

September 1, 2005

MEMORANDUM TO: Luis A. Reyes
Executive Director for Operations

FROM: Stephen D. Dingbaum/**RA**/
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS:
INDEPENDENT EVALUATION OF NRC'S
IMPLEMENTATION OF THE FEDERAL
INFORMATION SECURITY MANAGEMENT ACT
FOR FY 2003 (OIG-03-A-22)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION
SERVICES, MEMORANDUM DATED AUGUST 15,
2005

Attached are the Office of the Inspector General's analysis and status of recommendations 6 and 9, as discussed in the agency's responses dated August 15, 2005. Based on this response, recommendations 6 and 9 remain resolved. Please provide an updated status of the resolved recommendations by December 31, 2005.

If you have any questions or concerns, please call me at 415-5915.

Attachment: As stated

cc: W. Dean, OEDO
M. Malloy, OEDO
P. Tressler, OEDO

Document Location: G:\AUDIT\03-a-22 FISMA\Followup 03-A-22\Response082405.doc

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Audit Report

Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for FY 2003 (OIG-03-A-22)

Status of Recommendations

<u>Recommendation 6:</u>	Finalize the certification and accreditation for IPSS, DDMS, Electronic Information Exchange (EIE), and the Technology Assessment Center (TAC).
Response Dated August 15, 2005:	Security certification and accreditation for IPSS was finalized in early October 2003. A copy of the signed accreditation letter is stored in our document repository located in T6 D1. DDMS has been under development and has been impacted by new High Level Waste requirements. DDMS is currently operating under an Interim Authority To Operate (IATO) which will expire on April 3, 2006. The TAC is operating under an IATO that will expire on December 31, 2005. This coincides with the High Performance Computing System move to the Production Operations Environment, at which time the TAC will not be considered a General Support System, but will be designated as a Listed System for FISMA reporting. EIE has an IATO to operate which expired July 31, 2005. Based upon the results of the recent System Test and Evaluation (ST&E), where several security concerns were identified that require further mitigation, the EIE IATO will be extended through December 31, 2005.
OIG Analysis:	These actions address the issue discussed in the report. OIG will close the recommendation when OIG reviews copies of signed accreditation letters for IPSS, DDMS, EIE, and TAC.
Status:	Resolved.

Audit Report

Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for FY 2003 (OIG-03-A-22)

Status of Recommendations

<u>Recommendation 9:</u>	Complete contingency plan testing for IPSS, DDMS, and TAC.
Response Dated August 15, 2005:	As noted in a previous memo, all work has been completed for IPSS and TAC and copies of the reports are stored in the Information Technology security hard copy document repository located in T6 D1. ASLBP is currently developing a DDMS capability for the Las Vegas facility, and integrating both the Rockville, MD and the Las Vegas, NV facilities into an Enterprise Architecture. At the conclusion of this task, ASLBP will test the Enterprise DDMS Contingency Plan (scheduled for October 2005 through January 2006).
OIG Analysis:	These actions address the issue discussed in the report. OIG will close the recommendation when OIG receives documentation that contingency plan testing for IPSS, DDMS, and TAC has been completed.
Status:	Resolved.