



## **POLICY ISSUE** **(Information)**

July 27, 1993

SECY-93-209

**FOR:** The Commissioners

**FROM:** James M. Taylor  
Executive Director for Operations

**SUBJECT:** LSS PROGRAM ADMINISTRATION - SEMIANNUAL REPORT

**PURPOSE:**

To inform the Commission of the status of the Licensing Support System (LSS) and the activities of the LSS Administrator's (LSSA) staff for the six-month period ending June 30, 1993.

**BACKGROUND:**

Manual Chapter 0109 requires that status reports be sent to the Commission on a quarterly basis. The Commission's Staff Requirements Memorandum dated January 31, 1992, revised the report's frequency to semiannual. The scope of this report has been expanded to cover all LSS program activities.

**DISCUSSION:**

**Selection of a New LSS Administrator/OIRM Deputy Director**

Effective November 29, 1992, the Office of the LSS Administrator was reconstituted into a staff office reporting to the Office of Information Resources Management (IRM). A new Deputy Director/LSS Administrator position was established in IRM and a vacancy announcement for the position was issued. Interviews to fill this position are in progress and a selection is expected as soon as possible following the interviews.

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**NOTE:** TO BE MADE PUBLICLY AVAILABLE  
IN 10 WORKING DAYS FROM THE  
DATE OF THIS PAPER

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Commission Decision on New Approach for the Division of LSS Program and Budget Responsibilities

By a Staff Requirements Memorandum (SRM) dated June 4, 1993, the Commission approved, with clarifications, the staff's recommendation in SECY-93-107 *Licensing Support System Program and Budget Responsibilities*, dated April 26, 1993. In this paper, the staff recommended that the LSS rule be changed to task DOE with additional LSS responsibilities -- for the capture of all LSS documents and for the operation and maintenance of the LSS, taking maximum advantage of the DOE's efforts to develop their INFOSTREAMS program. The NRC LSS Administrator's role would be limited to oversight and quality assurance for the design and operation of the LSS services and for the completeness and integrity of the LSS database.

The Acting LSS Administrator is working with DOE to develop a schedule for implementing this new approach. The General Counsel and the Acting LSS Administrator are coordinating tasks related to changing the LSS Rule as necessary to accommodate the new approach and expanding the LSSA Compliance Assessment Program to include oversight of DOE's LSS operations. Attachment 1 is the schedule for activities for the next six-month period.

LSS Advisory Review Panel Meeting

As recommended in SECY-93-107, which is referenced above, members of the LSS Advisory Review Panel (LSSARP) must be involved in the implementation of this new approach to use DOE's INFOSTREAMS document management system. In a letter dated June 14, 1993, John Hoyle, the Chairman of the LSSARP, transmitted the SECY paper and the SRM to members of the LSSARP and proposed dates for a meeting. The meeting dates are being set (October 1993) and the agenda finalized. The LSS Administrator will prepare a briefing on the scope of the LSSA's Compliance Assessment Program to inform the members on the controls and oversight over participants' activities that are currently envisioned.

Compliance Assessment Program

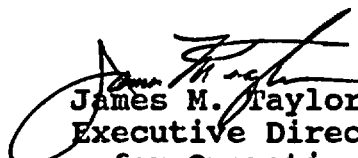
During this reporting period, we have continued working with our contractor, Labat-Anderson, Inc./Price Waterhouse, on development of an LSS Compliance Assessment Program (CAP) to assure the completeness and accuracy of the LSS document database. Attachment 2 is a list of CAP documents currently in development or envisioned. Given the new approach, the CAP program will have to be re-evaluated and expanded to encompass NRC's oversight of DOE's design and operation of the LSS capture and search

facilities. After obtaining input from the upcoming LSSARP meeting on the scope of the CAP and concurrently with the LSS rulemaking, the CAP program documents will be revised. This approach was proposed by the Acting LSS Administrator in a June 23, 1993 memorandum to me.

We will then proceed with the development of CAP materials, for review and comment by the LSSARP, detailing the commitments with which LSS participants must comply, level of compliance with these commitments that will be required, methods of assessing compliance, and determining when sanctions should be applied in cases of non-compliance. After review and comment by the LSSARP, a paper describing the revised CAP program will be sent to the Commission for approval.

#### LSS Topical Guidelines

In SECY-93-017, *Response to the Licensing Support System Advisory Review Panel's Comments on the Draft Regulatory Guide, "Topical Guidelines for the Licensing Support System"* dated January 29, 1993, the staff recommended that the additional topics of "Transportation" and "Environmental Information" be included in the body of the draft regulatory guide. This change was made in response to the LSSARP's comments on the previous draft. On April 14, 1993 the Commission approved the recommendation. The "For Comment" version of the draft regulatory guide is being prepared for publication. Upon publication, a Federal Register notice will announce its release and solicit public comment.

  
James M. Taylor  
Executive Director  
for Operations

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**LSS REVISED APPROACH**  
**INITIAL IMPLEMENTATION SCHEDULE**

	<u>SCHEDULE</u>	<u>OFFICES INVOLVED</u>
OBTAIN MORE INFORMATION ABOUT DOE'S INFOTREAMS DEVELOPMENT SCHEDULE	June - August '93	IRM, SECY & DOE
REVISE NRC COMPLIANCE ASSESSMENT PROGRAM (CAP)	July '93	IRM
ESTIMATE NRC RESOURCE REQUIREMENTS FOR FY 94 - FY 95	June '93	IRM, OC
DETERMINE AGENDA FOR LSSARP MEETING	August '93	IRM, OGC, SECY + LSSARP Members
DEVELOP AND DISTRIBUTE MEETING MATERIALS TO LSSARP MEMBERS	September '93	
▶ Strawman on Rule Changes & other Controls		OGC, IRM, NMSS
▶ Description of LSSA's CAP		IRM, EDO
▶ LSS Development Schedule		DOE
▶ Other		
LSSARP MEETING	October '93	IRM, OGC, SECY, NMSS & LSSARP members
COMMISSION PAPER ON RESULTS OF LSSARP MEETING	December '93	IRM, OGC

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The schedule for subsequent activities will be determined after the October LSSARP meeting. Such activities include revising NRC resource requirements, negotiating an MOU with DOE, and finalizing the LSS Rule changes and the LSSA's Compliance Program approach.

July 14, 1993

ATTACHMENT 2

## LSSA COMPLIANCE ASSESSMENT PROGRAM DOCUMENTS

	STATUS
The LSS Rule, 10 CFR 2, Subpart J. "Procedures Applicable to Proceedings for the Issuance of Licenses for the Receipt of High-level Radioactive Waste at a Geologic Repository."	Rulemaking Pending
LSS Participant Commitments	*
Compliance Assessment Program (CAP) - Responsibilities for Program Development and Compliance Evaluation	**
Analysis of Alternative Compliance Assessment Methods and Proposed Recommended Method	In Draft
Planning Factors Related to LSSA QA Facility	*
Functional Requirements for the LSSA Quality Assurance/Archiving Facility	*
Concept of Operations - Process Flow Charts for the LSSA Quality Assurance/Archiving Facility	*
Quality Assurance Sampling Methodology	Final
Quality Assurance Facility General Assessment Procedures	Final
LSSA Audit Plan	to be written
LSSA QA & Audit Program Cost Model	*
Compliance Program Plan Questions	under development
LSSA Guidance on the Format and Content of LSS Participant's Compliance Program Plans	to be written
LSSA QA Manual for Review of LSS Participant's Submissions	to be written

\* = Expansion and revisions to current documents are required due to new approach being developed.

\*\* = Revision required given the reconstitution of the LSS Administrator staff under EDO/IRM.