simulations to challenge well trained crews.
- The COL training program will use factual knowledge developed by the HFE design team. The COL applicant plan is expected to teach the knowledge and skill elements within the context of actual job tasks so that trainees learn to apply knowledge and skill training in the work environment. For example, the COL applicant plan is expected to integrate theory topic training with training in using procedures.

The COL applicant plan is expected to structure training programs for developing skills so that the training topics build upon the level of skill already mastered. For example the order of training will master the manipulation of control devices through the HIS, before developing coordination skills among crew members that require knowledge of how to manipulate the control system.

The COL training program will use the symptom-based procedures developed by the HFE team to support rules for decision-making related to plant systems, HSIs, and use of the procedures. The symptom-based procedures will include rules for identifying cues, confirming, and interpreting information. The COL training program will cover acquiring new decision-making rules for interpreting symptoms of failures of systems, HSIs, and procedures that are a direct result of the passive design. The training will also eliminate existing "rules of thumb" from current BWRs that are not appropriate to the ESBWR passive design.

***18.10.2.5 Evaluation and Modification of Training***

The COL applicant training program plan will include methods for evaluating the overall effectiveness of the training programs and trainee mastery of training objectives. The methods may include written and oral tests and review of personnel performance during walkthrough, simulator exercises, and on-the-job checking. Evaluation criteria for mastery of training objectives during individual training modules will be defined in the COL applicant training program plan. Methods for assessing overall proficiency will be defined and coordinated with regulations, where applicable for licensed personnel.

The COL applicant training program plan will define methods for verifying the accuracy and completeness of training course materials.

The COL applicant training program plan will establish procedures for refining and updating the content and conduct of training. The plan will include provisions for tracking training course modifications.

***18.10.2.6 Periodic Retraining***

The COL training program plan will address how often and which job classifications need to undergo periodic retraining. The COL training program plan will provide for evaluating whether any changes or increases in retraining are warranted following plant upgrades and other modernization programs.

## 18.11 HUMAN FACTORS VERIFICATION AND VALIDATION

This section prescribes the following:

- The six main activities of HF V&V (in order of occurrence):
  1. Operational Conditions Sampling (per NUREG 0711r2)
  2. Design Verification
    - a. Inventory and Characterization
    - b. Human-System Interface (HSI) Task Support Verification
    - c. Human Factors Engineering (HFE) Design Verification
  3. Integrated System Validation
  4. Human Factors Issue Resolution Verification (HED Resolution)
  5. Final Plant HFE/HSI Design Verification
- Relationship Between HF V&V and Hardware/Software V&V
- HF V&V Team
- End-Users as Participants and Test Subjects
- Documentation, Reporting, Performance Measurement, and Integration of Results

Figure 18.1-1 provides an overview of the integrated HF V&V activities with their associated inputs and outputs.

### 18.11.1 Human Factors Verification and Validation Implementation Plan

- (1) The Human Factors Verification and Validation (V&V) Implementation Plan shall establish (additional details are provided in Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 4.11):
  - (a) Human factors V&V methods and criteria that are consistent with accepted HFE practices and principles.
  - (b) That the scope of the evaluations of the integrated HSI shall include:
    - (i) The Human-System Interface (including both the interface of the operator with the HSI equipment hardware and the interface of the operator with the HSI equipment's software-driven functions)
    - (ii) The plant normal and emergency operating procedures
    - (iii) HSI work environment
  - (c) That static and/or "part-task" mode evaluations of the HSI equipment shall be conducted to confirm that the controls, displays, and data processing functions identified in the task analyses are designed per accepted HFE guidelines and principles.



- (d) The integrated system validations of HSI equipment with each other, with the operating personnel and with the plant normal and emergency operating procedures shall be evaluated through the conduct of dynamic task performance testing. The dynamic task performance testing and evaluations shall be performed over the full scope of the integrated HSI design using dynamic HSI prototypes (i.e., prototypical HSI equipment which is dynamically-driven using real time plant simulation computer models). In the event that the particular HSI design implementation under consideration is referenced to a previous HSI design for which dynamic task performance test and evaluation results are available, those existing results, along with the results of limited scope dynamic task performance tests which address the areas of difference between the two subject HSI designs, may be used to satisfy this requirement. The methods for defining the scope and application of the dynamic HSI prototype, past test results and other evaluation tools shall be documented in the implementation plan:
- (e) Human Factors Issues are resolved or dispositioned to the COL Holder (later actives).
- (f) COL Holder's final plant HFE/HIS Design Verification completion is performed and documented as a basis to human performance monitoring.

**18.12 DESIGN IMPLEMENTATION**

Both GE and the COL Applicant carry out the design implementation. The implementing organizations execute their responsibilities under the plans described herein. The design implementation, startup and operation duties of the COL Holder include aspects of these plans which are transferred to the COL Holder under their license obligations to ensure the integrity of the HFE infrastructure is maintained throughout the life cycle of the plant. See also Section 18.1.1 reference 1 (M-MIS HFE Implementation Plan, in Section 5 and 6).

### 18.13 HUMAN PERFORMANCE MONITORING

This outlines a strategy for going forward with human performance monitoring (HPM) that links human factor engineering methods used during the design with methods for monitoring human performance during operation by the COL applicant.

#### 18.13.1 Purpose

The aim of a HPM strategy is to ensure that the high safety standards established during the HSI design are maintained even when changes are made in the plant and to provide adequate assurance that the safety bases remain valid during the operational phase of the plant. There is no intent for M-MIS designer or the COL Applicant to periodically repeat a full-integrated system validation, instead the strategy is to provide a monitoring plan building upon the HFE activities during the design that can be carried forward into the operational phase, using industry accepted methods. The COL applicant may incorporate this monitoring strategy into their problem identification and corrective action program. Current industry programs include the human performance evaluation (HPES) programs that identify and classify human errors, provide for evaluation of the root cause, and support documentation of the corrective action.

#### 18.13.2 HPM Strategy Development

A basic HPM strategy will be initially developed by the HSI design team, and then modified and updated by the COL Applicant to be applied during the operational life of the plant.

During the design phase the scope of the performance monitoring strategy will be developed to provide reasonable assurance that:

- The HSI design can be effectively used by personnel within the control room and between the control room and local control stations and support centers to address expected transients, design basis events, significant industry events and key scenarios identified by the PRA/HRA.
- Human actions, using HSI information, cues and controls can be accomplished with margin on time and performance criteria used to determine the probability of success assessments used in the PRA/HRA.

During the operational phase of the plant the performance monitoring strategy will provide reasonable assurance that:

- The acceptable level of performance established during the integrated HSI validation is maintained. The methods for evaluation and trending established for the plant operators through INPO's HPES provides an industry-accepted approach.
- Changes made to the initial HSIs, procedures, and training does not have adverse effects on personnel performance, e.g., a change interferes with previously trained skills. The screening and processing discussed in Regulatory Guide 1.174 will form the basis of the documentation strategy and any links to the content in Chapter 18 for the FSAR.

#### 18.13.3 Elements of HPM process

The key elements for developing a HPM strategy will include considerations of data collection, screening for importance, analyzing events to determine the cause and for trending, and will

support the development of corrective actions. Where possible the elements of the HPM will draw upon existing information sources and programs to provide the assurances described above.

The COL applicant HPM strategy will be capable of collecting data for trending human performance. Such data will be used to demonstrate that changes implemented result in performance that is consistent with assumptions in the analyses conducted to justify the initial design or changes to the HSI. Existing programs such as licensed operator training or corrective action are expected to include appropriate data for trending human performance. In any case the strategy will be to use existing utility or industry programs for data collection rather than developing new monitoring programs for risk-informed purposes.

The COL applicant HPM strategy will be structured to ensure that (a) human actions are monitored commensurate with their safety importance, (b) feedback of information and corrective actions are accomplished in a timely manner, and (c) degradation in performance can be detected and corrected before plant safety is compromised. This strategy will be implemented through the use of a plant specific simulator during periodic training exercises. An assumption for use during the HSI design process is that a simulator control room will be maintained and upgraded to match the actual control room with good interface and dynamic response fidelity.

When actual conditions cannot be simulated, monitored, or measured, the available information that most closely approximates performance data in actual conditions will be used to assess the impact on risk via the PRA/HRA models and data.

The COL applicant HPM strategy will be capable of maintaining a database of causes determined during the event evaluation and corrective actions taken. Such data will support trending of performance degradation and failures.

The COL applicant HPM strategy will identify and establish corrective actions that will reduce the potential for recurrence of unacceptable failures or degraded performance. A strategy will be developed to systematically identify the cause of the failure or degraded performance to the extent that a corrective action can be defined that would preclude the problem or provide adequate assurance that it will be detected before it becomes a safety concern. The corrective action will be derived by (a) addressing the significance of the failure through application of PRA/HRA importance measures, (b) classifying the causes and circumstances surrounding the failure or degraded human performance, (c) illuminating the characteristics of the failure (e.g., being task specific or due to overall plant culture), and (d) determining whether the failure is isolated or has generic or common cause implications.

## **18.14 INVENTORY OF CONTROLS AND INSTRUMENTATION**

### **18.14.1 Operator Interface Equipment Characterization**

This appendix contains a characterization of a main control room operator interface that incorporates the standard design features presented in Subsection 18.1.4.1. The purpose of presenting this design characterization is to provide an illustration of an implemented ESBWR main control room operator interface and is not meant to impose any limits on the ESBWR design.

### **18.14.2 Control Room Arrangement**

The conceptual main control room contains the main control console, the large display panel, the supervisor's console, the assistant shift supervisor's desk, a large table and various other desks, peripheral equipment and storage space. The arrangement of these items of equipment and furniture is shown in Figure 18D-1. The spatial arrangement of the main control console, large display panel and supervisor's console is a standard design feature, as discussed in Subsection 18.1.4.1.15. Figure 18D-1 illustrates this standard arrangement.

### **18.14.3 Main Control Console Configuration**

The conceptual main control console is configured as shown in a plan view in Figure 18D-2. As shown in Figure 18D-2, the configuration is that of a shallow, truncated V with desk space attachments at the ends of both wings. The dimensions are such that two operators can comfortably work at the console at all times.

A cross-sectional view of the main console is shown in Figure 18D-3. This is a cross-section at points A-A, indicated in Figure 18D-2. This view gives an indication of the console height and the depth of the console desk surface. The dashed lines indicate the position of the computer driven VDUs, which, in this concept, are CRTs.

A second cross-sectional view, at points B-B, as indicated in Figure 18D-2, is shown in Figure 18D-4. This view shows the cross-sectional shape of the main console in the desk areas.

The details in Figure 18D-2 include the identification and arrangement of the equipment installed on the main control console. This equipment includes computer-driven CRTs, flat panel display devices, panels of dedicated function switches and analog displays for selected equipment (e.g., the main generator). The flat panel display devices are driven by dedicated microprocessors and, thus, are independent of the NE-DCIS.

In general, the conceptual equipment arrangement on the main console (plan view) is (1) safety-related and NSS on the left, (2) overall plant supervision in the center and (3) balance of plant (BOP) on the right.

The flat panel displays on the left side of the console are divisionally dedicated. These flat panels are qualified to Class 1E standards and are used to monitor and control the divisional safety-related systems.

The flat panels on the center and right panels of the main console support monitoring and control of nonsafety-related NSS and BOP systems.

The CRTs and flat panel display devices on the main control console are fitted with touch screens. In addition to the control capabilities provided by the touch screens on the CRTs and flat panels, there are panels of dedicated switches implemented in hardware and located on the main control console. Dedicated switches are discussed in Subsection 18.1.3.1.5.

#### **18.14.4 Large Display Panel Configuration**

The conceptual large display panel is approximately 3.2 meters high (10 ft. 6 in.) and 10.5 (34 ft. 5 in.) meters wide. In conformance with the standard design feature discussed in Subsection 18.1.4.1.7, it has three major components; the fixed-position (mimic) display, the top-level alarm display and the large VDU. There are also fixed-position alarm tiles positioned in the top portion of the fixed-mimic display. All displays on the large display panel are positioned to be viewed by the operators from a sitting position behind the main control console as shown in Figure 18D-5.

The fixed-position displays occupy the central portion of the large display panel and are discussed in Subsection 18.1.4.1.8. The fixed-position displays are driven by controllers that are independent of the NE-DCIS so that the fixed-position displays will continue to function normally in the event of NE-DCIS failure. The plant-level alarm display panel is at the left of the fixed-position displays as you face the large display panel. To the right of the fixed-position displays on the panel is the large VDU.

#### **18.14.5 Systems Integration**

A characterization of the plant instrumentation and control systems architecture which supports the control room operator interface is illustrated in Figure 18D-6. As shown in Figure 18D-6, display and control capability for both safety-related and nonsafety-related systems are driven by microprocessors that are independent of the redundant NE-DCIS. This assures the ability to safely shut down the plant in the unlikely event of computer failure. In the case of the safety systems, the microprocessors are divisionally dedicated and are each electrically isolated from the rest of the system.

The plant-wide, fiber-optic essential distributed control and instrumentation system (E-DCIS) provides the communications network for the system. This multiplexing system is actually a series of data acquisition and control networks; separate networks being provided for safety-related and nonsafety-related plant systems.

## **18A. EMERGENCY PROCEDURE AND SEVERE ACCIDENT GUIDELINES**

### **18A.1 INTRODUCTION**

The EPGs/SAGs are divided into Emergency Procedure Guidelines (EPGs) and Severe Accident Guidelines (SAGs). The EPGs define strategies for responding to emergencies and to events that may degrade into emergencies until primary containment flooding is required. The EPGs and SAGs have been modified based on the ESBWR system design features. The following generic symptomatic EPGs have been developed:

- RPV Control Guideline
- Primary Containment Control Guideline
- Reactor Building Control Guideline
- Radioactivity Release Control Guideline

The SAGs define strategies applicable after containment flooding is required. They comprise two guidelines:

- RPV and Containment Flooding
- Containment and Radioactivity Release Control

The RPV Control Guideline maintains adequate core cooling, shuts down the reactor, and cools down the RPV to cold shutdown conditions. This guideline is entered whenever low RPV water level, high RPV pressure, or high drywell pressure occurs, or whenever a condition that requires reactor scram exists and reactor power is above the APRM downscale trip or cannot be determined.

The Containment Control Guideline maintains containment integrity and protects equipment in the containment with respect to the consequences of all mechanistic events. This guideline is entered whenever suppression pool temperature, drywell temperature, drywell pressure, suppression pool water level, or containment hydrogen concentration is above its high operating limit or suppression pool water level is below its low operating limit. Suppression pool and drywell temperatures are determined by plant-specific procedures for determining bulk suppression pool water temperature and drywell atmosphere average temperature, respectively.

The Reactor Building Control Guideline protects the controlled areas, limits radioactivity release to the controlled areas, and either maintains controlled area integrity or limits radioactivity release from the controlled areas. This guideline is entered whenever a controlled area temperature, radiation level, or water level is above its maximum normal operating value or controlled area differential pressure reaches zero.

The Radioactivity Release Control Guideline limits radioactivity release into areas outside the containment and controlled areas. This guideline is entered whenever offsite radioactivity release rate is above that which requires an Alert.

Table 18A-1 is a list of the abbreviations used in the guidelines.

Brackets [ ] enclose plant unique setpoints, design limits, pump shutoff pressures, etc., and parentheses ( ) within brackets indicate the source for the bracketed variable. Included in these guidelines is the current state of design values for the ESBWR expressed in both SI and English units. Brackets are also used to indicate potential systems which might be employed for those systems whose use in the indicated application is not yet well defined such as use of the fire protection system to inject water into the RPV.

At various points throughout these guidelines, operator precautions are identified by a circled number in reverse type in the right margin. The number within the circle refers to a numbered "Caution" contained in the Operator Cautions section. These "Cautions" are brief and succinct red flags for the operator.

The emergency procedure guidelines for the ESBWR design address all systems that may be used to respond to an emergency.

At various points within these guidelines, limits are specified beyond which certain actions are required. The bases and calculational methods for these limits are defined in the BWROG Emergency Procedure and Severe Accident Guidelines, Revision 2, Appendices B and C, respectively. While conservative, these limits are derived from engineering analyses utilizing best-estimate (as opposed to licensing) models. Consequently, these limits are generally not as conservative as the limits specified in a plant's Technical Specifications. This is not to imply that operation beyond the Technical Specifications is recommended in any emergency. Rather, such operation is required and is now permitted under certain degraded conditions in order to safely mitigate the consequences of those degraded conditions. The limits specified in the guidelines establish the boundaries within which continued safe operation of the plant can be assured. Therefore, conformance with the guidelines does not ensure strict conformance with a plant's Technical Specifications or other licensing bases.

At other points within these guidelines, defeating safety system interlocks and initiation logic is specified. This is also required in order to safely mitigate the consequences of degraded conditions, and it is generally specified only when conditions exist for which the interlock or logic was not designed. Defeating other interlocks may also be required due to instrument failure, etc., but these interlocks cannot be identified in advance and are therefore not specified in the guidelines.

The entry conditions for these emergency procedure guidelines are symptomatic of both emergencies and events that may degrade into emergencies. The guidelines specify actions appropriate for both. Therefore, entry into procedures developed from these guidelines is not conclusive that an emergency has occurred.

Each procedure developed from these emergency procedure guidelines (EPGs) is entered whenever any of its entry conditions occurs, irrespective of whether that procedure has already been entered or is presently being executed. When RPV and Containment Flooding is required, the EPGs transition to the Severe Accident Guidelines (SAGs). The EPGs are exited and the operator returns to non-emergency procedures when either one of the exit conditions specified in the procedure is satisfied or it is determined that an emergency no longer exists. After a procedure developed from these guidelines has been entered, subsequent clearing of all entry conditions for that procedure is not, by itself, conclusive that an emergency no longer exists.



Procedures developed from these emergency procedure guidelines specify symptomatic operator actions, which will maintain the reactor plant in a safe condition and optimize plant response and margin to safety irrespective of the initiating event. However, for certain specific events (e.g., earthquake, tornado, blackout, or fire), emergency response and recovery can be further enhanced by additional auxiliary event-specific operator actions which may be provided in supplemental event-specific procedures intended for use in conjunction with the symptomatic procedures. As with actions specified in any other procedure intended for use with the symptomatic procedures, these event-specific operator actions must not contradict or subvert the operator actions specified in the symptomatic procedures and must not result in loss or unavailability of equipment the operation of which is specified in these procedures.

The ESBWR EPG/SAG was derived from Rev. 2 of the generic BWR Owners' Group Emergency Procedure and Severe Accident Guidelines. Adaptations were required to accommodate the unique design philosophy, plant configuration and specific systems and components of the ESBWR. Appendix 18B provides the bases for the modified EPG as follows:

- Section 18B.1 briefly describes the ESBWR features which greatly impacted the ESBWR EPG;
- Section 18B.2 discusses the major EPG changes arising from these features; and
- Section 18B.3 tabulates, step-by-step, the differences between EPG/SAG Rev. 2 and the ESBWR EPG and provides the bases for these differences.

## 18A.2 OPERATOR CAUTIONS

This section lists cautions which are applicable at one or more specific points within the guidelines. Where a caution is applicable, it is identified by a circled number in reverse type in the right margin.

①

RPV water level indications are affected by instrument run temperatures and RPV pressure:

- If the temperature near any instrument run is above the RPV Saturation Temperature, the instrument may be unreliable due to boiling in the run.
- Each instrument in the following table may be used to determine RPV water level only when the instrument reads above the Minimum Indicated Level or the temperatures near all the instrument reference leg vertical runs are below the Maximum Run Temperature.

Instrument	Range (in.)	Maximum Run Temperature (°F)		Minimum Indicated Level (in.)
		DW	RB	
Fuel Zone	-317 to -17	324	NA	-301

- Each instrument in the following table may be used to determine RPV water level only when the instrument reads above the Minimum Indicated Level associated with the highest temperature near an instrument reference leg vertical run:

Instrument	Highest Drywell Run Temperature (°F) Between		Minimum Indicated Level (in.)
	Low	High	
Narrow Range (0 to +60 in.)	—	278	0
	278	350	5
	350	450	13
	450	550	25
Instrument	Highest Drywell Run Temperature (°F) Between		Minimum Indicated Level (in.)
	Low	High	
Wide Range (-150 to +60 in.)	—	211	-150
	211	250	-147
	250	350	-138
	350	450	-128
	450	550	-115
Shutdown Range	—	150	11

(-17 to +383)	150	250	25
	250	350	43
	350	450	67
	450	550	99

**2**

A rapid increase in injection into the RPV may induce a large power excursion and result in substantial core damage.

**3**

A steam explosion may occur if core debris drops into the lower drywell with a drywell water level greater than [0.7 m]. Containment flooding above [0.7 m] should be prevented until the lower drywell floor thermocouples indicate that all or most of the core debris has been ejected from the RPV.

### 18A.3 RPV CONTROL EMERGENCY PROCEDURE GUIDELINE

#### PURPOSE

The purpose of this guideline is to:

- Maintain adequate core cooling,
- Shut down the reactor, and
- Cool down the RPV to cold shutdown conditions ((120°F) 49°C <RPV water temp < 100°C (212°F) (cold shutdown conditions)).

#### ENTRY CONDITIONS

The entry conditions for this guideline are any of the following:

- RPV water level below [1978 cm (778.75 in) (low level scram setpoint)]
- RPV pressure above [7.72 MPa (1120 psig) (high RPV pressure scram setpoint)]
- Drywell pressure above [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)]
- A condition which requires reactor scram, and reactor power above [6% (APRM downscale trip)] or cannot be determined

#### OPERATOR ACTIONS

If while executing the following steps, Primary Containment Flooding is or has been required, enter [procedure developed from the RPV and Primary Containment Flooding Severe Accident Guideline].

RC-1 If a reactor scram has not been initiated, initiate a reactor scram.

Execute [Steps RC/L, RC/P, and RC/Q] concurrently.

RC/L Monitor and control RPV water level.

**1**

RC/L-1 Initiate each of the following which should have initiated but did not:

- Isolation
- ECCS
- All Isolation Condensers
- [Emergency Diesel Generator]

If while executing the following step:

- Any control rod cannot be determined to be inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] and it has not been determined that the reactor will remain shutdown under all conditions without boron, enter [procedure developed from Emergency Procedure Guideline Contingency #3].
- RPV water level cannot be determined, enter [procedure developed from Emergency Procedure Guideline Contingency #2].

If while executing the following step primary containment water level and suppression chamber pressure cannot be maintained below Primary Containment Pressure Limit, but only if adequate core cooling can be assured, terminate injection into the RPV from sources external to the primary containment.

If RPV water level cannot be restored and maintained above [745.3 cm (293.4 in) (top of active fuel)] enter [procedure developed from RPV and Primary Containment Flooding Severe Accident Guideline].

RC/L-2 Restore and maintain RPV water level between [1978 cm (778.75 in). (low level scram setpoint or shutdown cooling RPV water level interlock, whichever is higher)] and [2189 cm (861.8 in) (high level trip setpoint)] with one or more of the following systems:

- Condensate/Feedwater
- CRD High Pressure Makeup mode
- FAPCS LPCI mode

If RPV water level cannot be restored and maintained above [1978 cm (778.75 in). (low level scram setpoint or shutdown cooling RPV water level interlock, whichever is higher)], maintain RPV water level above [1300 cm (511.8 in) (Level 1.5)].

RPV water level control may be augmented by one or more of the following systems:

- Fire System
- [Interconnections with other units]

If RPV water level cannot be restored and maintained above [1300 cm (511.8 in) (Level 1.5)], EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

RC/L-3 Restore and maintain RPV water level above [1978 cm (778.75 in) (low level scram setpoint or shutdown cooling RPV water level interlock, whichever is higher)] with one or more of the following systems:

- Condensate/Feedwater
- CRD High Pressure Makeup mode
- FAPCS LPCI mode

RPV water level control may be augmented by one or more of the following systems:

- |   |
|---|
| <ul style="list-style-type: none"><li>• Fire System</li><li>• Interconnections with other units</li></ul> |
|---|

RC/P Monitor and control RPV pressure

If while executing the following steps:

- Emergency RPV Depressurization is anticipated and either all control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] or it has been determined that the reactor will remain shutdown under all conditions without boron, rapidly depressurize the RPV with the main turbine bypass valves and IC, irrespective of the resulting cooldown rate.
- Emergency RPV Depressurization is or has been required, enter

[procedure developed from Emergency Procedure Guideline Contingency #1].

- RPV water level cannot be determined, enter [procedure developed from Emergency Procedure Guideline Contingency #2].

RC/P-1 If any SRV is cycling, initiate IC and manually open SRVs until RPV pressure drops to [6.45 MPa (935 psig) (RPV pressure at which all turbine bypass valves are fully open)].

If while executing the following steps:

- Suppression pool temperature cannot be maintained below the Heat Capacity Temperature Limit, maintain RPV pressure below the Limit, exceeding [55.55°C/hr (100°F/hr) (RPV cooldown rate LCO)] cooldown rate if necessary.
- Suppression pool water level cannot be maintained below the SRV Tail Pipe Level Limit, maintain RPV pressure below the Limit, exceeding [55.55°C/hr (100°F/hr) (RPV cooldown rate LCO)] cooldown rate if necessary.

If while executing the following steps:

- Boron Injection is required, and
- The main condenser is available, and
- There has been no indication of a steam line break,

open MSIVs, defeating MSL and Offgas high radiation interlocks and low RPV water level interlocks if necessary, to re-establish the main condenser as a heat sink.

RC/P-2 Stabilize RPV pressure at a pressure below [7.72 MPa (1120 psig) (high RPV pressure scram setpoint)] with the main turbine bypass valves.

RPV pressure control may be augmented by one or more of the following systems:

- IC
- SRVs, only when suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary; open SRVs in the following sequence if possible: [(SRV opening sequence)]; if the continuous SRV pneumatic supply is or becomes unavailable, place the control switch for each SRV in the [CLOSE or AUTO] position.
- RWCU/SDC high pressure shutdown cooling mode, defeating regenerative heat exchangers and filter/demineralizers and, if necessary, defeating SLC and other isolation interlocks.
- MSL drains
- RWCU (blowdown mode), only if no boron has been injected into the RPV or it has been determined that the reactor will remain shutdown under all conditions without boron; refer to [sampling procedures] prior to initiating blowdown.

If while executing the following steps:

- the reactor is not shutdown, return to [Step RC/P-2]
- the RPV water temperature cannot be cooled down below 100°C {212°F} and further cooldown is required, initiate [Alternate Shutdown Cooling Procedure].

RC/P-3 When either:

- All control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)], or
- It has been determined that the reactor will remain shutdown under all conditions without boron, or
- [96.8 Kg (213 pounds) (Cold Shutdown Boron Weight)] of boron have been injected into the RPV, or
- The reactor is shutdown and no boron has been injected into the RPV,

depressurize the RPV and maintain the cooldown rate below [55.55°C/hr (100°F/hr) (RPV cooldown rate LCO)], defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary.



If one or more SRVs are being used to depressurize the RPV and the continuous SRV pneumatic supply is or becomes unavailable, depressurize with sustained SRV opening.

RC/Q Monitor and control reactor power.

If while executing the following steps:

- All control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)], enter [scram procedure].
- It has been determined that the reactor will remain shutdown under all conditions without boron, enter [scram procedure].
- The reactor is shutdown and no boron has been injected into the RPV, enter [scram procedure].

RC/Q-1 Confirm or place the reactor mode switch in SHUTDOWN.

RC/Q-2 If ARI has not initiated, initiate ARI.

Execute [Steps RC/Q-3 and RC/Q-4] concurrently.

RC/Q-3 Either:

- When periodic neutron flux oscillations in excess of [25% (Large Oscillation Threshold)] peak-to-peak commence and continue, or
- Before suppression pool temperature reaches the Boron Injection Initiation Temperature,

BORON INJECTION IS REQUIRED; inject boron into the RPV with SLC.

If boron cannot be injected with SLC, inject boron into the RPV by one or more of the following alternate methods:

- CRD
- RWCU/SDC
- Feedwater
- Hydro pump

If boron is not being injected into the RPV by RWCU/SDC and RWCU/SDC is not isolated, bypass regenerative heat exchangers and filter/demineralizers.

RC/Q-4 Insert control rods as follows:

RC/Q-4.1 Reset ARI, defeating ARI logic trips if necessary.

RC/Q-4.2 Insert control rods with one or more of the following methods:

- De-energize scram solenoids.
- Vent the scram air header.
- Reset the scram, defeating RPS logic trips if necessary, and initiate a manual scram.
- Open individual scram test switches.
- Drive control rods, defeating RCIS and RWM interlocks if necessary.

## 18A.4 PRIMARY CONTAINMENT CONTROL EMERGENCY PROCEDURE GUIDELINE

### PURPOSE

The purpose of this guideline is to:

- Maintain primary containment integrity, and
- Protect equipment in the primary containment.

### ENTRY CONDITIONS

The entry conditions for this guideline are any of the following:

- Suppression pool temperature above [43.3°C (110°F) (most limiting suppression pool temperature LCO)]
- Drywell temperature above [57.22°C (135°F) (drywell temperature LCO or maximum normal operating temperature, whichever is higher)]
- Drywell pressure above [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)]
- Suppression pool water level above [5.50 m (18.05 ft) (maximum suppression pool water level LCO)]
- Suppression pool water level below [5.40 m (17.72 ft) (minimum suppression pool water level LCO)]
- Primary containment hydrogen concentration above [2% (high hydrogen alarm setpoint)]

### OPERATOR ACTIONS

If while executing the following steps, Primary Containment Flooding is or has been required, enter [procedure developed from the Containment and Radioactivity Release Control Severe Accident Guideline].

Execute [Steps SP/T, DW/T, PC/P, SP/L, and PC/G] concurrently.

SP/T Monitor and control suppression pool temperature below [43.3°C (110°F) (most limiting suppression pool temperature LCO)] using available suppression pool cooling.

When suppression pool temperature cannot be maintained below [43.3°C (110°F) (most limiting suppression pool temperature LCO)]:

- SP/T-1 Operate all available suppression pool cooling using only those FAPCS pumps not required to assure adequate core cooling by continuous operation in the LPCI mode.
- SP/T-2 Before suppression pool temperature reaches the Boron Injection Initiation Temperature, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.
- SP/T-3 Before the Heat Capacity Temperature Limit is reached; operate all Isolation Condensers to depressurize the RPV.
- SP/T-4 When suppression pool temperature and RPV pressure cannot be maintained below the Heat Capacity Temperature Limit, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

DW/T Monitor and control drywell temperature below [57.22°C (135°F) drywell temperature LCO or maximum normal operating temperature, whichever is higher] using available drywell cooling.

When drywell temperature cannot be maintained below [57.22°C (135°F) (drywell temperature LCO or maximum normal operating temperature, whichever is higher)]:

- DW/T-1 Operate all available drywell cooling, defeating isolation interlocks if necessary.
- DW/T-2 Before drywell temperature reaches [171.1°C (340°F) (maximum temperature at which ADS is qualified or drywell design temperature, whichever is lower)], enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.
- DW/T-3 When drywell temperature cannot be restored and maintained below [171.1°C (340°F) (maximum temperature at which ADS is qualified or drywell design temperature, whichever is lower)], EMERGENCY RPV DEPRESSURIZATION IS REQUIRED;

PC/P Monitor and control primary containment pressure below [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)] using the following systems:

- Containment Inerting System (CIS); use [CIS operating procedure].
- Reactor Building HVAC System; use [RBHVAC operating procedure]

PC/P-1 When suppression chamber pressure cannot be maintained below the Pressure Suppression Pressure, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

PC/P-2 Before suppression chamber pressure reaches Primary Containment Pressure Limit, vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary, to control pressure below Primary Containment Pressure Limit.

PC/P-3 When suppression chamber pressure exceeds Primary Containment Pressure Limit, vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary and irrespective of whether adequate core cooling is assured, to control pressure below Primary Containment Pressure Limit.

SP/L Monitor and control suppression pool water level.

SP/L-1 Maintain suppression pool water level between [5.50 m (18.05 ft) (maximum suppression pool water level LCO)] and [5.40 m (17.72 ft) (minimum suppression pool water level LCO)] using FAPCS; refer to [sampling procedure] prior to discharging water.

If suppression pool water level cannot be maintained above [5.40 m (17.72 ft) (minimum suppression pool water level LCO)], execute [Step SP/L-2].

If suppression pool water level cannot be maintained below [5.50 m (18.05 ft) (maximum suppression pool water level LCO)], execute [Step SP/L-3].

SP/L-2 SUPPRESSION POOL WATER LEVEL BELOW [5.40 m (17.72 ft) (minimum suppression pool water level LCO)]

Maintain suppression pool water level above [\*\* m (\*\* ft) (elevation of the downcomer openings)] [(0.61 m (2 ft) above the elevation of the top of the horizontal vents)].

If suppression pool water level cannot be maintained above [\*\* m (\*\* ft) (elevation of the downcomer openings)] [(0.61 m (2 ft) above the elevation of the top of the horizontal vents)], EMERGENCY RPV DEPRESSURIZATION IS REQUIRED; enter [procedure developed from the RPV Control Emergency

Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.

SP/L-3 SUPPRESSION POOL WATER LEVEL ABOVE [5.50 m (18.05 ft)  
(maximum suppression pool water level LCO)]

Execute [Steps SP/L-3.1 and SP/L-3.2] concurrently.
---

SP/L-3.1 Maintain suppression pool water level below the SRV Tail Pipe Level Limit.

If suppression pool water level cannot be maintained below the SRV Tail Pipe Level Limit, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.

If suppression pool water level and RPV pressure cannot be maintained below the SRV Tail Pipe Level Limit but only if adequate core cooling is assured, terminate injection into the RPV from sources external to the primary containment except from systems required to shut down the reactor.

If suppression pool water level and RPV pressure cannot be restored and maintained below the SRV Tail Pipe Level Limit,  
EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

SP/L-3.2 Maintain suppression pool water level below [16.85 m (55.28 ft) (elevation of bottom of suppression chamber to drywell vacuum breaker openings [less vacuum breaker opening pressure in meters of water])].

If suppression pool water level cannot be maintained below [16.85 m (55.28 ft) (elevation of bottom of suppression chamber to drywell vacuum breaker openings [less vacuum breaker opening pressure in meters of water])] and if adequate core cooling can be assured:

Terminate injection into the RPV from sources external to the primary containment except from systems required to shut down the reactor.

PC/G Monitor and control primary containment hydrogen and oxygen concentrations.

If while executing the following steps the hydrogen or oxygen monitoring system is or becomes unavailable, sample the drywell and suppression chamber for hydrogen and oxygen in accordance with [sampling procedure].

Control hydrogen and oxygen concentrations in the drywell as follows:

		Drywell Oxygen Concentration				
		< 5%	≥ 5% or cannot be determined to be below 5%			
			Suppression Chamber Hydrogen Concentration			
			None Detected	< 6%	≥ 6% or cannot be determined to be below 6%	
Drywell Hydrogen Concentration	None Detected	No action required	No action required	[PC/G-2]	[PC/G-3]	
	< 6%	[PC/G-1]				
	≥ 6% or cannot be determined to be below 6%					

Control hydrogen and oxygen concentrations in the suppression chamber as follows:

		Suppression Chamber Oxygen Concentration				
		< 5%	≥ 5% or cannot be determined to be below 5%			
			Drywell Hydrogen Concentration			
			None Detected	< 6%	≥ 6% or cannot be determined to be below 6%	
Suppression Chamber Hydrogen Concentration	None Detected	No action required	No action required	[PC/G-5]	[PC/G-6]	
	< 6%	[PC/G-4]				
	≥ 6% or cannot be determined to be below 6%					

PC/G-1 Reduce drywell hydrogen and oxygen concentrations by one or both of the following methods:

- If the offsite radioactivity release rate is expected to remain below the offsite release rate specified in [Technical Specifications], vent and purge the drywell as follows, defeating isolation interlocks (except high radiation interlocks) if necessary:

If while executing the following steps the offsite radioactivity release rate reaches the offsite release rate specified in [Technical Specifications], secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

- (1) Refer to [sampling procedure].
- (2) Vent the drywell.
- (3) If the drywell can be vented, purge the drywell by injecting nitrogen into the drywell.
- (4) When hydrogen is no longer detected in the drywell, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

PC/G-2      Reduce drywell hydrogen and oxygen concentrations by one or both of the following methods:

- If adequate core cooling is not assured or the offsite radioactivity release rate is expected to remain below the offsite radioactivity release rate which requires a General Emergency, vent and purge the drywell as follows, defeating isolation interlocks if necessary:

If while executing the following steps adequate core cooling is assured and it has been determined that the offsite radioactivity release rate has reached the offsite radioactivity release rate which requires a General Emergency, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

- (1) Vent the drywell.
- (2) If the drywell can be vented, purge the drywell by injecting nitrogen into the drywell at the maximum rate.
- (3) When no hydrogen is detected in the drywell and either drywell oxygen concentration is below 5% or no hydrogen is detected in the suppression chamber, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].



PC/G-3      EMERGENCY RPV DEPRESSURIZATION IS REQUIRED; enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure; vent and purge the drywell as follows, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary:

PC/G-3.1    Vent the drywell.

PC/G-3.2    If the drywell can be vented, purge the drywell by injecting air or nitrogen, whichever will more rapidly return hydrogen concentrations to below 6% or oxygen concentration to below 5%, into the drywell at the maximum rate.

PC/G-4      Reduce suppression chamber hydrogen and oxygen concentrations by one or both of the following methods:

- If the offsite radioactivity release rate is expected to remain below the offsite release rate specified in [Technical Specifications], vent and purge the suppression chamber as follows, defeating isolation interlocks (except high radiation interlocks) if necessary:

If while executing the following steps the offsite radioactivity release rate reaches the offsite release rate specified in [Technical Specifications], secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

- (1) Refer to [sampling procedure].
- (2) If suppression pool water level is below [\*\* m (\*\* ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.
- (3) If the suppression chamber can be vented, purge the suppression chamber by injecting nitrogen into the suppression chamber.
- (4) When hydrogen is no longer detected in the suppression chamber, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

PC/G-5      Reduce suppression chamber hydrogen and oxygen concentrations by one or both of the following methods:

- If adequate core cooling is not assured or the offsite radioactivity release rate is expected to remain below the offsite release rate which requires a General Emergency, vent and purge the suppression chamber as follows, defeating isolation interlocks if necessary:

If while executing the following steps adequate core cooling is assured and it has been determined that the offsite radioactivity release rate has reached the offsite release rate which requires a General Emergency, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

- (1) If suppression pool water level is below [\*\* m (\*\* ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.
- (2) If the suppression chamber can be vented, purge the suppression chamber by injecting nitrogen into the suppression chamber at the maximum rate.
- (3) When no hydrogen is detected in the suppression chamber and either suppression chamber oxygen concentration is below 5% or no hydrogen is detected in the drywell, secure vent and purge not required by other steps in the [procedures developed from the Emergency Procedure Guidelines].

PC/G-6 EMERGENCY RPV DEPRESSURIZATION IS REQUIRED; enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure; vent and purge the suppression chamber as follows, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary:

- PC/G-6.1 If suppression pool water level is below [\*\* m (\*\* ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.
- PC/G-6.2 If the suppression chamber can be vented, purge the suppression chamber by injecting air or nitrogen, whichever will more rapidly return hydrogen concentration to below 6% or oxygen concentration to below 5%, into the suppression chamber at the maximum rate.

## 18A.5 REACTOR BUILDING CONTROL EMERGENCY PROCEDURE GUIDELINE

### PURPOSE

The purpose of this guideline is to:

- Protect equipment in the Reactor Building,
- Limit radioactivity release to the Reactor Building,
- and either:
- Maintain Reactor Building integrity, or
- Limit radioactivity release from the Reactor Building.

### ENTRY CONDITIONS

The entry conditions for this guideline are any of the following Reactor Building conditions:

- Differential pressure at or above 0 in. of water
- An area temperature above the maximum normal operating temperature
- A HVAC cooler differential temperature above the maximum normal operating differential temperature
- A HVAC exhaust radiation level above the maximum normal operating radiation level
- An area radiation level above the maximum normal operating radiation level
- A floor drain sump water level above the maximum normal operating water level
- An area water level above the maximum normal operating water level

### OPERATOR ACTIONS

If while executing the following steps, Primary Containment Flooding is or has been required, enter [procedure developed from the Containment and Radioactivity Release Control Severe Accident Guideline].

If while executing the following steps Reactor Building HVAC exhaust radiation level exceeds [20 mr/hr (Reactor Building HVAC isolation setpoint)]:

- Confirm or manually initiate isolation of Reactor Building HVAC

If while executing the following steps:

- Reactor Building HVAC isolates, and,
- Reactor Building HVAC exhaust radiation level is below [20 mr/hr (Reactor Building HVAC isolation setpoint)],

restart Reactor Building HVAC, defeating high drywell pressure and low RPV water level isolation interlocks if necessary.

Execute [Steps SC/T, SC/R, and SC/L] concurrently.

SC/T Monitor and control Reactor Building temperatures.

**1**

SC/T-1 Operate available area coolers.

SC/T-2 If Reactor Building HVAC exhaust radiation level is below [20 mr/hr (Reactor Building HVAC isolation setpoint)], operate available Reactor Building HVAC.

SC/T-3 When an area temperature exceeds its maximum normal operating temperature, isolate all systems that are discharging into the area except systems required to suppress a fire and systems required to be operated by [procedures developed from the Emergency Procedure Guidelines].

Execute [Steps SC/T-4 and SC/T-5] concurrently.

SC/T-4 If a primary system is discharging into Reactor Building:

SC/T-4.1 Before any area temperature reaches its maximum safe operating temperature, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.

SC/T-4.2 When an area temperature exceeds its maximum safe operating temperature in more than one area, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

SC/T-5 When an area temperature exceeds its maximum safe operating temperature in more than one area, shut down the reactor.

SC/R Monitor and control Reactor Building radiation levels.

SC/R-1 When an area radiation level exceeds its maximum normal operating radiation level, isolate all systems that are discharging into the area except systems required to suppress a fire and systems required to be operated by [procedures developed from the Emergency Procedure Guidelines].

Execute [Steps SC/R-2 and SC/R-3] concurrently.

SC/R-2 If a primary system is discharging into Reactor Building:

SC/R-2.1 Before any area radiation level reaches its maximum safe operating radiation level, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.

SC/R-2.2 When an area radiation level exceeds its maximum safe operating radiation level in more than one area, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.

SC/R-3 When an area radiation level exceeds its maximum safe operating radiation level in more than one area, shut down the reactor.

SC/L Monitor and control Reactor Building water levels.

SC/L-1 When a floor drain sump or area water level is above its maximum normal operating water level, operate available sump pumps to restore and maintain it below its maximum normal operating water level.

If any floor drain sump or area water level cannot be restored and maintained below its maximum normal operating water level, isolate all systems that are discharging water into the sump or area except systems required to suppress a fire and systems required to be operated by [procedures developed from the Emergency Procedure Guidelines].

Execute [Steps SC/L-2 and SC/L-3] concurrently.

- SC/L-2 If a primary system is discharging into Reactor Building:
- SC/L-2.1 Before any area water level reaches its maximum safe operating water level, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute it concurrently with this procedure.
- SC/L-2.2 When an area water level exceeds its maximum safe operating water level in more than one area, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED.
- SC/L-3 When an area water level exceeds its maximum safe operating water level in more than one area, shut down the reactor.

## 18A.6 RADIOACTIVITY RELEASE CONTROL EMERGENCY PROCEDURE GUIDELINE

### PURPOSE

The purpose of this guideline is to limit radioactivity release into areas outside the primary containment and Reactor Building.

### ENTRY CONDITIONS

The entry condition for this guideline is:

- Offsite radioactivity release rate above the offsite release rate which requires an Alert.

### OPERATOR ACTIONS

If while executing the following steps, Primary Containment Flooding is or has been required, enter [procedure developed from the Containment and Radioactivity Release Control Severe Accident Guideline].

If while executing the following steps HVAC in the turbine building (or any other building which may be contributing to radioactive release) is shutdown, restart the HVAC as required, defeating isolation interlocks if necessary.

- RR-1 Isolate all primary systems that are discharging into areas outside the primary and Reactor Buildings except systems required to be operated by [procedures developed from the Emergency Procedure Guidelines].
- RR-2 If the offsite radioactivity release rate continues to increase, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC-1] and execute concurrently
- RR-3 Before offsite radioactivity release rate reaches the offsite release rate which requires a General Emergency but only if a primary system is discharging into an area outside the primary containment and Reactor Building, EMERGENCY RPV DEPRESSURIZATION IS REQUIRED

**18A.7 CONTINGENCY #1 EMERGENCY RPV DEPRESSURIZATION**

If while executing the following steps Primary Containment Flooding is or has been required, enter [procedure developed from the RPV and Primary Containment Flooding Severe Accident Guideline].

If while executing the following steps RPV water level cannot be determined, enter [procedure developed from Emergency Procedure Guideline Contingency #2, RPV Flooding”].

If while executing the following steps it is anticipated that primary containment water level will rise above [\*\*m (\*\*ft)(elevation of the inboard main steam line drain valve motor operator or elevation of the lowest SRV pneumatic solenoid, whichever is lower)], open the inboard main steam line drain valve before primary containment water level reaches [\*\*m (\*\*ft)(elevation of the inboard main steam line drain valve motor operator or elevation of the lowest SRV pneumatic solenoid, whichever is lower)], defeating isolation interlocks if necessary.

**C1-1 When either:**

- All control rods can be determined to be inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)], or
- It has been determined that the reactor will remain shutdown under all conditions without boron, or
- All injection into the RPV, except from boron injection systems and CRD purge flow, has been terminated and prevented,

**C1-1.1 Initiate all Isolation Condensers.****C1-1.2 Rapidly depressurize the RPV as follows, irrespective of the resulting cooldown rate:**

If suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], open all SRVs, defeating isolation interlocks if necessary.



If less than [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs are open and RPV pressure is at least [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:

- (1) Rapidly depressurize the RPV using one or more of the following, defeating interlocks and exceeding offsite radioactivity release rate limits if necessary, until RPV pressure is less than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:
  - Main condenser
  - [Other steam-driven equipment]
  - MSL drains
  - RWCU/SDCS, only if no boron has been injected into the RPV or it has been determined that the reactor will remain shutdown under all conditions without boron.
  - Head vent
  - IC tube side vent
- (2) Maintain RPV pressure less than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure using one or more of the systems used for depressurization.

If while executing the following step:

- Less than [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs are open and RPV pressure is at least [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure, or
- The reactor is not shutdown,  
return to [Step C1-1.2].

C1-2 When either:

- All control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)], or
- It has been determined that the reactor will remain shutdown under all conditions without boron, or
- [96.8 Kg (213 pounds) (Cold Shutdown Boron Weight)] of boron have been injected into the RPV, or

- The reactor is shutdown and no boron has been injected into the RPV,

Use the RWCU/SDCS to cool down to cold shutdown conditions [49°C (120°F) <RPV water temp <100°C (212°F) (cold shutdown conditions)]

If shutdown cooling cannot be established and further cool down is required, continue to cool down to cold shutdown conditions [49°C (120°F) <RPV water temp <100°C (212°F)] using one or more of the following, defeating interlocks if necessary:

- IC
- SRVs
- Main condenser
- [Other steam-driven equipment]
- MSL drains
- Head vent
- IC tube side vent

**18A.8 CONTINGENCY #2 RPV FLOODING**

If while executing the following steps RPV water level can be determined:

- If any control rod cannot be determined to be inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] and it has not been determined that the reactor will remain shutdown under all conditions without boron, enter [procedure developed from Emergency Procedure Guideline Contingency #3] and [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC/P].
- If all control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] or it has been determined that the reactor will remain shutdown under all conditions without boron, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Steps RC/L and RC/P].

If while executing the following steps primary containment water level and suppression chamber pressure cannot be maintained below Primary Containment Pressure Limit, but only if RPV flooding conditions can be maintained, terminate injection into the RPV from sources external to the primary containment except from systems required to shut down the reactor.

If while executing the following steps it is determined that core damage is occurring, PRIMARY CONTAINMENT FLOODING IS REQUIRED; enter [procedure developed from the RPV and Primary Containment Flooding Severe Accident Guideline].

C2-1 If any control rod cannot be determined to be inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] and it has not been determined that the reactor will remain shutdown under all conditions without boron, flood the RPV as follows:

If while executing the following steps either all control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] or it has been determined that the reactor will remain shutdown under all conditions without boron but RPV water level cannot be determined, continue in this procedure at [Step C2-2].

- C2-1.1 Terminate and prevent all injection into the RPV except from boron injection systems and CRD purge flow.
- C2-1.2 If suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], open all SRVs, irrespective of the resulting RPV cooldown rate, defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary.

If while executing the following steps it has been determined that the RPV has been flooded to the main steam lines, continue in this procedure at [Step C2-3].

- C2-1.3 When RPV pressure is below the Minimum Steam Cooling Pressure or less than [1 (minimum number of SRVs for which the Minimum Steam Cooling Pressure is below the lowest SRV lift setpoint)] SRV[s] [is] open, commence and slowly increase injection into the RPV with the following systems, defeating high RPV water level interlocks if necessary, to establish and maintain at least [1 (minimum number of SRVs for which the Minimum Steam Cooling Pressure is below the lowest SRV lift setpoint)] SRV[s] open and RPV pressure above the Minimum Steam Cooling Pressure but as low as practicable: 2

**Minimum Steam  
Cooling Pressure**

NUMBER OF OPEN SRVs	RPV PRESSURE MPa gauge (psig)
8 or more	0.801 (116.2)
7	0.929 (134.8)
6	1.1.02 (159.8)
5	1.342 (194.7)
4	1.703 (247.0)
3	2.304 (334.2)
2	3.507 (580.7)

- Condensate/Feedwater

- CRD high pressure makeup mode
- FAPCS LPCI mode

If required to open at least [1 (minimum number of SRVs for which the Minimum Steam Cooling Pressure is below the lowest SRV lift setpoint)] SRV[s] or to increase RPV pressure to above the Minimum Steam Cooling Pressure, commence and slowly increase injection with the following systems, defeating high RPV water level interlocks if necessary:

- [Fire System]
- [Interconnections with other units]

If at least [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs can be opened or if RPV pressure can be restored and maintained above the Minimum Steam Cooling Pressure, close the MSIVs, MSL drain valves and the IC isolation valves, and isolate any of the following not needed for RPV injection:

- Main steam lines
- MSL drains
- Isolation Condensers

If less than [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs can be opened and RPV pressure is at least [0.345MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure but cannot be restored and maintained above the Minimum Steam Cooling Pressure, rapidly depressurize the RPV using one or more of the following, irrespective of the resulting cooldown rate, defeating interlocks and exceeding offsite radioactivity release rate limits if necessary, until RPV pressure can be restored and maintained above the Minimum Steam Cooling Pressure or is less than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:

- Isolation Condensers
- Main condenser
- [Other steam-driven equipment]
- MSL drains
- Head vent
- IC tube side vent
- RWCU/SDC

C2-2 When all control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] or it has been determined that the reactor will remain shutdown under all conditions without boron, flood the RPV as follows:

C2-2.1 If suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], open all SRVs, irrespective of the resulting RPV cooldown rate, defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary.

C2-2.2 If at least [2 (Minimum Number of SRVs Required for Decay Heat Removal)] SRVs can be opened or if CRD high pressure makeup or a feedwater pump is available, close the MSIVs, MSL drain valves, and the IC isolation valves.

If while executing the following step it can be determined that the RPV has been flooded to the main steam lines, continue in this procedure at [Step C2-3].

C2-2.3 Flood the RPV to the elevation of the main steam lines with the following systems, defeating high RPV water level interlocks if necessary:

- Feedwater pumps
- Condensate pumps
- CRD high pressure makeup mode
- FAPCS LPCI mode
- [Interconnections with other units]
- [Fire System]

If:

- Less than [2 (Minimum Number of SRVs Required for Decay Heat Removal)] SRVs can be opened, and
- Neither CRD high pressure makeup nor a feedwater pump is available, and
- RPV pressure is more than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure,

Rapidly depressurize the RPV using one or more of the following, irrespective of the resulting cooldown rate, defeating interlocks and exceeding offsite radioactivity release rate limits if necessary, until RPV pressure is less than

[0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:

- Isolation Condensers
- Main condenser
- [Other steam-driven equipment]
- MSL drains
- Head vent
- IC tube side vent
- RWCU /SDC

C2-3 When it has been determined that the RPV has been flooded to the main steam lines:

- Close the MSIVs, MSL drain valves, and the IC isolation valves.
- Control injection into the RPV to maintain the steam lines flooded with injection as low as practicable.

**18A.9 CONTINGENCY #3 LEVEL/POWER CONTROL**

If while executing the following steps:

- RPV water level cannot be determined, enter [procedure developed from Emergency Procedure Guideline Contingency #2].
- All control rods are inserted to or beyond position [4.2% (Maximum Subcritical Banked Withdrawal Position)] or it has been determined that the reactor will remain shutdown under all conditions without boron, enter [procedure developed from the RPV Control Emergency Procedure Guideline] at [Step RC/L].
- Primary containment water level and suppression chamber pressure cannot be maintained below Primary Containment Pressure Limit, but only if adequate core cooling can be assured, terminate injection into the RPV from sources external to the primary containment except from systems required to shut down the reactor.

C3-1 If any MSL is not isolated, commence defeating [MSL and Offgas high radiation interlocks] [and] [low RPV water level interlocks] to maintain the main condenser as a heat sink.

C3-2 If reactor power is above [6% (APRM downscale trip)] or cannot be determined and RPV water level is above [1830.5 cm (720.7 in) (60.96 cm (24 in) below the feedwater sparger nozzles)], lower RPV water level to below [1830.5 cm (720.7 in) (60.96 cm (24 in) below the feedwater sparger nozzles)] by terminating and preventing all injection into the RPV except from boron injection systems and CRD purge flow, defeating interlocks if necessary.

C3-3 If:

- Reactor power is above [6% (APRM downscale trip)] or cannot be determined, and
- RPV water level is above [745.3 cm (293.4 in) (top of active fuel)], and
- Suppression pool temperature is above the Boron Injection Initiation Temperature, and
- Either an SRV is open or opens or drywell pressure is above [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)],

Lower RPV water level, irrespective of any reactor power or RPV water level oscillations, by terminating and preventing all injection into the RPV except from boron injection systems and CRD purge flow, defeating interlocks if necessary, until either:

- Reactor power drops below [6% (APRM downscale trip)], or



- RPV water level reaches [745.3 cm (293.4 in) (top of active fuel)], or
- All SRVs remain closed and drywell pressure remains below [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)].

If while executing the following steps Emergency RPV Depressurization is required, continue in this procedure at [Step C3-4.1].

If while executing the following step RPV water level is above [1830.5 cm (720.7 in) (60.96 cm (24 in) below the feedwater sparger nozzles)] and reactor power is above [6% (APRM downscale trip)] or cannot be determined, return to [Step C3-2].

If while executing the following step:

- Reactor power is above [6% (APRM downscale trip)] or cannot be determined, and
- RPV water level is above [745.3 cm (293.4 in) (top of active fuel)], and
- Suppression pool temperature is above the Boron Injection Initiation Temperature, and
- Either an SRV is open or opens or drywell pressure is above [0.01264 MPa (1.83 psig) (high drywell pressure scram setpoint)],

return to [Step C3-2].

C3-4 Maintain RPV water level between [745.3 cm (293.4 in) (top of active fuel)] and either:

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- If RPV water level was deliberately lowered in [Step C3-2 or C3-3], the level to which it was lowered, or
- If RPV water level was not deliberately lowered in [Step C3-2 or C3-3], [2189 cm (861.8 in) (high level trip setpoint)],

with the following systems:

- Condensate/Feedwater
- CRD (either purge flow or high pressure makeup modes)
- FAPCS LPCI mode.

If RPV water level cannot be restored and maintained above [745.3 cm (293.4 in) (top of active fuel)], EMERGENCY RPV DEPRESSURIZATION IS REQUIRED:

C3-4.1 Terminate and prevent all injection into the RPV except from boron injection systems and CRD purge flow, defeating interlocks if necessary, until RPV pressure is below the Minimum Steam Cooling Pressure.

**Minimum Steam  
Cooling Pressure**

NUMBER OF OPEN SRVs	RPV PRESSURE MPa gauge (psig)
8 or more	0.801 (116.2)
7	0.929 (134.8)
6	1.1.02 (159.8)
5	1.342 (194.7)
4	1.703 (247.0)
3	2.304 (334.2)
2	3.507 (580.7)
1	7.116 (1032.1)

If less than [1 (minimum number of SRVs for which the Minimum Steam Cooling Pressure is below the lowest SRV lift setpoint)] SRV[s] can be opened, continue in this procedure.

C3-4.2 Commence and slowly increase injection into the RPV with the following systems to restore and maintain RPV water level above [745.3 cm (293.4 in) (top of active fuel)]:

- Condensate/Feedwater
- CRD (either purge flow or high pressure makeup modes)
- FAPCS LPCI mode

If required to restore and maintain RPV water level above [745.3 cm (293.4 in) (top of active fuel)], use the following systems:

- Fire System
- [Interconnections with other units]

If RPV water level cannot be restored and maintained above [745.3 cm (293.4 in) (top of active fuel)], PRIMARY CONTAINMENT FLOODING IS REQUIRED; enter [procedure developed from the RPV and Primary Containment Flooding Severe Accident Guideline].

C3-4.3 When RPV water level can be maintained above [745.3 cm (293.4 in) (top of active fuel)], return to [Step C3-4].

## 18A.10 RPV AND PRIMARY CONTAINMENT FLOODING SEVERE ACCIDENT GUIDELINE

### PURPOSE

The purpose of this guideline is to:

- Submerge the core (and in-vessel core debris)
- Shut down the reactor
- Depressurize the RPV and prevent it from repressurizing.
- Submerge core debris on the lower drywell floor

### ENTRY CONDITIONS

This guideline is entered whenever Primary Containment Flooding is required.

### OPERATOR ACTIONS

Execute [Steps RC/F, RC/P, and RC/Q] concurrently.

RC/F Monitor and control RPV and primary containment water levels

**1 3**

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If while executing the following steps:

- Drywell sprays have been initiated; terminate drywell sprays before drywell pressure drops to 0 psig or if lower drywell thermocouples do not indicate the presence of core debris on the lower drywell floor.

If while executing the following steps:

- DPVs have not opened, open all DPVs

- GDCS has not initiated, initiate GDCS and open all suppression pool equalization line Squib Valves (SQVs).

Flood the primary containment as follows:

If it has been determined that core debris has breached the RPV, proceed to step RC/F-1.

If it has been determined that core debris has not breached the RPV and RPV water level can be restored to [745.3 cm (293.4 in) (top of active fuel)], proceed to step RC/F-2

**RC/F-1 IT HAS BEEN DETERMINED THAT CORE DEBRIS HAS BREACHED THE RPV**

If while executing the following step:

- DPVs have not opened, open all DPVs
- GDCS has not initiated, initiate GDCS and open all suppression pool equalization line SQVs,
- Lower drywell thermocouples indicate core debris on the drywell floor and the GDCS Deluge Valves have not actuated, actuate GDCS Deluge Valves.

If while executing the following step primary containment water level and suppression chamber pressure approach or exceed the Primary Containment Pressure Limit, vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rates if necessary, to maintain suppression chamber pressure below the Primary Containment Pressure Limit. If primary containment water level and suppression chamber pressure cannot be maintained below the Primary Containment Pressure Limit, but only if total injection into the RPV and drywell can be maintained greater than the Minimum Debris Retention Injection Rate, terminate injection into the RPV and primary containment from sources external to the primary containment except drywell sprays.

If while executing the following step:

- Drywell sprays are not operating, initiate drywell sprays, defeating drywell spray interlocks if necessary and irrespective of the Drywell Spray Initiation Limit or whether RPV or primary containment injection will be reduced. Use sources external to the primary containment if possible.
- Drywell sprays are operating, maintain drywell spray flowrate greater than [3840 gpm (Minimum Drywell Spray Flow)], defeating drywell spray interlocks if necessary and irrespective of whether RPV or primary containment injection will be reduced. Use sources external to the primary containment if possible.

RC/F-1.1 Flood the primary containment as follows:

Maximize injection into the RPV from sources external to the primary containment using the following systems, defeating interlocks if necessary:

- Feedwater
- Condensate
- CRD High Pressure Makeup mode
- FAPCS LPCI mode
- Fire Protection System
- [Interconnections with other units]
- SLC
- [Other primary containment fill systems]

If injection into the RPV from sources external to the primary containment will not be reduced, maximize injection into the primary containment from sources external to the primary containment.

If injection into neither the RPV nor the primary containment from sources external to the primary containment will be reduced, maximize injection into the RPV from the suppression pool.

If venting the primary containment will facilitate primary containment flooding, vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary.

If while executing the following step primary containment water level and suppression chamber pressure cannot be maintained below the Primary Containment Pressure Limit restore and maintain primary containment water level and suppression chamber pressure below the Primary Containment Pressure Limit by one or both of the following methods:

- Vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary.
- Terminate injection into the RPV and primary containment from sources external to the primary containment except drywell sprays.

If while executing the following step:

- Drywell sprays are not operating and [suppression pool water level is below [16.85 m (55.28 ft) (elevation of bottom of suppression chamber to drywell vacuum breaker openings [less vacuum breaker opening pressure in feet of water])] and] drywell temperature is below the Drywell Spray Initiation Limit, initiate drywell sprays, defeating drywell spray interlocks if necessary and irrespective of whether injection into the RPV will be reduced. Sources external to the primary containment may be used only if primary containment water level and suppression chamber pressure can be restored and maintained below the Primary Containment Pressure Limit.
- Drywell sprays are operating, continue to operate drywell sprays, defeating drywell spray interlocks if necessary and irrespective of whether injection into the RPV will be reduced. Sources external to the primary containment may be used only if primary containment water level and suppression chamber pressure can be restored and maintained below Primary Containment Pressure Limit.

RC/F-1.2 When primary containment water level reaches [7.6 m (25 ft). (Minimum Debris Submergence Level)]:

Maintain primary containment water level between [7.6 m (25 ft) (Minimum Debris Submergence Level)] and [31.2 m (103 ft) (elevation of primary containment vent)] using the following systems, defeating interlocks if necessary:

- Feedwater
- Condensate
- CRD High Pressure Makeup mode
- FAPCS LPCI mode
- Fire Protection System
- [Interconnections with other units]
- SLC
- [Other primary containment fill systems]

If necessary to maintain primary containment water level above [7.6 m (25 ft). (Minimum Debris Submergence Level)], vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary.

RC/F-2 CORE DEBRIS HAS NOT BREACHED THE RPV AND RPV WATER LEVEL CAN BE RESTORED ABOVE [745.3 cm (293.4 in) (TOP OF ACTIVE FUEL)]

If while executing the following step:

- DPVs have not opened, open all DPVs
- GDCS has not initiated, initiate GDCS and open all suppression pool equalization line SQVs,



If while executing the following step, water level cannot be maintained above [745.3 cm (293.4 in)(top of active fuel)] then maintain water level as high as can be achieved with the available systems and maximize injection into the RPV and primary containment from sources external to the primary containment.

If while executing this step primary containment water level and suppression chamber pressure approach or exceed the Primary Containment Pressure Limit:

- (1) Terminate direct injection into the primary containment from sources external to the primary containment.
- (2) If primary containment water level and suppression chamber pressure cannot be maintained below the Primary Containment Pressure Limit:
  - Vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary, to restore and maintain suppression chamber pressure below the Primary Containment Pressure Limit.
  - If primary containment water level and suppression chamber pressure reach the Primary Containment Pressure Limit, but only if RPV water level can be maintained above [745.3 cm (293.4 in) (top of active fuel)], terminate injection into the RPV from sources external to the primary containment, except boron injection from SLC.

Restore and maintain RPV water level between [745.3 cm (293.4 in) (top of active fuel)] and [2189 cm (861.8 in) (high level trip setpoint)] using the following systems, taking suction from sources external to the primary containment only when required, defeating interlocks if necessary:

- Feedwater
- Condensate
- CRD High Pressure Makeup mode
- FAPCS LPCI mode

If required to restore and maintain RPV water level above [745.3 cm (293.4 in) (top of active fuel)], use the following systems, taking suction from sources external to the primary containment only when required, defeating interlocks if necessary:

- Fire Protection System
- [Interconnections with other units]
- SLC
- [Other primary containment fill systems]

If necessary to restore and maintain RPV water level above [745.3 cm (293.4 in) (top of active fuel)]:

- Vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary.
- If no DPV can be opened, vent the RPV with one or more of the following, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary:

- |   |
|---|
| <ul style="list-style-type: none"><li>• MSIVs</li><li>• MSL drains</li><li>• IC tube side vents</li></ul> |
|---|

RC/P Monitor and control RPV pressure.

If while executing the following steps it is anticipated that primary containment water level will rise above [\*\*m (\*\*ft) (elevation of the inboard main steam line drain valve motor operator or elevation of the lowest SRV pneumatic solenoid, whichever is lower)], open the inboard main steam line drain valve before primary containment water level reaches [\*\*m (\*\*ft) (elevation of the inboard main steam line drain valve motor operator or elevation of the lowest SRV pneumatic solenoid, whichever is lower)], defeating isolation interlocks if necessary.

RC/P-1 Initiate all Isolation Condensers.

RC/P-2 Rapidly depressurize the RPV as follows, irrespective of the resulting cooldown rate:

If suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], open all ADS SRVs and DPVs, defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary.

If any ADS valve cannot be opened, but only if suppression pool water level is above [2.13 m (6.99 ft) (elevation of top of SRV discharge device)], open other SRVs, defeating pneumatic supply isolation interlocks and restoring the pneumatic supply if necessary, until [10 (number of SRVs dedicated to ADS)] valves are open.

If DPVs did not open and less than [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs are open and RPV pressure is at least [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:

- (1) Rapidly depressurize the RPV using non-ADS SRVs and one or more of the following, defeating interlocks and exceeding offsite radioactivity release rate limits if necessary, until RPV pressure is less than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure:
  - Main condenser
  - [Other steam-driven equipment]
  - MSL drains
  - Head vent
  - IC tube side vent
  - RWCU/SDCS, only if no boron has been injected into the RPV or it has been determined that the reactor will remain shutdown under all conditions without boron.
- (2) Maintain RPV pressure less than [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure using one or more of the systems used for depressurization.

If while executing the following step:

- Less than [8 (Minimum Number of SRVs Required for Emergency Depressurization)] SRVs are open and RPV pressure is at least [0.345 MPa (50 psig) (Decay Heat Removal Pressure)] above suppression chamber pressure, or
- The reactor is not shutdown,

return to [Step RC/P-2].

RC/P-3 If further cooldown is required, continue to cool down to cold shutdown conditions ((120°F) 49°C <RPV water temp < 100°C (212°F) (cold shutdown conditions)]) using one or more of the following, defeating interlocks if necessary:

- ICs
- RWCU/SDC
- SRVs
- Main condenser
- [Other steam-driven equipment]
- MSL drains
- Head vent
- IC tube side vent

RC/Q Monitor and control reactor power.

RC/Q-1 [Confirm or place the reactor mode switch in SHUTDOWN.]

RC/Q-2 If ARI has not initiated, initiate ARI.

Execute [Steps RC/Q-3 and RC/Q-4] concurrently.

RC/Q-3 Inject boron into the RPV with SLC

RC/Q-4 Insert control rods as follows until it has been determined that core debris has breached the RPV:

RC/Q-4.1 Reset ARI, defeating ARI logic trips if necessary.

RC/Q-4.2 Insert control rods with one or more of the following methods:

- De-energize scram solenoids.
- Vent the scram air header.
- Reset the scram, defeating RPS logic trips if necessary, drain the scram discharge volume, and initiate a manual scram.
- Open individual scram test switches.
- Drive control rods, defeating RC&IS and RWM interlocks if necessary.

## 18A.11 CONTAINMENT AND RADIOACTIVITY RELEASE SEVERE ACCIDENT GUIDELINE

### PURPOSE

The purpose of this guideline is to:

- Protect equipment in the primary containment and Reactor Building,
- Maintain primary containment and Reactor Building integrity, and
- Limit radioactivity release into areas outside the primary containment and Reactor Building.

### ENTRY CONDITIONS

This guideline is entered whenever Primary Containment Flooding is required.

### OPERATOR ACTIONS

If while executing the following steps Reactor Building HVAC exhaust radiation level exceeds [20 mr/hr (Reactor Building HVAC isolation setpoint)]:

- Confirm or manually initiate isolation of Reactor Building HVAC.

If while executing the following steps:

- Reactor Building HVAC isolates, and,
- Reactor Building HVAC exhaust radiation level is below [20 mr/hr (Reactor Building HVAC isolation setpoint)],

restart Reactor Building HVAC, defeating high drywell pressure and low RPV water level isolation interlocks if necessary.

If while executing the following steps HVAC in the turbine building (or any other building which may be contributing to radioactive release) is shutdown [or isolated due to high radiation], restart the HVAC as required, defeating isolation interlocks if necessary.

Execute [Steps SP/T, DW/T, PC/P, PC/R, PC/G, SC/T, SC/R, SC/L, and RR] concurrently.

SP/T Monitor and control suppression pool temperature.

If injection into neither the RPV nor the primary containment will be reduced or if RPV water level can be maintained above [745.3 cm (293.4 in) (top of active fuel)], control suppression pool temperature below [43.3°C (110°F) (most limiting suppression pool temperature LCO)] using available suppression pool cooling.

DW/T Monitor and control drywell temperature.

**1 3**

Control drywell temperature below [57.22°C (135°F) (drywell temperature LCO or maximum normal operating temperature, whichever is higher)] using available drywell cooling, defeating isolation interlocks if necessary.

Before drywell temperature reaches [171.1°C (340°F) (maximum temperature at which ADS is qualified or drywell design temperature, whichever is lower)], drywell spray can be utilized if drywell water level is less than [0.7 m] or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than [0.7 m] and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.

PC/P Monitor and control primary containment pressure.

**1 3**

When suppression chamber pressure exceeds [0.095 MPa (13.8 psig) (Drywell Spray Initiation Pressure)] drywell spray can be utilized if drywell water level is less than [0.7 m] or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than [0.7 m] and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.

Before suppression chamber pressure reaches the Primary Containment Pressure Limit, vent the primary containment, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary, to control pressure below the Primary Containment Pressure Limit.

PC/R Monitor and control [drywell] [suppression chamber] radiation level.

**3**

Before [drywell] [suppression chamber] radiation level reaches [14,000 R/hr (drywell or suppression chamber radiation level which requires a General Emergency)], drywell spray can be utilized if drywell water level is less than [0.7 m] or lower drywell floor

thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than [0.7 m] and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.

PC/G Monitor and control primary containment hydrogen and oxygen concentrations.

If while executing the following steps the hydrogen or oxygen monitoring system is or becomes unavailable, sample the drywell and suppression chamber for hydrogen and oxygen in accordance with [sampling procedure].

Control hydrogen and oxygen concentrations in the drywell as follows:

		Drywell Oxygen Concentration				
		< 5%	≥ 5% or cannot be determined to be below 5%			
			Suppression Chamber Hydrogen Concentration			
			None Detected	< 6%	≥ 6% or cannot be determined to be below 6%	
Drywell Hydrogen Concentration	None Detected	No action required	No action required	[PC/G-2]	[PC/G-3]	
	< 6%	[PC/G-1]				
	≥ 6% or cannot be determined to be below 6%					

Control hydrogen and oxygen concentrations in the suppression chamber as follows:



		Suppression Chamber Oxygen Concentration				
		< 5%	≥ 5% or cannot be determined to be below 5%			
			Drywell Hydrogen Concentration			
			None Detected	< 6%	≥ 6% or cannot be determined to be below 6%	
Suppression Chamber Hydrogen Concentration	None Detected	No action required	No action required	[PC/G-5]	[PC/G-6]	
	< 6%	[PC/G-4]				
	≥ 6% or cannot be determined to be below 6%					

PC/G-1 Reduce drywell hydrogen and oxygen concentrations by one or both of the following methods:

- If the offsite radioactivity release rate is expected to remain below the offsite release rate specified in [Technical Specifications], vent and purge the drywell as follows, defeating isolation interlocks (except high radiation interlocks) if necessary:

If while executing the following steps the offsite radioactivity release rate reaches the offsite release rate specified in [Technical Specifications], secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

- (1) Refer to [sampling procedure].
- (2) Vent the drywell.
- (3) If the drywell can be vented, purge the drywell by injecting nitrogen into the drywell.
- (4) When hydrogen is no longer detected in the drywell, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

PC/G-2 Reduce drywell hydrogen and oxygen concentrations by one or both of the following methods:

- If RPV water level cannot be maintained above [745.3 cm (293.4 in) (top of active fuel)] or the offsite radioactivity release rate is expected to remain

below the offsite radioactivity release rate which requires a General Emergency, vent and purge the drywell as follows, defeating isolation interlocks if necessary:

If while executing the following RPV water level can be maintained above [745.3 cm (293.4 in)(top of active fuel)] and it has been determined that the offsite radioactivity release rate has reached the offsite radioactivity release rate which requires a General Emergency, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

- (1) Vent the drywell.
- (2) If the drywell can be vented, purge the drywell by injecting nitrogen into the drywell at the maximum rate.
- (3) When no hydrogen is detected in the drywell and either drywell oxygen concentration is below 5% or no hydrogen is detected in the suppression chamber, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

PC/G-3 Vent and purge the drywell as follows, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary:

PC/G-3.1 Vent the drywell.

PC/G-3.2 If the drywell can be vented, purge the drywell by injecting air or nitrogen, whichever will more rapidly return hydrogen concentrations to below 6% or oxygen concentration to below 5%, into the drywell at the maximum rate.

PC/G-3.3 If drywell water level is less than [0.7 m] or lower 3 drywell floor thermocouples indicate the presence of core debris on the drywell floor, drywell sprays can be utilized. If drywell water level is greater than [0.7 m] and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.

PC/G-4 Reduce suppression chamber hydrogen and oxygen concentrations by one or both of the following methods:

- If the offsite radioactivity release rate is expected to remain below the offsite release rate specified in [Technical Specifications], vent and purge the suppression chamber as follows, defeating isolation interlocks (except high radiation interlocks) if necessary:

If while executing the following steps the offsite radioactivity release rate reaches the offsite release rate specified in [Technical Specifications], secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

- (1) Refer to [sampling procedure].
- (2) If suppression pool water level is below [\*\* m (\*\* ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.
- (3) If the suppression chamber can be vented, purge the suppression chamber by injecting nitrogen into the suppression chamber.
- (4) When hydrogen is no longer detected in the suppression chamber, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

PC/G-5 Reduce suppression chamber hydrogen and oxygen concentrations by one or both of the following methods:

- If RPV water level cannot be maintained above [745.3 cm (293.4 in) (top of active fuel)] or the offsite radioactivity release rate is expected to remain below the offsite release rate which requires a General Emergency, vent and purge the suppression chamber as follows, defeating isolation interlocks if necessary:

If while executing the following steps RPV water level can be maintained above [745.3 cm (293.4 in)(top of active fuel)] and it has been determined that the offsite radioactivity release rate has reached the offsite release rate which requires a General Emergency, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

- (1) If suppression pool water level is below [**\*\*** m (**\*\*** ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.
- (2) If the suppression chamber can be vented, purge the suppression chamber by injecting nitrogen into the suppression chamber at the maximum rate.
- (3) When no hydrogen is detected in the suppression chamber and either suppression chamber oxygen concentration is below 5% or no hydrogen is detected in the drywell, secure vent and purge not required by other steps in the [procedures developed from the Severe Accident Guidelines].

PC/G-6 Vent and purge the suppression chamber as follows, defeating isolation interlocks and exceeding offsite radioactivity release rate limits if necessary:

PC/G-6.1 If suppression pool water level is below [**\*\*** m (**\*\*** ft) (elevation of the bottom of the suppression chamber vent)], vent the suppression chamber.

PC/G-6.2 If the suppression chamber can be vented, purge the suppression chamber by injecting air or nitrogen, whichever will more rapidly return hydrogen concentration to below 6% or oxygen concentration to below 5%, into the suppression chamber at the maximum rate.

SC/T Monitor and control Reactor Building temperatures.

**1**

Operate available area coolers.

If Reactor Building HVAC exhaust radiation level is below [20 mr/hr (Reactor Building HVAC isolation setpoint)], operate available Reactor Building HVAC.

When an area temperature exceeds its maximum normal operating temperature, isolate all systems that are discharging into the area except systems required to suppress a fire and systems required to be operated by [procedures developed from the Severe Accident Guidelines].

SC/R Monitor and control Reactor Building radiation levels.

When an area radiation level exceeds its maximum normal operating radiation level, isolate all systems that are discharging into the area except systems required to suppress a

fire and systems required to be operated by [procedures developed from the Severe Accident Guidelines].

SC/L Monitor and control Reactor Building water levels.

When a floor drain sump or area water level is above its maximum normal operating water level, operate available sump pumps to restore and maintain it below its maximum normal operating water level.

If any floor drain sump or area water level cannot be restored and maintained below its maximum normal operating water level, isolate all systems that are discharging water into the sump or area except systems required to suppress a fire and systems required to be operated by [procedures developed from the Severe Accident Guidelines].

RR Isolate all primary systems that are discharging into areas outside the primary containment and Reactor Building except systems required to be operated by [procedures developed from the Severe Accident Guidelines].

**Table 18A-1**  
**EPG Abbreviations**

ADS	Automatic Depressurization System
APRM	Average Power Range Monitor
ARI	Alternate Rod Insertion
CRD	Control Rod Drive
DPV	Depressurization Valve
ECCS	Emergency Core Cooling Systems
FAPCS	Fuel and Auxiliary Pool Cooling System
GDCS	Gravity Driven Cooling System
HPCI	High Pressure Coolant Injection
HPCS	High Pressure Core Spray
HVAC	Heating, Ventilating and Air Conditioning
IC	Isolation Condenser
LCO	Limiting Condition for Operation
LPCI	Low Pressure Coolant Injection
MSIV	Main Steamline Isolation Valve
RCIS	Rod Control and Information System
RCIC	Reactor Core Isolation Cooling System
RHR	Residual Heat Removal System
RWCU/SDC	Reactor Water Cleanup/Shutdown Cooling System
RPS	Reactor Protection System
RPV	Reactor Pressure Vessel
RWM	Rod Worth Minimizer
SLC	Standby Liquid Control
SQV	Squib Valve
SRV	Safety Relief Valve

**Table 18A-2**  
**Reactor Building Temperature Operating Values for EPGs and SAGs**

<b>Area</b>	<b>Maximum Normal Temperature (°C)(°F)</b>	<b>Maximum Safe Temperature (°C)(°F)</b>
RWCU A Pump Room	*	*
RWCU B Pump Room	*	*
RWCU HX Room	*	*
RWCU HX Room at Hotwell Discharge	*	*
Main Steam Tunnel	*	*
Reactor Area A	*	*
Reactor Area B	*	*
	<b>Maximum Normal delta T (°C)(°F)</b>	<b>Maximum Safe delta T (°C)(°F)</b>
HVAC Cooler	*	*
RWCU A Pump Room	*	*
RWCU B Pump Room	*	*
RWCU HX Room at HX	*	*
RWCU HX Room at Hotwell Discharge	*	*
Main Steam Tunnel Cooler	*	*
* Plant Specific Data – COL Applicant		

**Table 18A-3**  
**Reactor Building Radiation Level Operating Values for EPGs and SAGs**

<b>Area</b>	<b>Maximum Normal Radiation Level (Sv)(Rem)</b>	<b>Maximum Safe Rad Level (Sv)(Rem)</b>
Equipment Hatch	*	*
Drywell Personnel Lock	*	*
Clean-up System Room	*	*
Clean-up Filter Demin Area	*	*
SLC Room	*	*
Chem Lab	*	*
Fuel Pool HX	*	*
Refueling Floor Laydown Area	*	*
Refueling Floor Change Area HI	*	*
HVAC Exhaust	*	*
Safety Envelope	*	*
Refuel Floor	*	*
* Plant Specific Data – COL Applicant		



**Table 18A-4**  
**Reactor Building Water Level Operating Values for EPGs and SAGs**

<b>Area</b>	<b>Maximum Normal Water Level (m)(ft)</b>	<b>Maximum Safe Water Level (m)(ft)</b>
Floor drain sump	*	NA
CRD Compartment	*	*
RB NE Corner Room	*	*
RB SE Corner Room	*	*
* Plant Specific Data – COL Applicant		

## **18B. ESBWR EPG/SAG COMPARED TO GENERIC BWR EPG**

The ESBWR design has incorporated many desirable features and systems characteristic of earlier generation BWRs. Some common BWR systems have been eliminated or modified and some unique systems and configurations have been added to improve safety or operational characteristics in the ESBWR.

The purpose of this document is to provide information about the ESBWR design philosophy and features relative to other BWRs in limited, but sufficient detail to explain the rationale behind the adaptation of the generic EPG/SAG for use in the ESBWR. BWROG EPG/SAG Rev. 2 is used as the standard for comparison.

Section 18B.1 contains summary descriptions of ESBWR systems or features requiring changes from the generic EPG/SAG. Section 18B.2 describes the major changes to the ESBWR EPG/SAG and the bases for these changes. Section 18B.3 compares the ESBWR EPG/SAG step-by-step to EPG/SAG Rev. 2 and documents the basis for each modification made.

## **18B.1 ESBWR DESIGN FEATURES AFFECTING THE EPG/SAG**

The ESBWR design is described elsewhere in detail, but a brief summary of those features, which have had significant impact on the development of the ESBWR EPG/SAG is described below.

### **18B.1.1 ESBWR RPV and Related Features**

The ESBWR is a natural circulation plant and has neither jet pumps nor external or internal recirculation pumps. Thus, there will be no operator actions specified regarding control of recirculation flow. The reactor core is shorter in height than most of the earlier BWR designs and the reactor pressure vessel (RPV) is much taller, resulting in a much larger vessel volume to core power ratio. As a result, level transients would be slower allowing more time for operator recovery actions. The ESBWR also has fine motion control rod drives, so specific operator actions to manually scram the reactor are different from those for the hydraulic locking-piston drives in earlier BWRs. The CRD system in the ESBWR can be operated in the usual (purge) mode or can inject flow into the RPV via the feedwater line (high pressure makeup mode). Where appropriate, a distinction between modes is made in the ESBWR EPG/SAG.

### **18B.1.2 Isolation Condenser**

Most operating plants have no isolation condenser and the relative capacities of those plants that have isolation condensers are much smaller than the ESBWR design. Thus, the ESBWR isolation condensers play a larger role for reactor pressure and level control in the ESBWR.

### **18B.1.3 Emergency Core Cooling Systems**

Operating BWRs typically have a full compliment of automatically initiated Emergency Core Cooling Systems (ECCS) for both high and low pressure injection, plus an ADS for vessel depressurization. High-pressure systems are typically steam driven (HPCI or RCIC). ESBWR has no steam driven or other high pressure ECC related injection systems.

In contrast, ESBWR has the capacity to gravity reflood the RPV using the ADS and the Gravity Driven Cooling System (GDCS). These two systems comprise the ECCS for ESBWR. The function of ADS is to depressurize the reactor pressure vessel (RPV) sufficiently so that the GDCS can re-flood at very low RPV pressure. Gravity re-flooding of the RPV can be accomplished by draining the GDCS pools and by draining the suppression pool through the equalizer line. The RPV must be at essentially the same pressure as the containment for gravity re-flooding to be accomplished.

The ADS in ESBWR consists of 10 SRVs piped to the suppression pool and a set of 8 depressurization valves (DPVs) which discharge directly to the drywell. ESBWR ADS has no start time delay timer, but stages first the SRV openings in two groups of five with a delay in between; then after a further time delay, stages the DPV openings in groups with time delays between the groups.

Significant variations in EPG/SAG strategy arise from these basic ECC concept differences.

#### **18B.1.4 ATWS Mitigation Systems**

Operating plant SLC systems are typically pump driven with borated water taken from a supply tank. The ESBWR SLC system is accumulator driven, necessitating that somewhat different directions be specified to the operator. ESBWR has an automatic ADS inhibit feature in contrast to earlier BWRs where manual action is required to inhibit, if needed. In contrast, ESBWR has no manual inhibit feature.

#### **18B.1.5 Containment Features**

ESBWR has a unique natural circulation driven Passive Containment Cooling System (PCCS). This system condenses steam in the containment and has a vent for transport of non-condensable gases to the suppression pool which is driven by any pressure difference between the drywell and suppression pool. There are no valves or any other device requiring activation and thus no operator actions are specified in the EPG/SAG. The PCCS is mentioned here because of its inherent ability to control containment pressure and remove energy and its high likelihood of being in operation during any event without operator action.

## **18B.2 MAJOR DIFFERENCE BETWEEN ESBWR AND BWROG EPG/SAG REV. 2**

The major strategy differences between ESBWR EPG/SAG and BWROG Rev. 2 EPG/SAG arise from the fundamental design differences described below. It is recognized that many systems would be initiated automatically and may be in operation during a given transient. However, when it is time for a system to be placed into operation during the EPG/SAG evolution, direction is given to operate the system in case it did not initiate on an automatic signal, or if the system was prevented from operation or bypassed earlier by the operator. This is the same approach generally used in BWROG EPG/SAG Rev. 2, but is noted here as a reminder because of the many automatically initiated systems in ESBWR.

### **18B.2.1 Level Control**

The operator is directed to depressurize the RPV manually using SRVs and attempt to restore level in the event of a failure of the automatic ADS initiation on Level 1.5. If level reaches the top of the active fuel, then direction is given to enter the RPV and Containment Flooding procedure and initiate DPVs and actuate the GDCS. This provides some additional time to allow for recovery. Normally, however, the ADS would initiate automatically on Level 1.5. The Isolation Condensers will be automatically (or manually) initiated and will remove decay heat and return condensate to the RPV.

In the ESBWR EPG/SAG, Level 1.5 is chosen as the control level if RPV water level cannot be restored and maintained in the preferred range (low level scram to high level trip). Level 1.5 is chosen primarily because the GDCS is automatically initiated at Level 1.5. If Level 1.5 cannot be maintained and the GDCS fails to initiate, a further effort is provided to maintain level at top-of-active-fuel (TAF). This control method is not consistent with the BWROG EPG/SAG Rev 2, Contingency 1, Alternate Level Control, control strategy and has thus been eliminated from the ESBWR EPG/SAG. If level cannot be maintained at TAF, then the RPV and Containment Flooding procedure is entered.

ESBWR has a very limited number of ECC injection systems, depending primarily on the alternate strategy of depressurization and gravity re-flooding, thus there is no need for pump lineup and starting as directed in Contingency 1 of BWROG EPG/SAG Rev. 2. The emergency depressurization action and the final measure of containment flooding in BWROG EPG/SAG Rev. 2, Contingency 1 are incorporated in the ESBWR EPG/SAG in the level control section.

If level cannot be maintained above the preferred control point (low level scram set point or shutdown cooling RPV water level interlock, whichever is higher), then emergency depressurization is required. Once emergency depressurization has been started, the operator is directed to again attempt level recovery using the available injection systems at lower pressure.

At this point, if level cannot be maintained above TAF at the lower RPV pressure, entry into RPV and Containment Flooding is directed. The first action is to initiate GDCS.

RPV Flooding also calls for use of DPVs and GDCS. Initiation of DPVs will assure complete blow down of the RPV so that gravity re-flooding can be achieved. GDCS first drains the GDCS pools, which likely would be adequate to cover the core. The operator is also instructed to open the squib valves (SQVs) in the equalizer lines to flood the RPV from the suppression pool.

Level control steps and re-flood evolutions similar to those described above in RPV level control have been incorporated into Contingency 3, Level/Power Control of the EPG/SAG for the ESBWR.

### **18B.2.2 Steam Cooling and Alternate Level Control**

In Contingency 1 of BWROG EPG/SAG Rev. 2, steam cooling is required if no injection or alternate injection pumps are running as noted above in Section 18B.2.1. The purpose of steam cooling is to allow the operator more time to get injection systems lined up with pumps running prior to depressurization. Once injection systems are available, depressurization can be accomplished with assurance of injection capability. For the ESBWR, gravity re-flooding is the principal mode of assuring adequate core cooling which can only be accomplished after blow down, so there is no need to delay blow down to get injection systems lined up with pumps running and thus no need for steam cooling.

As discussed in Section 18B.2.1 and directly above, the Alternate Level Control actions of injection system lineup and pump starting and potential need for steam cooling are not appropriate for the ESBWR so these steps have been eliminated. Additionally, the emergency depressurization and containment flooding steps of Contingency 1 of BWROG EPG/SAG Rev. 2 have been incorporated into the ESBWR EPG/SAG various level control sections, so the ESBWR EPG/SAG has no Alternate Level Control or Steam Cooling Contingencies.

### **18B.2.3 Emergency Depressurization**

Emergency depressurization in BWROG EPG/SAG Rev. 2 following initiation of the isolation condenser, directs the operator to open all ADS valves or if any ADS valves can't be opened, to open an equivalent number of SRVs. For the ESBWR direction is given to open all SRVs. The operator is not instructed to take action to open the DPVs until other means of depressurization have been attempted and other level recovery actions were attempted and found to be unsuccessful.

Should this be the case, the next evolution specified in ESBWR EPG/SAG is to re-flood using the GDCS. Complete depressurization is required for re-flooding so opening the DPVs is necessary. Note that the action to open the DPVs is contained in RPV and Containment Flooding rather than in the Emergency Depressurization Contingency for the ESBWR EPG/SAG.

Delayed use of the DPVs is directed because the DPVs discharge RPV effluents directly to the drywell, whereas other paths are preferred from energy and fission product retention considerations.

**18B.3 SPECIFIC DIFFERENCES BETWEEN ESBWR AND BWROG EPG/SAG REV. 2**

The accompanying table 18B-1 delineates changes made to the EPG/SAG Rev. 2 for adaptation to the ESBWR EPG/SAG. Comparisons are made step-by-step for every change and the basis is provided for the differences. The table is divided into sections corresponding to the major EPG/SAG control sections and contingencies.

**Table 18B-1**  
**RPV Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/L	RC/L	No reference to caution #2	ESBWR has no heated reference leg instruments.
RC/L-1	RC/L-1	Isolation condenser is added to list of equipment to be initiated	The isolation condenser provides a significant heat sink and would normally be automatically initiated at Level 2.
RC/L-2	RC/L-2	No reference to Cautions 3, 4 and 5	These cautions are no longer applicable to ESBWR. See Table 18B-9.
RC/L-2	RC/L-2	RCIC, HPCI, HPCS, LPCS and RHR were eliminated from the list of injection systems for possible use.  FAPCS LPCI mode is included in the list of systems.	ESBWR has none of these injection systems.  ESBWR has low pressure injection capability using FAPCS in the LPCI mode which can be manually initiated.
RC/L-2	RC/L-2	Shorter list of alternate injection systems for possible use.	ESBWR has no RHR or ECCS keep full systems nor any SLC tanks.
RC/L-2	RC/L-2	Preventing automatic RPV depressurization by resetting ADS is eliminated.	ESBWR has no reset or manual inhibit of ADS.
RC/L-2	RC/L-2	Emergency depressurization and continuance in RC/L is specified; EBG/SAG Rev. 2 direction is to exit RC/L and enter the original Contingency #1, Alternate Level Control (which has been removed from the ESBWR EPGs).	The original EPG/SAGs employ a large complement of ECC injection systems which are first employed in the original Contingency #1 before requiring emergency depressurization. ESBWR has the gravity reflood system (GDSCS) in place of these systems (refer to additional discussion in Section 18B.1.3).



**Table 18B-1**  
**RPV Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/L-3	—	Additional action in ESBWR guideline (similar to RC/L-2 actions at lower pressure).	Since ESBWR RPV depressurization is initiated at Level 1.5 vs TAF in BWROG EPG/SAG Rev. 2, additional flexibility is given to attempt level restoration using step RC/L-2 systems but at lower RPV pressure (refer to additional discussion in Section 18B.2.
RC/L-3	—	Exit to RPV flooding contingency if no way was found to maintain RPV water level above TAF.	This is different from BWROG EPG/SAG Rev. 2 only in that it appears in RC/L rather than in Contingency #1. In both guidelines, all other level recovery possibilities are exhausted before this action is taken.
RC/P	RC/P	First override in BWROG EPG/SAG Rev. 2 calling for prevention of low pressure ECCS injection by a high drywell pressure is omitted for the ESBWR.	ESBWR has no LPCS; FAPCS LPCI mode has no automatic initiation, so no prevention action is necessary.
RC/P	RC/P	Remove reference to Caution #2 in second override.	ESBWR has no heated reference leg instruments.
RC/P-1	RC/P-1	Third override when steam cooling is required is deleted for ESBWR.	ESBWR has no Steam Cooling Contingency. Steam Cooling is an action taken in operating BWRs to delay blow down in situations when ECC pumps are not available.
RC/P-2	RC/P-2	Delete reference to Cautions 3, 4 and 5.	These Cautions are not applicable to ESBWR.

**Table 18B-1**  
**RPV Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
		HPCI, RCIC and other steam driven equipment options are deleted from the list of pressure control augmentation systems.	These equipment options are not available in ESBWR.
		RWCU/SDC high pressure shutdown cooling mode is called for rather than "RWCU (re-circulation mode)"	Alternate name and mode for this function in the ESBWR.
		No brackets enclosing "regenerative heat exchangers and"	Bypassing the heat exchangers can be done in ESBWR and is so directed.
RC/P-2	RC/P-2	A second override is added to use alternate shutdown cooling procedures, if required.	ESBWR shutdown cooling, initiated as an augmentation to pressure control in the same step, can operate over the full pressure range. The override calls for alternate shutdown cooling, if required. These changes are made along with deletion of BWROG EPG/SAG Rev. 2 Step RC/P-4 for a consistent set of instructions (refer to discussion below).
—	RC/P-4	This step is not included for the ESBWR.	RWCU/SDC high pressure shutdown cooling mode can operate at high pressure so there is no need to wait for pressure interlocks to clear. Use of alternate methods for further cool down is called for in the RC/P-2 second override (refer to discussion above).
RC/Q	RC/Q	First and second overrides for ESBWR omit the instruction to "terminate boron injection"	Accumulator driven boron injection for the ESBWR cannot be terminated once initiated.

**Table 18B-1**  
**RPV Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/Q-1	RC/Q-1	Brackets encompassing the actions are deleted.	Brackets indicate system use or action may not be appropriate. Deleted because this action is the best means of providing diverse and redundant scram signals for ESBWR.
RC/Q-2	RC/Q-2	Brackets encompassing the entire step are deleted.	This step is applicable to ESBWR.
—	RC/Q-3	Deleted	Re-circulation flow runback is not an option in ESBWR since there are no re-circulation pumps.
—	RC/Q-4	Deleted	Re-circulation pump trip is not an option in ESBWR since there are no re-circulation pumps.
—	RC/Q-5	Deleted	This instruction already given in previous step, RC/Q-2.
RC/Q-2	RC/Q-5	Same instructions are given in the override; only step numbers are changed.	Required renumbering because of RC/Q-3, 4 and 5 deletions.
RC/Q-3	RC/Q-6	Same instruction; only a step number change.	Required because of step deletions.
RC/Q-3	RC/Q-6	Shorter list of alternate boron injection methods; alternate name for RWCU.	HPCS, HPCI and RCIC not available in ESBWR; RWCU/SDC is the system designation in ESBWR.
RC/Q-3	RC/Q-6	Deleted override to trip SLC pumps when SLC tank level reaches low level trip.	There are no SLC pumps or SLC tank in ESBWR.
RC/Q-3	RC/Q-6	Identical action; steps renumbered and RWCU designation changed.	Renumbered and renamed for consistency (refer to previous discussions).
RC/Q-4	RC/Q-7	Number change	Consistency with deleted step and renumbering.

**Table 18B-1**  
**RPV Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/Q-4.1	RC/Q-7.1	Number change	Numbering consistency
RC/Q-4.2	RC/Q-7.2	Number change.	Numbering consistency
		The text “drain the scram discharge volume” has been deleted for ESBWR in the third method	There is no scram discharge volume feature in the ESBWR CRD.
		Deleted “Increase CRD cooling water differential pressure” and “Vent control rod drive over piston volumes”.	These methods are not applicable to the FMCRDs used in the ESBWR.
		Changed “RSCS” to “RCIS”	ESBWR has no RSCS but the RCIS provides a similar function.

Table 18B-2

## Primary Containment Control Emergency Procedure Guideline

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG EPG/SAG REV 2 EPG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
All steps in the BWROG EPG/SAG REV 2 column below refer to the Mark I and II Containment. Procedures. These more closely approximate the ESBWR design			
Entry Condition	Entry Condition	No containment temperature entry condition in ESBWR EPG.	This entry condition is applicable only to plants with Mark III containments.
Operator Action PC Overrides	Operator Action PC Overrides	Deleted 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> , and 5 <sup>th</sup> overrides.	These overrides referred specifically to drywell or suppression pool sprays which are not included in the ESBWR design.
Branch before Step SP/T	Branch before Step SP/T	“CN/T” deleted from concurrent execution	CN/T is for Mark III containments only.
SP/T-1	SP/T-1	Brackets removed; reference to “RHR” pumps replaced by “FAPCS”.	ESBWR has no RHR system but FAPCS can be used for suppression pool cooling if not needed to assure adequate core cooling.
SP/T-3	SP/T-3	Added “Before the Heat Capacity Temperature Limit is reached; operate all available Isolation Condensers to depressurize the RPV”	ICs can be used to avoid the HCTL and prevent the need for emergency depressurization. It was decided to highlight this as a separate step, even though the ICs are called out in RPV Control.
SP/T-4	SP/T-3	Separated the emergency depressurization requirement into a separate step	This is an editorial change to avoid having two actions in a single step
DW/T-2	DW/T-2	Deleted “DRYWELL SPRAY IS REQUIRED”	ESBWR does not use drywell spray in the EPGs
DW/T-2	DW/T-2	Additional direction is given to enter RPV control and execute	Entry to RPV control is given earlier because earlier entry to

Table 18B-2

## Primary Containment Control Emergency Procedure Guideline

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG EPG/SAG REV 2 EPG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
		it concurrently.	RPV control would allow operator a chance to depressurize through the main condenser or ICs before emergency depressurization as required at Step DW/T-3
DW/T-3	DW/T-3	Direction to enter RPV control deleted.	Same direction given in earlier step (see Step DW/T-2 for discussion of the basis).
—	CN/T	This section eliminated from ESBWR EPG.	Applies only to BWRs with Mark III containments.
PC/P	PC/P	Added Containment Inerting System (CIS) and RBHVAC system.	The system called for in the ESBWR EPG to control containment pressure is the Containment Inerting System (CIS). It operates in conjunction with the RBHVAC system.
		Deleted “When primary containment pressure cannot be maintained below [13.8 KPA (2.0 psig) (high drywell pressure scram set point)].”	No additional actions are available that are not already being done. There are no suppression pool sprays or use of drywell sprays in this mode for the ESBWR.
—	PC/P-1	Deleted Suppression Pool Spray Requirement	ESBWR does not have Suppression Pool Sprays.
—	PC/P-2	Deleted Drywell Spray Requirement	ESBWR does not use Drywell Sprays in the EPGs.
PC/P-1	PC/P-3	PC/P-3 was renumbered to PC/P-1	PC/P-1 and 2 were deleted
PC/P-2	PC/P-4	Step renumbered	Deletion of EPG/SAG steps PC/P-1 and 2 required renumbering
PC/P-3	PC/P-5	Step renumbered	Deletion of EPG/SAG steps PC/P-1 and 2 required

Table 18B-2

## Primary Containment Control Emergency Procedure Guideline

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG EPG/SAG REV 2 EPG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
			renumbering
SP/L-1	SP/L-1	In ESBWR direction is given to use FAPCS.	No specific systems are specified in EPG/SAG because of plant variability. In ESBWR FAPCS is appropriate.
		References to SPMS have been eliminated.	ESBWR has no SPMS.
SP/L-2	SP/L-2	Eliminated override to execute following two sub steps concurrently.	Only one sub step follows in the ESBWR EPG.
SP/L-2	SP/L-2.1	Sub step number eliminated.	Sub step is not needed for ESBWR (See SP/L-2.2 discussion)
—	SP/L-2.2	Sub step eliminated.	No concern regarding HPCI exhaust since ESBWR has any HPCI.
SP/L-3	SP/L-3	Reference to SPMS deleted.	No SPMS in ESBWR.
SP/L-3.2	SP/L-3.2	“feet” changed to “meters” in two places.	Units change.
		Removed requirement to terminate drywell sprays.	ESBWR does not use Drywell Sprays in the EPGs.
PC/G-1	PC/G-1	Removed sub steps associated with hydrogen re-combiner operation	ESBWR does not utilize hydrogen re-combiners for hydrogen concentration control
PC/G-2	PC/G-2	Removed sub steps associated with hydrogen re-combiner operation	ESBWR does not utilize hydrogen re-combiners for hydrogen concentration control
PC/G-3	PC/G-3	Deleted reference to hydrogen mixing system and hydrogen re-combiners	ESBWR does not have a hydrogen mixing system or hydrogen re-combiners
-----	PC/G-3.3	Deleted this step which initiates drywell sprays	ESBWR does not use Drywell Sprays in the EPGs.
PC/G-4	PC/G-4	Removed sub steps associated	ESBWR does not utilize

Table 18B-2

## Primary Containment Control Emergency Procedure Guideline

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG EPG/SAG REV 2 EPG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
		with hydrogen re-combiner operation	hydrogen re-combiners for hydrogen concentration control
PC/G-5	PC/G-5	Removed sub steps associated with hydrogen recombiner operation	ESBWR does not utilize hydrogen re-combiners for hydrogen concentration control
PC/G-6	PC/G-6	Removed words “secure all re-combiners taking suction on the suppression chamber “	ESBWR does not utilize hydrogen re-combiners for hydrogen concentration control
-----	PC/G-6-1	Deleted this step which requires suppression pool sprays	ESBWR does not have suppression pool sprays
PC/G-6-1	PC/G-6-2	Renumbering required	Deletion of earlier step
PC/G-6-2	PC/G-6-3	Renumbering required	Deletion of earlier step



**Table 18B-3****Reactor Building Control Emergency Procedure Guidelines**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
Title	Title	“Secondary Containment” changed to “Reactor Building”	The building which surrounds the primary containment in the ESBWR is referred to as the Reactor Building
RB-1 2 <sup>nd</sup> Override	RB-1 2 <sup>nd</sup> Overrides	“Confirm initiation of or manually initiate SBT” eliminated.	ESBWR has no Standby Gas Treatment System. .
Tables 18A-2 thru 18A-4	Table SC-1	This table was generalized for the ESBWR EPG/SAGs	Detailed information will be provided under the plant specific implementation of the EPGs

**Table 18B-4****Radioactivity Release Control Emergency Procedure Guideline**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
Override	Override	Deleted “[or isolated due to high radiation]” and “defeating isolation interlocks if necessary”.	HVAC is not isolated on high radiation in buildings other than the reactor building.
RR-2&3	RR-2	Separated BWROG step actions into two steps.	Allow attempt at normal depressurization thereby reducing containment heat load prior to emergency depressurization.

**Table 18B-5**  
**Contingency 1 - Emergency Depressurization**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
Note: Contingency 1 in EPG/SAG Rev 2 is “Alternate Level Control”. This contingency involves the control of RPV level below the top of the active fuel. It is not applicable to the ESBWR and has been deleted.			
C1-1	C2-1	Reference to RCIC has been deleted and “purge flow” has been added to CRD.	ESBWR has no RCIC. ESBWR CRD can be operated in the injection mode, but operating in the purge mode characterizes this entry condition for an ATWS situation.
C1-1	C2-1	Removed original Caution 2	Original Caution 2 referred to heated reference leg instruments which are not used in the ESBWR
—	C2-1.1	Deleted.	ESBWR has no automatic ECCS initiation on a high drywell pressure signal.
C1-1.1	C2-1.2	Step number change. Changed to “initiate all ICs”.	Renumbered because of deleted step. Effects subsequent steps also. ESBWR has 4 ICs.
C1-1.2	C2-1.3	Step number change. Direction to open all SRVs vs. equivalent number dedicated to ADS. Minimum number of SRVs changed from 4 to 8	All SRVs are used to maximize depressurization. Minimum number of SRVs for ESBWR has yet to be determined. Current number is assumed to be 8.
		Cautions 3, 4 & 5 were deleted	Cautions 3, 4, 5 referred to systems not available in ESBWR (HPCI, RCIC, HPCS, LPCS, RHR).
		Shortened list of options for depressurizing.	No HPCI, RCIC or RHR systems in ESBWR.
C1-2	C2-2	Replaced Shutdown Cooling with RWCU/SDCS. Eliminated reference to pressure	ESBWR uses RWCU/SDCS to achieve cold shutdown. System operates at full reactor pressure.

**Table 18B-5**  
**Contingency 1 - Emergency Depressurization**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
C1-2	C2-2	interlock Removed reference to RHR Original Cautions 3, 4 & 5 were deleted	ESBWR has no RHR system. Cautions 3, 4, 5 referred to systems not available in ESBWR (HPCI, RCIC, HPCS, LPCS, RHR).

**Table 18B-6**  
**Contingency 2 - RPV Flooding**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
This was Contingency #4 in EPG/SAG Rev 2. Contingency #3 in EPG/SAG Rev 2 was “Steam Cooling” which is not applicable to the ESBWR and was eliminated. Thus, “RPV Flooding” became Contingency #2.			
C2-1	C4-1	Renumbering all steps from C4 to C2	Deletion of earlier contingencies necessitated renumbering of subsequent contingencies
C2-1.1	C4-1.1	“purge mode” has been added to CRD to specify the operation mode. RCIC was removed.	CRD flow injection through the feedwater line is not desirable when it is not certain that the reactor is or will remain shutdown. ESBWR has no RCIC system.
C2-1.2	C4-1.2	Removed discussion regarding ADS valves.	ESBWR direction is to use all SRVs for emergency manual depressurization rather than the subset of these identified as ADS valves.
C2-1.3	C4-1.3	Shortened list of injection systems.  Shortened list of alternate injection systems.  Shortened list of valves to close. List of alternate depressurization systems shortened Original Caution #s 3,4,5,6 were replaced with new Caution #3	No RCIC, HPCI, LPCI or RHR systems in ESBWR.  No HPCS, HPCS, LPCI, LPCS, or ECCS keep-full system in ESBWR.  Fewer systems for ESBWR ESBWR has no HPCI, RCIC or RHR systems Original Cautions 3, 4, 5 are not applicable to ESBWR. Original Caution #6 was renumbered to Caution #3.
C2-2.1	C4-2.1	Removed discussion regarding ADS valves.	ESBWR direction is to use all SRVs for emergency manual depressurization rather than the subset of these identified as

**Table 18B-6**  
**Contingency 2 - RPV Flooding**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
C2-2.2	C4-2.2	Removed HPCS and “motor driven”. Added CRD high pressure makeup	ADS valves. ESBWR has no HPCS. All FW pumps are motor driven. CRD provides high pressure makeup in ESBWR.
C2-2.3	C4-2.3	Shortened list of systems to isolate	No HPCI, RCIC or RHR in ESBWR
		Shortened list of systems to use for flooding. Removed “motor drive” from feed pump. Added “high pressure makeup mode” to CRD system.	No HPCS, LPCS, LPCI, RHR or ECCS Keep-Full systems in ESBWR. All feed pumps in ESBWR are motor driven.
		Original Cautions 3, 4 & 5 were deleted	Original Cautions 3, 4, 5 referred to systems not available in ESBWR (HPCI, RCIC, HPCS, LPCS, RHR).
		Removed “motor drive” from feed pump. Removed HPCS and added “CRD high pressure makeup mode”. Changed list of systems to use for depressurization if insufficient number of SRVs can be opened.	No HPCS, HPCI, RHR or RCIC systems in ESBWR. All feed pumps in ESBWR are motor driven. ESBWR has RWCU/SDC system and uses CRD in high pressure makeup mode.
C2-3	C4-3	Removed RCIC, HPCI, and RHR systems.	ESBWR has no RCIC, HPCI and RHR systems

**Table 18B-7**  
**Contingency 3 - Level/Power Control**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
The deletion of Contingencies 1 & 3 from the BWROG EPGSAG results in the renumbering of this Contingency for #5 to #3.			
-----	C5-1	The text “prevent automatic initiation of ADS” has been eliminated.	Operator prevention of ADS is not possible in ESBWR. ATWS logic automatically inhibits ADS without need for operator action.
C3-1	C5-2	Renumbering of step	Deletion of original step C5-1. This also applies to all subsequent steps.
C3-2	C5-3	Eliminated RCIC and added CRD “purge flow”	ESBWR has no RCIC. CRD has two modes, purge mode and high pressure makeup mode. Purge mode is applicable here.
C3-3	C5-4	Eliminated RCIC and added CRD “purge flow”	ESBWR has no RCIC. CRD has two modes, purge mode and high pressure makeup mode. Purge mode is applicable here.
C3-4	C5-5	Changed low level control from Minimum Steam Cooling RPV Water Level to Top of Active Fuel.  Original Caution #s 3,4,5,6 were replaced with new Caution #3	Minimum Steam Cooling RPV Water Level control is not being utilized in the ESBWR EPGs.  Original Cautions 3, 4, 5 are not applicable to ESBWR. Original Caution #6 was renumbered to Caution #3.
C3-4	C5-5	Shortened list of injection systems. Added, “either purge flow or high pressure makeup modes” to CRD.	RCIC LPCI, HPCI and RHR are not systems in the ESBWR; water can be injected using the FAPCS LPCI mode.
C3-4.1	C5-5.1	“purge mode” added to CRD and “RCIC” deleted.	Same basis as given in C3-2; ESBWR has no RCIC.

**Table 18B-7**  
**Contingency 3 - Level/Power Control**

<b>ESBWR EPG/SAG EPG Step</b>	<b>BWROG Rev. 2 EPG/SAG EPG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
C3-4.2	C5-5.2	Shortened list of injection systems. Added, "either purge flow or high pressure makeup modes" to CRD.  Changed low-level control from Minimum Steam Cooling RPV Water Level to Top of Active Fuel.  Original Caution #s 3,4,5,6 were replaced with new Caution #3	RCIC LPCI, HPCI and RHR are not systems in the ESBWR; water can be injected using the FAPCS LPCI mode.  Minimum Steam Cooling RPV Water Level control is not being utilized in the ESBWR EPGs.  Original Cautions 3, 4, 5 are not applicable to ESBWR. Original Caution #6 was renumbered to Caution #3.
Override following C3-4.2	Override following C5-5.2	Changed level for entry to Primary Containment Flooding from "Minimum Steam Cooling RPV Water Level" to "Top of Active Fuel."	Minimum Steam Cooling RPV Water Level control is not being utilized in the ESBWR EPGs. Top of active fuel is the minimum level for action.
C3-4.3	C5-5.3	Changed low level control from Minimum Steam Cooling RPV Water Level to Top of Active Fuel.	Minimum Steam Cooling RPV Water Level control is not being utilized in the ESBWR EPGs.
—	C5-6	Step C5-6 and its preceding override are eliminated.	This step and override apply to plants with SLC injection location below the core in the lower plenum. The purpose was to raise level and promote mixing into the core. ESBWR SLC injects directly into the core so this action is not required.



Table 18B-8

## RPV and Primary Containment Flooding Severe Accident Guideline

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
Purpose	Purpose	First purpose changed to “Submerge the core (and in- vessel core debris)” Added an additional purpose “Submerge core debris on the lower drywell floor	More descriptive of purpose of this SAG
RC/F	RC/F	Removed original Caution #2 and added new Caution 3.	Original Caution #2 is not applicable to ESBWR design New Caution 3 is applicable to this step.
		Modified drywell spray override to include a shutoff of sprays unless core debris is on drywell floor.	This is directly related to new Caution 3 to avoid possible steam explosion
		Deleted suppression pool sprays from override	ESBWR has no suppression pool sprays
RC/F-1	RC/F-1	Added override to depressurize with DPVs, initiate passive cooling system and drywell deluge.	ESBWR systems used for post accident RPV and containment flooding
-----	RC/F-1.1	Removed override regarding suppression pool sprays	ESBWR has no suppression pool sprays
-----	RC/F-1.1	Step deleted	ESBWR passive systems require RPV venting.
RC/F-1.1	RC/F-1.2	Renumbering of steps	Required because RC/F-1.1 was deleted

Table 18B-8

## RPV and Primary Containment Flooding Severe Accident Guideline

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/F-1.1	RC/F-1.2	Deleted “Initiate SPMS” from ESBWR EPG.	ESBWR has no SPMS.
		Modified list of systems.	ESBWR has no HPCS, LPCS, RCIC, RHR or ECCS keep-full systems. LPCI is possible using FAPCS in the LPCI mode. Other containment fill systems in the ESBWR are the Makeup Water System and the Condensate Storage and Transfer System.
		Removed original Cautions 3, 4, 5	Original Cautions 3, 4, 5 involved non – ESBWR systems
		Removed override regarding suppression pool sprays	ESBWR has no suppression pool sprays
RC/F-1.2	RC/F-1.3	Renumbering of steps	Required because RC/F-1.1 was deleted
RC/F-1.2	RC/F-1.3	Removed requirement to operate HPCS and LPCS	ESBWR has no HPCS or LPCS.
		Modified list of systems.	ESBWR RHR or ECCS keep-full systems. LPCI is possible using FAPCS in the LPCI mode. Other containment fill systems in the ESBWR are the Makeup Water System and the Condensate Storage and Transfer System.
RC/F-2	RC/F-2	Changed title	The new title reflects the condition in which water level can be restored up to and beyond TAF. This is associated with the simplification of RC/F for the ESBWR.

Table 18B-8

## RPV and Primary Containment Flooding Severe Accident Guideline

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
		Added override to open DPVs, initiate GDCS and open SP equalization line	ESBWR passive system for RPV depressurization / venting to drywell and core cooling by gravity drain to RPV
		Added override to maintain level as high as possible if it cannot be restored and maintained above TAF and to maximize injection from external sources	All available systems are already being used to restore level and attempt to maintain it above TAF. If TAF cannot be achieved, there are no additional actions that can be taken.
		Removed override for suppression pool sprays.	ESBWR has no suppression pool sprays
		Removed override for drywell sprays	Drywell sprays will only be used if lower drywell floor thermocouples indicate core debris on the drywell floor.
		System list to restore and maintain level has been modified	ESBWR has no RCIC and RHR. Added CRD high pressure makeup mode and FAPCS LPCI mode.
		Backup system list to restore and maintain RPV level has been modified	ESBWR has no HPCS, LPCS, RHR or ECCS Keep-Full system
		Removed original Cautions 3, 4, 5	Original Cautions 3,4,5 involved non – ESBWR systems
		Shortened list of alternate methods to use to vent the RPV	ESBWR does not have flood vent valves, HPCI, RCIC or RHR. The primary means of RPV venting in the ESBWR are the DPVs.
		RPV venting with alternate systems is only required if no DPVs can be opened	One or more open DPVs is the most desirable manner of venting the RPV in this step
-----	RC/F-3	This step was deleted	Steps RC/F-3 thru RC/F-6 are not

Table 18B-8

## RPV and Primary Containment Flooding Severe Accident Guideline

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
-----	RC/F-4	This step was deleted	directly applicable to the ESBWR because if the DPVs and GDCS function as designed then a core melt is not credible. If the GDCS fails then the ESBWR severe accident assumption that core melt is not arrested in vessel applies. Actions in earlier steps will provide in vessel core debris cooling.
-----	RC/F-5	This step was deleted	
-----	RC/F-6	This step was deleted	
RC/P-1	RC/P-1	Changed to initiate all available Isolation Condensers	ESBWR design has 4 Isolation Condensers
RC/P-2	RC/P-2	Removed original Caution 2	The original Caution 2 is not applicable to ESBWR because it does not utilize heated reference legs.
		Changed open all “ADS valves” to open all “ADS SRVs and DPVs”.	ESBWR depressurization system consists of 10 ADS SRVs and 8 DPVs.
		Modified list of systems for further depressurization	ESBWR does not have HPCI, RCIC & RHR. ESBWR has RWCU/SDC system.
RC/P-3	RC/P-3	Modified list of systems for further cool down	ESBWR does not have HPCI, RCIC & RHR. ESBWR has RWCU/SDC system.
		Removed original Cautions 3, 4, 5	These original Cautions are not applicable to ESBWR
RC/Q-3	RC/Q-3	Removed “until SLC tank water level drops to [0% (low SLC tank water level trip)]”.	ESBWR has no SLC tank or pump, only accumulators. Operator has no control of SLC level in the accumulator and there is no low SLC tank water level trip.

**Table 18B-8****RPV and Primary Containment Flooding Severe Accident Guideline**

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
RC/Q-4.2	RC/Q-4.2	Deleted “Increase CRD cooling water differential pressure” and “Vent control rod drive over piston volumes”.	These methods are not applicable to the FMCRDs used in the ESBWR.

Table 18B-9

## Cautions

<b>ESBWR EPG/SAG Caution</b>	<b>BWROG Rev. 2 EPG/SAG Caution</b>	<b>Difference</b>	<b>Basis For Difference</b>
1	1	No Difference	.
-	2	Deleted for ESBWR	ESBWR has no heated reference leg instruments.
-	3	Deleted for ESBWR	ESBWR does not have these systems, so their NPSH and vortex limits are not applicable.
-	4	Deleted for ESBWR	ESBWR does not have RCIC system.
-	5	Deleted for ESBWR	ESBWR does not have HPCI or RCIC turbines.
2	6	No Difference	
-	7	Deleted for ESBWR	No NPSH issues for ESBWR because the FAPCS design requires operability without pump cavitations with conditions down to saturation at the suppression pool source.
3	-	Added a caution to identify that if core debris interacts with a water level in the lower plenum that is greater than 0.7 m, a steam explosion may occur.	This phenomenon is documented in the ESBWR severe accident analyses and is added here to prevent actions that will lead to high water level in the lower drywell prior to core melt ejection.

**Table 18B-10****Containment and Radioactivity Release Control Severe Accident Guideline**

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
OPERATOR ACTIONS	OPERATOR ACTIONS	Deleted "Confirm initiation of or manually initiate SBT" in first override	ESBWR does not have a SBT system
		Removed CN/T from steps to execute concurrently	CN/T refers to Mark III containments
SP/T	SP/T	Removed original Caution 3	Original Caution 3 does not apply to ESBWR
DW/T	DW/T	Removed "DRYWELL SPRAY IS REQUIRED" and added "Drywell spray can be utilized if drywell water level is less than 0.7m or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than 0.7m and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized."	There is no requirement to initiate drywell sprays in the ESBWR. They may be used under the conditions cited.
		Added new Caution 3	New Caution 3 refers to use of drywell sprays in a manner to preclude steam explosions
-----	CN/T	Removed this step	CN/T applies only to Mark III containments
PC/P	PC/P	Removed requirement to initiate suppression pool sprays	ESBWR does not have suppression pool sprays

**Table 18B-10****Containment and Radioactivity Release Control Severe Accident Guideline**

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
PC/P	PC/P	Removed “DRYWELL SPRAY IS REQUIRED” and added “Drywell spray can be utilized if drywell water level is less than 0.7 m or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than 0.7 m and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.”	There is no requirement to initiate drywell sprays in the ESBWR. They may be used under the conditions cited.
		Added new Caution 3	New Caution 3 refers to use of drywell sprays in a manner to preclude steam explosions
		Changed “Primary Containment Pressure Limit C “ to “Primary Containment Pressure Limit”	Primary Containment Pressure Limits A, B, C do not apply to ESBWR because there is one limit that encompasses the limits associated with A, B & C. The Primary Containment Pressure Limit is the ultimate pressure capability for the ESBWR.
PC/R	PC/R	Removed requirement to initiate suppression pool sprays	ESBWR does not have suppression pool sprays



**Table 18B-10****Containment and Radioactivity Release Control Severe Accident Guideline**

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
		Removed “DRYWELL SPRAY IS REQUIRED” and added “Drywell spray can be utilized if drywell water level is less than 0.7 m or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor. If drywell water level is greater than 0.7 m and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.”	There is no requirement to initiate drywell sprays in the ESBWR. They may be used under the conditions cited.
		Added new Caution 3	New Caution 3 refers to use of drywell sprays in a manner to preclude steam explosions
PC/G associated with Mark I and II containments was used since it was more applicable. PC/G associated with Mark III containments was deleted.			
PC/G-1	PC/G-1	Removed requirement for hydrogen re-combiner operation	ESBWR does not have a hydrogen re-combiner
PC/G-2	PC/G-2	Removed requirement for hydrogen re-combiner operation	ESBWR does not have a hydrogen re-combiner
PC/G-3	PC/G-3	Removed requirement for securing hydrogen re-combiner and hydrogen mixing system	ESBWR does not have a hydrogen re-combiner or mixing system

**Table 18B-10****Containment and Radioactivity Release Control Severe Accident Guideline**

<b>ESBWR EPG/SAG SAG Step</b>	<b>BWROG Rev. 2 EPG/SAG SAG Step</b>	<b>Difference</b>	<b>Basis For Difference</b>
PC/G-3-3	PC/G-3-3	Removed “DRYWELL SPRAY IS REQUIRED” and added “If drywell water level is less than 0.7 m or lower drywell floor thermocouples indicate the presence of core debris on the drywell floor, drywell sprays can be utilized. If drywell water level is greater than 0.7 m and lower drywell floor thermocouples do not indicate the presence of core debris on the drywell floor, drywell sprays should not be utilized.”	There is no requirement to initiate drywell sprays in the ESBWR. They may be used under the conditions cited.
PC/G-4	PC/G-4	Removed requirement for hydrogen re-combiner operation	ESBWR does not have a hydrogen re-combiner
PC/G-5	PC/G-5	Removed requirement for hydrogen re-combiner operation	ESBWR does not have a hydrogen re-combiner
PC/G-6	PC/G-6	Removed requirement for securing hydrogen re-combiner and hydrogen mixing system	ESBWR does not have a hydrogen re-combiner or mixing system
-----	PC/G-6-1	Deleted step requiring suppression pool sprays	ESBWR does not have suppression pool sprays
PC/G-6-1	PC/G-6-2	Renumbering required	Deletion of step required renumbering of subsequent steps
PC/G-6-2	PC/G-6-3	Renumbering required	Deletion of step required renumbering of subsequent steps
Tables 18A-2 thru 18A-4	Table SC-1	This table was generalized for the ESBWR	More detailed information will be provided when plant specific ESBWR EOPs are developed.

## **18C. ESBWR EPG/SAG INPUT DATA**

### **18C.1 INTRODUCTION**

The Emergency Procedure Guidelines (EPGs) provided in Appendix 18A refer to various limits for emergency plant operation. These operation limits are based upon plant specific design parameters. This appendix identifies the plant parameters that are used for calculation of operation limits. The input parameters provided are in accordance with those in Appendix C of the BWROG EPG/SAG Revision 2.

The parameter input values used for calculation of operation limits are given in Section 18C.2. The COL applicant is required to provide the input parameters based upon specific installation details and calculate the plant specific operation limits (Subsection 18.8.2). In addition, the EPG/SAGs in Appendix 18A shall incorporate these calculations.

**18C.2 INPUT PARAMETERS**

Tables 18C-1 through 18C-9 list all plant parameters that are used for calculation of operation limits. The parameter definitions are in accordance with Appendix C of the BWROG EPG/SAG, Revision 2. Generic parameter values are provided where appropriate. When detailed plant design is completed and specific plant installation details are known, all parameter values can be determined.

### **18C.3 CALCULATION RESULTS**

Figures 18C-1 through 18C-9 contain typical limit curves and are provided for illustrative purposes only. Calculation of these limit curves would be performed at the COL stage in accordance with the methods given in Appendix C of the BWROG EPG/SAG, Revision 2 using the input data discussed above (subsection 18.8.2).

Table 18C-1

**BWROG EPG/SAG Rev. 2 Appendix C: ECCS Suction Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>ECCS Suction Data</b>		
SuctionID1	Suction identification	FAPCS
Dsuct1	Diameter of suction inlet (in.)	
Hsuct1	Elevation of center of suction inlet (m (ft))	
WsuctMax1	Flow (maximum) through suction (gpm)	
<b>ECC Tabular Data</b>		
Wfapcs-lpci Table	Flow rate (gpm) pf the FAPC LPCI as a function of RPV pressure (psig). Run out to shutoff.	

Table 18C-2

**BWROG EPG/SAG Rev. 2 Appendix C: Primary Containment Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>Primary Containment Data</b>		
PCDesign	BWR primary containment design (Mark I, II, or III)	ESBWR
Hhorvent	Elevation of top of horizontal vents (ft)	
HscTap	Elevation of suppression chamber pressure instrument tap (ft)	
HspRef	Elevation of suppression pool water level instrument zero (ft)	
HvbInt	Elevation of bottom of internal Mark II containment wetwell-to-drywell vacuum breakers (ft)	
HventPC	Elevation of containment vent capable of removing all decay heat and located above TAF (ft)	
PdwMaxop	Pressure (maximum normal operating), drywell (psig)	
PdwMinop	Pressure (minimum normal operating), drywell (psig)	
PdwScram	Pressure setpoint for high drywell pressure scram (psig)	
PpcVent	Pressure (maximum) in airspace at which containment vent located above TAF can be opened and closed (psig)	
PscMaxop	Pressure (maximum normal operating), suppression chamber (psig)	
PscMinop	Pressure (minimum normal operating), suppression chamber (psig)	
PspDes	Load (design), suppression pool boundary (psi)	
PspSRV	Load (maximum) on suppression pool boundary resulting from SRV actuation (psi)	
Tcst	Temperature (maximum normal operating) of condensate storage tank water (°F)	
TdwMaxop	Temperature (maximum normal operating), drywell (°F)	
TdwMinop	Temperature (minimum normal operating), drywell (°F)	
TscMax	Temperature capability (maximum) of the suppression	

Table 18C-2

**BWROG EPG/SAG Rev. 2 Appendix C: Primary Containment Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>Primary Containment Data</b>		
	chamber and equipment within the suppression chamber which may be required to operate when the RPV is pressurized (°F)	
TscMaxop	Temperature (maximum normal operating), suppression chamber airspace (°F)	
TscMinop	Temperature (minimum normal operating), suppression chamber airspace (°F)	
TspFlood	Temperature (minimum) of suppression pool when containment flooded (°F)	
TspMinop	Temperature (minimum normal operating), suppression pool (°F)	
TspScram	Temperature of suppression pool at which reactor scram is required (°F)	
Vdw	Volume (free) of drywell and vent system (ft3)	
VscLCO	Volume (free) of suppression chamber above minimum suppression pool water level LCO (ft3)	
WLspMaxLCO	Water level LCO (maximum) of suppression pool (ft)	
WLspMinLCO	Water level LCO (minimum) of suppression pool (ft)	
Parameters for components which are limiting at high containment pressures		
CompID1	Identification	DRYWELL HEAD
Elevation1	Elevation (m (ft))	
Location1	Location (DW or WW)	DW
Material1	Material type	
Strength1	Strength type (yield or tensile)	
Pcalc1	Pressure capability (maximum) (psig)	
Tcalc1	Temperature used to determine Pcalc (°F)	
CompID2	Identification	WETWELL BOTTOM



Table 18C-2

**BWROG EPG/SAG Rev. 2 Appendix C: Primary Containment Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>Primary Containment Data</b>		
Elevation2	Elevation (m (ft))	
Location2	Location (DW or WW)	WW
Material2	Material type	
Strength2	Strength type (yield or tensile)	
Pcalc2	Pressure capability (maximum) (psig)	
Tcalc2	Temperature used to determine Pcalc (°F)	
<b>Primary Containment Tabular Data</b>		
Nnzl(n)	Table: Nnzl : Wnnzl ----- The minimum number of spray nozzles of size “n” through which a single Drywell Spray division can deliver flow to the drywell. (n = 1, 2, 3, etc)	
Wnzl(n)	The minimum flow that provides a full cone spray pattern for Nnzl (n) (gpm)	
WLsp	Table WLsp: Vsp: Vsc-air ----- Volume of water and airspace in the suppression chamber as a function of suppression pool water level bottom to top of suppression chamber:  Suppression pool water level (ft)	
Vsp	Volume (ft3) of water in suppression pool for a given height WLsp	
Vsc-air	Volume (ft3) of airspace in suppression chamber for a given water height WLsp	

**Table 18C-3**  
**BWROG EPG/SAG Rev. 2 Appendix C: Fuel Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
Fuel Data		
c-clad	Specific heat of clad and channels (BTU/lbm-°F)	
c-fuel	Specific heat of fuel (BTU/lbm-°F)	
Mclad	Mass of clad and channels (lbm)	
Mfuel	Mass of fuel (lbm)	
Nbuns	Number of fuel bundles	1132
Qrx-rated	Power (rated) (MWt)	4500
Lfuel	Length of active fuel (in)	120
	Fuel Type	GE-14
Fafl-15	Minimum active fuel length fraction, which must be covered to maintain PCT<1500°F with injection (%)	
Fafl-18	Minimum active fuel length fraction which must be covered to maintain PCT<1800°F without injection (%)	
FQdh-10	Decay heat fraction 10 minutes after shutdown	0.0221
Fuel Data Tables		
Wg-1500 (Wg-1500Table)	Fuel-n: Wg-1500-n ----- Minimum bundle steam flow required to maintain PCT<1500°F at peak LHGR (lbm/hr)	
	Fuel-n      Wg-1500-n (lbm/hr)	
	1              -----	
	2              -----	
	3              -----	

**Table 18C-3**  
**BWROG EPG/SAG Rev. 2 Appendix C: Fuel Input Data**

Table 18C-3 BWROG EPG/SAG Rev. 2 Appendix C: Fuel Input Data					
PARAMETER	PARAMETER DEFINITION				VALUE
Qdh (Table)	Time (min): Qdh (%)				
	-----				
	Decay heat after reactor shutdown:				
	Time				
	(min.)	Qdh-%	Time	Qdh	
	2	3.038	120	1.057	
	4	2.658	150	0.9901	
	6	2.458	200	0.9661	
	8	2.320	300	0.8673	
	10	2.212	450	0.7863	
	15	2.009	600	0.7255	
	20	1.862	900	0.6509	
	25	1.747	1200	0.6002	
	30	1.653	1500	0.5622	
	35	1.574	1800	0.5331	
	40	1.507			
	45	1.450			
	50	1.400			
	60	1.318			
	90	1.155			

**Table 18C-4**  
**BWROG EPG/SAG Rev. 2 Appendix C: RPV Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>RPV Data</b>		
dPvent-rpv	Differential pneumatic pressure (minimum) required to operate RPV vent valve(s) (psid)	
Hvent-rpv	Elevation of lowest RPV vent valve pneumatic solenoid (ft)	
Mf-rpv-cld	Mass of water in shutdown cooling, and RWCU loops and in RPV with water level at high level trip setpoint and water temperature at 68°F (lbm)	
Mf-rpv-hot	Mass of water in RWCU loops and in RPV with water level at high level trip setpoint and water temperature at saturation temperature for minimum pressure at which an SRV is set to lift (lbm)	
Mg-rpv-hot	Mass of saturated steam in RPV and main steam lines inboard of outboard MSIVs with water level at high level trip setpoint and pressure at minimum at which an SRV is set to lift (lbm)	
Mrpv	Mass of RPV, internals and main steam lines inboard of outboard MSIVs (lbm)	
Psup-rpv	Pressure (minimum normal operating), pneumatic supply system for RPV vent valve(s) (psig)	
WLrpv-baf	Water level at bottom of active fuel (in.)	

Table 18C-5

**BWROG EPG/SAG Rev. 2 Appendix C: RPV Level Instrument Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>RPV Water Level Instrument Data</b>		
Provide the following information for each RPV water level instrument range		
Fhtc	Heat transfer coefficient (zero unless instrument has heated reference leg) (dimensionless)	
Hrange-lo	Elevation of instrument range low end (ft)	
Href-dw	Elevation of reference leg drywell penetration (ft)	
Href-surf	Elevation of condensing chamber water surface (ft)	
Hvar-dw	Elevation of variable leg drywell penetration (ft)	
Hvar-tap	Elevation of variable leg RPV tap (ft)	
Prpv-cal	Pressure in RPV at calibration (psig)	
Tdw-cal	Temperature in drywell at calibration (°F)	
Trb-cal	Temperature in reactor building or containment at calibration (°F)	
WLrpv-hi	Water level at instrument range high end (in.)	
WLrpv-lo	Water level at instrument range low end (in.)	

**Table 18C-6**  
**BWROG EPG/SAG Rev. 2 Appendix C: SLC System Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>SLC System Data</b>		
FB10-slc	Atomic abundance of B10 isotope (i.e., boron enrichment) as a fraction of all boron in the SLC Accumulator (0.1978 if naturally occurring boron is used)	
Tslc	Temperature (maximum normal operating) of water in SLC Accumulator (°F)	
Wslc	SLC injection flowrate for boron injection under 10CFR50.62, the ATWS rule (gpm)	
XB-cld-nat	Cold shutdown boron concentration requirement for naturally occurring boron (ppm)	
XB-hot-nat	Hot shutdown boron concentration requirement for naturally occurring boron (ppm)	
XB-slc	Concentration (minimum normal operating) of boron in SLC Accumulator (ppm)	

Table 18C-7

## BWROG EPG/SAG Rev. 2 Appendix C: SRV System Input Data

PARAMETER	PARAMETER DEFINITION	VALUE
dPsrsv	Differential pneumatic pressure (minimum) required to open SRVs (psid)	
Hsrvv	Elevation of lowest SRV pneumatic solenoid (ft)	
Nsrv-ads	Number of SRVs dedicated to ADS	10
Pq-code	Stress (code allowable) for SRV quencher (kpsi)	
Pq-des	Stress (design basis) for SRV quencher (kpsi)	
Pqs-code	Stress (code allowable) for SRV quencher support (kpsi)	
Pqs-des	Stress (design basis) for SRV quencher support (kpsi)	
Prpv-tp	Pressure in RPV used for SRV tail pipe design calculations (psig)	
Psrsv-lift	Pressure (minimum) in RPV at which an SRV is set to lift (psig)	
Psrsv-name	Pressure for SRV per nameplate (psig)	
Psup-srv	Pressure (minimum normal operating), pneumatic supply system for SRVs (psig)	
Ptp-code	Stress (code allowable) for SRV tail pipe (kpsi)	
Ptp-des	Stress (design basis) for SRV tail pipe (kpsi)	
Ptps-code	Stress (code allowable) for SRV tail pipe support (kpsi)	
Ptps-des	Stress (design basis) for SRV tail pipe support (kpsi)	
Type of SRV (Name, Model#)	Dresser Crosby Target Rock Dijkers Sebim	
WLsp-srv	Water level of suppression pool used to determine maximum suppression pool boundary load resulting from SRV actuation (ft)	
WLsp-tp	Water level of suppression pool used for SRV tail pipe	

Table 18C-7

**BWROG EPG/SAG Rev. 2 Appendix C: SRV System Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
	design calculations (ft)	
Wsrv-name	Flowrate through SRV per nameplate (lbm/hr)	
WLL-n (Tables)	<p>WLsp: WLL-n</p> <p>-----</p> <p>Water leg length (<i>WLL-n</i>) in tail pipe (for discharge line “n”) as a function of suppression pool water level (<i>WLsp</i>) referenced to 0 for <i>WLsp</i> at level used for SRV tail pipe design calculations. One table for each SRV discharge line (SRVDL 1...n).</p>	



**Table 18C-8**  
**BWROG EPG/SAG Rev. 2 Appendix C: Generic Input Data**

PARAMETER	PARAMETER DEFINITION	VALUE
c-steel	Specific heat of steel (BTU/lbm-°F)	0.128
dPtp-dPrpv	SRV tail pipe system load variation with RPV pressure (%/psig)	0.10
dPtp-dWLL	SRV tail pipe system load variation with SRVDL water leg length (%/ft)	5.00
F-vortex	Air entrainment threshold Froude Number	0.80
<i>FB10-nat</i>	Nuclidic abundance of B10 isotope as a fraction of naturally occurring boron	0.1978
<i>FB11-nat</i>	Nuclidic abundance of B11 isotope as a fraction of naturally occurring boron	0.8022
K1	Conversion constant (BTU/hr-MWt)	3,412,000
K2	Conversion constant (BTU/min.-MWt)	56,868
K3	Conversion constant (gal/ft3)	7.48
M-B10	Nuclidic mass of B10 isotope	10.01
M-B11	Nuclidic mass of B11 isotope	11.01
M-B-nat	Atomic mass of natural boron (B)	10.81
M-H	Atomic mass of hydrogen (H)	1.01
M-Na	Atomic mass of sodium (Na)	22.99
M-O	Atomic mass of oxygen (O)	16
Material Strength	Temp (°F): Fyield –n: Ftens –n ----- Normalized material strengths. Information to be provided for each material (1...n). Yield                    Tensile Temp   Strength       Strength (°F)   Fyield-1   Ftens-n	
SRV Re-opening Pressure Table:	SRV Type: Re-opening Pressure (Psid)	

Table 18C-9

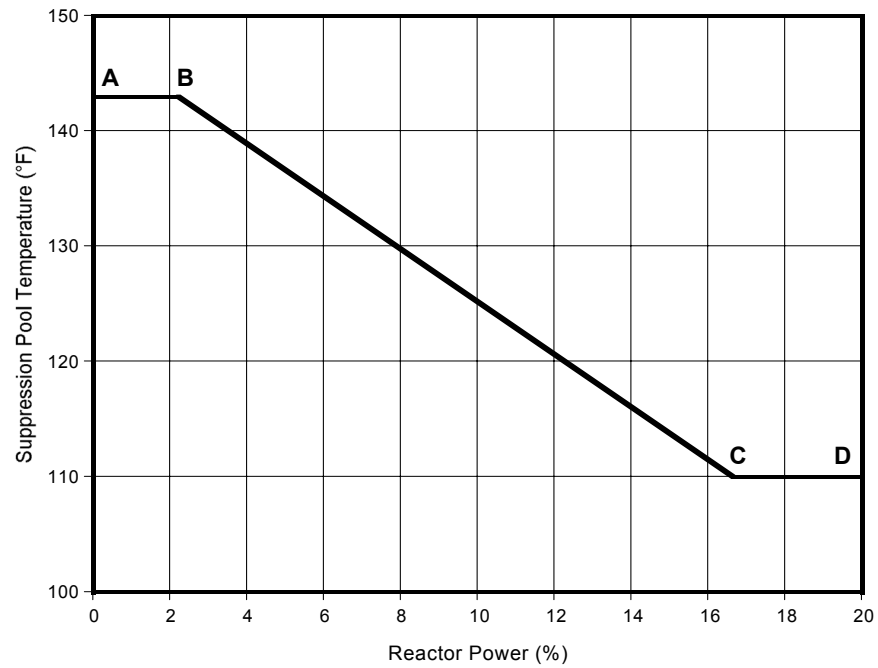
**BWROG EPG/SAG Rev. 2 Appendix C: Assumed and Supplemental Data**

PARAMETER	PARAMETER DEFINITION	VALUE
<b>Assumed Data</b>		
TdwMinX	Minimum drywell temperature (°F)	
TdwMaxX	Maximum drywell temperature (°F)	
TscMinX	Minimum suppression chamber temperature (°F)	
TscMaxX	Maximum suppression chamber temperature (°F)	
<b>Supplemental Data</b>		
Primary Containment Supplemental Data		
Hsc0	Elevation of bottom of suppression chamber (ft)	
HpcRef	Elevation of primary containment water level instrument zero (ft)	
nSRV	Total number of SRVs	18
TdwMaxInd	Maximum indicated drywell temperature (°C)	
SLC Supplemental Data		
FB10_spb	Atomic abundance of B10 isotope (boron enrichment) as a fraction of all boron in enriched boron used for alternate boron injection (needed only if enriched boron is used for alternate boron injection)	
Kslc_wl	SLC Accumulator volume conversion factor (gal/unit)	
MbxUnit	Borax container capacity (lbm borax) (needed only if borax and boric acid are used for alternate boron injection)	
MbaUnit	Boric acid container capacity (lbm boric acid) (needed only if borax and boric acid are used for alternate boron injection)	
MspbUnit	Sodium pentaborate container capacity (lbm sodium pentaborate) (needed only if enriched sodium pentaborate is used for alternate boron injection)	
rhoCal	Density of solution in the SLC Accumulator assumed for calibration of SLC Accumulator level instrument (lbm/ft3) (needed only if SLC Accumulator level indication is affected by solution density)	

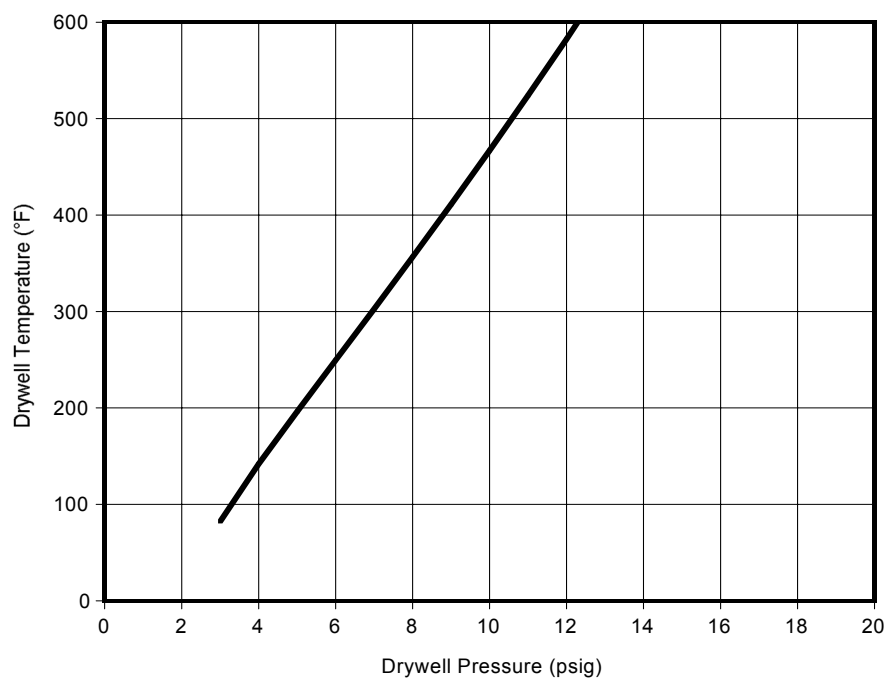
Table 18C-9

**BWROG EPG/SAG Rev. 2 Appendix C: Assumed and Supplemental Data**

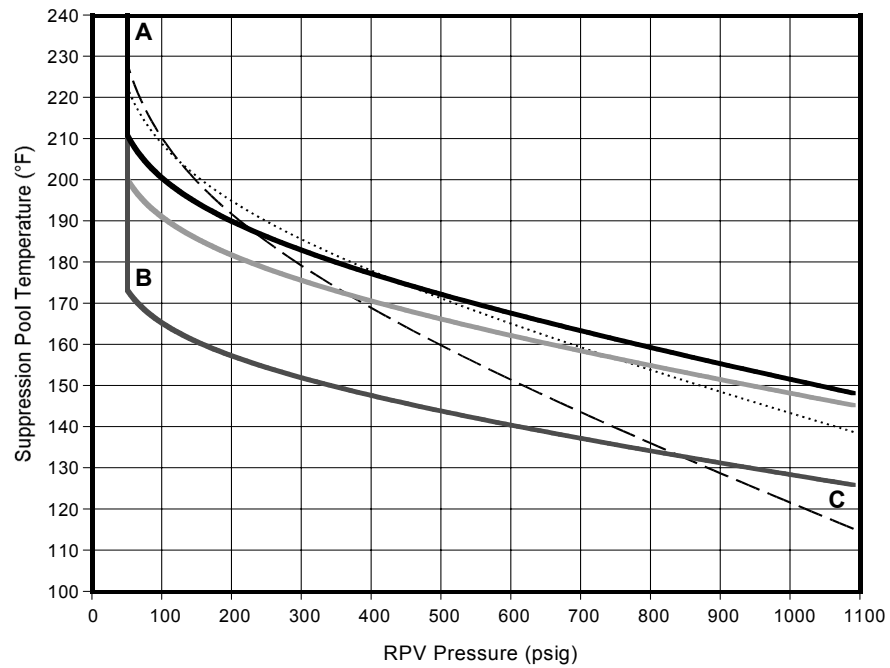
PARAMETER	PARAMETER DEFINITION	VALUE
rhoSLC	Density of solution in the SLC Accumulator with boron concentration at XB-slc and temperature at Tslc (lbm/ft <sup>3</sup> )	
WLslcMin	Water level (minimum normal operating) in SLC tank	
WLslcUnits	SLC Accumulator level indicator units	
WLslcErr	Is the SLC Accumulator level indication affected by changes in solution density?	
AltBoronMethod	Alternate boron injection method:	
Pump NPSH Supplemental Data		
	Provide information for all pumps (1...n)	
Pump-n	Pump identification	
HminNPSH-n	Elevation of minimum suppression pool water level for pump operation within NPSH and vortex limits	
WloopMax-n	Maximum loop flow (gpm)	
hLRefTable-n	Suction piping reference head loss: % Loop Flow      Head      Reference In Segment      Loss (ft) Flow (gpm) Strainer: Segment 1: Segment 2:	
NPSHTable-n	Required net positive suction head (ft) as a function of pump flow—maximum ten data points, from zero to maximum flow: Wpump    NPSHreq (gpm)      (ft)	



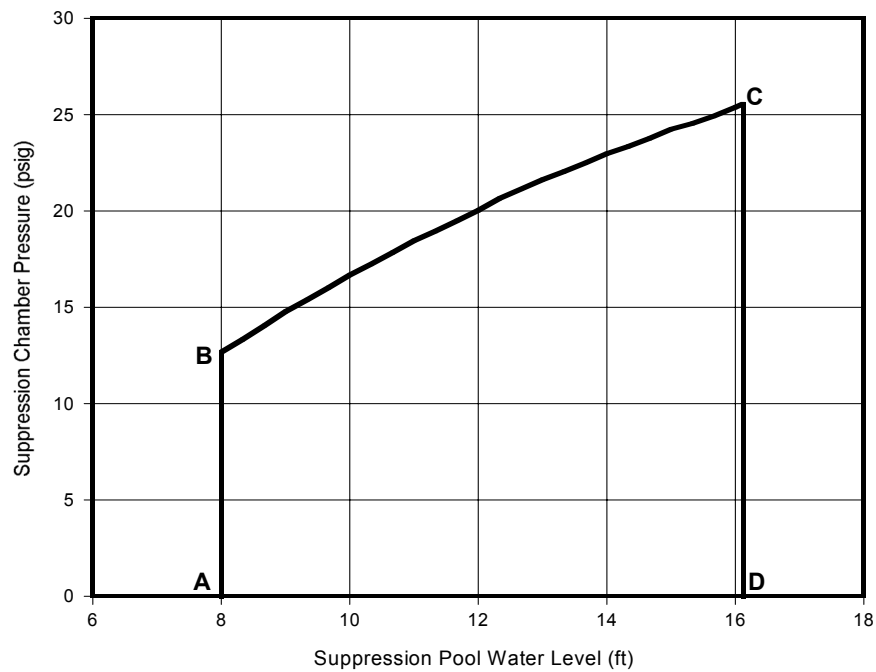
**Figure 18C-1. Typical Boron Injection Initiation Temperature**  
(Plant Specific Operating Limit to be provided at COL)



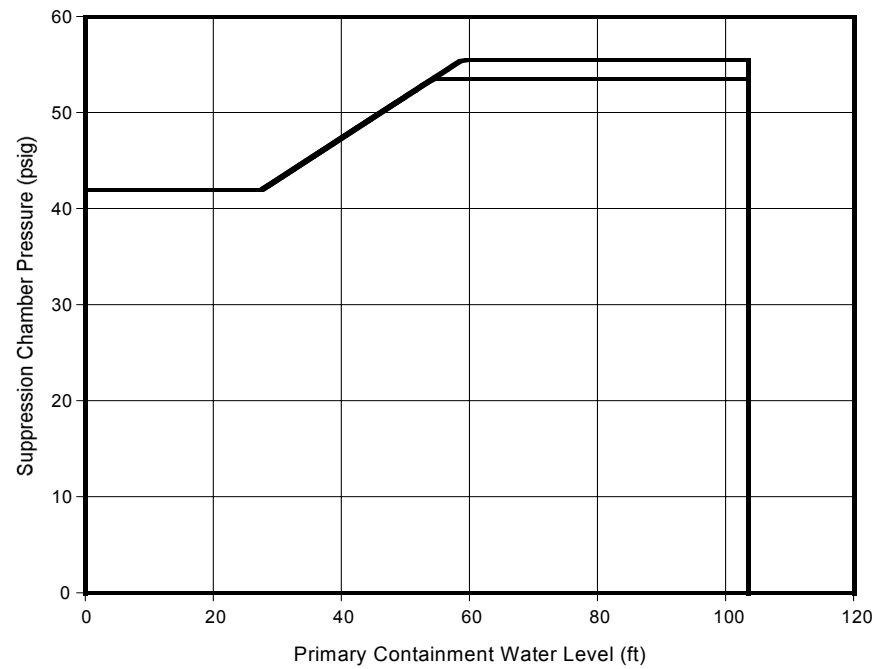
**Figure 18C-2. Typical Drywell Spray Initiation Limit**  
(Plant Specific Operating Limit to Be Provided at COL)



**Figure 18C-3. Typical Heat Capacity Temperature Limit**  
(Plant Specific Operating Limit to Be Provided at COL)

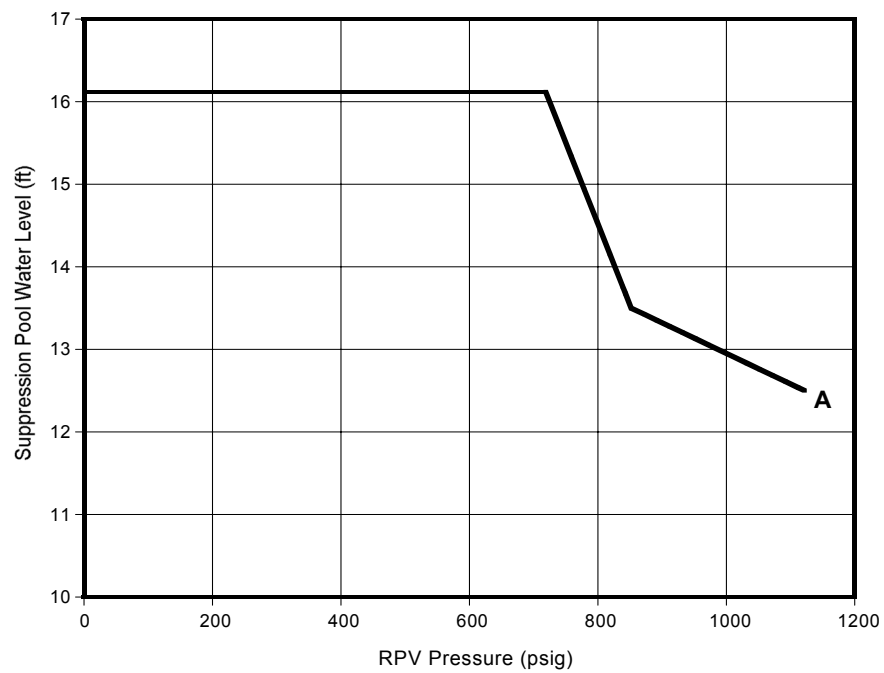


**Figure 18C-4. Typical Pressure Suppression Pressure Curve**  
(Plant Specific Operating Limit to Be Provided at COL)

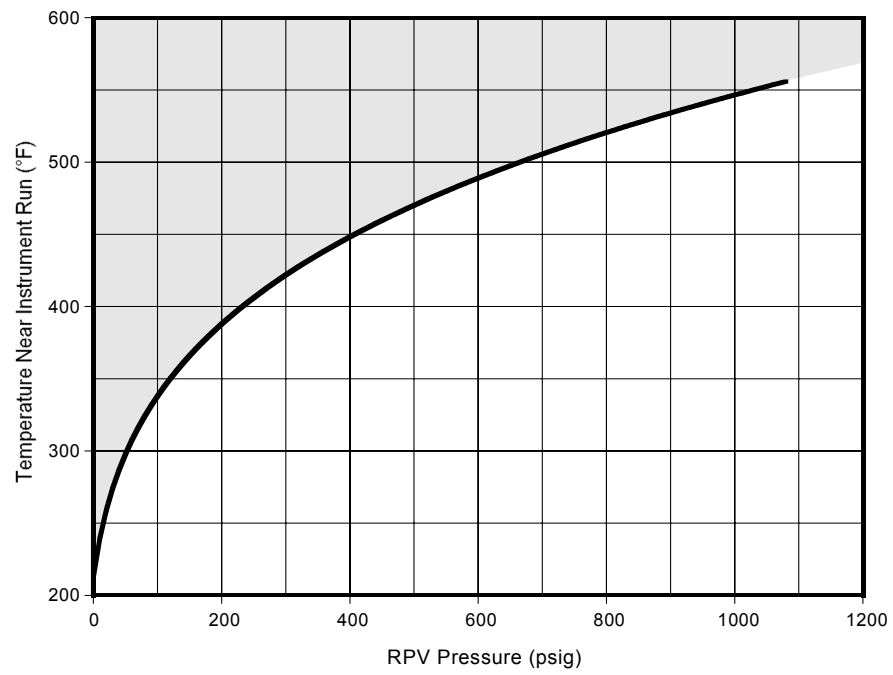


**Figure 18C-5. Typical Containment Pressure Limit**  
(Plant Specific Operating Limit to Be Provided at COL)





**Figure 18C-6. Typical SRV Tail Pipe Level Limit**  
(Plant Specific Operating Limit to Be Provided at COL)



**Figure 18C-7. Typical RPV Saturation Temperature**

## **18D. OPERATOR INTERFACE EQUIPMENT CHARACTERIZATION**

This appendix contains a characterization of a main control room operator interface that incorporates the standard design features presented in Subsection 18.3.2. The purpose of presenting this design characterization is to provide an illustration of an implemented ESBWR main control room operator interface and is not meant to impose any limits on the ESBWR design.

### **18D.1 CONTROL ROOM ARRANGEMENT**

The conceptual main control room contains the main control console, the large display panel, the supervisor's console, the assistant shift supervisor's desk, a large table and various other desks, peripheral equipment and storage space. The arrangement of these items of equipment and furniture is shown in Figure 18D-1. The spatial arrangement of the main control console, large display panel and supervisor's console is a standard design feature, as discussed in Subsection 18.4.2.15. Figure 18D-1 illustrates this standard arrangement.

## 18D.2 MAIN CONTROL CONSOLE CONFIGURATION

The conceptual main control console is configured as shown in a plan view in Figure 18D-2. As shown in Figure 18D-2, the configuration is that of a shallow, truncated V with desk space attachments at the ends of both wings. The dimensions are such that two operators can comfortably work at the console at all times.

A cross-sectional view of the main console is shown in Figure 18D-3. This is a cross-section at points A-A, indicated in Figure 18D-2. This view gives an indication of the console height and the depth of the console desk surface. The dashed lines indicate the position of the computer driven VDUs, which, in this concept, are CRTs.

A second cross-sectional view, at points B-B, as indicated in Figure 18D-2, is shown in Figure 18D-4. This view shows the cross-sectional shape of the main console in the desk areas.

The details in Figure 18D-2 include the identification and arrangement of the equipment installed on the main control console. This equipment includes computer-driven CRTs, flat panel display devices, panels of dedicated function switches and analog displays for selected equipment (e.g., the main generator). The flat panel display devices are driven by dedicated microprocessors and, thus, are independent of the NE-DCIS.

In general, the conceptual equipment arrangement on the main console (plan view) is (1) safety-related and NSS on the left, (2) overall plant supervision in the center and (3) balance of plant (BOP) on the right.

The flat panel displays on the left side of the console are divisionally dedicated. These flat panels are qualified to Class 1E standards and are used to monitor and control the divisional safety-related systems.

The flat panels on the center and right panels of the main console support monitoring and control of nonsafety-related NSS and BOP systems.

The CRTs and flat panel display devices on the main control console are fitted with touch screens. In addition to the control capabilities provided by the touch screens on the CRTs and flat panels, there are panels of dedicated switches implemented in hardware and located on the main control console. Dedicated switches are discussed in Subsection 18.4.2.5.

### 18D.3 LARGE DISPLAY PANEL CONFIGURATION

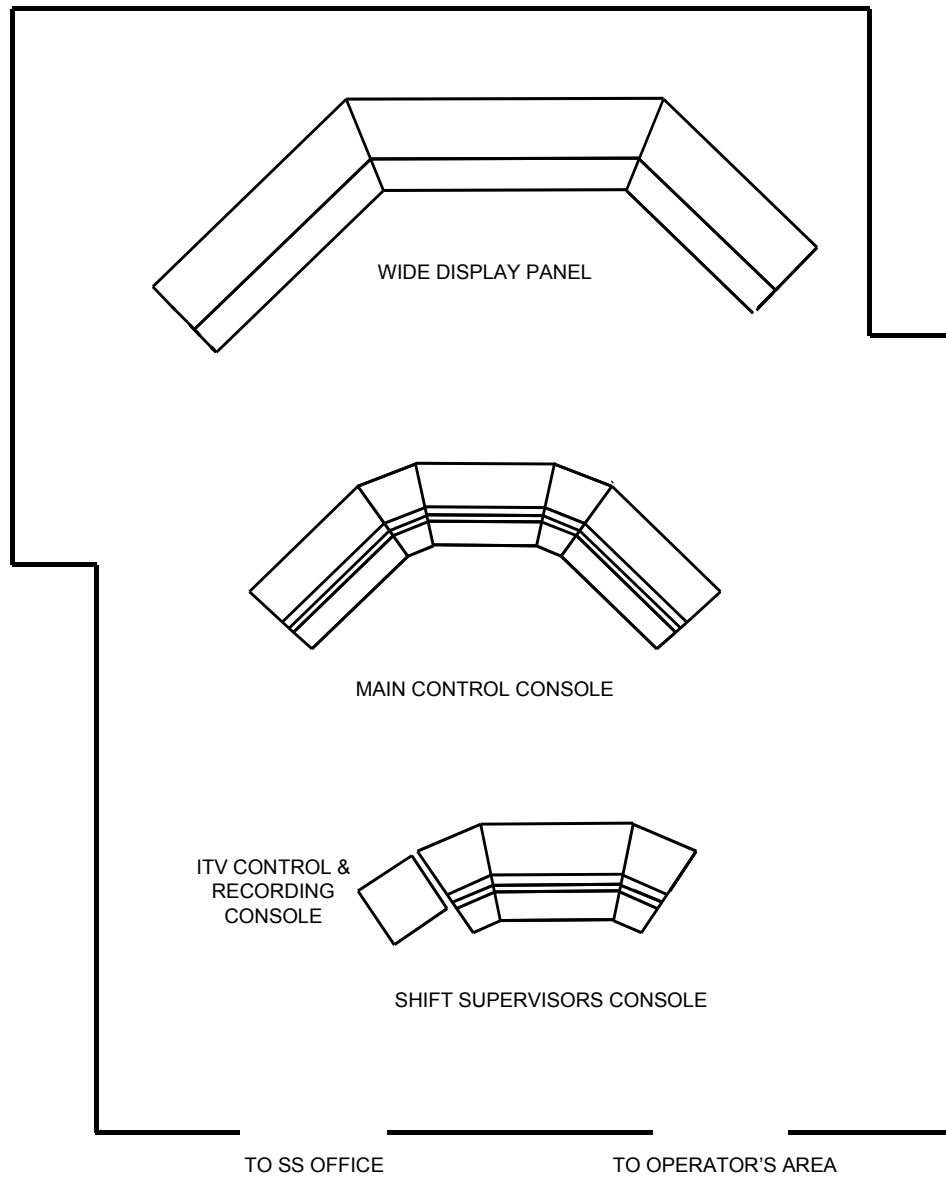
The conceptual large display panel is approximately 3.2 meters high (10 ft. 6 in.) and 10.5 (34 ft. 5 in.) meters wide. In conformance with the standard design feature discussed in Subsection 18.4.2.7, it has three major components; the fixed-position (mimic) display, the top-level alarm display and the large VDU. There are also fixed-position alarm tiles positioned in the top portion of the fixed-mimic display. All displays on the large display panel are positioned to be viewed by the operators from a sitting position behind the main control console as shown in Figure 18D-5.

The fixed-position displays occupy the central portion of the large display panel and are discussed in Subsection 18.4.2.8. The fixed-position displays are driven by controllers that are independent of the NE-DCIS so that the fixed-position displays will continue to function normally in the event of NE-DCIS failure. The plant-level alarm display panel is at the left of the fixed-position displays as you face the large display panel. To the right of the fixed-position displays on the panel is the large VDU.

#### **18D.4 SYSTEMS INTEGRATION**

A characterization of the plant instrumentation and control systems architecture which supports the control room operator interface is illustrated in Figure 18D-6. As shown in Figure 18D-6, display and control capability for both safety-related and nonsafety-related systems are driven by microprocessors that are independent of the redundant NE-DCIS . This assures the ability to safely shut down the plant in the unlikely event of computer failure. In the case of the safety systems, the microprocessors are divisionally dedicated and are each electrically isolated from the rest of the system.

The plant-wide, fiber-optic essential distributed control and instrumentation system (E-DCIS) provides the communications network for the system. This multiplexing system is actually a series of data acquisition and control networks; separate networks being provided for safety-related and nonsafety-related plant systems.



**Figure 18D-1. Control Room Arrangement**



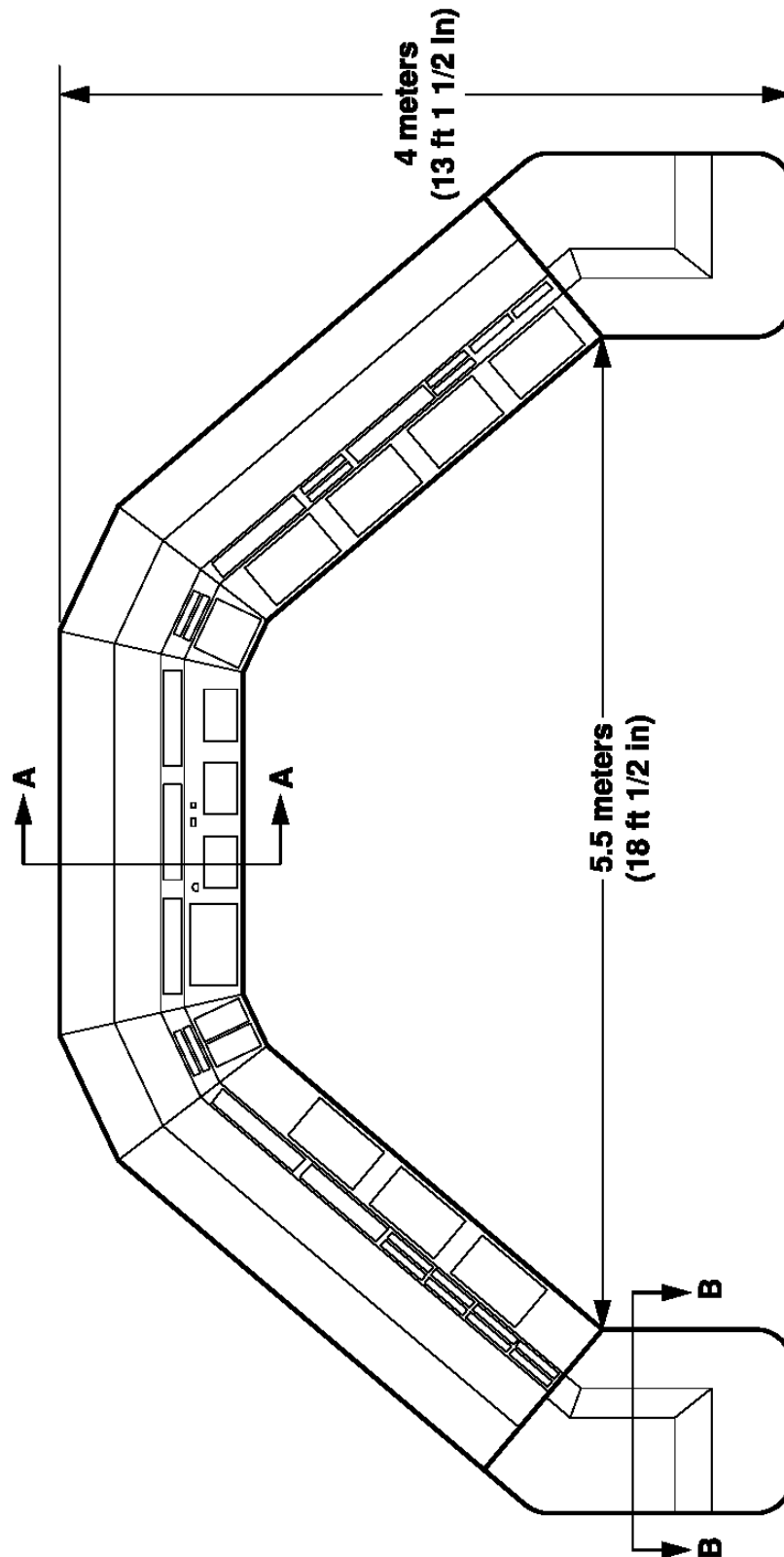


Figure 18D-2. Main Control Console Configuration

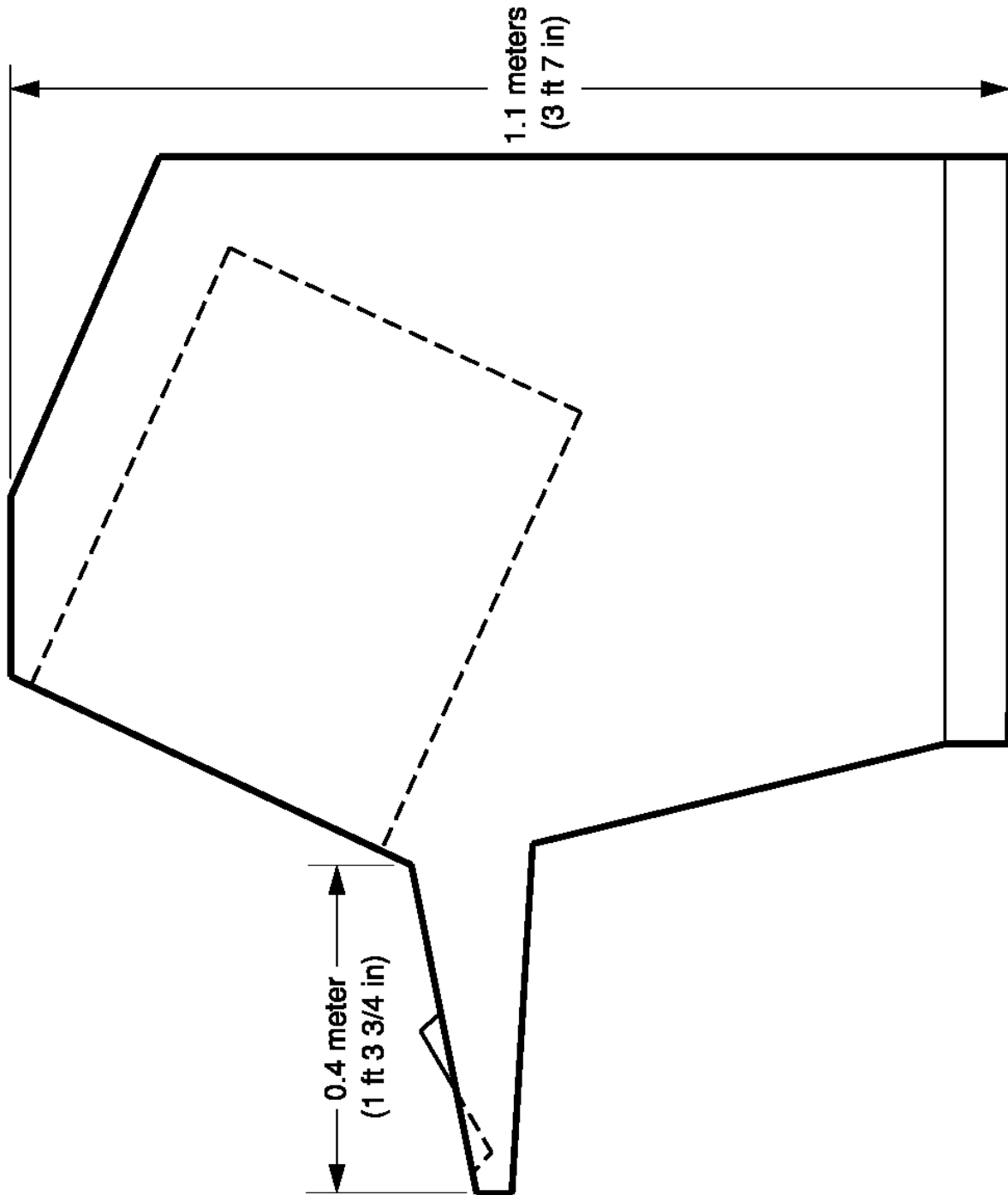


Figure 18D-3. Main Control Console Cross-Section A-A

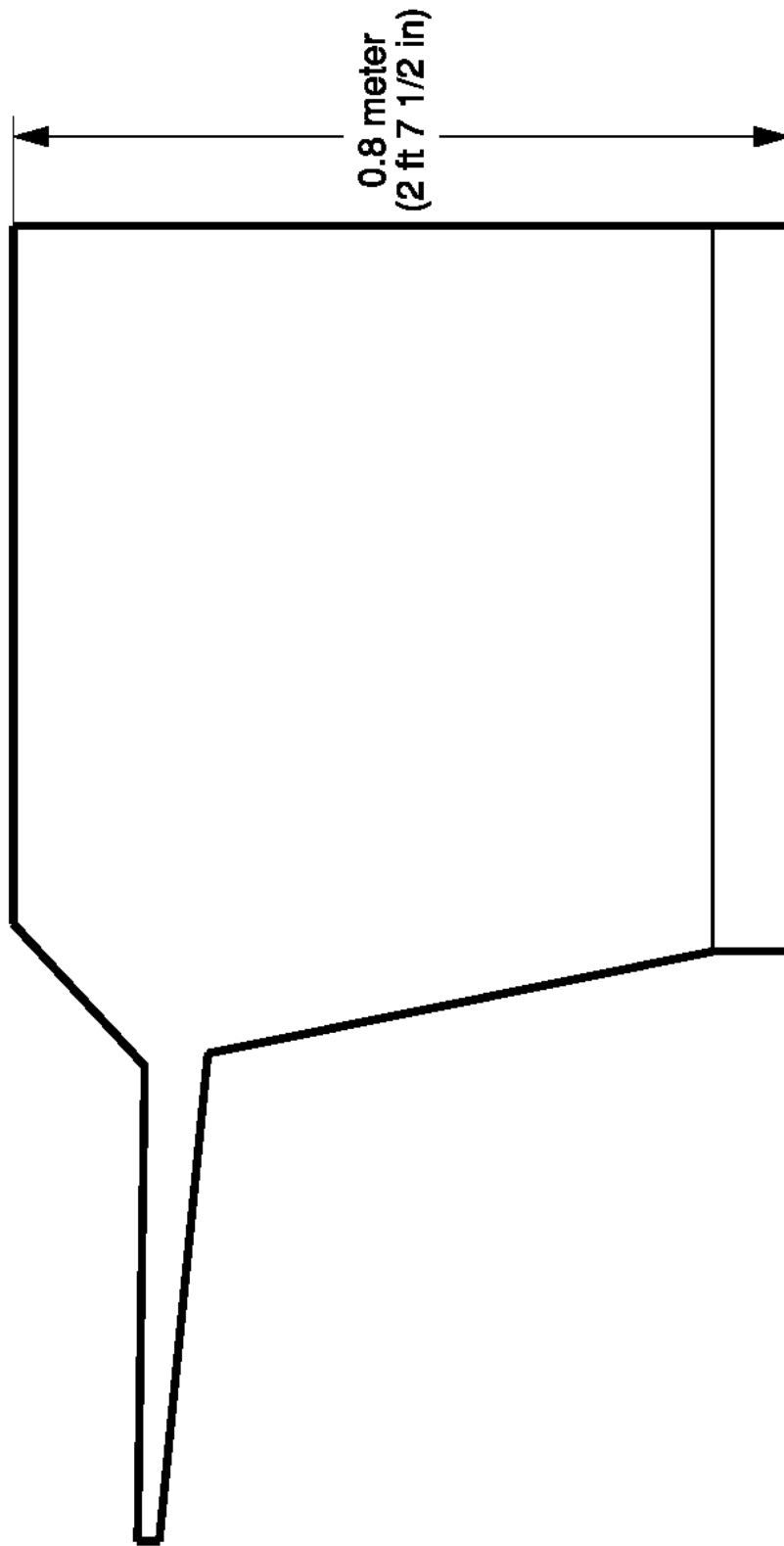
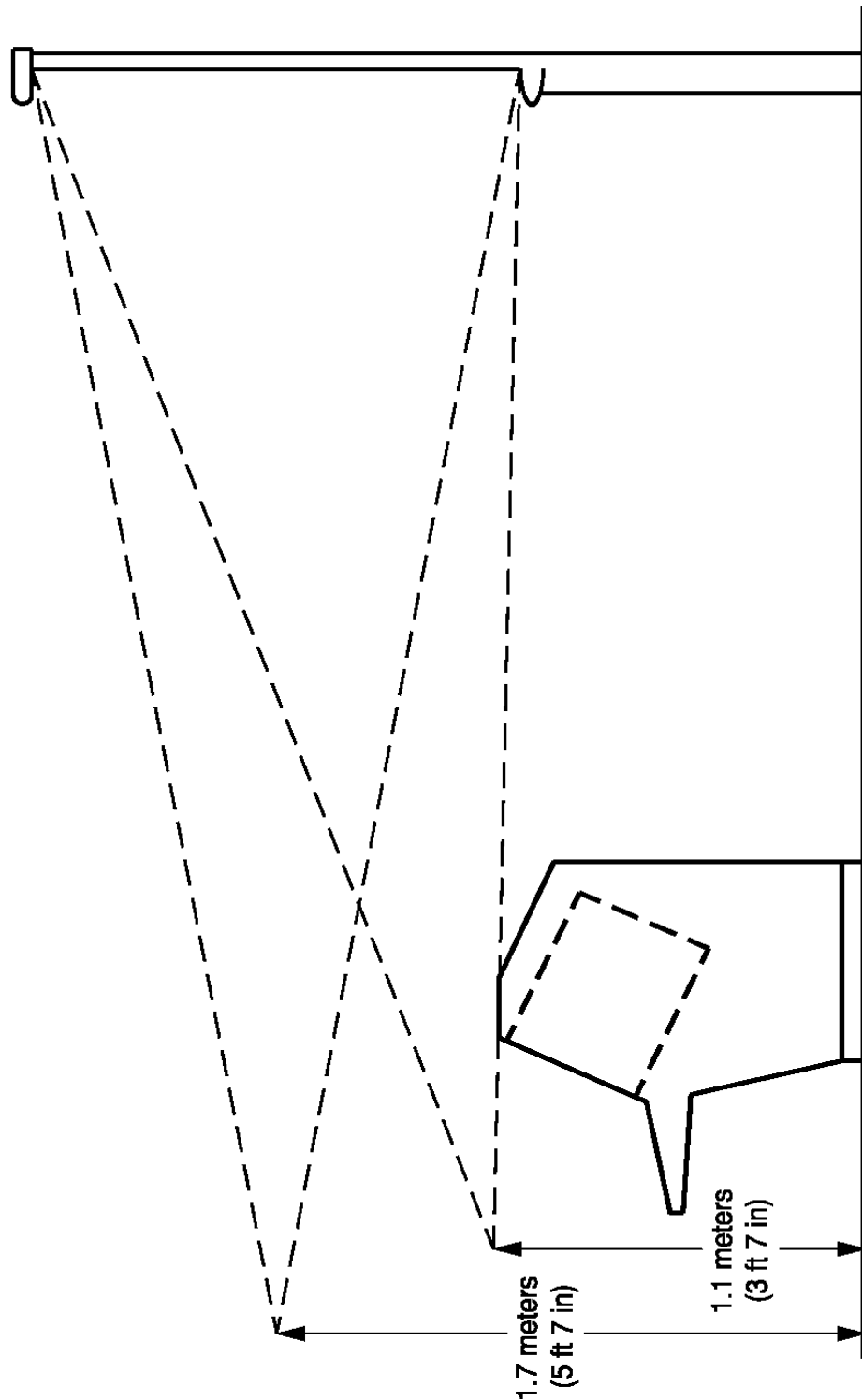


Figure 18D-4. Main Control Console Cross-Section B-B



**Figure 18D-5. Side View of Relative Positions of Main Console and Wide Display Device**

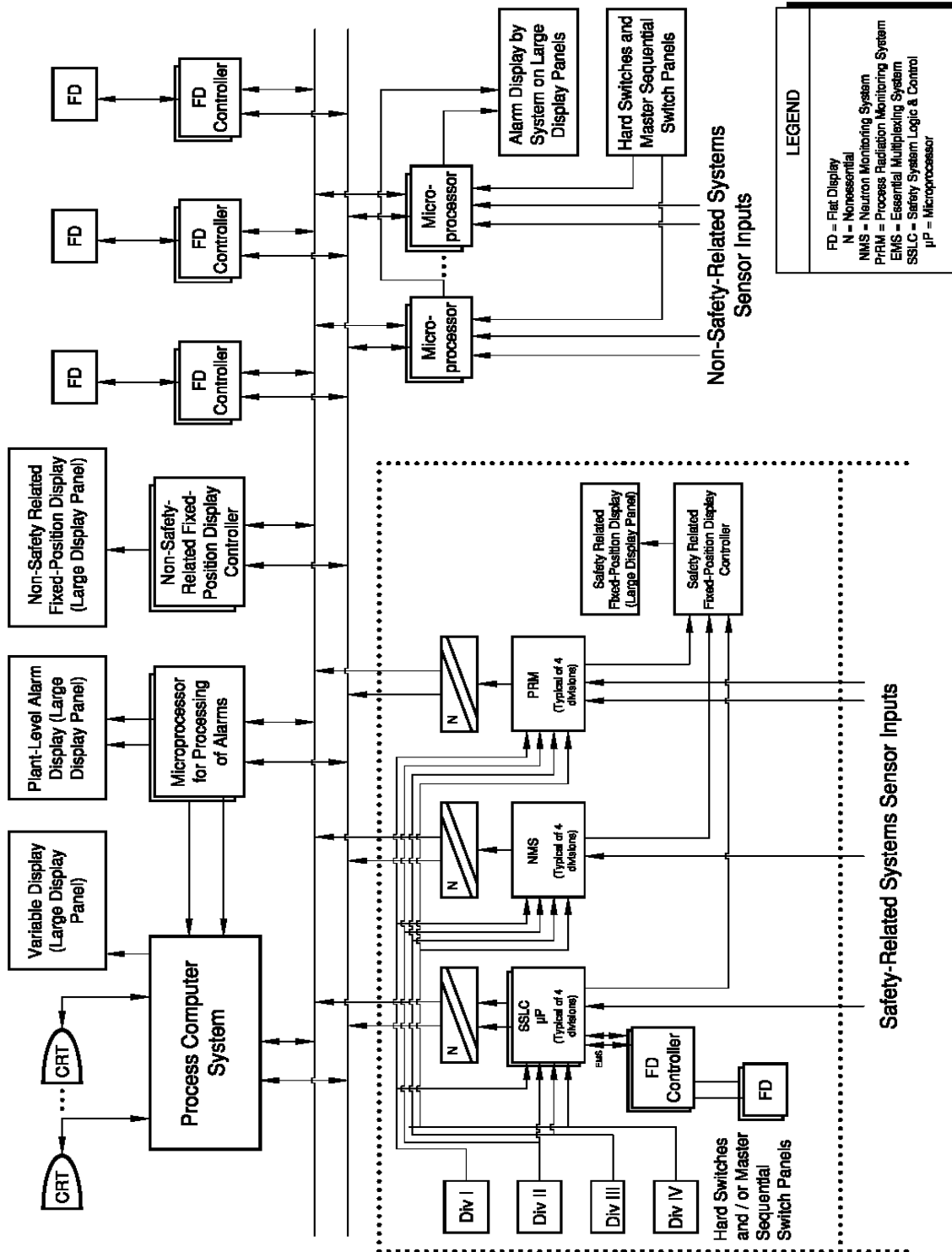


Figure 18D-6. Overall Configuration of Operator Interface System

## **18E. ESBWR HUMAN-SYSTEM INTERFACE DESIGN IMPLEMENTATION PROCESS**

This appendix is now replaced with the GEEN Report NEDO-33217 provided under separate cover.

**18F.****18F. EMERGENCY OPERATION INFORMATION AND CONTROLS**

This appendix is COL applicant scope. It will contain the results of an analysis of information and control needs of the main control room operators. The analysis will be developed as a COL item. Please refer to Section 18.8. The analysis will be based upon the operation strategies given in the ESBWR Emergency Procedure Guidelines (EPGs) as presented in Appendix 18A and the significant operator actions determined by the Probabilistic Risk Assessment (PRA) described in Chapter 19. The minimum inventory of controls, displays and alarms from this analysis will be presented herein. The information and controls identified from this analysis do not necessarily include those from other design requirements (such as those from Subsection 18.4.2.11, SPDS). The results of this evaluation will be placed in the HFE issue Tracking System. Supporting information will be provided in Appendix 18H.

## **18G. DESIGN DEVELOPMENT AND VALIDATION TESTING**

### **18G.1.1 Introduction**

As part of the ABWR design development, a five-year program was undertaken for the purpose of “studying the application of man-machine technologies to enhance the efficiency of operation control of nuclear power plants”. During the course of this program, a variety of tests, studies and evaluations were performed in a number of areas of control room equipment design. These studies and evaluations culminated in the fabrication and testing of prototype control room human-system interface (HSI) equipment designs at two separate facilities. The results of this development program form the basis for the ESBWR control room HSI design.

The purpose of this report is to provide summary descriptions of the studies, evaluations and validation testing performed during the joint study program. The studies, evaluations and testing, other than the prototype validation testing, are described in Subsection 18G.2 and the validation testing of the control room equipment prototypes is discussed in Subsection 18G.3.

### **18G.1.2 Design Development**

### **18G.1.3 General**

The program research described in this section is discussed under the following subtitles:

- (1) Standard control room design features
- (2) Allocation of functions
- (3) Operator work load
- (4) Other Areas of Interest

### **18G.1.4 Standard Control Room Design Features**

There are eighteen standard design features for the ESBWR control room HSI which are listed as follows:

- (1) A single, integrated control console staffed by two operators; the console has a low profile such that the operators can see over the console from a seated position.
- (2) The use of plant process computer system-driven on-screen control video display units (VDUs) for safety system monitoring and non-safety system control and monitoring (E-DCIS and NE-DCIS).
- (3) The use of a separate set of on-screen control VDUs for safety system control and monitoring and separate on-screen control VDUs for non-safety system control and monitoring; the operation of these two sets of VDUs is entirely independent of the process computer system. Further, the first set of VDUs and all equipment associated with their functions of safety system control and monitoring are divisionally separate and qualified to Class I-E standards.
- (4) The use of dedicated function switches on the control console.
- (5) Operator selectable automation of pre-defined plant operation sequences.



- (6) The incorporation of an operator selectable semi-automated mode of plant operations, which provides procedural guidance on the control console VDUs.
- (7) The capability to conduct these pre-defined plant operation sequences in manual mode.
- (8) The incorporation of a large display panel which presents information for use by the entire control room operating staff.
- (9) The inclusion on the large display panel of fixed-position displays of key plant parameters and major equipment status.
- (10) The inclusion in the fixed-position displays of both 1E-qualified and non-1E qualified display elements.
- (11) The independence of the fixed-position displays from the plant process computer.
- (12) The inclusion within the large display panel of a large video display unit which is driven by the plant process computer system.
- (13) The incorporation of a “monitoring only” supervisor’s console which includes VDUs on which display formats available to the operators on the main control console are also available to the supervisor.
- (14) The incorporation of the safety parameter display system (SPDS) function as part of the plant status summary information, which is continuously, displayed on the fixed-position displays a portion of the large display panel.
- (15) The use of fixed-position alarm tiles on the large display panel.
- (16) The application of alarm processing logic to prioritize alarm indications and to filter unnecessary alarms.
- (17) A spatial arrangement between the large display panel, the main control console and the shift supervisors’ console which allows the control room operating crew to view the information presented on the large display panel from the seated position at their respective consoles.
- (18) The use of VDUs to provide alarm information in addition to the alarm information provided via the fixed-position alarm tiles on the large display panel.

Specific studies and evaluations done in support of these standard design features, prior to the validation testing of the two prototype designs, are discussed in the following paragraphs of this subsection.

#### ***18G.1.4.1 Control Console***

The results of operating crew task analyses were used to aid in control panel layout studies and support evaluations of the operating crew size. Studies of the feasibility of main control panel size reduction and consolidation of two or more panel functions into one panel were completed. Other studies were made of alternate arrangements of control and monitoring equipment on the console.

#### ***18G.1.4.2 Video Display Units (VDUs)***

Studies of trends in VDU uses in control rooms were made. Because VDUs have been used previously, not only in control rooms of other industrial processing plants but in nuclear plants as well, the issue of concern was not whether to use these devices but how to use them most efficiently. Studies of VDU control devices were completed and development testing of on-screen control devices was done.

Means of enhancing the reliability of certain types of VDUs (e.g., CRTs) were also studied. An evaluation was made of the merits of different types of computer input devices (i.e., light pens, trackballs, mice and touch-screens). Methods of optimization of VDU screen systems for aiding operator responses (a) during normal plant operation, (b) after discovery of an anomaly, (c) after a scram and (d) in the event of an accident were examined. Screen selection methods and methods of integrating the use of the console VDUs with that of the large display panel were also reviewed. As part of this study, operator decision-making processes during each of the above-mentioned plant conditions were analyzed.

#### ***18G.1.4.3 Plant Operations***

Automation of normal plant operations (e.g., startup, shutdown, power maneuvers) was evaluated for potential ABWR application to enhance operability and minimize the burden on the operating staff. Automation in ESBWR is more extensive based on ABWR experience. The extent of automated operations was carefully selected to ensure that the primary control of plant operations remains in the hands of the operators. The general approach, which was followed in selecting the operations to be automated, consisted of the following steps:

- (1) Task analyses were performed which defined the type and sequence of tasks that are required for accomplishing normal plant operations. These analyses were done assuming completely manual operations (i.e., no automation).
- (2) From these task analyses, assessments were made of the operator workload, the complexity of the operation, the degree of repetitiveness and tedium in the operation and the feasibility of automating the operation.
- (3) From these evaluations, it was concluded that, for a given plant system, many tasks are conducted for the purpose of changing the operational status of that system. For many of these normal system operations, sequence master control functions were defined. This approach was applied to both safety-related and nonsafety-related system operations. Dedicated sequence master control switches were incorporated into the main control console design for initiating these automation sequences. The sequence master control switches are located in the hard switch panels on the horizontal desk area of the main control panel.
- (4) Operational changes in safety systems that require only minimal operator action (e.g., changing the position of the reactor mode switch) were not automated. Safety system mode changes are performed on the ESBWR in a manner similar to conventional BWR operations.
- (5) For tedious or repetitive operations, special plant level automation functions were incorporated into the control room design. Examples of such automated functions include control rod operations during startup (e.g., rod selection and movement of control rods to

maintain the vessel heat up rate), changes in reactor power controller set points to accomplish daily load following maneuvers and changes in the reactor pressure set point during normal heat up and cool down of the reactor.

In accordance with the above studies, the semi-automatic mode was provided to give the operator(s) automatic guidance for accomplishing the desired normal changes in plant status. In the semi-automatic mode of operation, the plant computer provides no control actions. The operator must activate all necessary system and equipment controls for the semi-automatic sequence to proceed.

A manual mode of operation was also retained in the design. The manual operating mode is equivalent to the manual operation of conventional BWR designs and is available at the operator's discretion or when automatic operation is terminated due to abnormal plant conditions.

#### ***18G.1.4.4 Large Display Panel***

Studies of trends in control room information presentation methods (e.g., the Halden IPSO project as described in Report No. HWR-184) were completed. An analysis of questionnaire survey responses from the manufacturing sector of Japanese industry, including fossil fuels and other process plants, indicated that large displays or combinations of large displays and console-mounted VDUs are regarded as an effective means of providing information to operators in a broad spectrum of industrial plant environments.

#### ***18G.1.4.5 Independence of Fixed-Position Displays***

This feature was adopted as a result of evaluations of plant operations during periods of postulated equipment failures and studies of trends in fixed-position display designs throughout industry. The incorporation of the fixed-position displays provides redundancy in display modes and contributes to the ability to safely shut down the plant if the process computer system is lost.

#### ***18G.1.4.6 Large Video Display***

Studies of large screen utilization trends and of how large screens can best be integrated with VDUs in the control room were performed. The large screen study topics are summarized in Table 18G-1.

Traditionally, during planned outages and at other times, large blackboards have been used, on a temporary basis, in control rooms to display information regarding the status of important ongoing processes. As summarized in Table 18G-1, large screens can be utilized as substitutes for these blackboards. Also, large screens can be used as industrial television monitors (ITV) to make local checks during normal plant operation. In addition, large screens can be used to display CRT screen formats to the entire control room crew simultaneously.

The technology for large screens was also reviewed. Video projectors and displays using liquid crystal projection, luminous source, liquid crystal transmission, LED and CRT technology were compared from the standpoint of screen size, optimum viewing distance, resolution, brightness and update speed.

**18G.1.4.7 Alarms**

Studies of alarm system technologies, the uses of alarms in control rooms and alarm prioritization and suppression methods to reduce the information load on the operating crew in times of upsets were carried out. Improvements in methods of distributing alarm functions between fixed-position indicators and VDUs were examined. Evaluations of VDU alarm presentation methods and formats were also done.

**18G.1.4.8 Control Room Spatial Arrangement**

Control room functions and arrangements were studied. Evaluations were made of the free space requirements of the control room. Regulations and legislation affecting areas of control room design such as comfort, human factors engineering and control room habitability considerations were reviewed.

**18G.1.5 Allocation of Functions**

Studies of trends in automation of operator functions in nuclear power plants were done. In the early days of the industry, improvements in the level of automation were related chiefly to maintenance of safety. Later, attention has come to be focused on the goal of reducing the burden on the operators. Accordingly, the task analyses discussed in Subsection 18G.2.2.3 "Plant Operations", were performed and allocation of functions were made as described in that subsection.

**18G.1.6 Operator Work Load**

Studies of operator workload were performed as part of the automation studies. The task analyses performed on both system and plant operations were used to develop time histories of operator workload for both normal and abnormal operations. As discussed previously, the operator workload information was an important part of the basis for decisions regarding automation.

**18G.1.7 Other Areas of Interest**

Other study areas, not directly contributing to the development of the standard design features discussed in Subsection 18G.2.2, were also pursued. These other study areas included the following:

- (1) Configuration of the HSI system:
  - (a) Relation of process computer to other components.
  - (b) Data highway (Network) design.
- (2) Functions and configurations of plant data management systems:
  - (a) Core management.
  - (b) Operation management.
  - (c) Maintenance management.
  - (d) Security controls.

- (e) Management of documentary information.
  - (f) Management of site operation records.
- (3) Operator assistance technologies:
  - (a) Diagnosis.
  - (b) Planning.
  - (c) Routine maintenance assistance.
- (4) Audio response systems:
  - (a) Speech recognition.
  - (b) Speech synthesis.

## 18G.2 VALIDATION TESTING

### 18G.2.1 General

During the summer of 1990, a systematic program of testing on two separate prototypes was performed to validate the key features of the ABWR main control room equipment design. Three teams of three licensed plant operators each were used for the tests. The prototypes were fabricated according to a conceptual design prepared during the development program discussed in Section 18G.2.

#### *18G.2.1.1 General Test Description*

##### **Test Teams:**

The test teams were composed of a utility's operations personnel from operating boiling water reactors. Each team consisted of three individuals; one senior operator, one operator and one shift supervisor. The test sequences were conducted with the two operators at the main control console and the supervisor located at a desk about 4.5m behind the operators.

##### **Data:**

Test data were collected in the form of (a) performance measures: these consisted of observations of operator actions and behavior during the tests and examination of video tapes taken during the tests, and (b) opinions, which took the form of post-test operator interviews and questionnaires filled out by the operators during the post-test debriefing sessions. The objective of the data collection methods was to obtain as complete a record as possible of the operator's interactions with the HSI model and each other as they reacted to the simulated plant conditions and of the operator's reactions to the equipment design.

##### **Equipment Configuration:**

The control room prototypes included the following key design features, which are correlated to the ESBWR standard design features listed in Subsection 18G.2.2:

- (1) A single, integrated control console staffed by two operators; the console has a low profile such that the operators can see over the console from a seated position [Standard Design Feature (1)].
- (2) The use of on-screen controls video display units (VDUs) for safety system monitoring and nonsafety-related system control and monitoring [Standard Design Feature (2)].
- (3) The use of a separate set of on-screen control VDUs for safety system control and monitoring [Standard Design Feature (3)].
- (4) The use of dedicated function hardware switches on the control console [Standard Design Feature (4)].
- (5) Operator-selectable automation of predefined plant operation sequences [Standard Design Feature (5)].
- (6) The incorporation of an operator-selectable semi-automated mode of plant operations, which provides procedural guidance on the control console VDUs [Standard Design Feature (6)].

- (7) The capability to conduct these pre-defined plant operation sequences in an operator manual mode [Standard Design Feature (7)].
- (8) The incorporation of a Large Display Panel, located behind the main control console, which presents information for use by the entire control room operating staff [Standard Design Feature (8)].
- (9) The inclusion on the Large Display Panel of key plant parameters and major equipment status displayed at fixed positions on the panel [Standard Design Feature (9)].
- (10) The inclusion on the Large Display Panel of critical plant parameters which are continuously displayed at fixed positions on the panel [Standard Design Features (10) and 14)].
- (11) The independence of the fixed-position displays from the process computer [Standard Design Feature (11)].
- (12) The inclusion on the Large Display Panel of a large video display unit to supplement the information presented on the fixed displays [Standard Design Feature (12)].
- (13) The use of fixed-position alarm tiles on the Large Display Panel [(Standard Design Feature (15)].
- (14) The application of alarm processing logic to prioritize alarm indications and to filter unnecessary alarms [Standard Design Feature (16)].
- (15) Spatial arrangement between the large display panels, the main control console and the supervisors' console that allow the entire control room crew to monitor the information presented on the large display panel [Standard Design Feature (17)].
- (16) The use of VDUs to provide low-level alarm indications and detailed information on the alarms, which are presented on fixed-position alarm, tiles [Standard Design Feature (18)].

Standard Design Feature (13) was not specifically incorporated in the prototype-testing program. The essential feature of the supervisors' console, which was modeled, was its lack of control capability.

#### **Test Items:**

The testing consisted of exercising a variety of plant operation scenarios, which were generated by a dynamic simulator. The operating crew would respond to the changing plant conditions during the scenarios by monitoring the information, which was available to them and manipulating the appropriate controls. The scenarios included in the test program are summarized in Table 18G-2. Video tapes were taken of the operators as the scenarios were being run.

#### **18G.2.1.2 Test Results**

The operator interviews and questionnaire data collected during debriefing sessions at both test facilities, plus the video tape evaluations, fully supported the key design features summarized previously in Section 18G.3.1.1. Specific, relevant results regarding those key features are summarized below.

- (1) Under normal, abnormal and accident conditions it is easy to comprehend the plant condition from the information presented on the Large Display Panel (LDP).
- (2) The LDP contributes much to improve overall monitor ability of the plant.
- (3) The fixed position displays and large alarm tiles on the LDP are very effective in promoting understanding of the conditions during accidents.
- (4) The large variable display on the LDP is watched more often during normal operation than during abnormal operation. However, in general, the large variable display is not watched as often as the fixed position displays.
- (5) Suggested formats for display on the large variable screen include trends, plant summary and Safety Parameter Display System (SPDS) information during abnormal/ accident events and alarms.
- (6) Work space and sitting posture at the Main Control Console are good, in general.
- (7) Work space on the tested Main Control Console arrangement would be crowded if more than two operators were required at the console.
- (8) The presentation of top-level, fixed position alarms on the LDP is very effective.
- (9) The display of individual alarms on the VDUs is good.
- (10) The size of the CRT screens was preferred over that of the flat panel devices. However, the touch screen operations on the flat panels were rated as better than on the CRTS.

The operator's comments focused on those design features that were new to them. Hence, the comments dealt with the LDP, alarm presentation scheme, main console configuration and operations with touch screens. There were little or no comments regarding the automated, semi-automated or manual operating modes or the hardware switches because of the particular operator's experience with such features.

The direct operator feedback and evaluation of the test sequence video tapes also provided much additional data which was relevant to the specific details of the equipment employed and the scope of the prototype control console dynamic simulation. Although these additional test results are not pertinent to the evaluation of the ESBWR key features described in Subsection 18G.2.2, they are being addressed and incorporated into the ongoing control room.



**Table 18G-1**  
**Large Screen Utilization Topics**

		<b>During Planned Outages</b>	<b>During Startup Shutdown</b>	<b>During Normal Operation</b>	<b>During Abnormalities/ Accidents</b>
<b>BLACK-BOARD</b>	SUB-SUBSTITUTES	<ul style="list-style-type: none"> <li>• Status of system isolation</li> <li>• Status of refueling</li> <li>• Status of incoming power</li> </ul>	<ul style="list-style-type: none"> <li>• Startup/ shutdown curves</li> <li>• Schedule tables</li> <li>• Load-following curves</li> </ul>	<ul style="list-style-type: none"> <li>• Status of equipment repair</li> </ul>	<ul style="list-style-type: none"> <li>• Weather / environment</li> <li>• Instrumentation during accidents</li> </ul>
<b>USED</b>	ASITV	<ul style="list-style-type: none"> <li>• Local Equipment status</li> <li>• MSIV room</li> <li>• Turbine operating floor, etc.</li> </ul>	<ul style="list-style-type: none"> <li>• Status of startup and shutdown auxiliaries</li> <li>• Drywell inspection status</li> </ul>	<ul style="list-style-type: none"> <li>• Radwaste control room, etc.</li> </ul>	<ul style="list-style-type: none"> <li>• Local equipment status</li> </ul>
<b>CON-CURRENTLY</b>	ASVDU	<ul style="list-style-type: none"> <li>• Plant summary</li> <li>• Ordinary alarms</li> <li>• Trend displays</li> </ul>	<ul style="list-style-type: none"> <li>• Plant summary</li> <li>• Ordinary alarms</li> <li>• Trend displays</li> <li>• Summary display of core status</li> </ul>	<ul style="list-style-type: none"> <li>• Plant summary</li> <li>• Ordinary alarms</li> <li>• Trend displays</li> <li>• Summary display of core status</li> </ul>	<ul style="list-style-type: none"> <li>• Emergency alarms</li> <li>• Plant summary</li> <li>• ECCS summary</li> <li>• Trend displays</li> </ul>

**Table 18G-2**  
**Test Scenarios and Evaluations**

<b>Test Case Classification</b>	<b>Test Scenarios</b>	<b>Key Items of Evaluation</b>
Normal Startup/Shut down and Surveillance	Reactor Critical  Reactor Temperature Increase Power Adjustment to Support Drywell Inspection Generator in Parallel Condensate/ Feedwater System Alignment Power Increase  Generator Trip/Turbine Trip	1) Transition to manual from automated operations. 2) Hardware switch operation capability. 3) Functions identified for implementation using hardware switches. 4) Adequacy and effectiveness of displayed information for monitoring. 5) Location of displayed information. 6) Adequacy and need for expansion of operator support function(s).
Equipment Trips	Reactor Feed Pump Trip  Condensate Pump Trip	Items 2) through 5), plus 7) Large display panel effectiveness. 8) Alarm system effectiveness.
Scrams and Accidents	MSIV Closure Loss of Preferred Power Loss-of-Coolant Accident	Items 2) through 5) and 7) through 8), plus 9) Appropriateness and effectiveness of level of automation after a scram.

Computer Failure	Loss of Automated Operating Mode Loss of all Process Computer supported VDUs	Items 1) through 5), plus 10) Operability by sub-loop automation.
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## **18H. SUPPORTING ANALYSIS FOR EMERGENCY OPERATION INFORMATION AND CONTROLS**

This appendix is COL applicant scope. It will discuss the supporting analysis of information and control needs of the main control room operators. The discussion is based upon the operation strategies given in the ESBWR Emergency Procedure Guidelines (EPGs) as presented in Appendix 18A and the significant operator actions determined by the Probabilistic Risk Assessment (PRA) described in Chapter 19. The minimum inventory of controls, displays and alarms from this analysis will be presented herein. EPG considerations will be included to address issues associated with ATWS stability changes.