

August 6, 2003

MEMORANDUM TO: Michael T. Lesar, Chief  
Rules and Directives Branch  
Division of Administrative Services  
Office of Administration

FROM: David L. Skeen, Acting Program Director */RA/*  
Policy and Rulemaking Program  
Division of Regulatory Improvement Programs  
Office of Nuclear Reactor Regulation

SUBJECT: REGULATORY HISTORY INDEX - RISK-INFORMED  
CATEGORIZATION AND TREATMENT OF STRUCTURES, SYSTEMS  
AND COMPONENTS FOR NUCLEAR POWER REACTORS  
(PROPOSED 10 CFR 50.69)

Attached is the regulatory history index of those documents of central relevance to the subject rulemaking. The ADAMS Accession number is provided for each document or package. The proposed rulemaking would amend the regulations to provide an alternative approach for establishing the requirements for treatment of structures, systems and components (SSCs) for nuclear power reactors using a risk-informed method of categorizing SSCs according to their safety significance. The title of the proposed rule was "Risk-Informed Categorization and Treatment of Structures, Systems and Components for Nuclear Power Reactors" published in the Federal Register on May 16, 2003 (68 FR 26511).

Attachment: Regulatory History Index

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(301) 415-1462

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ADAMS Accession Nos.: **ML032130175** \*See previous concurrence

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DATE	8/5/03	8/6/03

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**Regulatory History Index**  
**Proposed 10 CFR 50.69 (68 FR 26511)**  
**RIN 3150-AG42**

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML023250330	11/21/2002, Commission Meeting Slides/Exhibits for Briefing on Proposed Rulemaking to Add New Section 10 CFR 50.69 (SSCs)..	11/21/2002	Fair J, Fischer D C, Scarbrough T G	Publicly Available
ML030980726	5/9/2003, Letter to State Liaison Officers - 10 CFR 50.69, "Risk-Informed Categorization and Treatment of Structures, Systems, and Components".	05/09/2003	Lohaus P H	Publicly Available
ML013240023	ACRS Subcommittee & Full Committee Meetings on "RIP50" Option 2, Risk-Informing the Scope of Special Treatment Requirements..			Non-Publicly Available
ML003690410	Advance notice of proposed rulemaking 10CFR21, 50, 52, 54 and 100, "Risk-Informing Special Treatment Requirements.". .	02/25/2000	Vietti-Cook A L	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML022320639	Appendix B, NRC Position on the NEI Peer Review Process (NEI 00-02)..	08/16/2002	Newberry S F	Non-Publicly Available
ML021830625	Attachment 3, Appendix B, NRC Regulatory Position on NEI 00-02..	07/01/2002	Drouin M T	Publicly Available
ML003766430	BWR Owners Group, Letter, Regarding Plans For The Risk-Informed Part 50 Option 2 Pilot Program..	11/03/2000	Richards S A	Publicly Available
ML003767611	BWROG PILOT PROGRAM ON RISK INFORMING 10CFR50 OPTION 2.	10/09/2000	Kenny J M	Publicly Available
ML022560450	Clarification of NEI request for review & approval of industry PRA Peer review process guidance (NEI-00-02) to support industry implementation of Option 2 regulatory reform effort..	12/18/2001	Pietrangelo A R	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML013580017	Comment (1) submitted by John S. Fuoto on Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures, Systems and Components..	12/17/2001	Fuoto J S	Publicly Available
ML020630115	Comment (10) submitted by Nuclear Management Company (NMC), LLC , Edward J. Weinkam supporting proposed rule PR-50 regarding Risk-Informed Treatment of Structures Systems and Components..	01/02/2002	Weinkam E J	Publicly Available
ML021570486	Comment (12) submitted by ASME International, John H. Ferguson on Proposed Rule PR 50 regarding Risk-Informed Treatment of Structures, Sytems and Components..	06/03/2002	Ferguson J H	Publicly Available
ML022340029	Comment (13) submitted by ASME International, C. Wesley Rowley, re Proposed Rule PR-50, Risk-Informed Treatment of Structures, Systems and Components..	06/17/2002	Rowley C W	Publicly Available

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ML013580025	Comment (2) submitted by Tennessee Valley Authority, Mark J. Burzynski, on Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures, Systems and Components..	12/18/2001	Burzynski M J	Publicly Available
ML013580029	Comment (3) submitted by Nuclear Energy Institute (NEI), Anthony R. Pietrangelo, supporting Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures, Systems and Components..	12/13/2001	Pietrangelo A R	Publicly Available
ML013580040	Comment (4) submitted by ASME International, John H. Ferguson, supporting Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures, Systems and Components..	12/20/2001	Ferguson J H	Publicly Available
ML020040096	Comment (5) submitted by Craig D. Sellers on Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures Systems and Components..	12/26/2001	Sellers C D	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML020040100	Comment (6) submitted on behalf of Nuclear Utility Group on Equipment Qualification, by William Horin and Patricia Campbell on Proposed Rule PR 50 regarding Risk-Informed Treatment of Structures, Systems and Components..	12/28/2001	Campbell P L, Horin W A	Publicly Available
ML020320574	Comment (7) submitted by Virginia Elec. And Powr. Co. (Dominion), Stephen P. Sarver on Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures, Systems and Components..	01/08/2002	Sarver S P	Publicly Available
ML020330076	Comment (8) submitted by Steven P. Frantz, Paul M. Bessette on behalf of Exelon Generation, South Texas Project, TXU Electric; and PPL Susquehanna, supporting Proposed Rule PR-50 regarding Risk-Informed Treatment of Structures Systems and Components..	12/31/2001	Bessette P M, Frantz S P	Publicly Available
ML020560481	Comment (9) submitted by Paul A. Sicard on Proposed Rule PR 50 regarding Risk-Informed Treatment of Structures, Systems, and Components..	12/31/2001	Sicard P A	Publicly Available
ML003717252	Comment submitted by A. Edward Scherer on behalf of Southern California Edison re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/16/2000	Scherer A E	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML003713559	Comment submitted by Bob Christie on behalf of Performance Technology opposing Proposed Rule PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/08/2000	Christie B	Publicly Available
ML003717875	Comment submitted by J. J. Sheppard on behalf of the Strategic Teaming and Resource Sharing (STARS) plants re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements..	05/17/2000	Sheppard J J	Publicly Available
ML003724889	Comment submitted by John H. Ferguson on behalf of the ASME Board on Nuclear Codes and Standards re PR-21, PR-50, PR-52, PR-54 & PR-100 - Risk-Informing Special Treatment Requirements.	06/15/2000	Eisenberg G M	Publicly Available
ML003717865	Comment submitted by R. M. Krich on behalf of Commonwealth Edison Company re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Krich R M	Publicly Available



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ML003717280	Comment submitted by Stephen D. Floyd on behalf of Nuclear Energy Institute re PR-21, 50, 52, 54 and 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Floyd S D	Publicly Available
ML003716390	Comment submitted by Steven P. Frantz, Esq. and Goran P. Stojkovich on behalf of South Texas Project, Southern California Edison Co., TXU Electric Co. & IES Utilities, Inc. re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Frantz S P, Stojkovich G P	Publicly Available
ML003718005	Comment submitted by William A. Horin on behalf of Nuclear Utility Group on Equipment Qualification re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Horin W A	Publicly Available
ML011450264	Comments on the NEI Responses to Staff Questions about the PRA Certification Process Described in NEI-00-02.	05/01/2001	Johnson J R	Publicly Available

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ML003718388	Comments submitted by James A. Hutton, Jr. on behalf of PECO Engery Company re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Hutton J A	Publicly Available
ML003717239	Comments submitted by Thomas C. Poindexter on behalf of Nuclear Utility Backfitting and Reform Group re PR-21, 50, 52, 54 & 100 - Risk-Informing Special Treatment Requirements.	05/17/2000	Campbell P L, Poindexter T C, Trubatch S L	Publicly Available
ML003686859	Correction to Commission Voting Record dtd 1/31/2000 on SECY-99-256, rulemaking plan for risk-informing special treatment requirements.	02/25/2000	Vietti-Cook A L	Publicly Available
ML022690452	Differing Professional View - Risk-Informed Part 50, Option 2.	09/26/2002	Fischer D C	Publicly Available

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ML022690398	Differing Professional View Concerning the Proposed 10 CFR 50.69 Rulemaking..	09/26/2002	Fair J R	Publicly Available
ML022690484	Differing Professional View Regarding Proposed 10 CFR 50.69, "Risk-Informed Categorization and Treatment of Structures, Systems, and Components for Nuclear Power Reactors.".	09/26/2002	Scarbrough T G	Publicly Available
ML010290255	Draft (Revision A2) of NEI 00-04, Option 2 Implementation Guideline.	01/19/2001	Pietrangelo A R	Publicly Available
ML013200539	Draft Revision B to NEI 00-04: Option 2 Implementation Guideline..	05/31/2001		Publicly Available
ML030930512	Federal Register Notice - Risk-Informed Categorization and Treatment of Structures, Systems, and Components for Nuclear Power Reactors. Proposed 50.69..	05/06/2003	Vietti-Cook A L	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML013250446	Federal Register Notice: Implementation of Commission Action: Draft Rule Wording: New Section of 10 CFR Part 50, Section 50.69 Risk-Informed Treatment of Structures, Systems and Components..	11/21/2001	Carpenter C A	Publicly Available
ML020790581	G20020159 - George E. Apostolakis Ltr re Proposed Rulemaking and Associated Guidance for Risk-Informing the Special Treatment Requirements of 10 CFR Part 50.	03/19/2002	Apostolakis G E	Publicly Available
ML030940090	Implementation of Commission Action: Proposed New 10 CFR 50.69 (Risk-Informed Categorization and Treatment for Structures, Systems, and Components for Nuclear Power Reactors..			Non-Publicly Available
ML021480172	Industry Comments on Section 50.69 Draft Rule Language as April 2002..	05/15/2002	Pietrangelo A R	Publicly Available
ML013200483	Letter forwarding Draft Revision B to NEI 00-04: Option 2 Implementation Guideline..	06/15/2001	Pietrangelo A R	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML021910534	Letter Forwarding Draft Revision C to NEI 00-004, 10 CFR 50.69 SSC Categorization Guideline..	06/28/2002	Pietrangelo A R	Publicly Available
ML031910732	Letter from Anthony R. Pietrangelo, NEI requesting a 30-day extension on the comment period for Proposed Rule PR-50, Risk-Informed Categorization and Treatment of Structures, Systems and Components for Nuclear Power Reactors..	07/03/2003	Pietrangelo A R	Publicly Available
ML022540524	Letter informing that NRC plans to expand review to consider NEI-00-02 in support of risk-informed regulation as requested by NEI, & not just one application (i.e., Option 2)..	02/12/2002	Thadani A C	Publicly Available
ML023300031	M021121B-Briefing on Proposed Rulemaking to Add New Section 10 CFR 50.69 (SSCs)..	11/21/2002		Publicly Available
ML022260085	Memorandum to ACRS Transmitting Proposed Rule - Section 50.69, "Risk-Informed Categorization, and Treatment of Structures, Systems, and Components (Draft SOC; Draft Regulatory Guide; and Draft Regulatory Analysis)..			Non-Publicly Available
ML031430415	Memorandum, Notice of Availability - Draft Regulatory Guide DG-1121..	06/02/2003	Flynn M J	Non-Publicly Available

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ML010290313	NEI Additional Information to NRC Questions on NEI 00-02 "Probabilistic Risk Assessment PRA Peer Review Process Guideline".	01/18/2001	Pietrangelo A R	Publicly Available
ML022270004	NRC Comments on Draft Revision C of NEI 00-04, "10 CFR 50.69 SSC Categorization Guidance.".	08/14/2002	McKenna E M	Publicly Available
ML020930632	NRC Staff Review Guidance for PRA Results Used to Support Option 2 Based Upon NEI 00-04, "Option 2 Implementation Guidance" Supported by NEI 00-02 "Probabilistic Risk Assessment Peer Review Process Guideline".	04/02/2002	McKenna E M	Publicly Available
ML020430301	NRC STAFF REVIEW OF DRAFT REVISION B OF NEI 00-04, "OPTION 2 IMPLEMENTATION GUIDELINE"..	02/08/2002	Carpenter C A	Publicly Available
ML010930049	OBSERVATION ON NEI RIP 50 OPTION 2 IMPLEMENTATION GUIDANCE: NEI 00-04, "OPTION 2 IMPLEMENTATION GUIDELINE," AND NEI 00-02, "PROBABILISTIC RISK ASSESSMENT PEER REVIEW PROCESS GUIDELINE" (TAC NOS. MA8584 AND MA8899).	04/05/2001	Matthews D B	Publicly Available

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ML031000685	OMB-3150-0011, -0035, -0104, Supporting Statement, Proposed Rule, 10 CFR 50, "Risk Informed Categorization & Treatment of Structures, Systems, and Components."	04/24/2003	Shelton B J	Publicly Available
ML992870048	OPTIONS FOR RISK-INFORMED REVISIONS TO 10 CFR PART 50 - "DOMESTIC LICENSING OF PRODUCTION AND UTILIZATION FACILITIES".	12/23/1998	Travers W D	Publicly Available
ML993240155	PROPOSED PLANS FOR DEVELOPING RISK-INFORMED REVISIONS TO 10 CFR PART 50, "DOMESTIC LICENSING OF PRODUCTION AND UTILIZATION FACILITIES".	10/12/1999	Powers D A	Non-Publicly Available
ML020930812	Proposed Rulemaking and Associated Guidance for Risk-Informing the Special Treatment Requirements of 10 CFR Part 50 (Option 2).	03/19/2002	Apostolakis G E	Publicly Available
ML022680506	Proposed Rulemaking on 10 CFR 50.69, Draft Regulatory Guide DG-1121, NEI 00-04.	09/18/2002	Larkins J T	Publicly Available

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ML020280197	Questions on NEI 00-04, "Option 2 Implementation Guideline," and Related Matters..	01/24/2002	Markley M T	Publicly Available
ML993330459	REQUEST FOR WAIVER OF FEE BILLING FOR RISK- INFORMED PART 50 OPTION 2 PILOT PLANT PARTICIPANTS..	10/08/1999	Funches J L	Publicly Available
ML022490134	Request to Defer CRGR Review of Proposed 10 CFR 50.69, "Risk-Informed Categorization & Treatment of SSCs for NPPs.			Non-Publicly Available
ML022320041	Request to Defer CRGR Review of Proposed 10 CFR 50.69, "Risk-Informed Categorization and Treatment of Structures, Systems, and Components (SSCs) for Nuclear Power Plants.".			Non-Publicly Available
ML992920182	RIP50: Pilot Program Letter to NEI992920182.	10/19/1999	Williams J F	Publicly Available
ML003681126	Risk-Informing Special Treatment Requirements: Advance Notice of Proposed Rulemaking.	02/15/2000	Carpenter C A	Non-Publicly Available



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ML003681419	RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS.	01/31/2000	Vietti-Cook A L	Publicly Available
ML031700048	S107224. (This file contains OCIO concurrence on the proposed rule package)			Non-Publicly Available
ML003743264	SECY-00-0194 - "Risk-Informing Special Treatment Requirements".	09/07/2000	Travers W D	Publicly Available
ML011560317	SECY-01-0103 - STP Nuclear Operating Company Exemption Requests: Proof-of-Concept for Risk-Informing 10 CFR Part 50 Option 2.	06/12/2001	Travers W D	Publicly Available
ML022630164	SECY-02-0176 - Proposed Rulemaking to Add New Section 10 CFR 50.69, "Risk-Informed Categorization and Treatment of Structures, Systems, and Components" (WITS199900061).			Publicly Available

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ML003681422	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS APPROVED WITH COMMENT	12/29/1999	Meserve R A	Publicly Available
ML003681425	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS APPROVED WITH COMMENTS.	01/06/2000	Diaz N J	Publicly Available
ML003681426	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS APPROVED WITH COMMENTS.	12/17/1999	McGaffigan E	Publicly Available
ML003681427	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS APPROVED WITH COMMENTS.	12/08/1999	Merrifield J S	Publicly Available
ML003686861	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS. APPROVED WITH COMMENTS..	12/29/1999	Meserve R A	Publicly Available

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ML003686863	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS. APPROVED WITH COMMENTS..	01/06/2000	Diaz N J	Publicly Available
ML003686865	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS. APPROVED WITH COMMENTS..	12/17/1999	McGaffigan E	Publicly Available
ML003686869	SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS. APPROVED WITH COMMENTS..	12/08/1999	Merrifield J S	Publicly Available
ML992520058	SECY-99-256 -"Rulemaking Plan for Risk-Informing the Special Treatment Rules in 10 CFR Part 50".	10/29/1999	Travers W D	Publicly Available
ML003681424	SECY-99-256, "RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS" APPROVED WITH COMMENTS .	12/20/1999	Dicus G J	Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML003686862	SECY-99-256, "RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS." APPROVED WITH COMMENTS..	12/20/1999	Dicus G J	Publicly Available
ML003768154	SRM-M000929A- Briefing on risk-informing special treatment requirement (SECY-00-0194)..	11/09/2000	Vietti-Cook A L	Publicly Available
ML012140392	SRM-M010720B - Briefing on Risk-Informing Special Treatment Requirements..	08/02/2001	Vietti-Cook A L	Publicly Available
ML023460554	SRM-M021121B - "Briefing on Proposed Rulemaking to Add New Section 10 CFR 50.69 (SECY-02-0176), 10:00 A.M., Thursday, November 21, 2002, Commissioners' Conference Room, One White Flint North, Rockville, Maryland."	12/12/2002	Vietti-Cook A L	Publicly Available
ML030870444	SRM-SECY-02-0176 - Proposed Rulemaking to Add New Section 10 CFR 50.65, "Risk-Informed Categorization and Treatment of Structures, Systems, and Components"..	03/28/2003	Vietti-Cook A L	Publicly Available

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ML003751348	STAFF REQUIREMENTS - SECY-98-300 - OPTIONS FOR RISK-INFORMED REVISIONS TO 10 CFR PART 50 DOMESTIC LICENSING OF PRODUCTION AND UTILIZATION FACILITIES	06/08/1999	Vietti-Cook A L	Publicly Available
ML003681390	STAFF REQUIREMENTS - SECY-99-256 - RULEMAKING PLAN FOR RISK-INFORMING SPECIAL TREATMENT REQUIREMENTS	01/31/2000	Vietti-Cook A L	Publicly Available
ML021830219	Supplementary Comments on 10 CFR 50.69 Draft Rule Language dated April 3, 2002 re Risk-Informed Treatment of Structures, Systems and Components..	06/17/2002	Rowley C W	Publicly Available
ML022320648	Table B-2, Comparison of ASME PRA Standard vs NEI 00-02 Peer Review Process..	06/12/2002	Newberry S F	Non-Publicly Available
ML013060457	Trip Report - Observation of "RIP50 Option 2" Pilot Activities (IDP Demonstration) at Wolk Creek Nuclear Sttation - Risk-Informed Regulation Option 2 RISC Summary Report..	10/23/2001		Publicly Available

<b>Accession Number</b>	<b>Title/Description</b>	<b>Document Date</b>	<b>Author</b>	<b>Public Availability</b>
ML013100136	Trip Report - Observation of "RIP50 Option 2" Pilot Activities (IDP Demonstration) at Wolk Creek Nuclear Sstation..	11/06/2001	McKenna E M	Publicly Available
ML013100188	Trip Report - Observation of "RIP50 Option 2" Pilot Activities (IDP Demonstration) at Wolk Creek Nuclear Sstation..	10/25/2001		Publicly Available
ML012410397	Trip Report - Observation of RIP50 OPTION 2 Pilot Activities (IDP Demonstration) at Quad Cities Nuclear Power Plant..	08/28/2001	Reed T A	Publicly Available
ML020510477	Trip Report - Observation of RIP50 Option 2 Pilot Activities (IDP Demonstration) at Surry Nuclear Power Plant.	02/26/2002	Diec D T	Publicly Available
ML020920324	Trip Report - Observation of RIP50, Option 2 Pilot Activities (IDP Demonstration) at Palo Verde Operating Station on March 12-14, 2002.	04/03/2002	Diec D T	Non-Publicly Available

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ML030900609	VR-SECY-02-0176 - Proposed Rulemaking to Add New Section 10 CFR 50.69, "Risk-Informed Categorization & Treatment of Structures, Systems & Components."	03/28/2003	Diaz N J, Dicus G J, McGaffigan E, Merrifield J S, Meserve R A, Vietti-Cook A L	Publicly Available
ML032130175	Regulatory History Index - Risk-Informed Categorization and Treatment of Structures, Systems, and Components for Nuclear Power Reactor (Proposed 10 CFR 50.69).	08/06/2003	Reed T A	Publicly Available