



RIC 2002

Session W6

Reactor Oversight Process (ROP)

Dr. Frank J. Congel
Director, Office of Enforcement
Organization: OE/NRC
Date: March 6, 2002



The Enforcement Program

- Reactor Safety Arena
 - SDP Issues (NCV, NOV)
 - Non-SDP Issues (NCV, NOV with Severity Level)
 - Actual Consequences
 - Willfulness
 - Impeding the Regulatory Process
- Materials Safety Arena
- Individual Actions



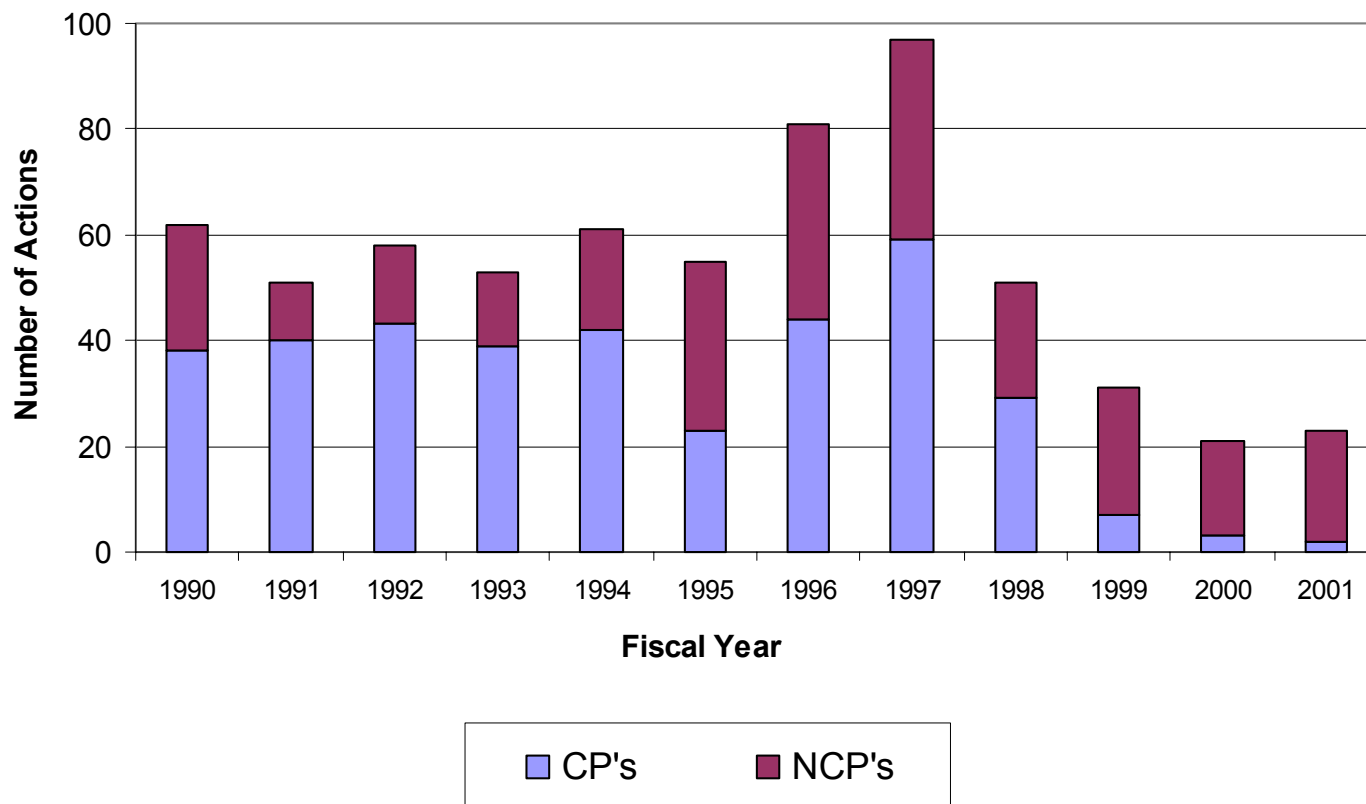
Enforcement/ROP Implementation issues

- Process Timeliness
- Regulatory Conferences vs. Enforcement Conferences
- Downgraded Findings
- Traditional Enforcement (SDP used, as appropriate)

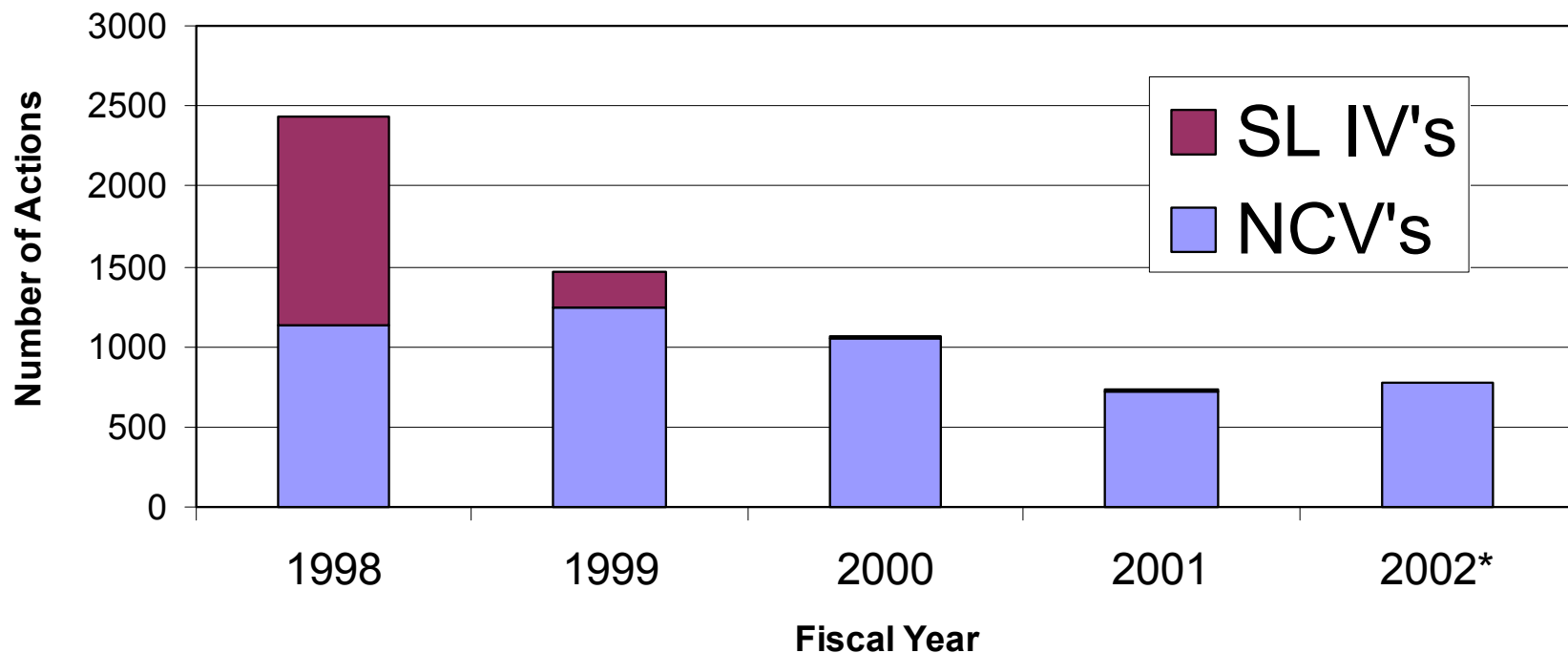
Timeliness

- Timeliness of SDP Findings Remains a Concern.
- Balance Between Timeliness & Completeness is Unfulfilled.
- Protracted Determinations Delay Associated Enforcement Actions.
- Potential Negative Impact in Public Confidence.
 - Notification of Non-Compliance to Licensee.
 - Response Required on Corrective Actions.

Escalated Enforcement Trends (Power Reactor)



Non-Escalated Enforcement Trends (Power Reactors)



FY 2002 NCV value is a projection from 1st Quarter data.

Office Initiatives

- Individual Action Guidance
- Discrimination Task Force
- ADR
- Maintenance Rule Guidance