



## GE Nuclear Energy

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Project 717

August 18, 2003

U.S. Nuclear Regulatory Commission  
Document Control Desk  
Washington, D.C. 20852-2738

Attention: Chief, Information Management Branch  
Program Management  
Policy Development and Analysis Staff

Reference: ESBWR Design Description, NEDC-33084P -- Revision 1, dated August 8, 2008

Subject: **ESBWR Design Description, NEDO-33084 -- Revision 1, Non-proprietary  
Version of NEDC-33084P**

The CD accompanying this letter contains the non-proprietary version of the GE report NEDC-33084P, "*ESBWR Design Description*", Revision 1, which was sent on August 8. Enclosure 1 is the non-proprietary version, NEDO-33084. Enclosure 2 is the GE proprietary version, NEDC-33084P, re-transmitted to you at the request of Amy Cubbage, Project Manager. This re-transmittal will be a file without the cover letter and affidavit.

Both versions are revision 1 and include correction of technical and grammatical errors and some changes to ensure internal consistency and accuracy. As stated in the Reference, this report is provided to assist NRC in its pre-application review of the ESBWR. The report is for reference only and is not being submitted for NRC review.

GE is seeking approval for the use of the TRACG code for the ESBWR. Also, GE is seeking NRC concurrence on the adequacy of the testing program for the ESBWR. The NRC is using the TRACG Application report (NEDC 33083P) along with supporting design information provided through RAIs and/or the TRACG input decks, as the basis for the SER on the TRACG application to ESBWR. The subject report is background information for reference only in assessing the adequacy of testing and for approval of the TRACG code for ESBWR. The final

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design description for certification review will be provided in the ESBWR Safety Analysis Report, to be provided at a later date.

The proprietary version of the report contains proprietary information of the type which GE maintains in confidence and withholds from public disclosure. The information has been handled and classified as proprietary to GE as indicated in the Enclosure 3 affidavit. GE hereby requests that this information be withheld from public disclosure in accordance with the provisions of 10CFR 2.790 and 9.17.

If you have any questions about the information provided here, please let me know.

Sincerely,

A handwritten signature in black ink, appearing to read 'Atambir S. Rao', with a long horizontal stroke extending to the right.

Atambir S. Rao

Enclosures

- (1) NEDO-33084, *ESBWR Design Description*, Revision 1, August 2003
- (2) NEDC-33084P, *ESBWR Design Description*, Revision 1, August 2003
- (3) Affidavit, George B. Stramback, dated August 8, 2003

cc: A. Cubbage USNRC (with enclosures and CD)  
J. Lyons USNRC (w/o enclosures)  
A. Wang USNRC (w/o enclosures)  
G.B. Stramback GE (with enclosures)

# General Electric Company

## AFFIDAVIT

**I, George B. Stramback, state as follows:**

- (1) I am Manager, Regulatory Services, General Electric Company ("GE") and have been delegated the function of reviewing the information described in paragraph (2) which is sought to be withheld, and have been authorized to apply for its withholding.
- (2) The information sought to be withheld is contained in the GE proprietary report NEDC-33084P, *ESBWR Design Description*, Revision 1, Class III (GE Proprietary Information), dated August 2003. The proprietary information is delineated by a double underline inside double square brackets. In each case, the superscript notation<sup>(3)</sup> refers to Paragraph (3) of this affidavit, which provides the basis for the proprietary determination.
- (3) In making this application for withholding of proprietary information of which it is the owner, GE relies upon the exemption from disclosure set forth in the Freedom of Information Act ("FOIA"), 5 USC Sec. 552(b)(4), and the Trade Secrets Act, 18 USC Sec. 1905, and NRC regulations 10 CFR 9.17(a)(4), and 2.790(a)(4) for "trade secrets" (Exemption 4). The material for which exemption from disclosure is here sought also qualify under the narrower definition of "trade secret", within the meanings assigned to those terms for purposes of FOIA Exemption 4 in, respectively, Critical Mass Energy Project v. Nuclear Regulatory Commission, 975F2d871 (DC Cir. 1992), and Public Citizen Health Research Group v. FDA, 704F2d1280 (DC Cir. 1983).
- (4) Some examples of categories of information which fit into the definition of proprietary information are:
  - a. Information that discloses a process, method, or apparatus, including supporting data and analyses, where prevention of its use by General Electric's competitors without license from General Electric constitutes a competitive economic advantage over other companies;
  - b. Information which, if used by a competitor, would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing of a similar product;
  - c. Information which reveals aspects of past, present, or future General Electric customer-funded development plans and programs, resulting in potential products to General Electric;

- d. Information which discloses patentable subject matter for which it may be desirable to obtain patent protection.

The information sought to be withheld is considered to be proprietary for the reasons set forth in paragraphs (4)a., (4)b., and (4)d., above.

- (5) To address 10 CFR 2.790 (b) (4), the information sought to be withheld is being submitted to NRC in confidence. The information is of a sort customarily held in confidence by GE, and is in fact so held. The information sought to be withheld has, to the best of my knowledge and belief, consistently been held in confidence by GE, no public disclosure has been made, and it is not available in public sources. All disclosures to third parties including any required transmittals to NRC, have been made, or must be made, pursuant to regulatory provisions or proprietary agreements which provide for maintenance of the information in confidence. Its initial designation as proprietary information, and the subsequent steps taken to prevent its unauthorized disclosure, are as set forth in paragraphs (6) and (7) following.
- (6) Initial approval of proprietary treatment of a document is made by the manager of the originating component, the person most likely to be acquainted with the value and sensitivity of the information in relation to industry knowledge. Access to such documents within GE is limited on a "need to know" basis.
- (7) The procedure for approval of external release of such a document typically requires review by the staff manager, project manager, principal scientist or other equivalent authority, by the manager of the cognizant marketing function (or his delegate), and by the Legal Operation, for technical content, competitive effect, and determination of the accuracy of the proprietary designation. Disclosures outside GE are limited to regulatory bodies, customers, and potential customers, and their agents, suppliers, and licensees, and others with a legitimate need for the information, and then only in accordance with appropriate regulatory provisions or proprietary agreements.
- (8) The information identified in paragraph (2), above, is classified as proprietary because it contains detailed design and design bases information for the ESBWR, which includes new features unique to the ESBWR, which GE has developed at a significant cost, on the order of several million dollars, to GE.

The development of the evaluation process for the ESBWR, along with the interpretation and application of the appropriate analytical methods, is derived from the extensive experience database that constitutes a major GE asset.

- (9) Public disclosure of the information sought to be withheld is likely to cause substantial harm to GE's competitive position and foreclose or reduce the availability of profit-making opportunities. The information is part of GE's comprehensive BWR safety and technology base, and its commercial value extends beyond the original development cost. The value of the technology base goes beyond the extensive physical database and analytical methodology and includes

development of the expertise to determine and apply the appropriate evaluation process. In addition, the technology base includes the value derived from providing analyses done with NRC-approved methods.

The research, development, engineering, analytical and NRC review costs comprise a substantial investment of time and money by GE.

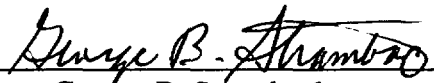
The precise value of the expertise to devise an evaluation process and apply the correct analytical methodology is difficult to quantify, but it clearly is substantial.

GE's competitive advantage will be lost if its competitors are able to use the results of the GE experience to normalize or verify their own process or if they are able to claim an equivalent understanding by demonstrating that they can arrive at the same or similar conclusions.

The value of this information to GE would be lost if the information were disclosed to the public. Making such information available to competitors without their having been required to undertake a similar expenditure of resources would unfairly provide competitors with a windfall, and deprive GE of the opportunity to exercise its competitive advantage to seek an adequate return on its large investment in developing these very valuable analytical tools.

I declare under penalty of perjury that the foregoing affidavit and the matters stated therein are true and correct to the best of my knowledge, information, and belief.

Executed on this 8<sup>th</sup> day of August 2003.

  
George B. Stramback  
General Electric Company