

January 14, 2004

MEMORANDUM TO: Serita Sanders, Lead  
Effectiveness Review Team

FROM: Bruce A. Boger, Director **/RA/**  
Division of Inspection Program Management  
Office of Nuclear Reactor Regulation

SUBJECT: CHARTER FOR AN EFFECTIVENESS REVIEW OF PAST LESSONS-  
LEARNED

The purpose of this memorandum is to direct a team to conduct a limited effectiveness review of the actions taken in response to four lessons-learned reports. In addition, for those corrective actions that were not effectively implemented, the team should identify the root and contributing causes and recommend actions to prevent recurrence. This effort addresses the Davis-Besse Lessons Learned Task Force Appendix F recommendation to determine the cause of recurring programmatic and performance problems identified by previous lessons-learned reviews.

Prior to writing the final report, the team should brief the Executive Team and Leadership Team (ET/LT) on the results such that an expanded review scope could be undertaken, if deemed necessary.

Attachments: 1. Effectiveness Review Charter  
2. Appendix A

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## **EFFECTIVENESS REVIEW CHARTER**

### **Objective**

The objective of this team is to conduct a limited effectiveness review of the actions taken in response to four lessons-learned reports.

### **Scope**

The Davis-Besse Lessons Learned Task Force (DBLLTF) identified several areas in which previous assessments had uncovered performance or programmatic issues that were similar to the ones identified during the DBLLTF's review. This team will review the same reports reviewed by the DBLLTF for those findings that were similar to the Davis-Besse findings. The corrective actions for these findings will be evaluated for effectiveness. The team will then determine the root cause or causes of any recurring performance or programmatic issues and make recommendations for resolution.

Those action items that are identified as similar to the ones recognized by the DBLLTF will be verified for effectiveness. The team will also examine the Office of Nuclear Reactor Regulation's (NRR's) and the regions current systems for tracking high-priority items to closure.

### **Schedule**

Received ET approval	9/03	
Form team	11/03	
Document review	11-12/03	
Assess regional implementation	12/03	
Assess effectiveness	12/1-15/03	
Perform limited root cause analysis	12/15-30/03	
Present to ET	1/04	
Write report	2/04	(provided ET does not extend review period)
Issue report	3/04	

### **Staffing**

The team will consist of the following members:

Serita Sanders, DIPM/NRR, Team Leader  
Eva Brown, DLPM/NRR  
Carl Konsman, PMAS/NRR  
TBD (NRR)  
Yamir Diaz, DE/NRR (Intern)  
Kelly Grimes, DRIP/NRR (Administrative Support)

### **Senior Management Interface**

In January, upon the completion of the 8-week review period, the Leadership Team (LT) and Executive Team (ET) will be briefed on the results. The ET, with a recommendation for the LT, will decide if the findings and recommendations are sufficient or if additional resources should be allocated to expand the scope of the review.

## **APPENDIX A**

### **List of Lessons-Learned Reports Being Reviewed**

"Indian Point 2 Steam Generator Tube Failure Lessons-Learned Report," October 23, 2000

"Report of the Millstone Lessons-Learned Task Group, Part 1: Review and Findings,"  
September 13, 1996

SECY 97-036, "Millstone Lessons-Learned Report, Part 2: Policy Issues," February 12, 1997  
(Part 2 of this report includes the recommendations from Part 1)

"Task Force Report Concerning the Effectiveness of Implementation of the NRC's Inspection  
Program and Adequacy of the Licensee's Employee Concerns Program at the South Texas  
Project," March 31, 1995