

**From:** Dennis Lawyer  
**To:** baker.todd@epa.gov  
**Date:** Thu, Jul 7, 2005 10:59 AM  
**Subject:** Certificate of Financial Assurance

Mr. Baker,

I looked over the requirements for financial assurance and having a Certification of Financial Assurance or a Decommissioning Funding Plan is a requirement for all licensees. The Statement of Intent is the "mechanism" which provides basis for the Certification. As you noted, the Certification of Financial Assurance contains some of the same information that is in the Statement of Intent.

Please also note on Page A-149, section A.16 of NUREG-1757 Volume 3 under statement of intent, it states on the last line of the 1st paragraph that a statement of intent must include a certification of financial assurance or a site-specific decommissioning cost estimate.

The Certification of Financial Assurance does not have to follow the Sample on page A-23 of NUREG1757, Volume 3, but the guidance is contained in Appendix A, section A.2. However as you pointed out that finances do not need to be obtained at this time.

As requested, here is an excerpt paragraph from another Federal Licensee.

I also certify that I anticipate that this Agency will be able to obtain appropriations of financial resources in the amount of \$1,125,000 for the purpose of decommissioning as prescribed by 10 CFR Part 30. This amount cannot be prior allocated or set in reserved due to anti-deficiency restrictions on expenditure by Federal Entities.

I hope this helps,

Dennis Lawyer

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