

ARRANGEMENT

BETWEEN

THE UNITED STATES NUCLEAR REGULATORY COMMISSION (USNRC)

AND

THE INDONESIAN NUCLEAR ENERGY CONTROL BOARD (BAPETEN)

FOR THE EXCHANGE OF TECHNICAL INFORMATION

AND COOPERATION IN NUCLEAR SAFETY MATTERS

September 17, 2003

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(USNRC)
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(BAPETEN)

FOR THE EXCHANGE OF TECHNICAL INFORMATION
AND COOPERATION IN NUCLEAR SAFETY MATTERS**

The United States Nuclear Regulatory Commission (hereinafter called the USNRC) and the Indonesian Nuclear Energy Control Board (hereinafter called BAPETEN), the two together hereinafter referred to as "the Parties";

Having a mutual interest in a continuing exchange of information pertaining to regulatory matters and of standards required or recommended by their organizations for the regulation of safety and environmental impact of nuclear facilities;

Taking into account the Arrangement between the United States Nuclear Regulatory Commission and the Indonesian National Atomic Energy Commission for the Exchange of Technical Information and Cooperation in Nuclear Safety Matters, which was originally signed by BAPETEN's predecessor agency BATAN on October 28, 1992;

Having already renewed such Arrangement with BAPETEN for the first time on September 23, 1998, and having now indicated their mutual desire to continue the established cooperation for an additional five years;

Referring to the Agreement Between the Government of the United States of America and the Government of the Republic of Indonesia for Cooperation in Scientific Research and Technological Development, signed on January 15, 1992; and

Pursuant to the prevailing laws and regulations in their respective countries;

Have agreed as follows:

I. SCOPE OF THE ARRANGEMENT

A. To the extent that the USNRC and BAPETEN are permitted to do so under the laws, regulations, and policy directives of their respective countries, the Parties will continue the exchange of the following types of unclassified technical information relating to the regulation of safety, safeguards, physical security, waste management, radiological safety, and environmental impact of designated nuclear energy facilities and to nuclear safety research programs:

- 1. Topical reports concerning technical safety, safeguards, physical security, waste management, radiological safety, and environmental effects written by or for one of the Parties as a basis for, or in support of, regulatory decisions and policies.**
- 2. Documents relating to significant licensing actions and safety and environmental decisions affecting nuclear facilities.**
- 3. Detailed documents describing the USNRC process for licensing and regulating certain U.S. facilities designated by BAPETEN as similar to**

certain facilities being built or planned in Indonesia and equivalent documents on such Indonesian facilities.

4. Reports on operating experience, such as reports on nuclear incidents, accidents and shutdowns, and compilations of historical reliability data on components and systems.
5. Regulatory procedures for the safety, safeguards, physical security, waste management, radiological safety, and environmental impact evaluation of nuclear facilities.
6. Early advice of important events, such as serious operating incidents, government-directed reactor shutdowns, and emerging technical issues, that are of immediate interest to the Parties.
7. Copies of regulatory standards required to be used, or proposed for use, by the regulatory organizations of the Parties.
8. Information in the field of nuclear safety research which the Parties have the right to disclose, either in the possession of one of the parties or available to it, including light water reactor safety information from the technical areas described in Addenda "A" and "B", attached hereto and made a part hereof. Cooperation in these itemized research areas may

require a separate agreement, if determined to be necessary by the research organizations of one or both of the Parties. Each Party will transmit immediately to the other information concerning safety research results that requires early attention in the interest of public safety, along with an indication of significant implications.

B. Within the limits of available resources and subject to the availability of appropriated funds, the USNRC will cooperate with BAPETEN in trying to provide certain training and experience for BAPETEN personnel. Costs of salary, allowances, and travel of BAPETEN participants will be paid by BAPETEN or by a third party such as the International Atomic Energy Agency (IAEA). The following are typical of the kinds of training and experience that may be provided:

1. BAPETEN inspector accompaniment of USNRC inspectors on operating reactor and reactor construction inspections in the U.S., including extended briefings at USNRC regional inspection offices.
2. Participation by BAPETEN employees in USNRC staff training courses at NRC Headquarters or at NRC's Technical Training Center in Chattanooga, Tennessee.

3. Assignment of BAPETEN employees for 6-24 month periods within the USNRC staff to work on USNRC staff duties and gain on-the-job experience.
 4. Possible training assignments within the radiation control programs of interested USNRC Agreement States.
 5. Possible short-term visits (3-5 days) of BAPETEN personnel to the U.S. to learn by observation and participation in selected safety activities.
- C. To the extent that the information, documents, and training opportunities provided by the USNRC as described in A. and B., above, are not adequate to meet BAPETEN's needs for technical advice, the Parties will consult on the best means for fulfilling such needs. The USNRC will attempt, within the limits of its own requirements and priorities, appropriated resources, and statutory authority, to assist BAPETEN in meeting these needs. For example, within these limits, the USNRC will attempt to meet requests that come through the IAEA for U.S. safety experts to participate in short-term technical assistance missions to Indonesia. These might involve giving lectures and providing advice in such areas as strengthening infrastructure (e.g., rulemaking, licensing, inspection, enforcement, emergency preparedness, and physical protection of radioactive materials), developing human resources, and problem solving. Collaborative safety projects may also be considered on a case-by-case basis.

- D. It is understood by both Parties that the safety cooperation described above is being undertaken primarily because Indonesia is considering a commitment to nuclear power generation. The details of this cooperation (extent, timing, participants, etc.) will be subject to re-evaluation as Indonesia reaches decisions in this area and as NRC considers its ability to provide expertise.

II. ADMINISTRATION

- A. The exchange of information under this Arrangement will be accomplished through letters, reports, and other documents, and by visits and meetings arranged in advance. A meeting will be held at such times as mutually agreed to review the exchange of information, to recommend revisions to the provisions of the Arrangement, and to discuss topics within the scope of the exchange. The time, place, and agenda for such meetings will be agreed upon in advance. Visits which take place under the Arrangement, including their schedules, will have the prior approval of the administrators referred to in paragraph B.
- B. An administrator will be designated by each Party to coordinate its participation in the overall exchange. The administrators will be the recipients of all documents transmitted under the exchange, including copies of all letters unless otherwise agreed. Within the terms of the exchange, the administrators will be responsible for developing the scope of the exchange, including agreement on the designation of the nuclear energy facilities subject to the exchange, and on

specific documents and standards to be exchanged. One or more technical coordinators may be appointed as direct contacts for specific disciplinary areas. These technical coordinators will assure that both administrators receive copies of all transmittals. These detailed arrangements are intended to assure, among other things, that a reasonably balanced exchange providing access to equivalent available information from both sides is achieved and maintained.

- C. The application or use of any information exchanged or transferred between the Parties under this Arrangement will be the responsibility of the receiving Party, and the transmitting Party does not warrant the suitability of such information for any particular use or application.
- D. Recognizing that some information of the type covered in this Arrangement is not available within the agencies which are Parties to this Arrangement, but is available from other agencies of the governments of the Parties, each Party will assist the other to the maximum extent possible by organizing visits and directing inquiries concerning such information to appropriate agencies of the government concerned. The foregoing shall not constitute a commitment of other agencies to furnish such information or to receive such visitors.
- E. Nothing contained in this Arrangement will require either Party to take any action which would be inconsistent with the laws, regulations, and policy directives applicable to that Party. Should any conflict arise between the terms of this Arrangement and those laws, regulations, and policy directives, the Parties

agree to consult with a view to resolving any such conflict. No nuclear information related to proliferation-sensitive technologies will be exchanged under this Arrangement.

- F. Unless otherwise agreed, all costs resulting from cooperation pursuant to this Arrangement will be the responsibility of the Party that incurs them. The Parties' obligations under this Arrangement are subject to the availability of appropriated funds and to laws and regulations applicable to the Parties.

III. EXCHANGE AND USE OF INFORMATION

A. General

The Parties support the widest possible dissemination of information provided or exchanged under this Arrangement, subject both to the need to protect proprietary or other confidential or privileged information as may be exchanged hereunder, and to the provisions of the Intellectual Property Addendum, which is an integral part of this Arrangement.

B. Definitions

The term "information" means unclassified nuclear energy-related regulatory, safety, safeguards, physical security, waste management, radiological safety,

scientific, or technical data, including information on results or methods of confirmatory assessment, research, and any other knowledge intended to be provided or exchanged under this Arrangement.

The term "proprietary information" means information made available under this Arrangement which contains trade secrets or other privileged or confidential commercial information (such that the person having the information may derive an economic benefit from it or may have a competitive advantage over those who do not have it), and may only include information which:

1. has been held in confidence by its owner;
2. is of a type which is customarily held in confidence by its owner;
3. has not been transmitted by the owner to other entities (including the receiving party) except on the basis that it be held in confidence;
4. is not otherwise available to the receiving Party from another source without restriction on its further dissemination; and
5. is not already in the possession of the receiving Party.

The term "other confidential or privileged information" means information, other than "proprietary information," which is protected from public disclosure under the laws and regulations of the country of the Party providing the information and which has been transmitted and received in confidence.

C. Marking Procedures for Documentary Proprietary Information

A Party receiving documentary proprietary information pursuant to this Arrangement shall respect the privileged nature thereof, provided such proprietary information is clearly marked with the following (or substantially similar) restrictive legend:

This document contains proprietary information furnished in confidence under an Arrangement dated September 17, 2003, between the United States Nuclear Regulatory Commission and the Indonesian Nuclear Energy Control Board and will not be disseminated outside these organizations, their consultants and contractors, and concerned departments and agencies of the Government of the United States and the Government of Indonesia without the prior approval of (name of transmitting Party). This notice will be marked on any reproduction hereof, in whole or in part. These limitations will automatically terminate when this information is disclosed by the owner without restriction.

This restrictive legend will be respected by the receiving Party and proprietary information bearing this legend will not be used for commercial purposes, made public, or disseminated in any manner unspecified by or contrary to the terms of this Arrangement without the consent of the transmitting Party.

D. Dissemination of Documentary Proprietary Information

1. In general, proprietary information received under this Arrangement may be freely disseminated by the receiving Party without prior consent to persons within or employed by the receiving Party, and to concerned Government departments and Government agencies in the country of the receiving Party.

2. In addition, proprietary information may be disseminated without prior consent

- (a) to contractors or consultants of the receiving Party located within the geographical limits of that Party's nation, for use only within the scope of work of their contracts with the receiving Party in work relating to the subject matter of the proprietary information;
- (b) to organizations permitted or licensed by the receiving Party to construct or operate nuclear production or utilization facilities, or to use nuclear materials and radiation sources, provided that such proprietary information is used only within the terms of the permit or license; and
- (c) to contractors of organizations identified in (a) and (b), above, for use only in work within the scope of the permit or license granted to such organizations,

Provided that any dissemination of proprietary information under (a), (b), and (c), above, will be on an as-needed, case-by-case basis, will be pursuant to an agreement of confidentiality, and will be marked with a restrictive legend substantially similar to that appearing in III.C., above.

3. With the prior written consent of the Party furnishing proprietary information under this Arrangement, the receiving Party may disseminate such proprietary information more widely than otherwise permitted in subsections 1. and 2. The Parties will cooperate in developing procedures for requesting and obtaining

approval for such wider dissemination, and each Party will grant such approval to the extent permitted by its national policies, regulations, and laws.

E. Marking Procedures for Other Confidential or Privileged Information of a Documentary Nature

A Party receiving under this Arrangement other confidential or privileged information will respect its confidential nature, provided such information is clearly marked so as to indicate its confidential or privileged nature and is accompanied by a statement indicating

1. that the information is protected from public disclosure by the Government of the transmitting Party; and
2. that the information is transmitted under the condition that it be maintained in confidence.

F. Dissemination of Other Confidential or Privileged Information of a Documentary Nature

Other confidential or privileged information may be disseminated in the same manner as that set forth in paragraph III.D., Dissemination of Documentary Proprietary Information.

G. Non-Documentary Proprietary or Other Confidential or Privileged Information

Non-documentary proprietary or other confidential or privileged information provided in seminars and other meetings organized under this Arrangement, or information arising from the attachments of staff, use of facilities, or joint projects, will be treated by the Parties according to the principles specified for documentary information in this Arrangement; provided, however, that the Party communicating such proprietary or other confidential or privileged information has placed the recipient on notice as to the character of the information communicated.

H. Consultation

If, for any reason, one of the Parties becomes aware that it will be, or may reasonably be expected to become, unable to meet the nondissemination provisions of this Arrangement, it will immediately inform the other Party. The Parties will thereafter consult to define an appropriate course of action.

I. Amendment

This Arrangement may be revised or amended at any time by mutual written consent by the Parties. Such revisions or amendments shall enter into force on

such date as may be determined by the Parties and shall form an integral part of this Arrangement.

J. Settlement of Disputes

Any dispute between the Parties concerning the interpretation and/or implementation of this Arrangement will be settled amicably through consultations or negotiations between the Parties.

K. Other

Nothing contained in this Arrangement will preclude a Party from using or disseminating information received without restriction by a Party from sources outside of this Arrangement.

V. FINAL PROVISIONS

- A. This Arrangement will enter into force on the date of its signing. It will be valid for a period of five (5) years. It may be extended for a further period of time by written agreement of the Parties.
- B. Either Party may terminate this Arrangement by giving written notification one hundred eighty (180) days prior to its intended date of termination.

- C. All information protected by provisions of this Arrangement as Proprietary or other confidential or privileged information will remain so protected for the duration of this Arrangement, and after this Arrangement is expired or terminated, unless otherwise agreed by the Parties in writing.

IN WITNESS WHEREOF, the undersigned have signed this Arrangement.

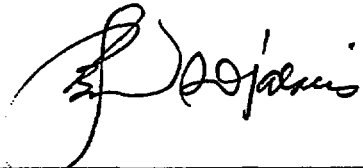
DONE in duplicate at Vienna on the 17th day of September 2003, in the English language, both texts being equally authentic.

FOR THE UNITED STATES NUCLEAR
REGULATORY COMMISSION:



Nils J. Diaz, Chairman

FOR THE INDONESIAN NUCLEAR
ENERGY CONTROL BOARD:



Azhar Djaloels, Chairman

ADDENDUM "A"

Areas in Which the U.S.N.R.C. Is Performing Nuclear Safety Research

1. Reactor Vessel and Piping Integrity
2. Aging of Reactor Components
3. Reactor Equipment Qualification
4. Thermal Hydraulic Code Applications and Maintenance
5. Plant Performance
6. Human Performance
7. Core Melt and Reactor Coolant System Failure
8. Reactor Containment Safety
9. Containment Structural Integrity
10. Seismic Safety
11. Probabilistic Risk Assessment
12. Severe Accident Analysis
13. Radiation Protection and Health Effects
14. Radionuclide Transport and Waste Management
15. Nuclear Fuel Analysis
16. Dry Cask Storage and Transport
17. Decommissioning
18. Advanced Reactor Designs

ADDENDUM "B"

Areas in Which BAPETEN Is Performing Nuclear Safety Research/Assessment

1. Human Reliability Analysis for G. A. Siwabessy (Material Test Reactor) Performance
2. TRIGA 2000 (Research Reactor) Seismic Safety Assessment
3. Probabilistic Safety Assessments
4. Radiation Protection and Health Effects
5. Safety Standards for Siting of the Indonesian Nuclear Power Plant
6. In-Core/Irradiation Safety Assessment
7. Nuclear Fuel (MTR Type) Safety Analysis
8. Reactor Component Aging and Failure-Rate Data

INTELLECTUAL PROPERTY ADDENDUM

Pursuant to Article III. of this Arrangement:

The Parties shall ensure adequate and effective protection of intellectual property created or furnished under this Arrangement and relevant implementing arrangements in accordance with their national laws and regulations. The Parties agree to notify one another in a timely fashion of any inventions or copyrighted works arising under this Arrangement and to seek protection for such intellectual property in a timely fashion. Rights to such intellectual property shall be allocated as provided in this Addendum.

I. SCOPE

1. This Addendum is applicable to all cooperative activities undertaken pursuant to this Arrangement, except as otherwise specifically agreed by the Parties or their designees.
2. For purposes of this Arrangement, "intellectual property" shall have the meaning found in Article 2 of the Convention Establishing the World Intellectual Property Organization, done at Stockholm, July 14, 1967; viz., "intellectual property" shall include the rights relating to:
 - literary, artistic and scientific works,
 - performances of artists, phonograms, and broadcasts,
 - inventions in all fields of human endeavor,
 - scientific discoveries,
 - industrial designs,
 - trademarks, service marks, and commercial names and designations,
 - protection against unfair competition,

and all other rights resulting from intellectual activity in the industrial, scientific, literary, or artistic fields."

3. This Addendum addresses the allocation of rights, interests, and royalties between the Parties. Each Party shall ensure that the other Party can obtain rights to intellectual property allocated in accordance with the Addendum by obtaining those rights from its own participants through contracts or other legal means, if necessary. This Addendum does not otherwise alter or prejudice the allocation between a Party and its nationals, which shall be determined by that Party's laws and practices.
4. Disputes concerning intellectual property arising under this Arrangement should be resolved through discussions between the concerned participating institutions or, if necessary, the Parties or their designees. Upon mutual agreement of the

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Parties, a dispute shall be submitted to an arbitral body as agreed by the Parties. Unless the Parties or their designees agree otherwise in writing, the arbitration rules of the United Nations Commission on International Trade Law (UNCITRAL) shall govern.

5. Termination or expiration of this Arrangement shall not affect rights or obligations under this Addendum.

II. ALLOCATION OF RIGHTS

1. Each Party shall be entitled to a non-exclusive, irrevocable, royalty-free license in all countries to translate, reproduce, and publicly distribute scientific and technical journal articles, reports, and books directly arising from cooperation under this Arrangement. All publicly distributed copies of copyrighted work prepared under this provision shall indicate the names of the authors of the work unless an author explicitly declines to be named.
2. Rights to all forms of intellectual property, other than those rights described in Section II.1., above. shall be allocated as follows:
 - a. Visiting researchers, for example, scientists visiting primarily in furtherance of their education, shall receive intellectual property rights under the policies of the host institution. In addition, each visiting researcher named as an inventor shall be entitled to share in a portion of any royalties earned by the host institution from the licensing of such intellectual property.
 - b.
 - (1) For intellectual property created during joint research, for example, when the Parties, participating institutions, or participating personnel have agreed in advance on the scope of work, each Party shall be entitled to obtain all rights and interests in its own country. The Party in whose country the invention was made shall have first option to acquire all rights and interests in third countries. If research is not designated as "joint research," rights to intellectual property arising from the research will be allocated in accordance with paragraph II.2.a., above. In addition, each person named as an inventor shall be entitled to share in a portion of any royalties earned by either institution from the licensing of the property.
 - (2) Notwithstanding paragraph II.2.b.(1), above, if a type of intellectual property is available under the laws of one Party but not the other Party, the Party whose laws provide for this type of protection shall be entitled to all rights and interests worldwide. Persons named as inventors of the property shall nonetheless be entitled to royalties as provided in paragraph II.2.b.(1), above.

ARRANGEMENT

BETWEEN

THE UNITED STATES NUCLEAR REGULATORY COMMISSION

(U.S.N.R.C.)

AND

THE NETHERLANDS MINISTRY OF HOUSING, SPATIAL PLANNING AND ENVIRONMENT

(VROM)

FOR THE EXCHANGE OF TECHNICAL INFORMATION

AND COOPERATION IN REGULATORY AND SAFETY RESEARCH MATTERS

September 17, 2003

ARRANGEMENT
BETWEEN
THE UNITED STATES NUCLEAR REGULATORY COMMISSION
(U.S.N.R.C.)
AND
THE NETHERLANDS MINISTRY OF HOUSING, SPATIAL PLANNING AND ENVIRONMENT
(VROM)
FOR THE EXCHANGE OF TECHNICAL INFORMATION
AND COOPERATION IN REGULATORY AND SAFETY RESEARCH MATTERS

The United States Nuclear Regulatory Commission (hereinafter called the U.S.N.R.C.)
and The Netherlands Ministry of Housing, Spatial Planning and Environment (hereinafter called
VROM);

Having a mutual interest in a continuing exchange of information pertaining to regulatory
matters and of standards required or recommended by their organizations for the regulation of
safety and environmental impact of nuclear facilities;

Acknowledging the Agreement for Cooperation in the Peaceful Uses of Nuclear Energy
Between the European Atomic Energy Community and the United States of America as it
entered into force on the 12th day of April 1996;

Having similarly cooperated under the terms of a five-year Arrangement for the
exchange of technical information and cooperation in safety research, signed on
October 3, 1977;

Having already renewed such cooperation for five-year periods on September 15, 1982,
September 23, 1987, September 25, 1992, and October 2, 1997;

Having indicated their mutual desire to continue the cooperation for another five years;

Have agreed as follows:

I. SCOPE OF THE ARRANGEMENT

A. Designation of Responsibilities

As regards VROM, this Arrangement only concerns the nuclear regulatory activities under the Minister's jurisdiction.

B. Technical Information Exchange

To the extent that the U.S.N.R.C. and VROM are permitted to do so under the laws, regulations, and policy directives of their respective countries, the parties will exchange the following types of technical information relating to the regulation of safety, waste management, and environmental impact of designated nuclear energy facilities and to nuclear safety research programs.

1. Topical reports concerning technical safety, waste management, and environmental effects written by or for one of the parties as a basis for, or in support of, regulatory decisions and policies.
2. Documents relating to significant licensing actions and safety and environmental decisions affecting nuclear facilities.
3. Detailed descriptive documents on the U.S.N.R.C. process for licensing and regulating certain U.S. facilities designated by VROM as similar to

certain facilities being built or planned in The Netherlands and equivalent documents on such Dutch facilities.

4. Reports on operating experience, such as reports on nuclear incidents, accidents, and shutdowns, and compilations of historical reliability data on components and systems.
5. Regulatory procedures for the safety, waste management, and environmental impact evaluation of nuclear facilities.
6. Early advice of important events, such as serious operating incidents and government-directed reactor shutdowns, that are of immediate interest to the parties.
7. Copies of regulatory standards required to be used, or proposed for use, by the regulatory organizations of the parties.
8. Information in the field of nuclear safety research which the parties have the right to disclose, either in the possession of one of the parties or available to it. Cooperation in research areas may require a separate agreement, if determined to be necessary by the research organizations of one or both of the parties. Each party will transmit immediately to the other information concerning safety research results that requires early

attention in the interest of public safety, along with an indication of significant implications.

C. Cooperation in Safety Research

The execution of joint programs and projects, or those programs and projects under which activities are divided between the two parties, including the use of test facilities and/or computer programs owned by either party, will be agreed upon on a case-by-case basis and may be the subject of a separate agreement, as determined to be necessary by one or both of the parties. Other cooperation will be accomplished by an exchange of letters between the parties, subject at least to the terms and conditions of the present agreement. Technical areas specified by such exchanges of letters may be subsequently modified by mutual consent.

D. Personnel Exchanges

Temporary assignments of personnel by one party in the other party's agency will be considered on a case-by-case basis and will be the subject of separate agreements.

II. ADMINISTRATION

- A. The exchange of information under this Arrangement will be accomplished through letters, reports, and other documents, and by visits and meetings arranged in advance. A meeting will be held annually, or at such other times as mutually agreed, to review the exchange of information, to recommend revisions to the provisions of the Arrangement, and to discuss topics within the scope of the exchange. The time, place, and agenda for such meetings will be agreed upon in advance. Visits which take place under this Arrangement, including their schedules, will have the prior approval of the administrators referred to in paragraph II.B.
- B. An administrator will be designated by each party to coordinate its participation in the overall exchange. The administrators will be the recipients of all documents transmitted under the exchange, including copies of all letters unless otherwise agreed. Within the terms of the exchange, the administrators will be responsible for developing the scope of the exchange, including agreement on the designation of the nuclear energy facilities subject to the exchange, and on specific documents and standards to be exchanged. One or more technical coordinators may be appointed as direct contacts for specific disciplinary areas. These technical coordinators will assure that both administrators receive copies of all transmittals. These detailed arrangements are intended to assure, among other things, that a reasonably balanced exchange providing access to equivalent available information from both sides is achieved and maintained.

- C. The administrators will determine the number of copies to be provided of the documents exchanged. Each document will be accompanied by an abstract in English, 250 words or less, describing its scope and content.
- D. The application or use of any information exchanged or transferred between the parties under this Arrangement will be the responsibility of the receiving party, and the transmitting party does not warrant the suitability of such information for any particular use or application.
- E. Recognizing that some information of the type covered in this Arrangement is not available within the agencies which are parties to this Arrangement, but is available from other agencies of the governments of the parties, each party will assist the other to the maximum extent possible by organizing visits and directing inquiries concerning such information to appropriate agencies of the government concerned. The foregoing shall not constitute a commitment of other agencies to furnish such information or to receive such visitors.
- F. Nothing contained in this Arrangement will require either party to take any action which would be inconsistent with its national laws, regulations, and policy directives. Should any conflict arise between the terms of this Arrangement and those laws, regulations, and policy directives, the parties agree to consult before any action is taken. No information related to proliferation-sensitive technologies will be exchanged under this Arrangement.

- G. Unless otherwise agreed in writing, all costs resulting from cooperation pursuant to this Arrangement will be the responsibility of the party that incurs them. The parties' obligations under this Arrangement are subject to the availability of appropriated funds and to the laws and regulations of such party.

III. EXCHANGE AND USE OF INFORMATION

A. General

The parties support the widest possible dissemination of information provided or exchanged under this Arrangement, subject both to the need to protect proprietary or other confidential or privileged information as may be exchanged hereunder, and to the provisions of the Intellectual Property Addendum, which is an integral part of this Arrangement.

B. Definitions

1. The term "information" means nuclear energy-related regulatory, safety, waste management, scientific, or technical data, including information on results or methods of confirmatory assessment, research, and any other knowledge intended to be provided or exchanged under this Arrangement.

2. The term "proprietary information" means information made available under this Arrangement which contains trade secrets or other privileged or confidential commercial information (such that the person having the information may derive an economic benefit from it or may have a competitive advantage over those who do not have it), and may only include information which:
 - a. has been held in confidence by its owner;
 - b. is of a type which is customarily held in confidence by its owner;
 - c. has not been transmitted by the owner to other entities (including the receiving party) except on the basis that it be held in confidence;
 - d. is not otherwise available to the receiving party from another source without restriction on its further dissemination; and
 - e. is not already in the possession of the receiving party.
3. The term "other confidential or privileged information" means information, other than "proprietary information," which is protected from public

disclosure under the laws and regulations of the country of the party providing the information and which has been transmitted and received in confidence.

C. Marking Procedures for Documentary Proprietary Information

A party receiving documentary proprietary information pursuant to this Arrangement will respect the privileged nature thereof, provided such proprietary information is clearly marked with the following (or substantially similar) restrictive legend:

This document contains proprietary information furnished in confidence under an Arrangement dated September 17, 2003, between the United States Nuclear Regulatory Commission and The Netherlands Ministry of Housing, Spatial Planning and Environment and will not be disseminated outside these organizations, their consultants and contractors, and concerned departments and agencies of the Government of the United States and the Government of The Netherlands without the prior approval of (name of transmitting party). This notice will be marked on any reproduction hereof, in whole or in part. These limitations will automatically terminate when this information is disclosed by the owner without restriction.

This restrictive legend will be respected by the receiving party and proprietary information bearing this legend will not be used for commercial purposes, made public, or disseminated in any manner unspecified by or contrary to the terms of this Arrangement without the consent of the transmitting party.

D. Dissemination of Documentary Proprietary Information

1. In general, proprietary information received under this Arrangement may be freely disseminated by the receiving party without prior consent to persons within or employed by the receiving party, and to concerned Government departments and Government agencies in the country of the receiving Party.
2. In addition, proprietary information may be disseminated without prior consent to domestic organizations permitted or licensed by the receiving party to construct or operate nuclear installations or to use nuclear materials and radiation sources, provided that such proprietary information is used only within the terms of the permit or license and provided that any dissemination of proprietary information this sub-section (III.D.2) will be on an as-needed, case-by-case basis, will be pursuant to an equally binding agreement of confidentiality, and will be marked with a restrictive legend substantially similar to that appearing in III.C., above.
3. With the prior written consent of the party furnishing proprietary or other confidential or privileged information under this Arrangement, the receiving party may disseminate such proprietary or other confidential or privileged information to consultants for use only within the terms of their consulting agreements and to contractors for use only within the terms of their contracts. It is the intent of the parties that every effort be made to

allow dissemination of information urgently needed in understanding and resolving reactor safety problems, under appropriate non-disclosure agreements, to persons who need such information in their work. Both parties will cooperate in assuring that such limited disclosure is permitted on a timely basis.

E. Marking Procedures for Other Confidential or Privileged Information of a Documentary Nature

A party receiving under this Arrangement other confidential or privileged information will respect its confidential nature, provided such information is clearly marked so as to indicate its confidential or privileged nature and is accompanied by a statement indicating

1. that the information is protected from public disclosure by the Government of the transmitting party; and
2. that the information is transmitted under the condition that it be maintained in confidence.

F. Dissemination of Other Confidential or Privileged Information of a Documentary Nature

Other confidential or privileged information may be disseminated in the same manner as that set forth in paragraph III.D., Dissemination of Documentary Proprietary Information.

G. Non-Documentary Proprietary or Other Confidential or Privileged Information

Non-documentary proprietary or other confidential or privileged information provided in seminars and other meetings organized under this Arrangement, or information arising from the attachments of staff, use of facilities, or joint projects, will be treated by the parties according to the principles specified for documentary information in this Arrangement; provided, however, that the party communicating such proprietary or other confidential or privileged information has placed the recipient on notice as to the character of the information communicated.

H. Consultation

If, for any reason, one of the parties becomes aware that it will be, or may reasonably be expected to become, unable to meet the non-dissemination provisions of this Arrangement, it will immediately inform the other party. The parties will thereafter consult to define an appropriate course of action.

I. Dispute Resolution

Any dispute or questions between the parties concerning the interpretation or application of this Arrangement will be settled by mutual agreement of the parties.

J. Other

Nothing contained in this Arrangement will preclude a party from using or disseminating information received without restriction by a party from sources outside of this Arrangement.

IV. FINAL PROVISIONS

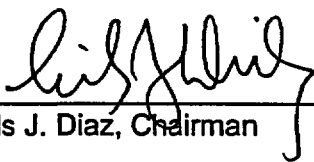
A. This Arrangement will enter into force upon signature and, subject to paragraph IV.B., will remain in force for a period of five years. It may be extended for a further period of time by written agreement of the parties.

B. Either party may terminate this Arrangement by providing the other party written notice at least 180 days prior to its intended date of termination.

- C. All information protected by provisions of this Arrangement as proprietary or other confidential or privileged information will remain so protected for the duration of this Arrangement and indefinitely after this Arrangement has expired or been terminated, unless otherwise agreed by the parties in writing.

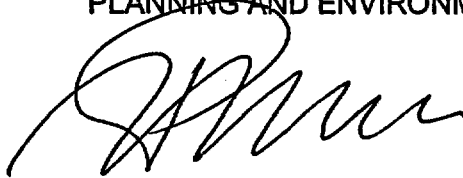
DONE at Vienna on this 17th day of September 2003.

FOR THE UNITED STATES
NUCLEAR REGULATORY COMMISSION:



Nils J. Diaz, Chairman

FOR THE NETHERLANDS
MINISTRY OF HOUSING, SPATIAL
PLANNING AND ENVIRONMENT:



Piet Müskens, Director
Nuclear Safety Department

INTELLECTUAL PROPERTY ADDENDUM

Pursuant to Section III of this Arrangement:

The Parties shall ensure adequate and effective protection of intellectual property created or furnished under this Arrangement and relevant implementing arrangements. The Parties agree to notify one another in a timely fashion of any inventions or copyrighted works arising under this Arrangement and to seek protection for such intellectual property in a timely fashion. Rights to such intellectual property shall be allocated as provided in this Addendum.

I. SCOPE

- A. This Addendum is applicable to all cooperative activities undertaken pursuant to this Arrangement, except as otherwise specifically agreed by the Parties or their designees.
- B. For purposes of this Arrangement, "intellectual property" shall have the meaning found in Article 2 of the Convention Establishing the World Intellectual Property Organization, done at Stockholm, July 14, 1967; viz., 'intellectual property' shall include the rights relating to:
 - literary, artistic and scientific works,
 - performances of artists, phonograms, and broadcasts,
 - inventions in all fields of human endeavor,
 - scientific discoveries,
 - industrial designs,
 - trademarks, service marks, and commercial names and designations,
 - protection against unfair competition,and all other rights resulting from intellectual activity in the industrial, scientific, literary, or artistic fields.
- C. This Addendum addresses the allocation of rights, interests, and royalties between the Parties. Each Party shall ensure that the other Party can obtain rights to intellectual property allocated in accordance with the Addendum by obtaining those rights from its own participants through contracts or other legal means, if necessary. This Addendum does not otherwise alter or prejudice the allocation between a Party and its nationals, which shall be determined by that Party's laws and practices.
- D. Disputes concerning intellectual property arising under this Arrangement should be resolved through discussions between the concerned participating institutions or, if necessary, the Parties or their designees. Upon mutual agreement of the Parties, a dispute shall be submitted to an arbitral tribunal for binding arbitration in accordance with the applicable rules of international law. Unless the Parties or their designees agree

otherwise in writing, the arbitration rules of the United Nations Commission on International Trade Law (UNCITRAL) shall govern.

- E. Termination or expiration of this Arrangement shall not affect rights or obligations under this Addendum.

II. ALLOCATION OF RIGHTS

- A. Each Party shall be entitled to a non-exclusive, irrevocable, royalty-free license in all countries to translate, reproduce, and publicly distribute scientific and technical journal articles, reports and books directly arising from cooperation under this Arrangement. All publicly distributed copies of copyrighted work prepared under this provision shall indicate the names of the authors of the work unless an author explicitly declines to be named.
- B. Rights to all forms of intellectual property, other than those rights described in Section II.A., above, shall be allocated as follows:
 - 1. Visiting researchers, for example, scientists visiting primarily in furtherance of their education, shall receive intellectual property rights under the policies of the host institution. In addition, each visiting researcher named as an inventor shall be entitled to share in a portion of any royalties earned by the host institution from the licensing of such intellectual property.
 - 2.(a) For intellectual property created during joint research, for example, when the Parties, participating institutions, or participating personnel have agreed in advance on the scope of work, each Party shall be entitled to obtain all rights and interests in its own country. The Party in whose country the invention was made shall have first option to acquire all rights and interests in third countries. If research is not designated as "joint research," rights to intellectual property arising from the research will be allocated in accordance with paragraph II.B.1., above. In addition, each person named as an inventor shall be entitled to share in a portion of any royalties earned by either institution from the licensing of the property.
 - 2.(b) Notwithstanding paragraph II.B.2.(a) above, if either Party believes that a particular project is likely to lead or has led to the creation of intellectual property not protected by the laws of the other Party, the Parties shall immediately hold discussions to determine the allocation of rights to the intellectual property. If an agreement cannot be reached within three months of the date of the initiation of the discussions, cooperation on the project in question shall be terminated at the request of either Party. Persons named as inventor of the property shall nonetheless be entitled to royalties as provided in paragraph II.B.2(a).

ARRANGEMENT

BETWEEN

THE UNITED STATES NUCLEAR REGULATORY COMMISSION

(U.S.N.R.C.)

AND

THE GREEK ATOMIC ENERGY COMMISSION

(G.A.E.C.)

FOR THE EXCHANGE OF TECHNICAL INFORMATION

AND COOPERATION IN NUCLEAR SAFETY MATTERS

September 16, 2003

ARRANGEMENT
BETWEEN
THE UNITED STATES NUCLEAR REGULATORY COMMISSION
(U.S.N.R.C.)
AND
THE GREEK ATOMIC ENERGY COMMISSION
(G.A.E.C.)
FOR THE EXCHANGE OF TECHNICAL INFORMATION
AND COOPERATION IN NUCLEAR SAFETY MATTERS

The United States Nuclear Regulatory Commission (hereinafter called the U.S.N.R.C.) and the Greek Atomic Energy Commission (hereinafter called the G.A.E.C.), the two together hereinafter referred to as the Parties;

Having a mutual interest in a continuing exchange of information pertaining to regulatory matters and of standards required or recommended by their organizations for the regulation of safety and environmental impact of nuclear facilities;

Having similarly cooperated under the terms of a five-year Arrangement for the Exchange of Technical Information and Cooperation in Nuclear Safety Matters, originally signed in Athens on October 18, 1978, such Arrangement including provision for its extension upon written agreement of the Parties;

Having three times renewed such Arrangement for five-year periods on October 17, 1983, April 7, 1993, and September 22, 1998, and having indicated their mutual desire to continue the cooperation so established for another five years;

Have agreed as follows:

I. SCOPE OF THE ARRANGEMENT

A. Technical Information Exchange

To the extent that the U.S.N.R.C. and the G.A.E.C. are permitted to do so under the laws, regulations, and policy directives of their respective countries, the Parties will continue the exchange of the following types of unclassified technical information relating to the regulation of safety, safeguards, physical security, waste management, radiological safety, and environmental impact of designated nuclear energy facilities and to nuclear safety research programs:

1. Topical reports concerning safety, safeguards, physical security, waste management, and environmental effects written by or for one of the Parties as a basis for, or in support of, regulatory decisions and policies.
2. Documents relating to significant licensing actions and safety and environmental decisions affecting nuclear facilities.
3. Detailed documents describing the U.S.N.R.C. process for licensing and regulating certain U.S. facilities designated by the G.A.E.C. as similar to certain facilities being built or planned in Greece and equivalent documents on such Greek facilities.
4. Information in the field of reactor safety regulation and research which the Parties have the right to disclose, either in the possession of one of the Parties or available to it, including light water reactor safety information from the technical areas described in Addenda "A" and "B", attached hereto and made a part hereof. Cooperation in these itemized research areas may require a separate agreement, as determined to be necessary

by the research organizations of one or both of the Parties.

Each Party will transmit immediately to the other information concerning research results that requires early attention in the interest of public safety, along with an indication of significant implications.

5. Reports on operating experience, such as reports on nuclear incidents, accidents and shutdowns, and compilations of historical reliability data on components and systems.
6. Regulatory procedures for the safety, safeguards, physical security, waste management, and environmental impact evaluation of nuclear facilities.
7. Early advice of important events, such as serious operating incidents, government-directed reactor shutdowns, and emerging technical issues, that are of immediate interest to the Parties.
8. Copies of regulatory standards required to be used, or proposed for use, by the Parties.
9. Each Party will be prepared to the best of its ability, upon specific request, to advise the other on particular questions relating to reactor safety.

B. Cooperation in Safety Research

The terms of cooperation for joint programs and projects of nuclear safety research and development, or those programs and projects under which activities are divided between the two Parties, including the use of test facilities and/or computer programs owned by either Party, will be considered on a case-by-case basis and may be the subject of a separate agreement, as determined to be necessary by the research organizations of one or both of the Parties. When not the subject of a separate agreement, the terms of cooperation may be

established by an exchange of letters between the Parties or their research organizations, subject at least to the terms and conditions of the present Arrangement. Technical areas specified by such exchanges of letters may be modified subsequently by mutual consent. Temporary assignments of personnel by one Party in the other Party's agency will also be considered on a case-by-case basis and will, in general, require a separate letter of agreement.

C. Training and Assignments

Within the limits of available resources and subject to the availability of appropriated funds, the U.S.N.R.C. will assist the G.A.E.C in providing certain training and experience for G.A.E.C. safety personnel. Costs of salary, allowances and travel of G.A.E.C. participants will be paid by the G.A.E.C. The following are typical of the categories of such training and experience that may be provided.

1. G.A.E.C. inspector accompaniment of U.S.N.R.C. inspectors on reactor operation and reactor construction inspection visits in the U.S., including extended briefings at U.S.N.R.C. regional inspection offices.
2. Participation by G.A.E.C. employees in U.S.N.R.C. staff training courses.
3. Assignment of G.A.E.C. experts for 6-24 month periods within the U.S.N.R.C. staff to work on U.S.N.R.C. staff duties and gain on-the-job experience.

D. Additional Safety Advice

To the extent that the documents and other information provided by the U.S.N.R.C. as described in SCOPE OF THE ARRANGEMENT, above, are not adequate to meet G.A.E.C. needs for technical advice, the Parties will consult on the best means for fulfilling such needs. The U.S.N.R.C. will attempt, within the limitations of appropriated resources and legislative authority, to assist the

G.A.E.C. in meeting its needs. So, for example, within these limitations, the U.S.N.R.C. will attempt to meet requests that come through the International Atomic Energy Agency (I.A.E.A.) for technical assistance missions to Greece by U.S.N.R.C. safety experts.

II. ADMINISTRATION

- A. The exchange of information under this Arrangement will be accomplished through letters, reports, and other documents, and by visits and meetings arranged in advance on a case-by-case basis. A meeting will be held annually, or at such other times as mutually agreed, to review the exchange of information and cooperation under this Arrangement, to recommend revisions to the Arrangement, and to discuss topics coming within the scope of the cooperation. The time, place, and agenda for such meetings will be agreed upon in advance. Visits which take place under the Arrangement, including their schedules, will have the prior approval of the two administrators referred to in Article II.b.

- B. An administrator will be designated by each Party to coordinate its participation in the overall exchange. The administrators will be the recipients of all documents transmitted under the exchange, including copies of all letters, unless otherwise agreed. Within the terms of the exchange, the administrators will be responsible for developing the scope of the exchange, including agreement on the designation of the nuclear energy facilities subject to the exchange, and on specific documents and standards to be exchanged. One or more technical coordinators may be appointed as direct contacts for specific disciplinary areas. These technical coordinators will assure that both administrators receive copies of all transmittals. These detailed arrangements are intended to assure, among other things, that a reasonably balanced exchange giving access to equivalent available information from both sides is achieved and maintained.

- C. The application or use of any information exchanged or transferred between the Parties under this Arrangement will be the responsibility of the receiving Party, and the transmitting Party does not warrant the suitability of such information for any particular use or application.
- D. Recognizing that some information of the type covered in this Arrangement is not available within the agencies which are Parties to this Arrangement, but is available from other agencies of the Governments of the Parties, each Party will assist the other to the maximum extent possible by organizing visits and directing inquiries concerning such information to appropriate agencies of its Government. The foregoing will not constitute a commitment of other agencies to furnish such information or to receive such visitors.
- E. Nothing contained in this Arrangement will require either Party to take any action which would be inconsistent with its existing laws, regulations, and policy directives. No nuclear information related to proliferation-sensitive technologies will be exchanged under this Arrangement. Should any conflict arise between the terms of this Arrangement and those laws, regulations, and policy directives, the Parties will consult before any action is taken.
- F. Cooperation under this Arrangement will be governed by the laws and regulations of the Parties' respective countries. Any dispute or questions between the Parties concerning the interpretation or application of this Arrangement arising during its term will be settled by mutual agreement of the Parties.
- G. Unless otherwise agreed, all costs resulting from cooperation pursuant to the Arrangement will be the responsibility of the Party that incurs them. The Parties' obligations under this Arrangement are subject to the availability of appropriated funds and to laws and regulations applicable to the Parties.

III. EXCHANGE AND USE OF INFORMATION

A. General

The Parties support the widest possible dissemination of information provided or exchanged under this Arrangement, subject both to the need to protect proprietary or other confidential or privileged information as may be exchanged hereunder, and to the provisions of the Intellectual Property Addendum, which is an integral part of this Arrangement.

B. Definitions

For the purposes of this Arrangement:

1. The term "information" means unclassified nuclear energy-related regulatory, safety, safeguards, physical security, waste management, scientific, or technical data, results or methods of research and development, and any other knowledge intended to be provided or exchanged under this Arrangement.
2. The term "proprietary information" means information made available under this Arrangement which contains trade secrets or other privileged or confidential information (such that the person having the information may derive an economic benefit from it or may have a competitive advantage over those who do not have it), and may only include information which:
 - (a) has been held in confidence by its owner;
 - (b) is of a type which is customarily held in confidence by its owner;
 - (c) has not been transmitted by the owner to other entities (including the receiving Party) except on the basis that it be held in confidence;

- (d) is not otherwise available to the receiving Party from another source without restrictions on its further dissemination; and
- (e) is not already in the possession of the receiving Party.

- 3. The term "other confidential or privileged information" means unclassified information, other than "proprietary information," which is protected from public disclosure under the laws and regulations of the country providing the information and which has been transmitted and received in confidence.

C. Marking Procedures for Documentary Proprietary Information

A Party receiving documentary proprietary information pursuant to this Arrangement will respect the privileged nature thereof, provided such proprietary information is clearly marked with the following (or substantially similar) restrictive legend:

This document contains proprietary information furnished in confidence under an Arrangement dated September 16, 2003, between the United States Nuclear Regulatory Commission and the Greek Atomic Energy Commission and will not be disseminated outside these organizations, their consultants, contractors, and licensees, and concerned departments and agencies of the Government of the United States and the Government of Greece without the prior approval of (name of the transmitting Party). This notice will be marked on any reproduction hereof, in whole or in part. These limitations will automatically terminate when this information is disclosed by the owner without restrictions.

This restrictive legend will be respected by the receiving Party and proprietary information bearing this legend will not be used for commercial purposes, made public, or disseminated in any manner unspecified by or contrary to the terms of this Arrangement without the consent of the transmitting Party.

D. Dissemination of Documentary Proprietary Information

- 1. In general, proprietary information received under this Arrangement may be freely disseminated by the receiving Party without prior consent to

persons within or employed by the receiving Party, and to concerned Government departments and Government agencies in the country of the receiving Party.

2. In addition, proprietary information may be disseminated without prior consent
 - (a) to contractors or consultants of the receiving Party located within the geographical limits of that Party's nation, for use only within the scope of work of their contracts with the receiving Party in work relating to the subject matter of the proprietary information;
 - (b) to domestic organizations permitted or licensed by the receiving Party to construct or operate nuclear production or utilization facilities, or to use nuclear materials and radiation sources, provided that such proprietary or other confidential or privileged information is used only within the terms of the permit or license; and
 - (c) to domestic contractors of organizations identified in (b), above, for use only in work within the scope of the permit or license granted to such organizations;

provided that any dissemination of proprietary information under 2. (a), (b), and (c), above, will be on an as-needed, case-by-case basis, will be pursuant to an agreement of confidentiality, and will be marked with a restrictive legend substantially similar to that appearing in C., above.

3. With the prior written consent of the Party furnishing proprietary information under this Arrangement, the receiving Party may disseminate such proprietary information more widely than otherwise permitted in subsections D.1. and D.2. above. The Parties will cooperate in developing procedures for requesting and obtaining approval for such wider dissemination, and each Party will grant such approval to the extent permitted by its national policies, regulations, and laws.

E. Marking Procedures for Other Confidential or Privileged Information of a Documentary Nature

A Party receiving under this Arrangement other confidential or privileged information will respect its confidential nature, provided such information is clearly marked so as to indicate its confidential or privileged nature and is accompanied by a statement indicating

1. that the information is protected from public disclosure by the Government of the transmitting Party, and
2. that the information is transmitted under the condition that it be maintained in confidence.

F. Dissemination of Other Confidential or Privileged Information of a Documentary Nature

Other confidential or privileged information may be disseminated in the same manner as that set forth in paragraph D., Dissemination of Documentary Proprietary Information.

G. Non-Documentary Proprietary or Other Confidential or Privileged Information

Non-documentary proprietary or other confidential or privileged information provided in seminars and other meetings organized under this Arrangement, or information arising from attachments of staff, use of facilities, or joint projects, will be treated by the Parties according to the principles specified for documentary information in this Arrangement; provided, however, that the Party communicating such proprietary or other confidential or privileged information has placed the recipient on notice as to the character of the information communicated.

H. Consultation

If, for any reason, one of the Parties becomes aware that it will be, or may reasonably be expected to become, unable to meet the non-dissemination provisions of this Arrangement, it will immediately inform the other Party. The Parties will thereafter consult to define an appropriate course of action.

I. Other

Nothing contained in this Arrangement will preclude a Party from using or disseminating information received without restriction by a Party from sources outside of this Arrangement.

IV FINAL PROVISIONS

- A. This Arrangement will enter into force upon signature and, subject to paragraph B. of this Article, will remain in force for a period of five (5) years. It may be extended for a further period of time by written agreement of the Parties.
- B. Either Party may terminate this Arrangement after providing the other Party written notice 180 days prior to its intended date of termination.
- C. All information protected by provisions of this Arrangement as proprietary or other confidential or privileged information will remain so protected for the duration of this Arrangement and after this Arrangement is expired or terminated, unless otherwise agreed by the Parties in writing.

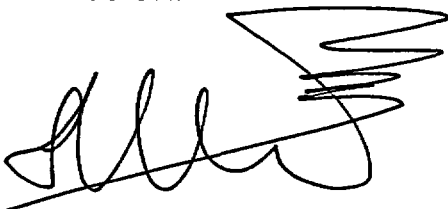
DONE at Vienna on this 16th day of September 2003, in two copies in the English language.

FOR THE UNITED STATES NUCLEAR
REGULATORY COMMISSION:



Nils J. Diaz, Chairman

FOR THE GREEK ATOMIC ENERGY
COMMISSION:



A. A. Katsanes, President
L. Camarino poulos

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Addendum "A"

U.S.N.R.C. - G.A.E.C. Safety Research Exchange

Areas In Which the U.S.N.R.C. Is Performing Safety Research

1. Reactor Vessel and Piping Integrity
2. Aging of Reactor Components
3. Reactor Equipment Qualification
4. Thermal Hydraulic Code Applications and Maintenance
5. Plant Performance
6. Human Performance
7. Core Melt and Reactor Coolant System Failure
8. Reactor Containment Safety
9. Containment Structural Integrity
10. Seismic Safety
11. Probabilistic Risk Assessment
12. Severe Accident Analysis
13. Radiation Protection and Health Effects
14. Radionuclide Transport and Waste Management
15. Nuclear Fuel Analysis
16. Dry Cask Storage and Transport
17. Decommissioning
18. Advanced Reactor Designs

Addendum "B"

U.S.N.R.C. - G.A.E.C. Safety Research Exchange
Areas In Which the G.A.E.C. Is Performing Safety Research

1. Swimming Pool Type Research Reactor Safety
2. Reliability and Risk Evaluation
3. Source Inventory, Pollution Dispersion Models and Management of Schemes
4. Radiological Consequences and Environmental Impact of Releases
5. Management of Emergency Situations
6. Environmental Monitoring and Off Site Monitoring of Releases
7. Safe Transport of Radioactive Materials
8. Waste Management of Spent Radiation Sources

INTELLECTUAL PROPERTY ADDENDUM

Pursuant to Article III. of this Arrangement:

The Parties shall ensure adequate and effective protection of intellectual property created or furnished under this Arrangement and relevant implementing arrangements. The Parties agree to notify one another in a timely fashion of any inventions or copyrighted works arising under this Arrangement and to seek protection for such intellectual property in a timely fashion. Rights to such intellectual property shall be allocated as provided in this Addendum.

I. SCOPE

1. This Addendum is applicable to all cooperative activities undertaken pursuant to this Arrangement, except as otherwise specifically agreed by the Parties or their designees.
2. For purposes of this Arrangement, "intellectual property" shall have the meaning found in Article 2 of the Convention Establishing the World Intellectual Property Organization, done at Stockholm, July 14, 1967; viz., 'intellectual property' shall include the rights relating to:
 - literary, artistic and scientific works,
 - performances of artists, phonograms, and broadcasts,
 - inventions in all fields of human endeavor,
 - scientific discoveries,
 - industrial designs,
 - trademarks, service marks, and commercial names and designations,
 - protection against unfair competition,

and all other rights resulting from intellectual activity in the industrial, scientific, literary, or artistic fields."

3. This Addendum addresses the allocation of rights, interests, and royalties between the Parties. Each Party shall ensure that the other Party can obtain rights to intellectual property allocated in accordance with the Addendum by obtaining those rights from its own participants through contracts or other legal means, if necessary. This Addendum does not otherwise alter or prejudice the allocation between a Party and its nationals, which shall be determined by that Party's laws and practices.
4. Disputes concerning intellectual property arising under this Arrangement should be resolved through discussions between the concerned participating institutions

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or, if necessary, the Parties or their designees. Upon mutual agreement of the Parties, a dispute shall be submitted to an arbitral tribunal for binding arbitration in accordance with the applicable rules of international law. Unless the Parties or their designees agree otherwise in writing, the arbitration rules of the United Nations Commission on International Trade Law (UNCITRAL) shall govern.

5. Termination or expiration of this Arrangement shall not affect rights or obligations under this Addendum.

II. ALLOCATION OF RIGHTS

1. Each party shall be entitled to a non-exclusive, irrevocable, royalty-free license in all countries to translate, reproduce, and publicly distribute scientific and technical journal articles, reports, and books directly arising from cooperation under this Arrangement. All publicly distributed copies of copyrighted work prepared under this provision shall indicate the names of the authors of the work unless an author explicitly declines to be named.
2. Rights to all forms of intellectual property, other than those rights described in Section II.1., above, shall be allocated as follows:
 - a. Visiting researchers, for example, scientists visiting primarily in furtherance of their education, shall receive intellectual property rights under the policies of the host institution. In addition, each visiting researcher named as an inventor shall be entitled to share in a portion of any royalties earned by the host institution from the licensing of such intellectual property.
 - b.
 - (1) For intellectual property created during joint research, for example, when the Parties, participating institutions, or participating personnel have agreed in advance on the scope of work, each Party shall be entitled to obtain all rights and interests in its own country. The Party in whose country the invention was made shall have first option to acquire all rights and interests in third countries. If research is not designated as "joint research," rights to intellectual property arising from the research will be allocated in accordance with paragraph II.2.a., above. In addition, each person named as an inventor shall be entitled to share in a portion of any royalties earned by either institution from the licensing of the property.
 - (2) Notwithstanding paragraph II.2.b. (1), above, if a type of intellectual property is available under the laws of one Party but

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not of the other Party, the Party whose laws provide for this type of protection shall be entitled to all rights and interests worldwide. Persons named as inventors of the property shall nonetheless be entitled to royalties as provided in paragraph II.2.b. (1), above.

Technical Arrangements
for the Exchange of Classified Information
Between the United States Nuclear Regulatory Commission
and the Secretary of State for Trade and Industry
of Great Britain and Northern Ireland

September 16, 2003

**Technical Arrangements for the Exchange of Classified Information Between the
United States Nuclear Regulatory Commission and the Secretary of State for Trade
and Industry of Great Britain and Northern Ireland**

WHEREAS, the United States Nuclear Regulatory Commission (the NRC) and the Secretary of State for Trade and Industry of Great Britain and Northern Ireland (the SofS) have a mutual interest in security and safety for the peaceful uses of nuclear energy and in the exchange of experience on such matters, and have a common objective of improving safety and security of nuclear facilities and radioactive materials and of preventing harm to the public, the environment, and the national security;

WHEREAS, the NRC and the Health and Safety Executive of the United Kingdom (the HSE) are already engaged in general co-operation in these matters under an Arrangement for the Exchange of Technical Information dated September 18th, 1996, which was extended for an additional five-year period through an Understanding between the the NRC and HSE signed on September 16th, 2002, and whereas the NRC and the HSE intend to continue such cooperation under that extension and future arrangements;

WHEREAS the United States and the United Kingdom entered into the US/UK General Security Agreement (the General Security Agreement) by an exchange of letters dated April 14th, 1961 amended by further exchanges dated July 5th, 1983 and December 19th, 1983 which set out general security procedures, including patents provisions, in respect of the safeguarding of classified information exchanged between the two governments;

WHEREAS the NRC and the SofS wish, under the umbrella of the General Security Agreement, to exchange information relating to the safety and security of nuclear facilities and radioactive materials used for peaceful purposes, and threats to these facilities and these materials, and whereas the SofS will participate in such exchanges either directly or through the HSE as the SofS's agent ;

WHEREAS the General Security Agreement provides that details regarding channels of communication and the application of the principles set out in the General Security Agreement will be the subject of separate technical arrangements between appropriate agencies of the Governments of the United States and the United Kingdom;

The NRC and the SofS (the Agencies) hereby declare that the exchange of information in respect of the aforesaid matters shall be subject to the following technical arrangements between NRC and the SofS:

1. Only information relating to the safety and security of nuclear facilities and radioactive materials used for peaceful purposes, and threats to these facilities and this material, will be exchanged under this Arrangement.
2. For the purposes of this Arrangement, "information" is understood in its broadest sense to include, among other things, any document, writing, sketch, photograph, plan, model, specification, design, or prototype, whether communicated by oral, visual, or written means or by transfer of equipment or materials.
3. This Arrangement does not commit either Agency to disclose classified information to the other.
4. This Arrangement does not apply to nuclear energy information which the United States designates as Restricted Data or Formerly Restricted Data.
5. The representatives of each Agency authorized to receive classified information from the other will have a security clearance equal to or greater than the security classification of the information involved and will have the physical capacity to protect the classified information.
6. All requests for information and visits that would involve the disclosure of classified information will be sponsored by the requesting government.

7. For the exchange of classified information, the following procedural arrangements shall apply:

- a. Each Agency will designate government officials (who, in the case of designations by the SofS, may be officials of the HSE) who are authorised to:
 - (1) provide the security assurances,
 - (2) certify security clearances of individuals,
 - (3) submit requests for classified information, including visit requests,
 - (4) receive and give a receipt for classified documents at designated points of delivery and mailing addresses that conform with the security assurances.
- b. Each Agency will inform the other of the individuals designated pursuant to a., above, and furnish signature samples of the designated officials. Prompt notification will be given to the other Agency of the termination of any designations.
- c. Each Agency will designate individuals who are authorized to receive requests for classified information, including visit requests involving classified information. Only those designated individuals will be contacted by the requesting Agency.
- d. The original recipient will sign and return to the releasing Agency a written receipt for all classified information received.

- e. Classified documents, including notes taken by visitors, will be retained by the facility visited, but may be released upon the submission of a written request from the Agency represented by the visitor.
 - f. Each Agency will provide the other with the necessary administrative instructions, supplemental to those of this Arrangement, concerning submission of requests and receipt of responses.
8. Each Agency will report promptly and fully to the other any known or suspected compromise of classified information released to it, and the corrective action taken.
9. The Agencies will consult to guarantee strict implementation of the security provisions of this Arrangement. The Agencies will permit visits by security personnel at mutually convenient times to discuss procedures and capabilities to protect classified information.
10. This Arrangement may be modified by mutual agreement or terminated by either Agency subject to six (6) months' notice to the other Agency. In the case of such a notice being given, the classified information exchanged during the validity of this Arrangement will continue to be safeguarded in accordance with the General Security Agreement.

Signed, in duplicate, in Vienna on this 16th day of September 2003.

For the NRC:



By: Nils J. Diaz

Title: Chairman

For the SofS:



By: Mike K. O'Shea

Title: Director, Export Control and
Non Proliferation Security (XNP)