

RAS 4716

ShawPittman LLP

A Limited Liability Partnership Including Professional Corporations



DOCKETED
USNRC

2002 AUG -5 AM 8: 31

OFFICE OF THE SECRETARY
RULEMAKINGS AND
ADJUDICATIONS STAFF

PAUL A. GAUKLER, ESQ.
(202) 663-8304

July 31, 2002

By Electronic Filing and U.S. Mail

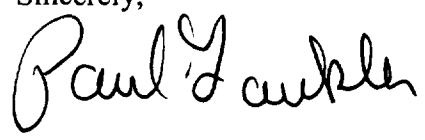
Emile L. Julian
Assistant for Rulemakings and Adjudications
Rulemakings and Adjudications Staff
Office of the Secretary of the Commission
U. S. Nuclear Regulatory Commission
11555 Rockville Pike, One White Flint North
Rockville, MD 20852-2738
Attn: Docketing & Services Branch

Re: Private Fuel Storage – Docket No. 72-22 – ASLBP No. 97-732-02

Dear Mr. Julian:

Today, Private Fuel Storage (“PFS”), the Nuclear Regulatory Commission Staff and the State of Utah are filing a “Joint Report on Status of Utah Contention L/QQ Exhibits and Other Open Items from Hearing Concerning Utah Contention L/QQ” as well as several related hearing exhibits. One of the Exhibits, State Exhibit 197B, contains confidential information. It is clearly identified as such by the stamp “ PFS Confidential Information” on each of the three pages of the document. State Exhibit 197B should be treated as confidential information under 10 C.F.R. § 2.790 in accordance with the instructions of the Atomic Safety and Licensing Board for the PFS proceeding.

If you have any questions, please contact me at (202) 663-8304.

Sincerely,

Paul A. Gaukler

Template = SECY-049

SECY-02

Emile L. Julian

July 31, 2002

Page 2

cc: Michael C. Farrar, Esq.
Dr. Jerry R. Kline
Dr. Peter S. Lam
Sherwin Turk, Esq.
Denise Chancellor, Esq.

July 31, 2002

**UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION**

Before the Atomic Safety and Licensing Board

In the Matter of)	
)	
PRIVATE FUEL STORAGE L.L.C.)	Docket No. 72-22
)	
(Private Fuel Storage Facility))	ASLBP No. 97-732-02-ISFSI

**JOINT REPORT ON STATUS OF UTAH CONTENTION L/QQ EXHIBITS AND
OTHER OPEN ITEMS FROM HEARING CONCERNING UTAH CONTENTION L/QQ**

Pursuant to the discussion at the end of the hearing on July 3, 2002 (Tr. 13,716-719), Applicant Private Fuel Storage ("Applicant" or "PFS"), the Nuclear Regulatory Commission Staff ("NRC Staff"), and the State of Utah ("State") hereby file this joint report regarding open items concerning the exhibits proffered by the parties with respect to Utah Contention L/QQ as well as any other open items concerning Utah Contention L/QQ.

STATUS OF UTAH CONTENTION L/QQ EXHIBITS

The parties have not identified any exhibits that were identified and marked with respect to Contention Utah L/QQ that require a Board ruling on admissibility. The parties have, however, identified several transcript corrections and clarifications that should be made so the record is clear as to the admission of several of the exhibits. These are as follows:

- On transcript page 10549 at line 4, 86B should be changed to 86C.
- On transcript page 10837 at line 2 and line 7, III should be changed to GGG.
- On transcript page 12566, the words "admit PFS Exhibit Nos. 241, 242, and 243" should be inserted at the end of line 22.

Further, State Exhibit 197, admitted by the Board at Tr. 9781, is identified as a confidential document. State Exhibit 197 consists of several documents and PFS is able to release confidentiality of all of them except for the three page EPRI Report Summary. Therefore, the parties are refiled the Exhibit as follows. The portion of the exhibit on which confidentiality has been released is being refiled as State Exhibit 197A and the three page EPRI Report Summary is being refiled as State Exhibit 197B. Exhibits 197A and 197B replace State Exhibit 197 in its entirety. Thus, as refiled with this pleading, State Exhibit 197A is not confidential and may be made part of the public record whereas State Exhibit 197B remains confidential and needs to be handled by the Board and the parties as a confidential document.

In addition, it was decided at the hearing that both the PFS initial exemption request for a 1000-year design basis earthquake (“DBE”) and the amendment of that request for a 2000-year DBE should be made part of the record. See Tr. at 13522-523, 13719. Accordingly PFS is providing the prerequisite number copies of the initial exemption request,¹ which should be marked, identified and admitted as PFS Exhibit 247, as well as the prerequisite number of copies of the subsequent modification to request a 2000-year DBE,² which should be marked, identified and admitted as PFS Exhibit 248.

Also, on another matter, counsel for the Staff had requested at the hearing for identification in one place of those documents that were proprietary. See Tr. at 12981. Including State Exhibits 197 and 197B discussed above, proprietary documents that have been formally marked and identified as part of the record are as follows:

¹ April 2, 1999 Letter from John D. Parkyn (PFS) to Mark Delligati (NRC) re: Request for Exemption to 10 CFR 72.102(f)(1), Seismic Design Requirement.

² August 24, 1999 Letter from John D. Parkyn (PFS) to Mark Delligati (NRC) re: Request for Exemption to 10 CFR 72.102(f)(1), Seismic Design Requirement.

Exhibit No.	Title and Description of Exhibit	Status
PFS Exh. 86	Holtec Report, "PFSF Beyond Design Basis Scoping Analyses," Holtec Report No. HI-2022854, Revision 1, April 19, 2002.	Not Admitted
PFS Exh 86A	Holtec Report, "PFSF Beyond Design Basis Scoping Analyses," Holtec Report No. HI-2022854, Revision 2, June 3, 2002.	Not Admitted
PFS Exh. 86C	Holtec Report, "PFSF Beyond Design Basis Scoping Analyses," Holtec Report No. HI-2022854, Revision 2, June 3, 2002 (modified to delete additional run included in Exh 86A.	Admitted.
State Exh. 173	Holtec Report, "Multi Cask Response at PFS ISFSI from 2000-yr Seismic Event (Rev. 2)," Holtec Report No. HI-2012640, Revision 1, August 20, 2001.	Admitted
State Exh. 176	Excerpts from Holtec Report, "Multi-Cask Seismic Response at the PSF ISFSI," Holtec Report No. HI-971631, May 19, 1997.	Not Admitted
State Exh. 197	Fax dated March 19, 1998 from Max DeLong to John Vincent with various documents attached.	Withdrawn and replaced with State Exhibits 197A and 197B, as discussed above.
State Exh. 197B	EPRI Report Summary, "Validation of EPRI Methodology of Analysis of Spent Fuel Cask Drop and Tipover Events, TR-108760, August 1997.	Admitted

The documents set forth in the above table are proprietary documents and should be maintained by the Board and the parties as confidential documents. In addition, various proprietary documents were distributed to the Board and the parties during the course of the hearing but

were never formally marked and identified with an exhibit number. See, e.g., Tr. at 6007-08. These documents should also be maintained by the Board and the parties as confidential documents.

BINDING OF PRE-FILED TESTIMONY INTO THE TRANSCRIPT

In reviewing the transcripts, the parties have identified several errors with respect to the binding of pre-filed testimonies into the transcript record. First with respect to the “Joint Testimony of Paul J. Trudeau and Anwar E. Z. Wissa on Section C of Unified Contention Utah L/QQ,” although the Transcript at 10834 correctly identifies that the pre-filed testimony of Mr. Trudeau and Dr. Wissa should be inserted there, what in fact is inserted into the Hearing Transcript at that point is the March 6, 2002, Deposition Transcript of Paul J. Trudeau and the March 15, 2002 Deposition Transcript of Anwar E. Wissa. Counsel for PFS and the State have spoken with the court reporter and have been advised that the court reporter will send the Board and the parties the pre-filed testimony of Mr. Trudeau and Dr. Wissa as provided at the hearing which would replace the March 6 and 15, 2002 Deposition Transcripts after page 10834 of the Hearing Transcript. The parties further suggest that the Board should require the court reporter to reissue the transcript with the pre-filed testimony bound therein or take other action to make sure that the official agency record is corrected to include the pre-filed testimony of Mr. Trudeau and Dr. Wissa.

Second, the prefiled testimony of Dr. Marvin Resnikoff on Radiation Dose Consequences – “Amended State of Utah Testimony of Dr. Marvin Resnikoff Regarding Unified Contention Utah L/QQ (Seismic Exemption - Dose Exposure)” – and the prefiled testimony of Dr. Steven Bartlett on design conservatism – “State of Utah Testimony of Dr. Steven Bartlett on Unified Contention Utah L/QQ, Part E (Lack of Design Conservatism) (revised June 5, 2002)” – were not bound into the transcript. The prefiled testimony of Dr. Resnikoff should be bound into the

record after transcript page 12349 and the prefiled testimony of Dr. Bartlett should be bound into the record after transcript page 12776. Counsel for PFS and the State have spoken with the court reporter and have been advised that the court reporter will send the Board and the parties the pre-filed testimonies of Dr. Resnikoff and of Dr. Bartlett as provided at the hearing which should be inserted into the transcript as indicated above. The parties further suggest that the Board should require the court reporter to reissue the transcript with the pre-filed testimonies bound therein or take other action to make sure that the official agency record is corrected to include the pre-filed testimonies of Dr. Resnikoff and of Dr. Bartlett.

The parties are in the process of preparing a similar report for Contention Utah K, which should be filed shortly.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul Gaukler", written over a horizontal line.

Jay E. Silberg
Paul A. Gaukler
Matias F. Travieso-Diaz
Blake J. Nelson
SHAW PITTMAN LLP
2300 N Street, N.W.
Washington, DC 20037
(202) 663-8000
Counsel for Private Fuel Storage, L.L.C.

Dated: July 31, 2002

**UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION**

Before the Atomic Safety and Licensing Board

In the Matter of)	
)	
PRIVATE FUEL STORAGE L.L.C.)	Docket No. 72-22
)	
(Private Fuel Storage Facility))	ASLBP No. 97-732-02-ISFSI

CERTIFICATE OF SERVICE

I hereby certify that copies of the Joint Report On Status Of Utah Contention L/QQ Exhibits And Other Open Items From Hearing Concerning Utah Contention L/QQ were served on the persons listed below (unless otherwise noted) by e-mail with conforming copies by U.S. mail, first class, postage prepaid, this 31st day of July, 2002. I further certify that copies of State Exhibits 197A and 197B and PFS Exhibits 247 and 248 were served by U.S. mail, first class, postage prepaid this 31st day of July, 2002 on the members of the Licensing Board, (Judges Farrar, Kline and Lam), counsel for the Staff (Sherwin Turk), counsel for the State, (Denise Chancellor) and Office of the Secretary.

Michael C. Farrar, Esq., Chairman
Administrative Judge
Atomic Safety and Licensing Board Panel
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001
e-mail: MCF@nrc.gov

Dr. Jerry R. Kline
Administrative Judge
Atomic Safety and Licensing Board Panel
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001
e-mail: JRK2@nrc.gov; kjerry@erols.com

Dr. Peter S. Lam
Administrative Judge
Atomic Safety and Licensing Board Panel
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001
e-mail: PSL@nrc.gov

*Office of Commission Appellate
Adjudication
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001

Office of the Secretary
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001
Attention: Rulemakings and Adjudications
Staff
e-mail: hearingdocket@nrc.gov
(Original and two copies)

Catherine L. Marco, Esq.
Sherwin E. Turk, Esq.
Office of the General Counsel
Mail Stop O-15 B18
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555
e-mail: pfscase@nrc.gov

John Paul Kennedy, Sr., Esq.
David W. Tufts, Esq.
Confederated Tribes of the Goshute
Reservation and David Pete
Durham Jones & Pinegar
111 East Broadway, Suite 900
Salt Lake City, Utah 84105
e-mail: dtufts@djplaw.com

Diane Curran, Esq.
Harmon, Curran, Spielberg &
Eisenberg, L.L.P.
1726 M Street, N.W., Suite 600
Washington, D.C. 20036
e-mail: dcurran@harmoncurran.com

Paul EchoHawk, Esq.
Larry EchoHawk, Esq.
Mark EchoHawk, Esq.
EchoHawk PLLC
P.O. Box 6119
Pocatello, ID 83205-6119
e-mail: paul@echohawk.com

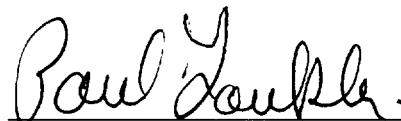
* Adjudicatory File
Atomic Safety and Licensing Board Panel
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555-0001

Denise Chancellor, Esq.
Assistant Attorney General
Utah Attorney General's Office
160 East 300 South, 5th Floor
P.O. Box 140873
Salt Lake City, Utah 84114-0873
e-mail: dchancellor@utah.gov

Joro Walker, Esq.
Land and Water Fund of the Rockies
1473 South 1100 East
Suite F
Salt Lake City, UT 84105
e-mail: utah@lawfund.com

Tim Vollmann, Esq.
Skull Valley Band of Goshute Indians
3301-R Coors Road, N.W.
Suite 302
Albuquerque, NM 87120
e-mail: tvollmann@hotmail.com

* By U.S. mail only



Paul A. Gaukler



Private Fuel Storage, L.L.C.

P.O. Box C4010, La Crosse, WI 54602-4010

Phone 303-741-7009 Fax: 303-741-7806

John L. Donnell, P.E., Project Director

Mr. Mark Delligatti
Spent Fuel Project Office
Office of Nuclear Material Safety and Safeguards
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

April 2, 1999

REQUEST FOR EXEMPTION TO 10 CFR 72.102(f)(1)
SEISMIC DESIGN REQUIREMENT
DOCKET NO. 72-22/TAC NO. L22462
PRIVATE FUEL STORAGE FACILITY
PRIVATE FUEL STORAGE L.L.C.

Private Fuel Storage L.L.C. (PFS) hereby transmits the attached request for exemption in accordance with 10 CFR 72.7, "Specific Exemptions." The purpose of the exemption request is to change the methodology for calculating the design earthquake for the Private Fuel Storage Facility (PFSF) from a deterministic approach to a probabilistic, risk-informed approach. 10 CFR 72.102(b) requires ISFSI sites west of the Rocky Mountain Front to evaluate seismicity by the techniques of 10 CFR 100 Appendix A, which PFS has done. 10 CFR 100 Appendix A uses a deterministic approach for determining the safe shutdown earthquake at the site of a nuclear power plant, also referred to as the design earthquake (DE). 10 CFR 72.102(f)(1) states "For sites that have been evaluated under the criteria of Appendix A of 10 CFR part 100, the DE must be equivalent to the safe shutdown earthquake (SSE) for a nuclear power plant." PFS requests exemption from the requirements of 10 CFR 72.102(f)(1) which specify that the design earthquake at the PFSF site, which is west of the Rocky Mountain Front, be equivalent to the safe shutdown earthquake for a nuclear power plant calculated using the deterministic methods of 10 CFR 100 Appendix A. PFS requests use of a probabilistic seismic hazard analysis along with consideration of risk to establish the design earthquake at the PFSF.

The use of probabilistic techniques and a risk-informed approach are compatible with the direction provided by the Commission on Direction Setting Issue 12, "Risk-Informed, Performance-Based Regulation", as well as that reflected in the Commission's adoption of probabilistic approaches for the geological and seismic siting of more sensitive nuclear power plants. The analysis provided by PFS relies on widely accepted probabilistic seismic hazard analysis techniques that are consistent with the recent seismic design requirements providing for probabilistic seismic analysis in Parts 50 and 100 that apply to new nuclear power plants, and in Part 60 that applies to the disposal of high-level radioactive wastes in geologic repositories. In

addition, the relative risk of the PFSF warrants a design earthquake with lower peak ground accelerations than that calculated using the 10 CFR 100 Appendix A methodology.

The detailed exemption request is attached, which sets forth the basis for changing from deterministic to a probabilistic risk-informed methodology for establishing the design earthquake. Also attached is the report (by Geomatrix Consultants, Inc.) presenting the results of applying the probabilistic seismic hazard analysis methodology to the PFSF site.

Upon approval of the exemption request, PFS commits to the submittal of PFSF site specific storage cask stability analyses for the HI-STORM and TranStor storage casks on the concrete storage pads to quantify the degree of cask sliding or tipping movement, if any, that would result from the new design earthquake. In addition, the storage pads and Canister Transfer Building will be reanalyzed, or the existing design confirmed to be conservative, for the new design earthquake.

Should you have any questions concerning this exemption request, please contact myself at 608-787-1236 or our project director, Mr. John Donnell, at 303-741-7009.

Sincerely,



John D. Parkyn, Chairman
Private Fuel Storage L.L.C.

Attachments

cc:

John Donnell

Jay Silberg

Sherwin Turk

Asadul Chowdhury

Murray Wade

Scott Northard

Denise Chancellor

Richard E. Condit

John Paul Kennedy

Joro Walker

REQUEST FOR EXEMPTION TO 72.102(f)(1) SEISMIC DESIGN REQUIREMENT FOR THE PRIVATE FUEL STORAGE FACILITY

BACKGROUND

The purpose of this submittal is to change the methodology for calculating the design earthquake for the Private Fuel Storage Facility (PFSF) from a deterministic approach to a probabilistic, risk-informed approach. The design earthquake presented in the PFSF SAR was calculated in accordance with the requirements of 10 CFR 72.102. 10 CFR 72.102(b) requires ISFSI sites west of the Rocky Mountain Front to evaluate seismicity by the techniques of 10 CFR 100 Appendix A. 10 CFR 100 Appendix A uses a deterministic approach for determining the safe shutdown earthquake at the site of a nuclear power plant, also referred to as the design earthquake (DE). 10 CFR 72.102(f)(1) states "For sites that have been evaluated under the criteria of Appendix A of 10 CFR part 100, the DE must be equivalent to the safe shutdown earthquake (SSE) for a nuclear power plant."

Recently, the NRC has revised its regulations (10 CFR Parts 50 and 100) to permit calculation of the design earthquake at new nuclear power plants based on probabilistic seismic hazard analysis (PSHA) methodology, instead of the deterministic methodology presented in 10 CFR 100 Appendix A. The NRC issued Regulatory Guide 1.165 (Reference 1) to provide guidance on PSHA methodology. In addition, the NRC recently amended 10 CFR Part 60 to permit use of probabilistic, risk-informed methodology in designing for hazards (including seismic) at the high-level radioactive waste geologic repository.

While the NRC has indicated that it plans to amend 10 CFR 72.102 to permit use of PSHA methodology and a risk-informed approach to calculate the DE at ISFSI sites, it is unlikely that the rulemaking will be completed before issuance of the PFSF license. Therefore, PFS is requesting an exemption from 10 CFR 72.102(f)(1), which requires that the DE at an ISFSI be equivalent to the SSE for a nuclear power plant. The exemption would permit the DE at the PFSF to be calculated using the more recent PSHA methodology, in accordance with the guidance in Regulatory Guide 1.165, and applying the risk-informed approach of 10 CFR Part 60.

PFS has determined that there is an adequate safety basis for an exemption to the requirements of 10 CFR 72.102(f)(1), supported by a site-specific radiological risk analysis, as discussed below. The exemption would be consistent with Commission policy and regulations applicable to other facilities (i.e. nuclear power plants and high level waste geologic repositories) that carry greater risk than a Part 72 facility. Considering the minor radiological consequences of accidents analyzed at the PFSF, PFS considers that the present Part 72 requirement for calculating the design earthquake is an unnecessary regulatory

burden. PFS considers that the use of probabilistic techniques and a risk-informed approach are compatible with the direction provided by the Commission on Direction Setting Issue 12, "Risk-Informed, Performance-Based Regulation" (Reference 2).

The probabilistic, risk-informed approach for establishing the PFSF DE described below is based on calculating the magnitude of a seismic event with a recurrence interval of 1,000 years. Use of a 1,000 year recurrence interval is justified in the PSHA based on dose consequences of accidents at the PFSF and consideration of relative risk, discussed below.

DISCUSSION

10 CFR 72.102(b) requires ISFSI sites west of the Rocky Mountain front, such as the PFSF site, to have seismicity evaluated by the techniques of Appendix A of 10 CFR Part 100, also known as a deterministic seismic hazard analysis (DSHA). PFS has evaluated seismicity of the PFSF site in accordance with 10 CFR 100 Appendix A. Appendix A calculates, based on site-specific investigations, the largest credible earthquake likely to affect a site, regardless of the probability of this event through time. Section 72.102(f)(1) states, "For sites that have been evaluated under the criteria of Appendix A of 10 CFR Part 100, the design earthquake must be equivalent to the safe shutdown earthquake (SSE) for a nuclear power plant." In this context, "DE" and "SSE" refer to the design peak ground acceleration (PGA), with an appropriate response spectrum, caused by the largest credible earthquake.

PFS performed a DSHA in accordance with the requirements of 10 CFR 72.102(f)(1), to calculate the magnitude of the design earthquake at the PFSF, as discussed in the PFSF SAR. PFSF SAR (Rev. 2) Section 3.2.10.1.1 describes the results of this methodology, indicating that the DSHA for the PFS site yields resultant PGA values for an SSE of 0.67 g in two directions of the horizontal plane and 0.69 g in the vertical plane, with an appropriate response spectrum:

Recent highly detailed seismological studies have found additional faulting in the vicinity of the PFSF site (Reference 3). If these faults were accounted for in the DSHA, the resulting PGA values would be slightly higher (approximately 10%) than those presently published in the SAR. The PSHA that is proposed to establish the DE at the PFSF, as discussed in the following paragraphs, does account for these faults.

When 10 CFR Part 72 was first promulgated in 1980, ISFSIs were largely envisioned to be spent fuel pools or single, massive dry storage structures. A DE equivalent to a nuclear power plant SSE seemed appropriate for these facilities, given the potential accident scenarios. Furthermore, for ISFSIs to be located at a nuclear power plant, the DE value was readily available without additional site

characterization work, save the geotechnical investigation at the specific ISFSI location. However, an ISFSI storing spent fuel in dry casks is inherently less hazardous and less vulnerable to earthquake-initiated accidents than is an operating nuclear power plant (Reference 4).

The U.S. Nuclear Regulatory Commission recognized this reduced vulnerability in the initial Part 72 "Statements of Consideration," and stated that the DE for cask and canister technology need not be as high as a nuclear power plant SSE: "For ISFSIs which do not involve massive structures, such as dry storage casks and canisters, the required design earthquake will be determined on a case-by-case basis until more experience is gained with licensing these types of units" (45 FR 74697).

Both the HI-STORM and TranStor canisters that will be stored at the PFSF are new "multi-purpose" canisters designed for transport as well as storage, which by virtue of their rugged design are less vulnerable to earthquake initiated accidents. Their rugged design is demonstrated to be capable of withstanding stresses resulting from a 30 ft drop of the transport cask, required by 10 CFR 71.73, as well as the hypothetical storage cask tipover accident. Seismic accelerations impose relatively low stresses on the canisters in comparison with those associated with the cask drop and tipover accidents.

On January 10 1997, 10 CFR Parts 50 and 100 were revised to allow the use of the probabilistic seismic hazard assessment (PSHA) methodology to address uncertainties inherent in determining nuclear power plant seismic design values. These revisions were accomplished through the addition of 10 CFR 100.23 and Part 50, Appendix S. The PSHA method considers the frequency, as well as magnitude, of earthquakes that may affect a site. Rather than base seismic design on the largest ground motion likely to ever affect a site, a PSHA derives a site-specific hazard curve showing ground motion level versus annual probability of exceedence or, inversely, ground motion return period. The NRC issued Regulatory Guide 1.165 to provide guidance on calculation of the DE using PSHA techniques.

Since 10 CFR 72.102 currently requires that seismicity be evaluated by the deterministic techniques of Appendix A of Part 100, applicants for ISFSI licenses are not able to utilize the improvements promulgated in the amendments to Part 100 and must follow the rules that applied to nuclear power plants before these amendments. In the proposed rulemaking for Part 72 (Reference 5) however, the staff has proposed to modify the Part 72 seismic requirement to a level commensurate with the risks of cask and canister ISFSIs by providing for the use of PSHA methodology.

In addition, the seismic design philosophy in 10 CFR Part 60 for high-level waste repository surface facilities (also known as the Design Basis Event (DBE) rulemaking) is based on a PSHA. On January 3, 1997, the definitions of design

basis event and important-to-safety in Part 60 were revised to allow a probabilistic, risk-informed approach in designing for hazards (including seismic) at a geologic repository, with two design levels based on risk (61 FR 64257). This set an NRC precedent by accepting a risk-informed approach in licensing an above-ground facility (preclosure operations area of the high level waste repository) intended to temporarily store spent nuclear fuel quite similar to an ISFSI licensed under 10 CFR 72. For seismic events, the staff has accepted a two-tier approach toward designing Part 60 structures, systems, and components (SSCs). This approach is summarized in the following quotes from the NRC staff.

In SECY-98-126, Reference 5, concerning the NRC's rulemaking for geological and seismological characteristics for siting and design of dry cask ISFSIs under 10 CFR 72, the NRC staff states under Option 3, its preferred option for amending Part 72, the following related to the Part 60 design basis event rulemaking:

"The specific approach proposed for dry cask ISFSI systems, structures, and components would be comparable to the 10 CFR Part 60 graded approach to design ground motion for SSCs of pre-closure facilities. This graded approach would allow the structures, systems, and components of dry cask ISFSIs to be designed to either Frequency-Category-1 design basis events or Frequency-Category-2 design basis events, depending upon their importance-to-safety. For seismic events, the staff has accepted the approach described in DOE Topical Report YMP/TR-003-NP, Rev. 2, Preclosure Seismic Design Methodology for a Geologic Repository at Yucca Mountain, pertaining to 10 CFR Part 60. In this approach, Frequency-Category-1 design basis ground motion refers to a mean annual probability of exceedance of $1.0\text{E-}03$, which corresponds to a 1,000-year return period. Frequency-Category-2 design basis ground motion refers to a mean annual probability of exceedance of $1.0\text{E-}04$, which corresponds to a 10,000-year return period."

In SECY-98-071, Reference 6, regarding DOE's request for an exemption from the deterministic seismic design requirements of 10 CFR 72.102(f)(1) for an ISFSI that would store TMI-2 spent fuel at INEEL, the NRC staff states:

"With the Part 60 Design basis event rulemaking, NRC adopted a graded approach similar to DOE Standard 1020 for natural hazard characterization and design. The Design basis event rulemaking defined a framework for two SSC design categories for repository surface facilities. For seismic events, the staff has accepted DOE's approach of designing SSCs with failure consequences within the public dose limit of 10 CFR 20.1301(a)(1), 1 mSv (100 mrem), to withstand the 1000-year return period mean ground motion. Meanwhile, SSCs with higher potential accident doses must be designed to withstand the 10,000-year return period mean ground motion."

PFS proposes to apply this same approach to establishing the DE at the PFSF. A detailed site specific seismic evaluation of the PFSF was performed, in accordance with the NRC's guidance in Regulatory Guide 1.165. This is compatible with the NRC's current requirements for establishing the DE at a new nuclear power plant site, and in keeping with the staff's plans for establishing DEs at dry cask storage ISFSIs in the future.

Applying the PSHA methodology of Regulatory Guide 1.165, the design earthquake was calculated at the PFSF site for a recurrence interval of 1,000 years. The attached report, prepared by Geomatrix Consultants, Inc., documents the results of this calculation. PFS proposes that the DE for the PFSF be calculated based on PSHA methodology for the 1,000 year recurrence interval, based on consideration of the relative risk associated with this event.

The bounding consequences of a major seismic event at the PFSF using the HI-STORM and TranStor systems technology are limited by a storage cask tipover event, although this would only occur at a ground motion well above the 0.67g horizontal and 0.69 g vertical PGA values presented in PFSF SAR (Rev. 2) Section 3.2.10.1.1. While cask tipover is not a credible event at the PFSF, the canisters are designed to withstand the stresses resulting from a non-mechanistic cask tipover event with no breach and no release of radioactive material from inside the canister. Hypothetical cask tipover accidents are analyzed in Section 8.2.6 of the PFSF SAR (Rev. 2).

PFS analyses of hypothetical, non-mechanistic accidents, beyond the design basis, involving leakage from the canisters calculate off-site doses well below the 0.05 Sv (5 rem) whole body dose limit of 10 CFR 72.106(b). In its second round RAI response letter (Reference 7), PFS presented an analysis of the effects of such a beyond-design basis accident involving failure of a SSC important to safety in which a canister is postulated to leak continuously for 30 days under hypothetical accident conditions with 100% of the fuel rod cladding assumed to have failed, in accordance with the NRC's Interim Staff Guidance-5. The response to RAI 7-1 shows that the total effective dose equivalent (TEDE) from this accident to an off-site individual was calculated to be 74.9 mrem. This analysis conservatively assumed that the individual was continuously located at the PFSF owner controlled area boundary for 30 days. The dose from this hypothetical accident condition, for which no credible mechanism has been identified, is not only well below the 0.05 Sv (5 rem) siting evaluation factor of 10 CFR 72.106(b), but also below the 100 mrem public dose limit of 10 CFR 20.1301(a)(1). The results of this accident analysis will be incorporated into a future revision to the PFSF SAR Section 8.2.7, replacing the hypothetical canister breach accident which will be removed from the SAR in accordance with the NRC's Interim Staff Guidance-3.

This 74.9 mrem TEDE represents the maximum dose from any accident analyzed for the PFSF that will be in the PFSF licensing basis. Based on the NRC's risk-informed policy for establishing the DE stated in the above SECY documents, the 1,000 year seismic recurrence interval is appropriate and conservative for use at the PFSF since worst-case accident consequences are below the 10 CFR 20.1301(a)(1) public dose limit of 100 mrem.

This recurrence interval is the same as that selected by the DOE for preclosure seismic design of important-to-safety SSCs for Frequency-Category 1 design basis events at the Yucca Mountain high level waste geologic repository in Reference 8, which the NRC staff accepted. As stated by the DOE in Reference 8, use of a 1,000 year recurrence interval represents a conservative translation of the qualitative frequency description of Frequency-Category 1 design basis events in 10 CFR 60, i.e., "events that are reasonably likely to occur regularly, moderately frequently, or one or more times before permanent closure of the geologic repository operations area." The use of a 1,000 year recurrence interval would be similarly conservative for the PFSF. In addition, the license for the PFSF will be for 20 years with the potential for license renewal for another 20 years per 10 CFR 72.42, or up to 40 years, which is a shorter duration than the 150 years considered in Reference 8 (Section 3.1.1) for the Yucca Mountain preclosure facility.

Thus, use of a 1,000 year recurrence interval for the PFSF will be conservative and appropriate. As documented in the attached report prepared by Geomatrix Consultants, Inc., the DE calculated using the methodology of Regulatory Guide 1.165 for the 1,000 year recurrence interval is characterized by 0.40 g horizontal and 0.39 g vertical PGAs.

CONCLUSION

PFS has completed both a DSHA and a PSHA for the PFSF site. As discussed in Section 8.2.1 of the PFSF SAR (Rev. 2), the current SSE design basis of 0.67g developed by the deterministic method required by 10 CFR 72.102(f)(1) would not result in cask tipover and no radioactivity would be released. Moreover, even if a cask tipover did occur there is no credible scenario under which the canister confinement barrier would be breached and radioactivity would be released. Based on this absence of radiological consequences from any credible seismic event and the minor radiological consequences from hypothetical beyond-design basis accidents, the present Part 72 requirement for an ISFSI DE is considered an unnecessary regulatory burden. A PSHA was performed using the methodology permitted by 10 CFR 100.23 and 10 CFR 50 Appendix S for new nuclear power plants, applying the guidance of Regulatory Guide 1.165 (documented in the attached report prepared by Geomatrix Consultants, Inc.), resulting in the DE with a 1,000-year recurrence interval to be 0.40 g horizontal and 0.39 g vertical PGA.

The 1,000-year recurrence interval is justified by the low consequences of a worst-case hypothetical beyond-design basis accident at the PFSF, having dose consequences below the 100 mrem TEDE public dose limit of 10 CFR 20.1301(a)(1). Given the absence of radiological consequences from any credible seismic event, it is considered that application of the probabilistic risk-informed approach for calculating the seismic hazard, that the NRC staff adopted in the Part 60 rulemaking, is adequately conservative for the PFSF. Moreover, the expected life span of the PFSF, 20 years with the potential for renewal for another 20 years per 10 CFR 72.42, justifies use of this ground motion as the DE.

The PFSF DE is calculated in accordance with the latest probabilistic methodology that applies to new nuclear power plants, using the risk-informed approach determined to be acceptable in the Part 60 rulemaking that applies to preclosure facilities of Yucca Mountain, considered to be similar to an ISFSI with dry cask storage. Thus, while reducing regulatory burden, granting the requested exemption from 10 CFR 72.102(f)(1) will still maintain an adequate design margin for seismic events and will not be inimical to public health and safety.

REFERENCES

1. U.S. NRC Regulatory Guide 1.165, "Identification and Characterization of Seismic Sources and Determination of Safe Shutdown Earthquake Ground Motion," March 1997.
2. U.S. NRC Direction Setting Issue 12 of the Commissions Strategic Assessment Issue Paper, release date: September 16, 1996.
3. Geomatrix Consultants, Inc., Fault Evaluation Study and Seismic Hazard Assessment, Private Fuel Storage Facility, Skull Valley Utah; Final Report, February 1999.
4. Hossain, QA. A.H. Chowdhury, M.P. Hardy, K.S. Mark, J.E. O' Rourke, W.J. Silva, J.C. Stepp, and F.H. Swan, III, "Seismic and Dynamic Analysis and Design Considerations for High-Level Nuclear Waste Repositories," J.C. Stepp, ed., American Society of Civil Engineers, New York, New York, 1997.
5. U.S. NRC SECY-98-126, from L. Joseph Callan (EDO) to the Commissioners, "Rulemaking Plan: Geological and Seismological Characteristics for Siting and Design of Dry Cask Independent Spent Fuel Storage Installations, 10 CFR Part 72, dated June 4, 1998.
6. U.S. NRC SECY-98-071, from L. Joseph Callan (EDO) to the Commissioners, "Exemption to 10 CFR 72.102(f)(1) Seismic Design Requirement for Three Mile Island Unit 2 Independent Spent Fuel Storage Installation, dated April 8, 1998.
7. PFS letter J.D. Parkyn to U.S. NRC Director of Office of Nuclear Material Safety and Safeguards, "Response to Request for Additional Information;" dated February 10, 1999.
8. DOE Topical Report YMP/TR-003-NP, Rev. 2, "Preclosure Seismic Design Methodology for a Geologic Repository at Yucca Mountain", August 1997.

Development of Design Ground Motions for the Private Fuel Storage Facility

Private Fuel Storage Facility

Skull Valley, Utah

Prepared for:

Stone & Webster Engineering Corporation

P.O. Box 5406

Denver, Colorado 80217-5406

Prepared by:

Geomatrix Consultants, Inc.

100 Pine Street, 10th Floor

San Francisco, California 94111

(415) 434-9400

March 1999

Project No. 4790


SWEC #0596602-18
GMX #4790 (REV. 0)

**DEVELOPMENT OF DESIGN GROUND MOTIONS FOR THE PRIVATE
FUEL STORAGE FACILITY**

Prepared for:


**Private Fuel Storage Facility
Private Fuel Storage, LLC**

Prepared by:


Robert R. Youngs

Date: 3/29/99

Reviewed by:


Kevin J. Coppersmith

Date: 3/29/99

Approved by:


Kevin J. Coppersmith

Date: 3/29/99

**Quality Assurance Category I
Geomatrix Consultants, Inc.
San Francisco, California**

TABLE OF CONTENTS

	Page
1.0 INTRODUCTION	1
2.0 APPLICATION OF REGULATORY GUIDE 1.165	1
2.1 APPROACH.....	1
2.2 STEP 1: EQUAL-HAZARD SPECTRA	1
2.3 STEP 2: DETERMINATION OF \bar{M} AND \bar{D}	2
2.4 STEP 3: SCALING SITE-SPECIFIC SPECTRAL SHAPES TO EQUAL-HAZARD SPECTRA.....	3
3.0 INCORPORATION OF NEAR-SOURCE EFFECTS.....	3
4.0 DESIGN GROUND MOTION RESPONSE SPECTRA.....	5
5.0 REFERENCES	6

TABLES

Table 1	Design Ground Motion Response Spectra
---------	---------------------------------------

FIGURES

Figure 1	Equal hazard response spectra (5% damping) for the Skull Valley site
Figure 2	Percent contributions of events is specified magnitude and distance bins to the hazard.
Figure 3	Comparison of equal-hazard response spectra and scaled spectral shapes.
Figure 4	Design ground motion response spectra (5% damping) based on 1,000-year return period hazard.
Figure 5	Design ground motion response spectra (5% damping) based on 2,000-year return period hazard.

DEVELOPMENT OF DESIGN GROUND MOTIONS

Private Fuel Storage Facility
Skull Valley, Utah

1.0 INTRODUCTION

This report documents the development of design ground motion response spectra for the Skull Valley Private Fuel Storage site based on the result of the probabilistic seismic hazard analysis conducted for the site (Geomatrix Consultants, Inc., 1999). The transformation from the equal-hazard response spectra to design ground motions involves application of USNRC Regulatory Guide 1.165 (USNRC, 1997) procedures and, for this site, incorporation of near-source ground motion effects.

2.0 APPLICATION OF REGULATORY GUIDE 1.165

2.1 APPROACH

Appendix F of USNRC Regulatory Guide 1.165 describes how design ground motion response spectra are to be defined based on a probabilistic seismic hazard analysis. The steps involved when using site-specific response spectra are:

1. Using the specified probability level, develop an equal-hazard response spectrum from the results of a probabilistic seismic hazard analysis (PSHA) for the site.
2. From the results of the PSHA, determine the mean magnitude, \overline{M} , and mean distance, \overline{D} , for events contributing to the design ground motion level hazard at spectral frequencies of 5 to 10 Hz and 1 to 2.5 Hz. The procedure to be used is described in Appendix C of USNRC Regulatory Guide 1.165.
3. Develop appropriate site-specific response spectra shapes for the events defined by \overline{M} and \overline{D} from step 2. Scale these spectral shapes to the spectral acceleration levels for the average of motions for 5 to 10 Hz and the average of motions for 1 to 2.5 Hz. The envelop of the scaled spectra and the equal-hazard spectra then defines the design-basis ground motion response spectrum.

2.2 STEP 1: EQUAL-HAZARD SPECTRA

Geomatrix Consultants, Inc. (1999) presents the PSHA analysis for the Skull Valley Private Fuel Storage Facility site. The hazard results presented in that analysis are for free-field motions at the ground surface accounting for the estimated local site effects. Using these results, equal-hazard response spectra were developed for return periods of 1,000 years and

2,000 years (mean annual probabilities of exceedance of 1×10^{-3} and 5×10^{-4} , respectively). These spectra are shown on Figure 1.

2.3 STEP 2: DETERMINATION OF \bar{M} AND \bar{D}

The procedure to be used for determining \bar{M} and \bar{D} is described in Appendix C of USNRC Regulatory Guide 1.165. The process involves computing the contribution to the total hazard at the specified design level from events in discrete magnitude and distance bins. These relative contributions are multiplied times the average magnitude and distance for each bin, and the product summed over all bins to compute a weighted average magnitude, \bar{M} , and log average distance, \bar{D} , of the events contributing to the design level hazard. Two spectral frequency ranges are used, the average of motions at 5 and 10 Hz (0.2 and 0.1 sec. periods, respectively) and the average of motions at 1 and 2.5 Hz (1.0 and 0.4 sec. periods, respectively). Appendix C of USNRC Regulatory Guide 1.165 specifies the size of the magnitude and distance bins appropriate for the evaluation of sites in the central and eastern United States and indicates that other bin sizes may be necessary. Because the hazard at the Skull Valley site is primarily due to magnitude 6 to 7.25 events occurring on the nearby faults, a reduced magnitude and distance bin size was used to provide a more accurate representation of the contributions to the hazard. The magnitude bin size was set to 0.25 magnitude units centered on each $\frac{1}{4}$ magnitude from 5 to 8, and the distance bins were set to: 0-5 km, 5-10 km, 10-15 km, 15-20 km, 20-25 km, 25-30 km, 30-50 km, 50-75 km, 75-100 km, 100-150 km, and 150-200 km.

Figure 2 shows the computed percent contributions to the hazard for each of the specified return periods, spectral frequency ranges, and horizontal and vertical motions. These results indicate that the hazard is due principally to earthquakes occurring within 15 km of the site. Because the contribution from events at distances greater than 100 km is less than 1 percent in all cases, the special provisions for distant sources described in Appendix C of USNRC Regulatory Guide 1.165 need not be applied. The computed values of \bar{M} and \bar{D} are:

Ground Motion Parameter	Spectral Frequency Range	\bar{M}	\bar{D} (km)
1,000-year horizontal	5 – 10 Hz	6.3	5
	1 – 2.5 Hz	6.4	5
1,000-year vertical	5 – 10 Hz	6.4	6
	1 – 2.5 Hz	6.4	7
2,000-year horizontal	5 – 10 Hz	6.3	4
	1 – 2.5 Hz	6.5	4
2,000-year vertical	5 – 10 Hz	6.5	6
	1 – 2.5 Hz	6.5	6

2.4 STEP 3: SCALING SITE-SPECIFIC SPECTRAL SHAPES TO EQUAL-HAZARD SPECTRA

Free-field ground surface response spectral shapes were developed for each of the \bar{M} and \bar{D} pairs listed above using the ground motion attenuation relationships developed for computing the hazard (Geomatrix Consultants, Inc., 1999). The spectral shapes were developed by computing 84th-percentile response spectra for each \bar{M} and \bar{D} using a weighted combination of the attenuation relationships and then dividing the resulting spectral accelerations by the computed 84th-percentile peak acceleration. The weights assigned to each of the relationships are given in Appendix F, Table F-1 of Geomatrix Consultants, Inc. (1999). These relationships have been adjusted for local site effects as described in Appendix F of Geomatrix Consultants, Inc. (1999).

Figure 3 shows the results of scaling these spectral shapes to the appropriate response spectral accelerations for each equal-hazard spectrum. In general, enveloping the three response spectra results in, at most, only minor increases in the ground motions above those specified by the equal hazard spectra. These increases arise, in part, from including more spectral frequencies in the spectral shapes than were used to compute the equal-hazard spectra, providing better interpolation and smoother spectral shapes.

3.0 INCORPORATION OF NEAR-SOURCE EFFECTS

The hazard at the Skull Valley site is due to the occurrence of large-magnitude earthquakes on nearby faults. Recent studies, focused primarily on strike-slip earthquakes, have indicated that there are effects of rupture directivity on strong ground motions that are observable and

systematic in the near field of large earthquakes. These effects have been quantitatively defined by Somerville and others (1997) using empirical data. They describe two effects, one resulting from directivity of rupture (a Doppler effect) and one representing a systematic difference between fault-normal and fault-parallel motions (the horizontal response spectral attenuation relationships used to define the equal-hazard response spectra and the spectral shapes shown on Figure 3 represent the geometric mean of the two horizontal components). The effects first become significant at a spectral frequency of 1.67 (0.6-second period) and increase with decreasing spectral frequency (increasing period).

The magnitude of these effects is related to the size of the earthquake and to the geometric relationship between the site, the length of the rupture, and the location of the point of rupture initiation. For dip-slip faults, these are parameterized by the term $y \cos(\phi)$, where ϕ is the angle between the rupture surface and a line drawn from the point of rupture initiation and the site and y is the distance from the point of rupture initiation to the site measured along the fault divided by the length of rupture measured in the direction of slip (for dip slip faults, the rupture width). Because most large normal faulting earthquakes appear to initiate near the base of the seismogenic crust, sites located on the fault trace will have $\phi = 0$ and y near 1.0, and will thus experience the maximum effect of both directivity and systematic fault-normal-to-fault-parallel differences in ground motion.

The impact of these effects on the spectra shown on Figure 3 was evaluated by considering the contributions of the different sources to the total hazard at return periods of 1,000 and 2,000 years. From Figure 6-12 of Geomatrix Consultants, Inc. (1999), the majority of the hazard for horizontal motions comes from the four nearby faults: the East, West, Stansbury, and East Cedar Mountains faults. For each fault, the parameters ϕ and y were conservatively set to the values associated with rupture at the closest point on the faults, with rupture initiation occurring at the base of the seismogenic crust. Thus, y was set equal to 1.0 for all faults and ϕ was set to 1.6°, 3.0°, 19.5°, and 54.9° for the East, West, Stansbury, and East Cedar Mountains faults, respectively. The appropriate adjustment factor for each fault was computed using the relationships presented in Somerville and others (1997) and the mean magnitude contributing to the hazard for each fault. The hazard curves for each fault were then scaled in the horizontal (ground motion) direction by these factors and then reinterpreted to obtain frequencies of exceedance at common ground motion levels. These were, in turn, summed to obtain a new composite hazard curve for these faults and the result added to the hazard from all other sources to obtain an adjusted total hazard for horizontal ground

motions. An additional source of some conservatism in this process is the fact that the standard deviation in the ground motions should be slightly reduced because the inclusion of a systematic directivity effect should improve the ability of the attenuation relationships to predict the observed ground motion data. However, this effect has not been evaluated for dip-slip faults and has been ignored in this analysis.

The adjusted hazard curves were then interpolated to obtain spectral accelerations for return periods of 1,000 and 2,000 years. The resulting ratios of the adjusted to unadjusted spectral accelerations are:

Ratio of Near-Field Adjusted to Unadjusted Spectral Accelerations

Return Period	Spectral Period (sec)	Directivity only	Directivity plus Fault-Normal/Average	Directivity plus Fault-Parallel/Average
1,000 years	1.0	1.05	1.10	1.00
	2.0	1.10	1.27	1.02
	4.0	1.16	1.53	1.04
2,000 years	1.0	1.05	1.11	1.01
	2.0	1.13	1.25	1.03
	4.0	1.19	1.54	1.01

4.0 DESIGN GROUND MOTION RESPONSE SPECTRA

Design ground motion response spectra were developed by scaling the envelop of the response spectra shown on Figure 3 by the near-fault effects adjustment factors listed above. Ratios for intermediate frequencies were obtained by linear interpolation on log(period), with the ratio set to 1.0 for all periods less than 0.6 second (frequencies greater than 1.67 Hz). For vertical motions it was assumed that the near-fault effect for directivity only found for horizontal motions applies. The resulting response spectra are shown on Figures 4 and 5 and are tabulated in Table 1.

5.0 REFERENCES

- Geomatrix Consultants, Inc., 1999, Fault evaluation study and seismic hazard assessment, Private Fuel Storage Facility, Skull Valley, Utah: report prepared for Stone & Webster Engineering Corporation, February, 3 vols.
- Somerville, P.G., Smith, N.F., Graves, R.W., and Abrahamson, N.A., 1997, Modification of empirical strong ground motion attenuation relations to include the amplitude and duration effects of rupture directivity: Seismological Research Letters, v. 68, p. 199-222.
- USNRC, 1997, Regulatory Guide 1.165 Identification and characterization of seismic sources and determination of safe shutdown earthquake ground motions: U.S. Nuclear Regulatory Commission, March.

TABLE 1
DESIGN GROUND MOTION RESPONSE SPECTRA
Skull Valley Private Fuel Storage Facility
Skull Valley, Utah

1,000-year Return Period Spectral Accelerations (g, 5% damping)				
Period (sec)	Horizontal		Period (sec)	Vertical
	Fault Normal	Fault Parallel		
PGA	0.404	0.404	PGA	0.391
0.03	0.404	0.404	0.02	0.391
0.05	0.500	0.500	0.05	0.761
0.075	0.631	0.631	0.075	0.932
0.1	0.792	0.792	0.1	1.001
0.15	0.995	0.995	0.15	0.952
0.2	1.086	1.086	0.2	0.791
0.3	1.060	1.060	0.3	0.547
0.4	0.964	0.964	0.4	0.419
0.5	0.868	0.868	0.5	0.333
0.75	0.615	0.591	0.75	0.211
1.0	0.425	0.389	1.0	0.138
1.5	0.265	0.225	1.5	0.0814
2.0	0.191	0.154	2.0	0.0579
3.0	0.120	0.0875	3.0	0.0362
4.0	0.0924	0.0627	4.0	0.0283
2,000-year Return Period Spectral Accelerations (g, 5% damping)				
Period (sec)	Horizontal		Period (sec)	Vertical
	Fault Normal	Fault Parallel		
PGA	0.528	0.528	PGA	0.533
0.03	0.528	0.528	0.02	0.533
0.05	0.662	0.662	0.05	1.030
0.075	0.835	0.835	0.075	1.268
0.1	1.046	1.046	0.1	1.369
0.15	1.317	1.317	0.15	1.296
0.2	1.437	1.437	0.2	1.104
0.3	1.406	1.406	0.3	0.780
0.4	1.284	1.284	0.4	0.594
0.5	1.166	1.166	0.5	0.476
0.75	0.851	0.814	0.75	0.306
1.0	0.605	0.547	1.0	0.203
1.5	0.379	0.323	1.5	0.123
2.0	0.272	0.223	2.0	0.0882
3.0	0.179	0.128	3.0	0.0557
4.0	0.138	0.0908	4.0	0.0440

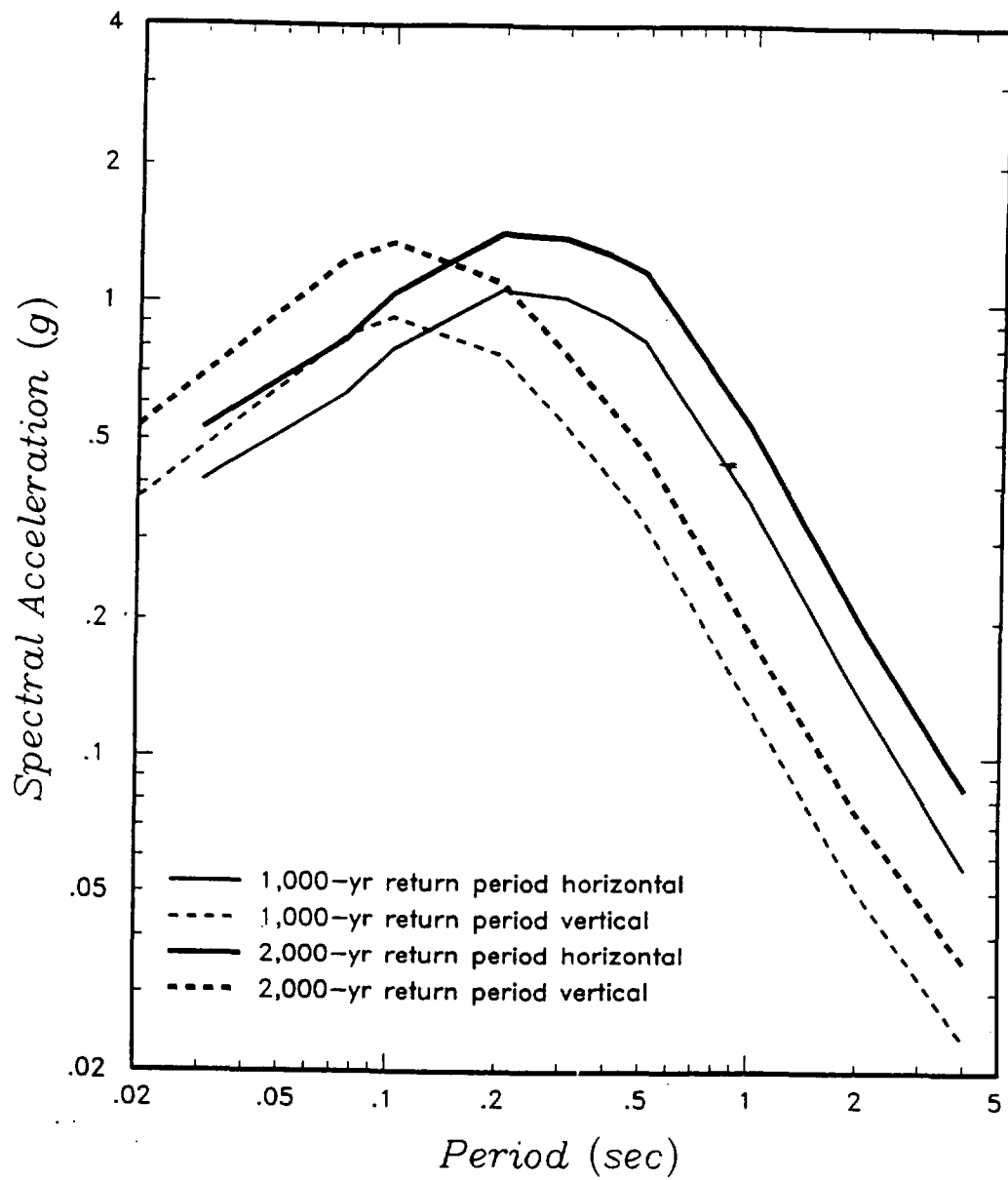
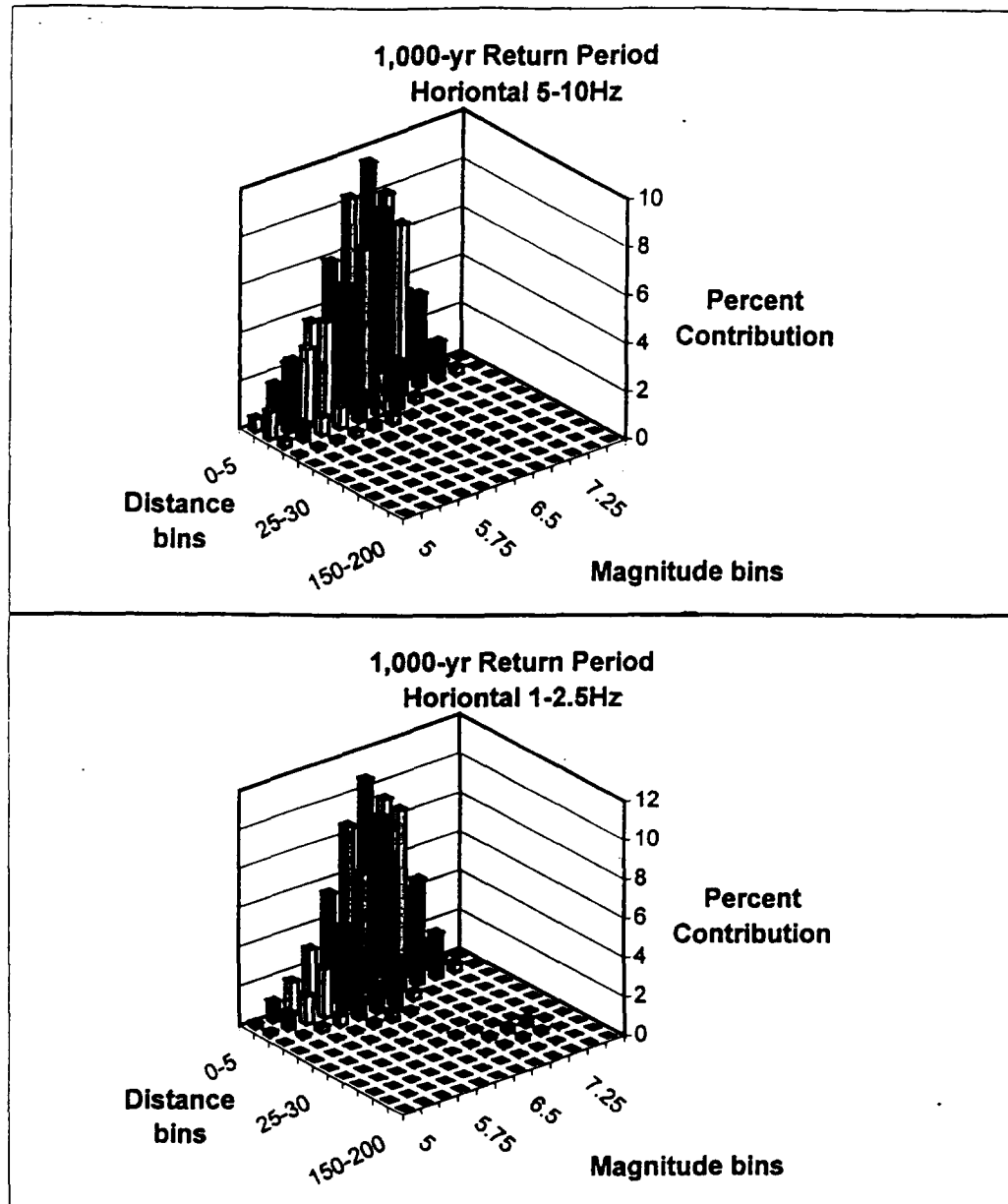


Fig 1

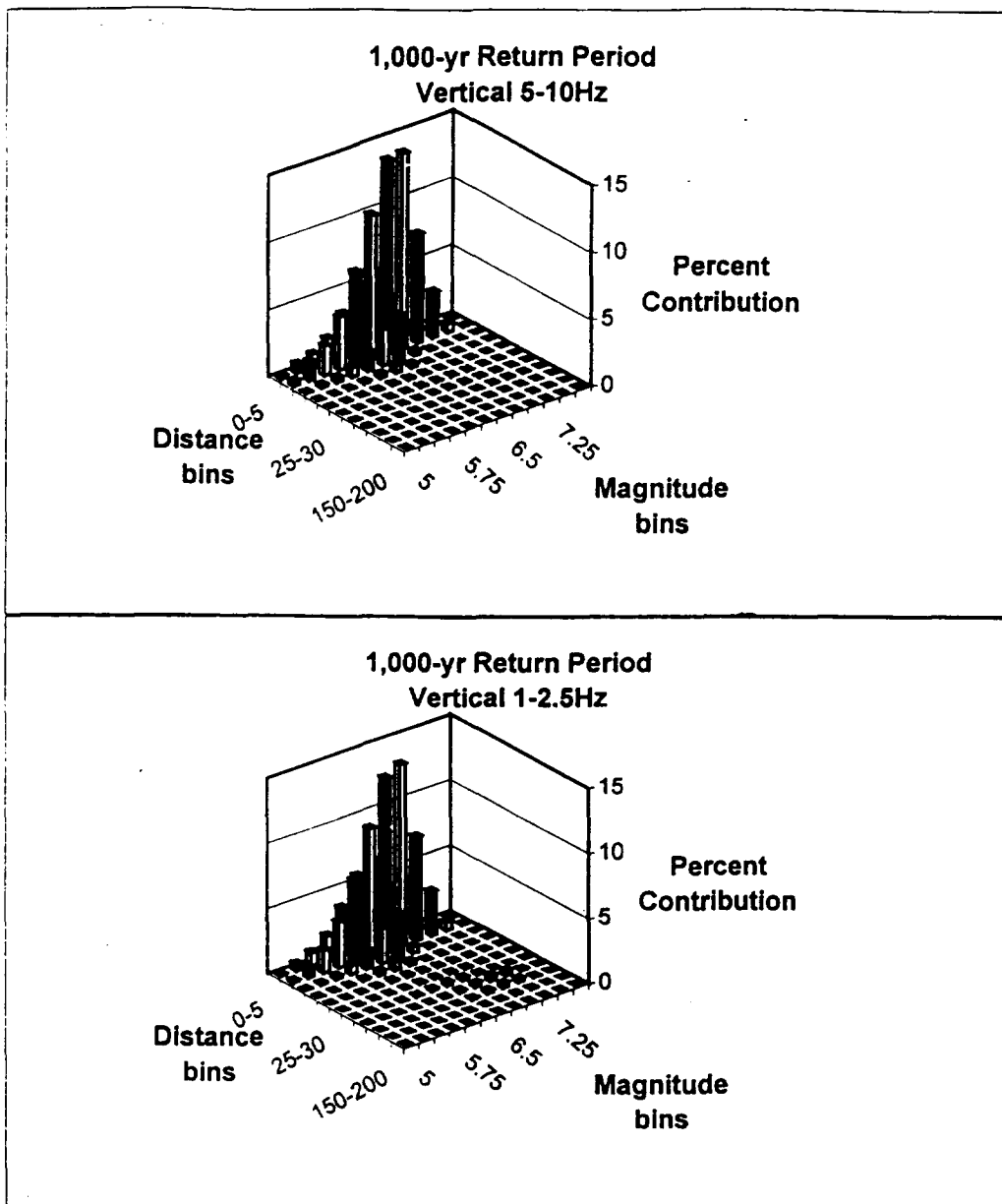


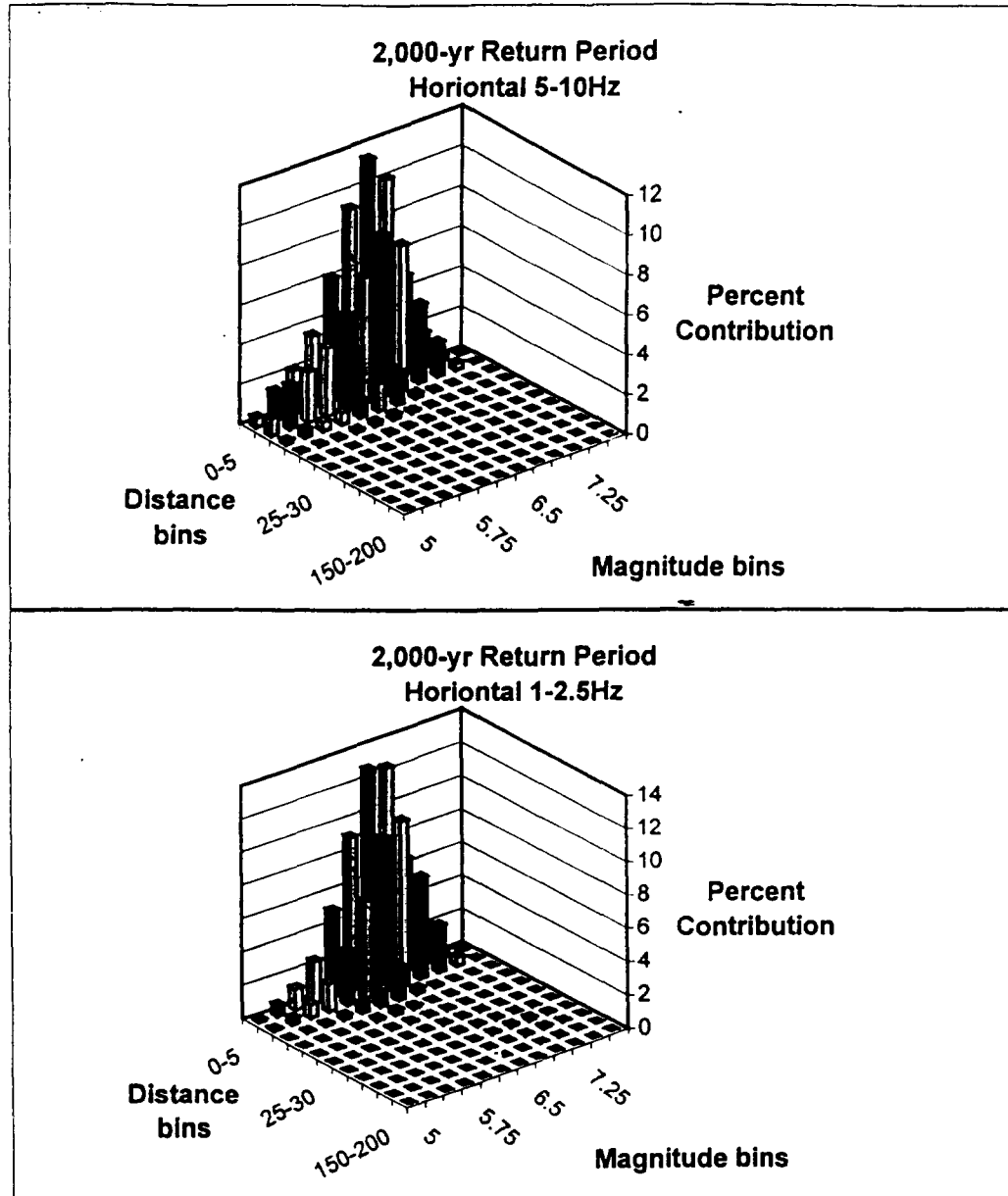
PERCENT CONTRIBUTIONS OF EVENTS FOR SPECIFIED MAGNITUDE
AND DISTANCE BINS TO THE HAZARD
Private Fuel Storage Facility

Page 1 of 4

Project No.
4790

Figure
2

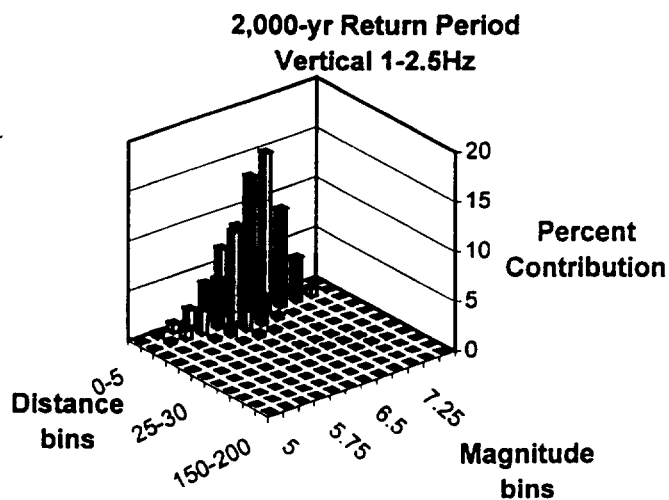
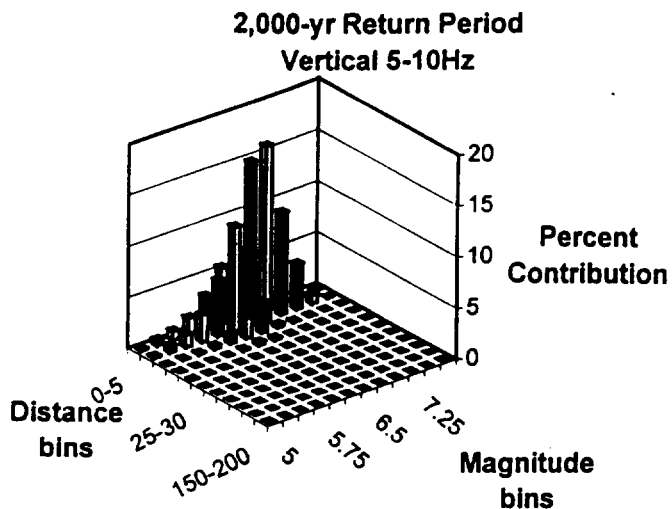




PERCENT CONTRIBUTIONS OF EVENTS FOR SPECIFIED MAGNITUDE
AND DISTANCE BINS TO THE HAZARD
Private Fuel Storage Facility

Page 3 of 4

Project No.
4790
Figure
2



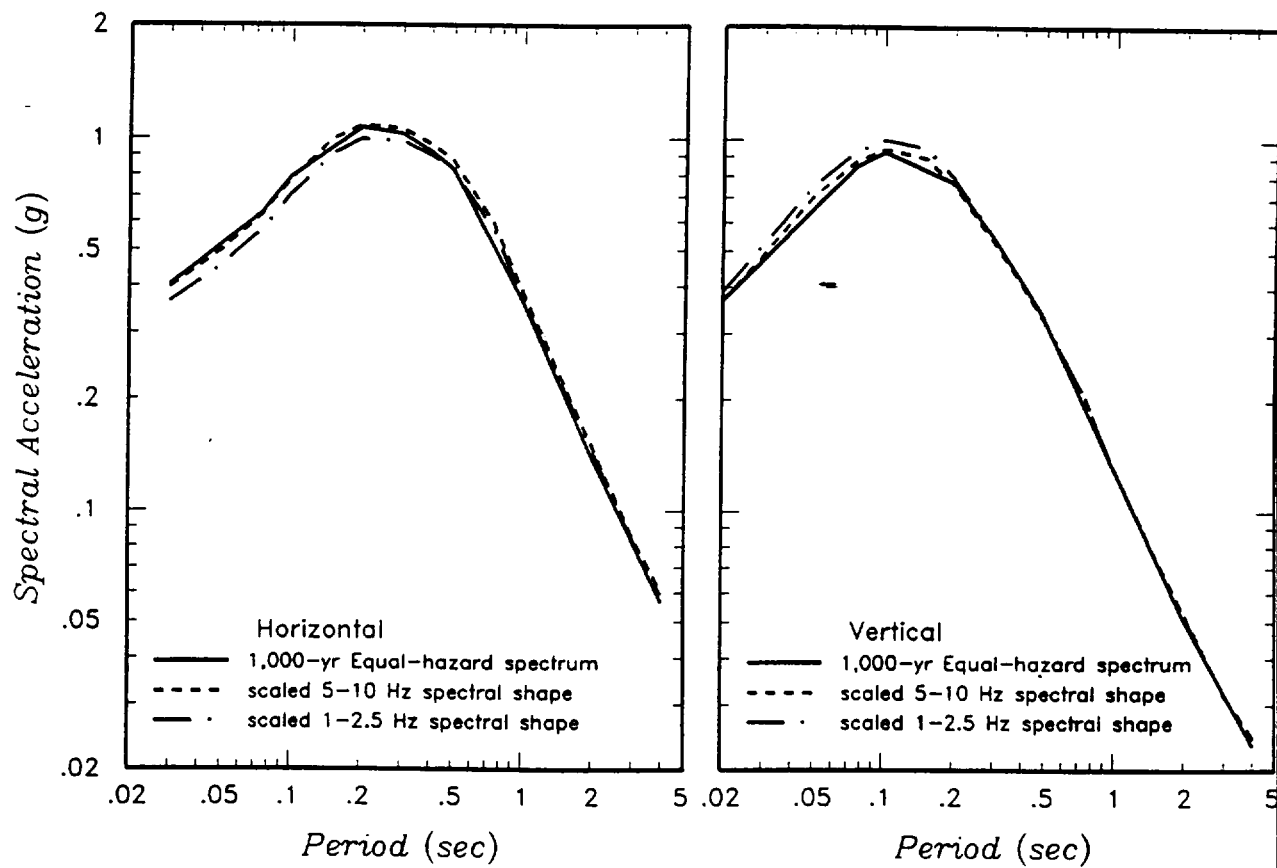


Fig 3

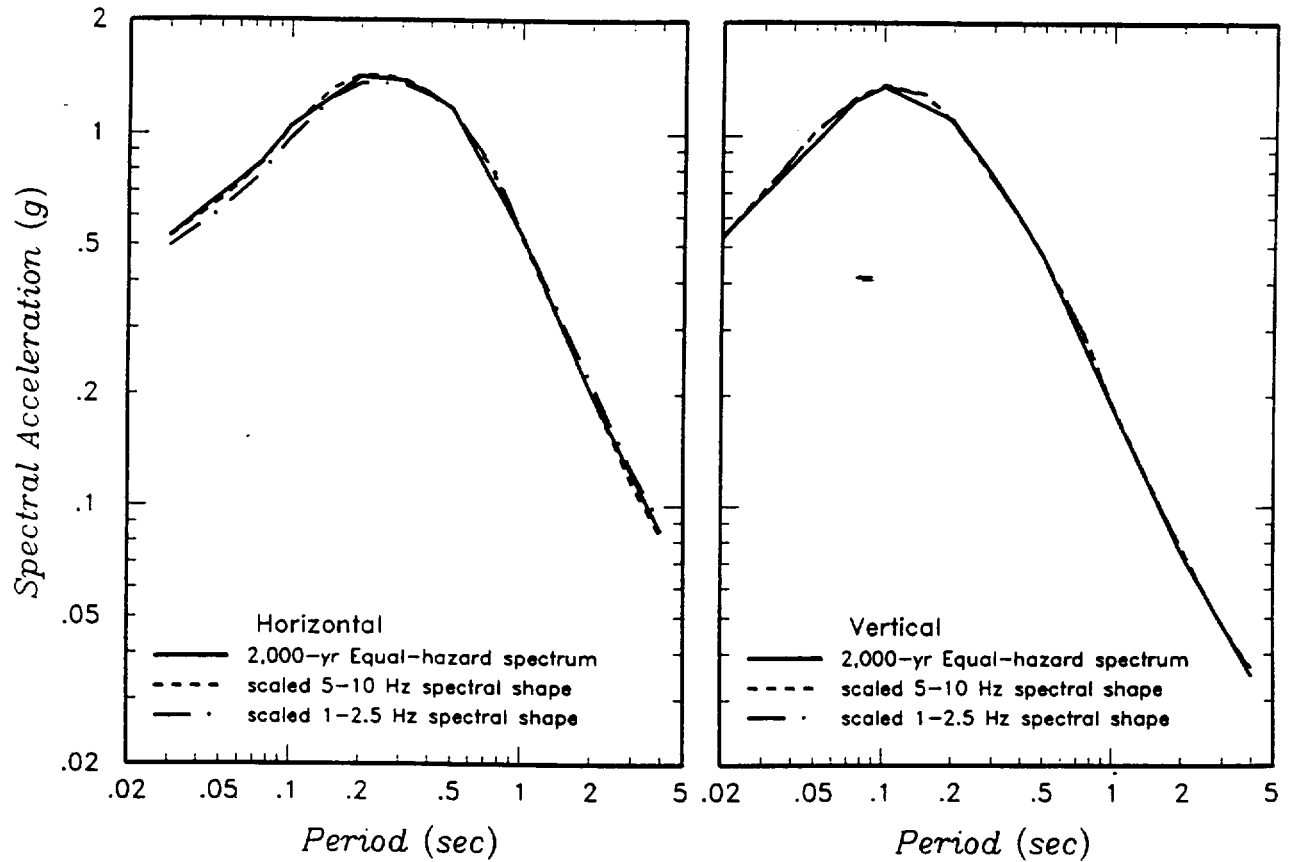


Fig 3 (cont'd)



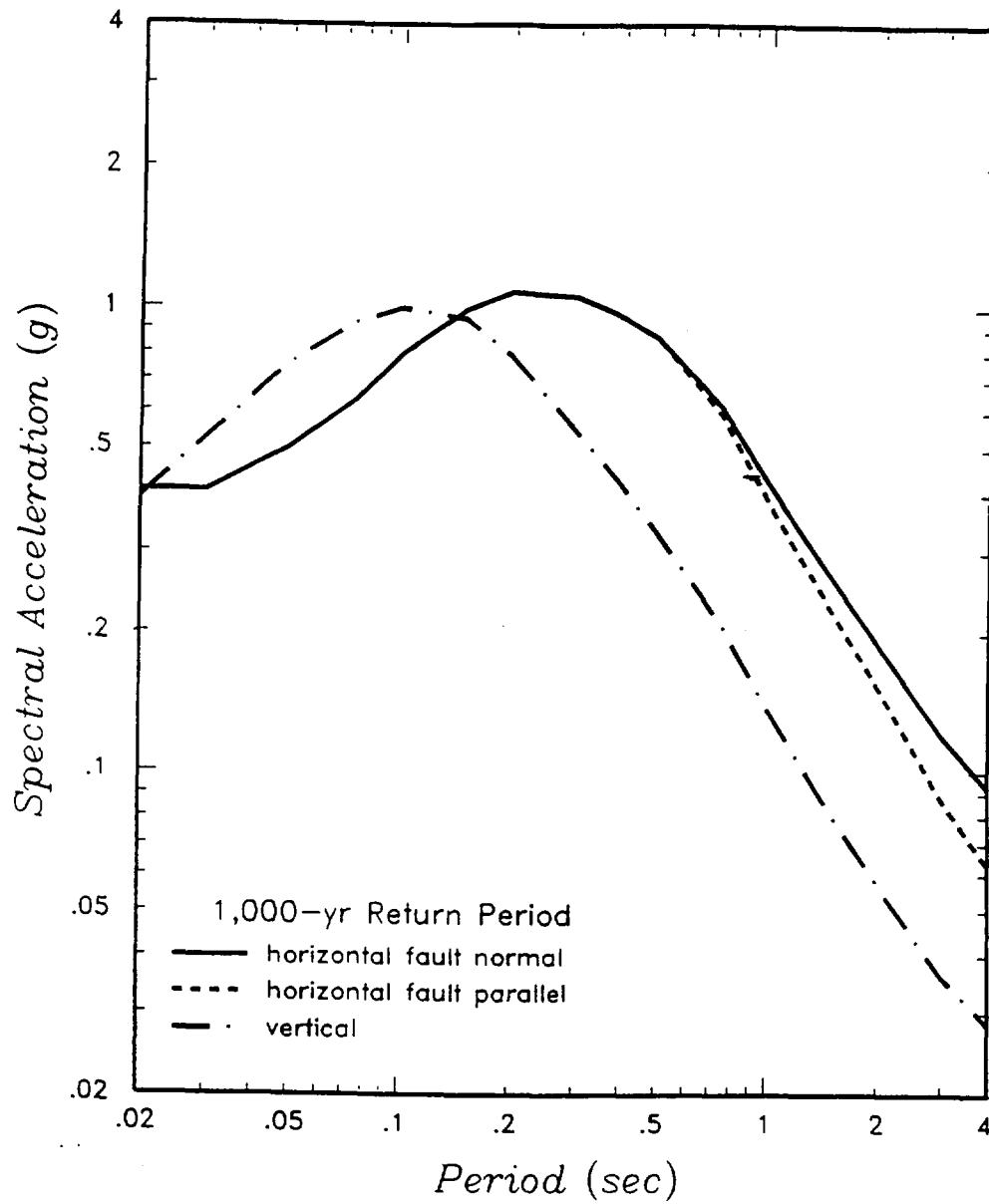


Fig 4

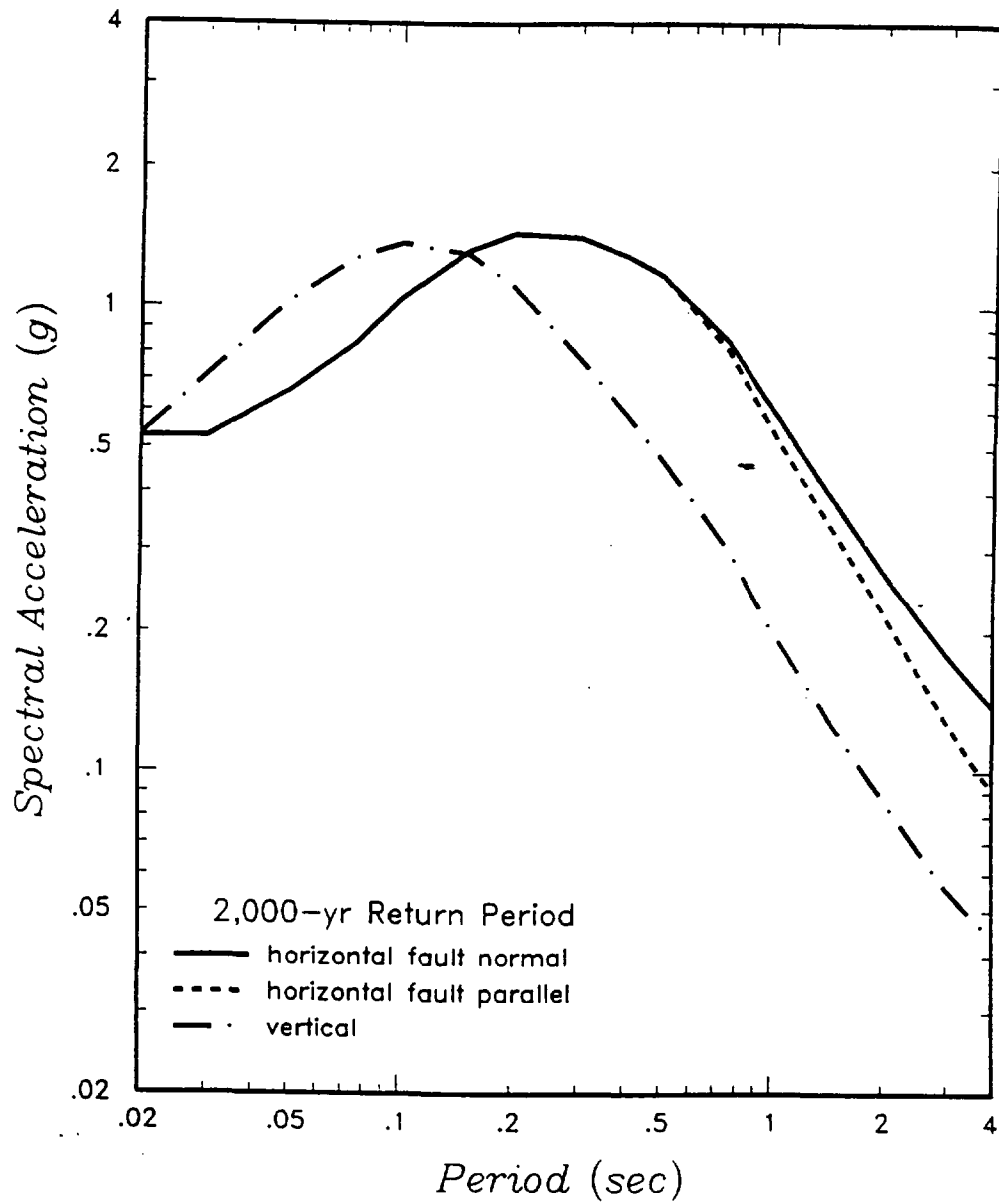


Fig 5

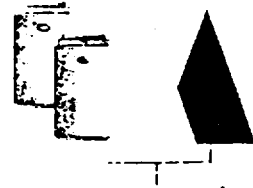
I:\Doc_Safe\4003\4790\av-gmdmof-fig5.doc



DESIGN GROUND MOTION RESPONSE SPECTRA (5% DAMPING) BASED
ON 2,000-YEAR RETURN PERIOD HAZARD
Skull Valley, Utah

Project No.
4790

Figure
5



Private Fuel Storage, L.L.C.

*P.O. Box 64222, Las Vegas, NV 89164-0222
John D. Parkyn, Chairman of the Board*

August 24, 1999

U.S. Nuclear Regulatory Commission
Attn: Document Control Desk
Washington, D.C. 20555

REQUEST FOR EXEMPTION TO 10 CFR 72.102(f)(1)
SEISMIC DESIGN REQUIREMENT
DOCKET NO. 72-22/TAC NO. L22462
PRIVATE FUEL STORAGE FACILITY
PRIVATE FUEL STORAGE L.L.C.

Reference 1: PFS letter, J. Parkyn to M. Delligatti, Request for Exemption to 10 CFR 72.102(f)(1), dated April 2, 1999

Reference 2: PFS letter J. Donnell to U.S. NRC Document Control Desk, Commitment Resolution Letter #14, dated August 6, 1999

In Reference 1, Private Fuel Storage L.L.C. (PFS) submitted an exemption request to change the methodology for calculating the design earthquake for the Private Fuel Storage Facility (PFSF) from a deterministic approach to a probabilistic, risk-informed approach. The exemption request provided justification for this approach, and stated that the design basis ground motion at the PFSF site would be based on a 1,000 year recurrence interval. Based on recent discussions with the NRC, PFS has decided to use a 2,000 year recurrence interval to calculate the PFSF design basis ground motion (Reference 2). This will provide a greater margin of safety than the 1,000 year recurrence interval specified in Reference 1. PFS requests that the NRC continue to review and evaluate its Reference 1 exemption request, based on a revised design basis ground motion with a 2,000 year recurrence interval. As shown in Table 1 of the report attached to Reference 1 prepared by Geomatrix Consultants, Inc., this results in peak ground accelerations of 0.53g horizontal (two directions) and 0.53g vertical. PFSF License Application amendment #5, scheduled for issuance on August 27, 1999, will incorporate this change to the PFSF design basis.

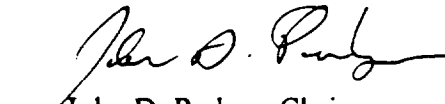
U.S. Nuclear Regulatory Commission

Page 2

August 24, 1999

Should you have any questions concerning this letter, please contact me at 608-787-1236 or our project director, Mr. John Donnell, at 303-741-7009.

Sincerely,



John D. Parkyn, Chairman
Private Fuel Storage L.L.C.

cc: John Donnell
Jay Silberg
Sherwin Turk
Asadul Chowdhury
Murray Wade
Scott Northard
Denise Chancellor
Richard E. Condit
John Paul Kennedy
Joro Walker



~~PFS~~
~~Confidential~~
~~Information~~

ful
6/6/02
Alan

Facsimile Cover Sheet
Northern States Power Company

To: John Vincent
Company: % Best Western Motel Tooele
Phone: 435/882 5010
Fax: 435/882-5746

From: Max DeLong
Address: 414 Nicollet Mall, Ren Sq 7
Minneapolis, MN 55401
Phone: -5050
Fax: (612) 330-5958

Date:
Number of pages
including this
cover sheet:

Comments:

1. Background for issue on
funding request for supporting
HI work

2.

~~PFS~~
~~Confidential~~
~~Information~~

ful
6/6/02

47924

Attachment I

PFS-32569

MAR 19 '98 11:22

Stat Exh 197A

612 PAGE.003



Private Fuel Storage, LLC

PFS
Confidential
Information

Jul
6/6/02

*c/o NSP, 414 Nicollet Mall, RS7
Minneapolis, MN 55401
phone: 612/337-2183
fax: 612/330-5958
Scott Northard, Project Manager*

Date: March 5, 1998

To: John Vincent;
cc; Scott Northard; John Parkyn

From: Max DeLong

Subject: Request for Authorization to cover estimated Costs of Change
Order for Seismic Analysis acceptable to NRC

Holtec used a three dimensional kinematics analysis to demonstrate cask stability in the Mar97 version of the HI-STORM SAR. The PFS referenced that version of the HI-STORM SAR, and in addition had a site specific analysis done for the Utah site seismic conditions. So the PFS SAR is not totally dependent on the Holtec SAR for seismic analysis.

As Holtec proceeded to advance the NRC review of its HI-STAR and HI-STORM Dockets, NRC made it clear that obtaining a C of C for high seismic conditions would substantially lengthen the time for NRC review. In a Jul97 Holtec/NRC meeting, the NRC suggested decoupling the high seismic issue (and three other issues) from the HI-STAR and HI-STORM Dockets and in a Holtec/PG&E/NRC meeting in Nov97 the NRC endorsed the Holtec proposal to experimentally confirm the seismic analysis approach. Holtec indicated they would forward an outline of a Topical Report to the NRC in Mar98, and forward the completed Topical in Aug/Sept98.

In a letter from Holtec in Nov97(11/22/97 ltr, attached), they proposed to verify the analytical work by conducting scale model tests on a shake table, and asked for financial support to complete the work (\$250k for the Topical report preparation, \$180k for experimental work, and \$150k allowance for responding to NRC questions). More recent information from Holtec (3/5/98 ltr., attached) indicates that experimental data from CREIPI in Japan (Japan's EPRI) might be sufficient to validate the analysis and reduce the cost of the experimental work from \$180k to \$90k.

I think it is clear from the NRC that they do not want to review the high seismic situation on the initial HI-STAR/HI-STORM dockets. Whether the NRC will allow amending the initial C of C, or treat seismic issues on the high seismic sites only on the site specific docket isn't clear. They haven't indicated, nor to my

3/5/98 MMD HISEISI.DOC

PFS
Confidential
Information

Jul
6/6/02

47925

~~PFS
Confidential
Information~~ *Ydl*
6/6/02

knowledge have they been asked, if experimental verification is necessary for a site specific application.

At this point SPPT may have to further clarify what is the likely course for the PFS docket on this issue. I did have a conference call today with Jay Silberg and Gary Tjersland of Holtec on some of the licensing issues on this subject. I will review the Holtec submittal on HI-STORM going in Mar98 to assure that appropriate options for PFS are not precluded.

This memo is also to request authorization from the PFS Board to negotiate an arrangement with Holtec to complete this work for \$100k or less. Although our contract with Holtec is for a system to meet our seismic conditions, within the NRC regulatory requirements, this verification may very well be extraordinary enough to warrant support to help maintain the PFS schedule.

~~PFS
Confidential
Information~~ *Ydl*
6/6/02

PFS-32571

3/5/98 MMD HISEIS1.DOC

47926

MAR 19 '98 11:23

612 PAGE.005



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900
Fax (609) 797-0909

SENT BY TELEFAX

November 22, 1997

Dr. Max M. DeLong, PFS, LLC
Northern States Power Company
414 Nicollet Mall (RS-7)
Minneapolis, MN 55401

Rc: Seismic Qualification of HI-STORM in Support of the Skull Valley ISPSI
Holtec Project 60531

Dear Dr. DeLong:

Here is a summary of the current situation in the seismic arena and our recommendation for action by PFS, LLC.

1. Present Situation:

- a. The NRC labelled nonlinear dynamic analysis in Revision 4 of the TSAR is too complicated to handle as merely an item within the HI-STAR/HI-STORM certification process. Accordingly, we have replaced the dynamic treatment with a simple-minded static analysis in our general certification topical reports.
- b. The NRC, however, did review our dynamic analysis and asked a number of questions. Responding to these questions presented in Revision 4 of the TSAR through a proper forum is necessary, and satisfying the NRC is clearly the right path of action.
- c. The questions asked are:
 - (i) Demonstrate that the multi-body dynamic model is conservative in comparison to a single body solution.
 - (ii) Demonstrate numerical convergence.
 - (iii) Prove out stability under a full range of ISFSI/cask friction coefficient conditions.

~~PFS
Confidential
Information~~

~~PFS
Confidential
Information~~

PFS-32572

MAR 19 '98 11:23

47927

612 PAGE.006



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900

Fax (609) 797-0909

Dr. Max DeLong
Northern States Power Company
November 22, 1997
Page 2

Alan DeLong
6/6/02
PFS
Confidential
Information
John
6/6/02

- (iv) Perform parametric studies for different fuel types, fabrication tolerances, etc.
- (v) Perform scale model testing on a shaketable.
- d. Following the NRC's instructions, we have secured a docket for a general license for high seismic scenarios.
- e. We must prepare a topical report which draws upon the previously done work and answers the outstanding NRC questions.
- f. PG&E has agreed to provide partial support. They want an anchored ISPSI utilizing the concept which I presented in the November 6, 1997 NRC meeting. That leaves the free-standing HI-STORM on a high seismic ISPSI pad out of the current framework of the project.

2. Recommendation:

We recommend that we perform the HI-STORM seismic qualification on the Skull Valley pad as a *free-standing* structure as part of the ongoing new docket effort. This will eliminate seismic considerations as a matter of regulatory contention in the site-specific licensing effort. PFS's licensing and engineering costs will also be reduced because of PG&E's support and Holtec's contribution to the project.

We ask that PFS provide funding which is roughly equal to PG&E's. The requested funding is:

- \$250,000 towards preparation of the topical report.
- \$180,000 for experimental work.
- Payment not to exceed \$150,000 to respond to *all* NRC questions
- No payment towards the NRC's fees charged to us on the docket.

Alan DeLong 6/6/02
PFS
Confidential
Information
John 6/6/02



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900

Fax (609) 797-0909

Dr. Max DeLong
Northern States Power Company
November 22, 1997
Page 3

~~PFS
Confidential
Information~~

gfd
6/6/02
Alan DeLong
6/6/02

We can hold the above prices if PFS is able to lend its support in time which is before Christmas. The analysis work will begin in earnest as of January 2, 1998; backfitting PFS's needs at a later date would add to the overall cost.

Sincerely,

K.P. Singh

K.P. Singh, Ph.D.
President
KPS:nlm *nlm*

Document ID: 605316

~~PFS
Confidential
Information~~

Alan DeLong *6/6/02*
gfd
6/6/02



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900
Fax (609) 797-0909

March 5, 1998

Dr. Max DeLong
Northern States Power
414 Nicollet Mall (RS-7)
Minneapolis, MN 55401

Reference: Holtec Project 70651
Private Fuel Storage L.L.C. Contract Dated 3/19/97, Rev. 4

Dear Dr. DeLong:

If PFS joins in our ongoing effort to secure certification for high seismic sites, then our topical report will need to have the following additional material (items with daggers have been previously completed).

- a. Acceptance criteria for rack kinematics
- b. Formulation of Dynamic Model for HI-STORM with MPC-68, MPC-32, and MPC-24 incorporating the NRC's comments (on HI-STAR, Revision 4 submittal)
- c. Development of generic Reg. Guide 1.60 time-histories*
- d. Time-histories for Sub-Reg. Guide 1.60 spectra
- E. Demonstration that the cask's response can be bounded by response spectra comparison.
- F. Numerical results* (partially completed)
- G. Demonstrate numerical convergence
- H. Stability of solution with respect to interfacial friction coefficient
- I. Comparison with Japan test data (this item is priced separately)*
- J. Comparison with response spectrum method based solution
- K. Sensitivity studies (e.g., partially filled canister)

*

This funding requested to support experimental demonstration item (originally requested at \$180,000.00).

PFS
Confidential
Information

PFS-32575

47930



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900
Fax (609) 797-0909

Dr. Max DeLong
Northern States Power
March 5, 1998
Page 2

gld 6/6/02
**PFS
Confidential
Information**
Allen Jones 6/6/02

- L. Establish margins of safety
- M. Technical Specification
- N. Prepare Topical Report chapters

The M.H. and code usage cost for the above items are estimated as follows:

<u>Task</u>	<u>Amount</u>
a.	\$10,000.00
b.	\$45,000.00
c.	-0-
d.	\$ 20,000.00
e.	\$ 50,000.00
f.	\$ 30,000.00
g.	\$ 30,000.00
h.	\$ 40,000.00
i.	\$ 90,000.00**
j.	\$ 30,000.00
k.	\$ 40,000.00

*** *** This funding requested to support experimental demonstration item (originally requested at \$180,000.00).

**PFS
Confidential
Information**
gld 6/6/02
Allen Jones 6/6/02

47931

PFS-32576



Holtec Center, 555 Lincoln Drive West, Marlton, NJ 08053

Telephone (609) 797-0900

Fax (609) 797-0909

Dr. Max DeLong
Northern States Power
March 5, 1998
Page 3

~~PFS~~
~~Confidential~~
~~Information~~

Jul 6/6/02
Andrew Lee 6/6/02

l.	\$ 25,000.00
m.	\$ 15,000.00
n.	\$ 45,000.00
Project Management	<u>\$ 25,000.00</u> \$405,000.00 (without item (i))

- Of the above total expense directly attributed to free-standing cask certification, we request that PFS provide \$250K; the balance of the funding will come from our internal R&D. \$90K is requested for the Japan test data work item (i).
- The above costs are estimates based on our standard T&M rates (Forms CF.1 and CF.4).
- If PFS elects to not support this effort, then we can provide all high seismic material stripped from Rev. 1 of the HI-STORM TSAR for direct incorporation in the Skull Valley site-specific submittal, and we will proceed with only anchored cask certification on this new docket.
- PFS will have the right to require Holtec to run the SNC system also (for a mutually negotiated fee).

In terms of the promised schedule to the NRC, we are literally behind the "8" ball.

Regards,

K P Singh
K.P. Singh, Ph.D., PE
President and CEO
KPS:nlm

Attachments: CF.1, Standard T&M Rates
CF.4, Computer Code Utilization Cost Schedule

Document ID: 706518

~~PFS~~
~~Confidential~~
~~Information~~

Andrew Lee 6/6/02
Jul 6/6/02

PFS-32577

47932

DeLong, Max M

NRC Question 3-11 to Sierra Nuclear (January, 1998)

RE: Calculation TSL01.10.06-68

Re-analyze the cask for overturning stability incorporating the following:

(a) In past applications, SNC showed that the cask would not overturn by applying the three orthogonal design accelerations statically at the center-of-gravity (c.g.).

This also showed that the restoring moment exceeded the overturning moment. The NRC has accepted this approach.

The use of non-linear finite element analysis is a new method for determining seismic stability. If SNC wants to calculate overturning stability by a new methodology, it must be appropriately validated. As stated in RAI 1, Question 1-41, the damping ratio used in the analysis must be shown acceptable to model the energy dissipation mechanism for rocking of the cask on the pad. Confirmatory testing of the cask or cask models must be used to demonstrate the adequacy of the analytical results.

The response spectrum for the acceleration time history chosen for the nonlinear analysis or confirmatory testing must be enveloped by the response spectrum in Regulatory Guide 1.60 for the damping ratio chosen to model the nonlinear system. Furthermore, the duration of the seismic event must be consistent with high acceleration levels. Large earthquakes that have high acceleration levels are associated with long strong ground motion durations.

Page 1

(b) The horizontal acceleration for which the cask is stable must include the effects of simultaneous excitations in two horizontal (orthogonal) directions.

The use of the 100-40-40 method and the square-root-sum-of-the-squares (SRSS) methods are mutually exclusive.

PFS
Confidential
Information

47933

PFS-32578

MAR 19 '98 11:25

612 PAGE.012

PFS
Confidential
Information

JW
6/6/02

JW
6/6/02
Attachment 2

**Private Fuel Storage, LLC**

c/o NSP, 414 Nicollet Mall, RS7
Minneapolis, MN 55401
phone: 612/337-2183
fax: 612/330-5958
Scott Northard, Project Manager

Date: March 19, 1998
To: John Parkyn;
cc; John Vincent Scott Northard;
From: Max DeLong
Subject: Update on BNFL acquisition of SNC

PFS
Confidential
Information

6/6/02

BNFL Acquisition of SNC

BNFL's due diligence review of SNC was completed at the end of January. The results of the due diligence review and the staff recommendation were submitted to the BNFL plc Review of Acquisitions and Ventures (RAV) Committee on 2/11/98. The RAV Committee accepted the results of the financial review of SNC. The BNFL Chief Executive and the Board of Directors approved the acquisition in early March. Signing of documents is scheduled for March 31 and the new company will be incorporated April 1. The new company will be a wholly owned subsidiary of BNFL Inc. (the U.S. subsidiary of BNFL, plc.).

BNFL has budgeted funds to assist SNC with the DFI response, the RAI response, and other ongoing activities while the due diligence process is underway.

Senior management of BNFL, Inc. met with the NRC staff from the Spent Fuel Project Office (SFPO) on March 9, 1998 to discuss the status of the acquisition activities, and to understand, first hand, the concerns of NRC SFPO management on canister system design, licensing, manufacturing and quality assurance issues. I understand a broader scope public meeting will be held in early Apr98 where BNFL, Inc. will present in more detail their approach to resolving the issues facing the VSC-24 and TranStor systems.

The current staff at SNC continues to support licensing assistance as requested, but is proceeding under the assumption that a Change Order will be processed to cover those costs.

I am also working with attorney's Bruce Colt, NSP and Mary Ann Courtney from Hogan & Hartshorn on the settlement agreement between PFS, LLC and BNFL, plc that the latter wants to have in place to delineate their cost exposure for the contract that we have with SNC.

3/19/98 MMD SNCC01.DOC

PFS
Confidential
Information

47937