



GE Nuclear Energy

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Project 717

MFN 03-099
September 16, 2003

U.S. Nuclear Regulatory Commission
Document Control Desk
Washington, D.C. 20852-2738

Attention: Chief, Information Management Branch
Program Management
Policy Development and Analysis Staff

Subject: **Response to Request for Additional Information (RAI) numbers (70, 71, 82, 86, 87, 316, and 318) for ESBWR Pre-application Review.**

GE Nuclear Energy is submitting, in enclosures 1 and 2, response to NRC RAI numbers (70, 71, 82, 86, 87, and 316), and additional information provided in response to RAI 318. The original RAIs were included in the referenced letters.

Enclosure 1 contains the responses with GE proprietary information as defined by 10CFR2.790. GE customarily maintains this information in confidence and withholds it from public disclosure. Enclosure 1 also includes RAI responses which contain no proprietary information in order to form a complete package. A non-proprietary version of the responses to the NRC's requests are provided in Enclosure 2.

The affidavit contained in Enclosure 3 identifies that the information contained in Enclosure 1 has been handled and classified as proprietary to GE. GE hereby requests that the information of Enclosure 1 be withheld from public disclosure in accordance with the provisions of 10 CFR 2.790 and 9.17.

If you have any questions about the information provided here, please let me know.

Sincerely,

Atambir S. Rao

D068

Reference:

1. MFN 03-052, Letter From Amy E. Cubbage (NRC) To Atam S. Rao (GE), June 20, 2003, SUBJECT: REQUEST FOR ADDITIONAL INFORMATION LETTER NO. 4 RELATED TO ESBWR PRE-APPLICATION REVIEW (TAC NOS. MB6283 AND MB6801)
2. MFN 03-054, Letter From Amy E. Cubbage (NRC) To Atam S. Rao (GE), July 17, 2003, SUBJECT: REQUEST FOR ADDITIONAL INFORMATION LETTER NO. 6 RELATED TO ESBWR PRE-APPLICATION REVIEW (TAC NO. MB6801)
3. MFN 03-065, Letter From Amy E. Cubbage (NRC) To Atam S. Rao (GE), July 17, 2003, SUBJECT: REQUEST FOR ADDITIONAL INFORMATION LETTER NO. 7 RELATED TO ESBWR PRE-APPLICATION REVIEW (TAC NO. MB6801)

Enclosures:

1. MFN 03-099 Response to NRC RAI numbers (70, 71, 82, 86, 87, and 316), and additional information provided in response to RAI 318 - Proprietary Information
2. MFN 03-099 Response to NRC RAI numbers (70, 71, 82, 86, 87, and 316), and additional information provided in response to RAI 318 - Non-proprietary Information
3. Affidavit, David J. Robare, dated September 16, 2003

cc: A. Cubbage USNRC (with enclosure)
 J. Lyons USNRC (w/o enclosure)
 G.B. Stramback GE (with enclosure)

General Electric Company

AFFIDAVIT

I, **David J. Robare**, state as follows:

- (1) I am Technical Projects Manager, Technical Services, General Electric Company ("GE") and have been delegated the function of reviewing the information described in paragraph (2) which is sought to be withheld, and have been authorized to apply for its withholding.
- (2) The information sought to be withheld is contained in the Enclosure 1 of GE letter MFN 03-099, Atambir S. Rao to NRC, *Response to Request for Additional Information (RAI) numbers (70, 71, 82, 86, 87, 316, and 318) for ESBWR Pre-application Review*, dated September 16, 2003. The proprietary information is in Enclosure 1, *Response to NRC RAI numbers (70, 71, 82, 86, 87, and 316)*, and *additional information provided in response to RAI 318*. For text and text contained in tables, GE proprietary information is identified by a double underline inside double square brackets. Figures and large equation objects are identified with double square brackets before and after the object. In each case, the superscript notation⁽³⁾ refers to Paragraph (3) of this affidavit, which provides the basis for the proprietary determination.
- (3) In making this application for withholding of proprietary information of which it is the owner, GE relies upon the exemption from disclosure set forth in the Freedom of Information Act ("FOIA"), 5 USC Sec. 552(b)(4), and the Trade Secrets Act, 18 USC Sec. 1905, and NRC regulations 10 CFR 9.17(a)(4), and 2.790(a)(4) for "trade secrets" (Exemption 4). The material for which exemption from disclosure is here sought also qualify under the narrower definition of "trade secret", within the meanings assigned to those terms for purposes of FOIA Exemption 4 in, respectively, Critical Mass Energy Project v. Nuclear Regulatory Commission, 975F2d871 (DC Cir. 1992), and Public Citizen Health Research Group v. FDA, 704F2d1280 (DC Cir. 1983).
- (4) Some examples of categories of information which fit into the definition of proprietary information are:
 - a. Information that discloses a process, method, or apparatus, including supporting data and analyses, where prevention of its use by General Electric's competitors without license from General Electric constitutes a competitive economic advantage over other companies;
 - b. Information which, if used by a competitor, would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing of a similar product;

- c. Information which reveals aspects of past, present, or future General Electric customer-funded development plans and programs, resulting in potential products to General Electric;
- d. Information which discloses patentable subject matter for which it may be desirable to obtain patent protection.

The information sought to be withheld is considered to be proprietary for the reasons set forth in paragraphs (4)a., and (4)b, above.

- (5) To address 10 CFR 2.790 (b) (4), the information sought to be withheld is being submitted to NRC in confidence. The information is of a sort customarily held in confidence by GE, and is in fact so held. The information sought to be withheld has, to the best of my knowledge and belief, consistently been held in confidence by GE, no public disclosure has been made, and it is not available in public sources. All disclosures to third parties including any required transmittals to NRC, have been made, or must be made, pursuant to regulatory provisions or proprietary agreements which provide for maintenance of the information in confidence. Its initial designation as proprietary information, and the subsequent steps taken to prevent its unauthorized disclosure, are as set forth in paragraphs (6) and (7) following.
- (6) Initial approval of proprietary treatment of a document is made by the manager of the originating component, the person most likely to be acquainted with the value and sensitivity of the information in relation to industry knowledge. Access to such documents within GE is limited on a "need to know" basis.
- (7) The procedure for approval of external release of such a document typically requires review by the staff manager, project manager, principal scientist or other equivalent authority, by the manager of the cognizant marketing function (or his delegate), and by the Legal Operation, for technical content, competitive effect, and determination of the accuracy of the proprietary designation. Disclosures outside GE are limited to regulatory bodies, customers, and potential customers, and their agents, suppliers, and licensees, and others with a legitimate need for the information, and then only in accordance with appropriate regulatory provisions or proprietary agreements.
- (8) The information identified in paragraph (2), above, is classified as proprietary because it details for licensing application of TRACG to the ESBWR passive safety system design of the BWR. This TRACG code has been developed by GE for over fifteen years, at a total cost in excess of three million dollars. The reporting, evaluation and interpretations of the results, as they relate to the ESBWR, was achieved at a significant cost, to GE.

The development of the evaluation process along with the interpretation and application of the analytical results is derived from the extensive experience database that constitutes a major GE asset.

- (9) Public disclosure of the information sought to be withheld is likely to cause substantial harm to GE's competitive position and foreclose or reduce the availability of profit-making opportunities. The information is part of GE's comprehensive BWR safety and technology base, and its commercial value extends beyond the original development cost. The value of the technology base goes beyond the extensive physical database and analytical methodology and includes development of the expertise to determine and apply the appropriate evaluation process. In addition, the technology base includes the value derived from providing analyses done with NRC-approved methods.

The research, development, engineering, analytical and NRC review costs comprise a substantial investment of time and money by GE.

The precise value of the expertise to devise an evaluation process and apply the correct analytical methodology is difficult to quantify, but it clearly is substantial.

GE's competitive advantage will be lost if its competitors are able to use the results of the GE experience to normalize or verify their own process or if they are able to claim an equivalent understanding by demonstrating that they can arrive at the same or similar conclusions.

The value of this information to GE would be lost if the information were disclosed to the public. Making such information available to competitors without their having been required to undertake a similar expenditure of resources would unfairly provide competitors with a windfall, and deprive GE of the opportunity to exercise its competitive advantage to seek an adequate return on its large investment in developing these very valuable analytical tools.

I declare under penalty of perjury that the foregoing affidavit and the matters stated therein are true and correct to the best of my knowledge, information, and belief.

Executed on this 16TH day of SEPTEMBER 2003.



David J. Robare
General Electric Company

MFN 03-099
Enclosure 2

ENCLOSURE 2

MFN 03-099

**Response to NRC RAI numbers (70, 71, 82, 86, 87, and 316), and
additional information provided in response to RAI 318**

Q70. Section 7.5.2.2 (p. 7.5-13) - What criteria are used to determine the gases to be considered in the calculation of the gas conductivity?

R70. The gases that are considered are those that are prevalent in the gap. Helium is obviously important because of the initial backfilling of helium. [[AAAAA
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AaaA, Aaaaa 7. ⁽⁷⁾]] The fission gas production of some isotopes of xenon (Xe)
 and krypton (Kr) are also important because their fission yield rates compared to
 the decay times are large enough that these gases exist in sufficient amounts and
 for long enough times that they can be released from the UO₂ pellet into the gap.

The fission yields and the half-lives are not the only consideration. For example,
 cesium is produced in large amounts but is not present as a gas in the gap in any
 appreciable amounts because it readily reacts chemically and is effectively
 removed as a gas by a number of processes. Olander discusses these processes in
 Chapter 12 of his book^[70-1]. Chapter 13 of Olander's book discusses fission gas
 production in the fuel pellet and the release mechanisms are discussed in Chapter
 15. Ultimately, the only fission gases that accumulate in the gap in any
 appreciable amounts are the stable (or longer half-life) isotopes of Xe and Kr as
 stated in Section 15.3.6. [[Aa aaaaa aa aa aaaaa aaaaa aa aaaaa aa aaaaa aa
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The fuel gap conductance models in Sections 7.5.2 and 7.5.3 are identical to the
 SAFER/GESTR models approved by the NRC, and also reviewed in the TRACG
 application for AOOs.

References for R70

[70-1] Olander, Donald R.; Fundamental Aspects of Nuclear Reactor Fuel
 Elements, TID-26711-P1, U.S. Dept. of Energy Office of Scientific and
 Technical Information, 1976.

Q71. Section 7.5.2.2 (p. 7.5-13) - What is the basis for the gases assumed in the gap of a perforated clad?

R71. The response to Q70 provides the basis for modeling the gases in the gap prior to clad perforation. [[Aaaaa aa aaaaaaaaaaaaa, aaa aaaaaaaaaaaaa aa aaaa aaaaaaaaaaaa aaa aaaaaaaaaaaaa aaa aaaaaaa aa aaa aaaaaaaaa aa aaaaa aa aaaaaaa aaa aaaa aaaa aaaaaaaaaaaaa aaaa aaaaa. Aaaaa aaaaaaaaaaaaa aaa aaa aaaaaaa aa aaa aaaaaaaaa aaaaaaa aaa aaaaaaaa, aaa aaaaaaaaaaaaa aa aaaa aaaaa aaaaaaaa aaa aaa aaaaaaaaaaaa aa aaa aaaa aa aaa aaaaa-aaaaa aaaaaaaaa aa aaa aaaaa aaaaaaa. Aa aaaa aaa aaaaaaaaaaaaa aaaaa, aaa aaa aaaaaaaaaaaaa aa aaaaaaa aa aaaaaaa aaa aaaaaaaaa aa a aaaaaaaaaaaaa aaaaaaa aa aaaaa aaa aaaaaaaaa aaaaa aaaaa a aaaaaaa aaaaaaa aaa aaaaaaa aaaaaaaa aaa aaaa aaa. Aaa a aaaaaaaaaaaaa aaaa aaa aaa aaaaaaaaaaaaaaa aa aaaaaaa aaaaa Aa. (7.7-77). Aaaa aa aaa aaaa aaaaa aa aaaa aa AAAAA/AAA AAAAA^{[77-7].{7}}]]

The fuel gap conductance models in Sections 7.5.2 and 7.5.3 are identical to the SAFER/GESTR models approved by the NRC, and also reviewed in the TRACG application for AOOs. The model for composition of the gases in the gap after perforation was also approved by the NRC in 1981^[71-4].

References for R71

- [71-1] *The GESTR-LOCA and SAFER Models for the Evaluation of the Loss-of-Coolant Accident, Volume II*, NEDE-23785-1-PA, Revision 1, October 1984, page 4-31.
- [71-2] *General Electric Company Analytical Model for Loss-of-Coolant Analysis in Accordance with 10CFR50 Appendix K – Volume 1*, NEDE-20566-P-A, Class III, September 1986, page I-108,109.
- [71-3] *CHAST05 Core Heatup Analysis Model Technical Description*, NEDO-21426, November 1977, pages 4-4,5.
- [71-4] Letter, R. L. Tedesco (NRC) to G. G. Sherwood (GE) dated February 4, 1981, "Acceptance for Referencing of Topical Report NEDE-20566P, NEDO-20566-1 Revision 1 and NEDE-20566-4 Revision 4".

Q82. Section 7.5.3.1 (p. 7.5-20) - How is Eq. 7.5-35 developed?

R82. [[~~Aaa aaaaaaa aaaaaa-aa-aaaaaaaaaaaa aaaaa aaa aaa aaaaa aaaaaaaaaa aa aaa aaaa~~
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The fuel gap conductance models in Sections 7.5.2 and 7.5.3 are identical to the SAFER/GESTR models approved by the NRC, and also reviewed in the TRACG application for AOOs.

Q86. Section 7.5.3.3 (p. 7.5-21) - Besides the gas conductivity, does the state of a perforated clad affect the gap conductance in other ways?

R86. [[Aaaaaaaaaa aa aaa aaaaaaaaa aaaaaaaaa aaa aaaaaa aa aaa aaaa aa aaa aaaaaaaaa aaaaaaaaaa aaaaaaaaa aaa aaaaaa aaa aaaaaaaaa aaaa aa aaaa. Aaaa aaaa aa aaaaaaaaa aa aaa aaaaaaaaaa aa aaa aaa aaaa, aaaaa aaa aaaaaaaaa aa aaaa aaa aaaaaaaaa aaaaa aa aaaaaaaaa. Aaaa aa a aaaaa aaaaaa aaaaaa aaa aaaaaaaaaaaaaa aaaaaa aaaaaaaaa aa aaa aaaaa aaaaa aa aaaa aaaaaa aaaa aaa aaaaaaaaa aaaaa. Aaaaaa aaa aaaa aaaaaaaaaa aaaaa aaaaaa aa aaa aaaa aaa aaa aaaaaa aa aaa aaaaaaaaaaaaaa, aaaaa aaa aa aaaaa aaaaaa aa aaa aaaaa aaaa aaaa aaaaaaaaaa⁽⁷⁾]]

The fuel gap conductance models in Sections 7.5.2 and 7.5.3 are identical to the SAFER/GESTR models approved by the NRC, and also reviewed in the TRACG application for AOOs.

- Q87. Sections 7.5.2 and 7.5.3 - Is it possible to summarize parameters that are axially dependent and those that are not?
- R87. The fuel gap conductance models in Sections 7.5.2 and 7.5.3 are identical to the SAFER/GESTR models approved by the NRC, and also reviewed in the TRACG application for AOOs. The axial resolution in the modeling has not been changed.

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Q316. Interfacial Heat and Mass Transfer

The heat and mass transfer at the interface are related and predictions of one will provide an estimate of the other. The model consists of predicting the flow regime, interfacial area density and heat transfer coefficients at the interface.

Q316.1. It is our understanding that the liquid side interfacial heat transfer coefficient is obtained from a correlation developed for heat transfer over evaporating drops. Provide a description of the physical process being modeled and justify its use for this situation.

R316.1. TRACG uses the Lee-Ryley correlation for the vapor side interfacial heat transfer for droplet flow.

$$Nu_d = 2 + 0.74\sqrt{Re_d} Pr_v^{0.33}, \quad Re_d = \frac{\rho_v v_{rd} d_d}{\mu_v}$$

This correlation was developed from data for evaporating droplets. Since the flow around a droplet is very similar to the flow around a vapor bubble for bubbly flow, it is assumed that the same correlation is applicable to the liquid side interfacial heat transfer for bubbly flow. For liquid, the Prandlt number dependence was dropped, since $Pr \approx 1$ for water.

$$Nu_b = 2 + 0.74\sqrt{Re_b}, \quad Re_b = \frac{\rho_l v_{rb} d_b}{\mu_l}$$

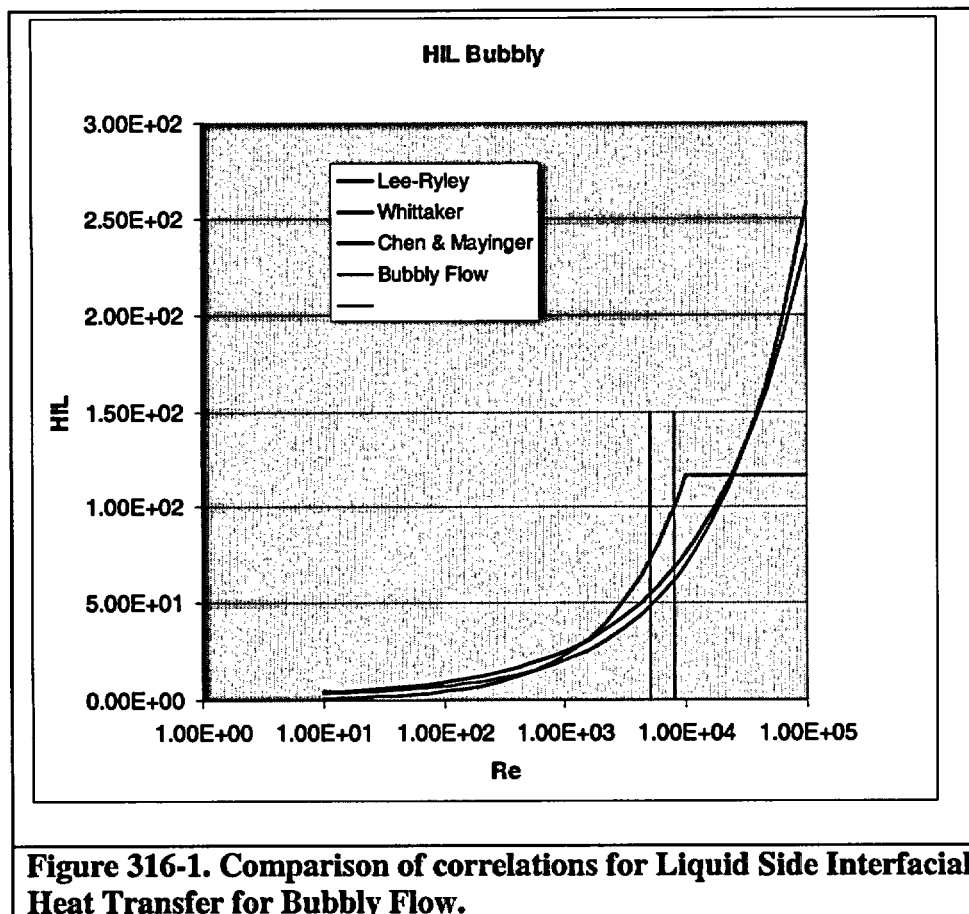
TRAC-M (NUREG/CR-6724) uses a combination of the Whittaker correlation for low Reynolds numbers and the Chen & Mayinger correlation for high Reynolds numbers to calculate the liquid side interfacial heat transfer for bubbly flow:

$$Nu_b = 2 + (0.4\sqrt{Re_b} + 0.06 Re_b^{2/3}) Pr_l^{0.4} \quad \text{Low Re (Whittaker)}$$

$$Nu_b = 0.185 Re_b^{0.7} \sqrt{Pr_l} \quad Re < 10^4 \text{ (Chen \& Mayinger)}$$

$$Nu_b = 116.7 \sqrt{Pr_l} \quad Re > 10^4 \text{ (Chen \& Mayinger)}$$

These correlation are compared in Figure 316-1 for $Pr = 1.0$.



For bubbly flow the Reynolds number for the bubbles are typically in the range of 5000 to 8000. For this range the Whittaker correlation is 10% lower than the Lee-Ryley correlation, and the Chen & Mayinger correlation is approximately 40% higher than the Lee-Ryley correlation. These differences are compatible with the uncertainty range for the correlations.

The good comparison to void fraction data and plant transient data as demonstrated in the TRACG Qualification (NEDE-32177P) justifies the application of the Lee-Ryley for the interfacial heat transfer. In the TRACG application (NEDE-32178P) a factor of 2 (-50%, +100%) uncertainty is assumed for liquid side interfacial heat transfer. This uncertainty more than covers the difference between the above correlations.

- Q316.2. It is our understanding that the vapor side interfacial heat transfer coefficient is obtained from the conduction heat transfer solution for a solid sphere with a correction for internal convection and the degradation due to noncondensable gases is accounted with the a degradation factor. If this is correct, provide a description of the physical process being modeled and justify its use for this situation.

- R316.2. Assuming no flow inside a vapor bubble, the heat transfer between the vapor in the bubble and the interface, is given by thermal conduction. For a spherical particle with an equilibrium temperature profile, the heat transfer due to thermal conduction is equivalent to a heat transfer coefficient given by:

$$h_{iv,b} = \frac{2}{3} \pi^2 \frac{k_v}{d_b}$$

Due to the movement of the bubble relative to the liquid, there will be natural circulation inside the bubble. An empirical factor $2.7(\mu_l / \mu_v)$ is applied as an enhancement to the pure conduction heat transfer to give Equation 6.5-9 in the TRACG Model Description (NEDE-32176P):

$$h_{iv,b} = \frac{2}{3} \pi^2 \frac{k_v}{d_b} [2.7 \frac{\mu_l}{\mu_v}]$$

For typical bubbly flow, this correlation gives a heat transfer coefficient in the range of 2000-8000. TRAC-M, for comparison, uses a constant value of 1000 without any justification.

It is assumed that steam and air are mixed perfectly inside a bubble, and no degradation is applied for condensation heat transfer. This is a reasonable assumption given the internal circulation and the small size of the bubbles.

For bubbly flow, the interfacial heat transfer is the dominant heat transfer to the vapor, and with the assumption that the interface is at the saturation temperature, the vapor temperature will follow the saturation temperature. The thermal time constant for the bubbles with the heat transfer coefficient given by the above expression or the value from TRAC-M will typically range from 75 msec. to less than 1 msec.

With the only significant heat transfer to bubbles coming from the interface, which is at the saturation temperature, and the low thermal heat capacity of the bubbles, the results are insensitive to the exact value of the vapor side interfacial heat transfer.

Additional Information provided in response to RAI 318

The versions of the TRACG code used for the qualification studies reported in TRACG Qualification for SBWR (NEDC-32725P, Rev.1) and TRACG Qualification (NEDE-32177P, Rev.2) were presented in Table 1.2.1 of NEDC-32725P. That table has been used to create the attached Table 318-1. The qualification studies reported in TRACG Qualification for ESBWR (NEDC-33080P) have also been added to the table.

The versions of the TRACG code used in the table are discussed below.

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aaa aaaaaaaa aaa aaaaa aaaaaaaaaaaaaaaa aaaaaaa aaaaaaaaaaaaaa. Aaaa aaaaaaa aa aaa
AAAAA aaaa aa aaaaaaaaaaaaaa AAAAA77 (AAAAA) aaa aa aaa aaaaaaa aa aaa
aaaa aaaaaaaa aa aaa AAA. Aaa AAAAA A Aaaaaaa aaaaaaaaaaaaaa aaa AAAAA
aaaaa aaaaaaaaaaaaaa aaaa aaaa aaaaaaaa aaaa AAAAA77 (AAAAA). AA aaa
aaaaaaaa a aaaaa aaaaaaa aa aaa aaaaaaa aaaaaaaaaaaaaaaa aaaaaaa aaaa AAAAA77
(AAAAA) aa aaaaaaaa aa aaa aaaaa aaaaaaa aa Aaaaa 777-7 aaaa aa "A".

Aaaa aaaaaaaa aaaaaaaaaaaaaa aaa aaaaaaaa aa aaaa aaaaaaaa. Aaaaa aaaaaaa aaa
AAAA aaaaa aaaaa (Aaaaaa 777-7) aaa Aaaaaaaa aaaaaaaa aaaa aaaaa (Aaaaaa
777-7) aaaaaaaa aaaa aaaa aaa aaaaa aaaaaaaaaaaaaa aaaaa aaaaaaa aa aaa aaaa. Aaaaa
AA77 (AAAAA). Aaa Aaaaaaaa aaa aaaaaaaa aaaa (Aaaaaa 777-7) aaa Aaaaaaa
Aaaaa aaaa (Aaaaaa 777-7) aaaa aaaaaaa aaaaaaa aa aaaaa aaaaaaaaaaaaaa aa aaa
AAAAA AAAA aaaaaaaa. Aaa aaaaaaaa aaa aaaaaaa aa aaa aaaaaaaa aaaaaaa aa
AAAA-77777A. (Aaa Aaaaaaaa aaaa aaaaaaaaaaaaaa aaa aaaaaaaa aaaaaa.) Aaa
AAAAA Aaaa A7 aaaaaaaaaaaaaa aaaaaaaa aaaa AAAAA77 (AAAA) aaaa aaaa
aaaaa aaaa AAAAA77 (AAAAA). Aaa aaaaaaa (Aaaaaa 777-7) aaaa
aaaaaaaaaaaaaaa aaaaaaaaaaaaaa aaaaaaaa aaa aaa aaaa aaaaaaaa.

AA aaaaa aa aaaaaaaa aaa aaaaaaa aaaaaaaaaaaaaa aaaaa aaaa AAAAA77
(AAAAA). Aaaaaaaaaaaaaa, aaa AAAA-aaaaaaa aaaaa (AAAAA AAAA, aaaaa
aaaaA77 (AAAA) aaa aaaaa. ⁽⁷⁾]]

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