

APPENDIX IS:\DRS\OB\EXAMS\CPSES\May 2001
ExamForms\assignment_sheet_Johnston.wpd**Operations Branch Assignment Check Sheet:**
(Includes ES-201-1 & ES-501-1 Rev. 8 information)

as of: 12/19/00

Chief: G. Johnston

Facility/Task: CP IN

Task Start Date: 5/21/01

ITEM DESCRIPTION		DUE DATE	INIT	DATE
0	Exam/Inspection Schedule Agreement	Nov 22, 2000	JLP	2/8/2001
1	NRC Staff & Fac. Contact Assigned	Nov 22, 2000	JLP	2/8/2001
2	Facility contact briefed on security & other issues	Nov 22, 2000	JLP	2/8/2001
3	Corp. Notification Letter Sent	Nov 22, 2000	JLP	2/12/01
3a	Inspection Announcement Letter Sent (PIR & LORT if req'd)	Apr 6, 2001	N/A	-
4	Task Expectations, Issues, & Standards Discussed w/ BC	Feb 20, 2001	ATG	3/28/01
5	[Reference Material Due]	Jan 21, 2001	GEW	12/13/00
6	Integrated Exam Outlines Due	Jan 21, 2001	N/A	-
7	Outlines reviewed by NRC & Feedback Sent	Feb 4, 2001	N/A	-
8	Preliminary Applications Due	Apr 21, 2001	GWJ	4/18/01
9	Draft Exams w/ Doc./Ref. Due	Mar 22, 2001	N/A	-
10*	Independent Reviewer Initials As Reviewed All Parts	Apr 1, 2001	REL	5/15/01
11*	NRC Supervisor. Initials Approving for Fac. Rev.	Apr 1, 2001	ATG	5/7/01
12	Exams Reviewed w/ Fac.	Apr 1, 2001	GWJ	5/8/01
13	Final Appl. Due & Assign. Sheet Prepared	May 7, 2001	ATG	5/3/01
14*	NRC Supervisor Approved Final Exams	May 14, 2001	ATG	5/16/01
15	Final Appl. Rec'd & Waivers Sent	May 14, 2001	GWJ	5/7/01
16	Proctor Rules Reviewed w/ Fac. & Written Authorized	May 14, 2001	GWJ	5/10/01
17	Exam/Insp Material to Team	May 14, 2001	GEW	5/1/01
18	Fac. graded exam & Comments Rec'd	Jun 2, 2001	GEW	5/25/01
19	NRC Written Grading Completed	Jun 5, 2001	SM	6/7/01
20	Examiners Finished Grading Op. Tests	Jun 5, 2001	SM	6/7/01
21	NRC Ch. Ex. Review Completed	Jun 15, 2001	GEW	6/13/01
22*	NRC BC Review Completed	Jun 16, 2001	GEW	6/13/01
23	RPS/IP Examinees Updated Before Report Issued	Jun 21, 2001	NOT	DONE
24	License/Denials Signed & Report Issued	Jun 21, 2001	GEW	6/18/01
25	Package Closed Out	Jul 12, 2001	GEW	6/26/01
Final Inspection Report Issued, Exam Package to OLA, Facility. Contact Notified of Results				

* Note Supervisor or Independent Reviewer initials required in for lines 10, 11, 14, & 22.

[] Required NRC-auth. exams only for line 5.

ADAMS ACCESSION NO. - /
TEMPLATE NO. -

Facility: <u>CPSES</u>		Date of Examination: <u>5/18/01</u> <u>5/21-25/01</u>		
Item	Task Description	Initials		
		a	b*	c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	<i>HW</i>		<i>HW</i>
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	<i>HW</i>		
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	<i>HW</i>		<i>HW</i>
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	<i>HW</i>		<i>HW</i>
2. S I M	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.	<i>HW</i>		<i>HW</i>
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s)*, and scenarios will not be repeated over successive days.	<i>HW</i>		<i>HW</i>
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	<i>HW</i>		<i>HW</i>
3. W / T	a. Verify that: (1) the outline(s) contain(s) the required number of control room and in-plant tasks, (2) no more than 30% of the test material is repeated from the last NRC examination, (3)* no tasks are duplicated from the applicants' audit test(s), and (4) no more than 80% of any operating test is taken directly from the licensee's exam banks.	<i>HW</i>		<i>HW</i>
	b. Verify that: (1) the tasks are distributed among the safety function groupings as specified in ES-301, (2) one task is conducted in a low-power or shutdown condition, (3) 40% of the tasks require the applicant to implement an alternate path procedure, (4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and (5) the in-plant walk-through requires the applicant to enter the RCA.	<i>HW</i>		<i>HW</i>
	c. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	<i>HW</i>		<i>HW</i>
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.	<i>HW</i>		<i>HW</i>
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.	<i>HW</i>		<i>HW</i>
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	<i>HW</i>		<i>HW</i>
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	<i>HW</i>		<i>HW</i>
	d. Check for duplication and overlap among exam sections.	<i>HW</i>		<i>HW</i>
	e. Check the entire exam for balance of coverage.	<i>HW</i>		<i>HW</i>
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	<i>HW</i> *		<i>HW</i>
a. Author	<i>Gary W. Johnston</i> / <i>Gary W. Johnston</i> (SRO only) <i>Gregory Werner</i> / <i>Gregory Werner</i> (* RO only)			Date <u>5/19/01</u>
b. Facility Reviewer (*)	<i>na</i>			
c. NRC Chief Examiner (#)	<i>Gary W. Johnston</i> / <i>Gary W. Johnston</i> <i>Gregory Werner</i> / <i>Gregory Werner</i>			<u>5/16/01</u>
d. NRC Supervisor	<i>Gregory Werner</i> / <i>Gregory Werner</i> <i>Gregory Werner</i> / <i>Gregory Werner</i>			<u>5/17/01</u>

Note: * Not applicable for NRC-developed examinations.
Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.

Facility: <u>CPSES</u>		Date of Exam: <u>5/18/01</u>		Exam Level: <u>RO/SRO</u>		
Item Description				Initial		
				a	b*	c*
1. Questions and answers technically accurate and applicable to facility				<u>JK</u>		<u>h</u>
2. a. NRC K/As referenced for all questions b. Facility learning objectives referenced as available				<u>JK</u>		<u>M</u>
3. RO/SRO overlap is no more than 75 percent, and SRO questions are appropriate per Section D.2.d of ES-401				<u>BE</u>		<u>M</u>
4. Question selection and duplication from the last two NRC licensing exams appears consistent with a systematic sampling process						<u>h</u>
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: <input type="checkbox"/> the audit exam was systematically and randomly developed; or <input type="checkbox"/> the audit exam was completed before the license exam was started; or <input checked="" type="checkbox"/> the examinations were developed independently; or <input type="checkbox"/> the licensee certifies that there is no duplication; or <input type="checkbox"/> other (explain)				<u>JK</u>		<u>M</u>
6. Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest modified); enter the actual question distribution at right		Bank	Modified	New	<u>JK</u>	<u>M</u>
		<u>45</u>	<u>36</u>	<u>19</u>		
7. Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right		Memory	C/A		<u>JK</u>	<u>M</u>
		<u>50</u>	<u>50</u>			
8. References/handouts provided do not give away answers				<u>JK</u>		<u>h</u>
9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the Tier to which they are assigned; deviations are justified				<u>JK</u>		<u>h</u>
10. Question psychometric quality and format meet ES, Appendix B, guidelines				<u>JK</u>		<u>h</u>
11. The exam contains 100, one-point, multiple choice items; the total is correct and agrees with value on cover sheet				<u>JK</u>		<u>h</u>
Printed Name / Signature				Date		
a. Author	<u>Gregory Werner / Gregory Werner</u>			<u>5/14/01</u>		
b. Facility Reviewer (*)	<u>N/A</u>					
c. NRC Chief Examiner (#)	<u>Gayle Johnston / W. Johnston</u>			<u>5/16/01</u>		
d. NRC Regional Supervisor	<u>William Johnston</u>			<u>5/17/01</u>		
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility: <u>CPSES</u>		Date of Exam: <u>5/18/01</u>		Exam Level: <u>RO/SRO</u>																																
Item Description				Initial																																
				a	b*	c*																														
1.	Questions and answers technically accurate and applicable to facility			<u>JB</u>		<u>M</u>																														
2.	a. NRC K/As referenced for all questions b. Facility learning objectives referenced as available			<u>JB</u>		<u>M</u>																														
3.	RO/SRO overlap is no more than 75 percent, and SRO questions are appropriate per Section D.2.d of ES-401			<u>JB</u>		<u>M</u>																														
4.	Question selection and duplication from the last two NRC licensing exams appears consistent with a systematic sampling process					<u>M</u>																														
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6.	Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest modified); enter the actual question distribution at right	Bank	Modified	New		<u>M</u>																														
		<u>50</u>	<u>32</u>	<u>18</u>	<u>JB</u>																															
7.	Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right	Memory	C/A			<u>M</u>																														
		<u>45</u>	<u>55</u>	<u>JB</u>																																
8.	References/handouts provided do not give away answers			<u>JB</u>		<u>M</u>																														
9.	Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the Tier to which they are assigned; deviations are justified			<u>JB</u>		<u>M</u>																														
10.	Question psychometric quality and format meet ES, Appendix B, guidelines			<u>JB</u>		<u>M</u>																														
11.	The exam contains 100, one-point, multiple choice items; the total is correct and agrees with value on cover sheet			<u>JB</u>		<u>M</u>																														
<table border="0"> <tr> <td></td> <td colspan="4">Printed Name / Signature</td> <td>Date</td> </tr> <tr> <td>a. Author</td> <td colspan="4"><u>Gary W. Johnston / G.W. Johnston</u></td> <td><u>5/14/01</u></td> </tr> <tr> <td>b. Facility Reviewer (*)</td> <td colspan="4"><u>N/A</u></td> <td></td> </tr> <tr> <td>c. NRC Chief Examiner (#)</td> <td colspan="4"><u>Gary W. Johnston / G.W. Johnston</u></td> <td><u>5/14/01</u></td> </tr> <tr> <td>d. NRC Regional Supervisor</td> <td colspan="4"><u>Chris [unclear] / [unclear]</u></td> <td><u>5/17/01</u></td> </tr> </table>								Printed Name / Signature				Date	a. Author	<u>Gary W. Johnston / G.W. Johnston</u>				<u>5/14/01</u>	b. Facility Reviewer (*)	<u>N/A</u>					c. NRC Chief Examiner (#)	<u>Gary W. Johnston / G.W. Johnston</u>				<u>5/14/01</u>	d. NRC Regional Supervisor	<u>Chris [unclear] / [unclear]</u>				<u>5/17/01</u>
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Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.																																				

Facility: <u>CPSES</u>		Date of Examination: <u>5/21-25/01</u>		Operating Test Number: <u>411</u>	
1. GENERAL CRITERIA			Initials		
			a	b*	c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	<u>JKW</u>		<u>BJ</u>	
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	<u>JKW</u>		<u>BJ</u>	
c.	The operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a).	<u>JKW</u>		<u>BJ</u>	
d.	Overlap with the written examination and between operating test categories is within acceptable limits.	<u>JKW</u>		<u>BJ</u>	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	<u>JKW</u>		<u>BJ</u>	
2. WALK-THROUGH (CATEGORY A & B) CRITERIA			--	--	--
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> initial conditions initiating cues references and tools, including associated procedures reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee specific performance criteria that include: <ul style="list-style-type: none"> detailed expected actions with exact criteria and nomenclature system response and other examiner cues statements describing important observations to be made by the applicant criteria for successful completion of the task identification of critical steps and their associated performance standards restrictions on the sequence of steps, if applicable 	<u>JKW</u>		<u>BJ</u>	
b.	The prescribed questions in Category A are predominantly open reference and meet the criteria in Attachment 1 of ES-301.	<u>JKW</u>		<u>BJ</u>	
c.	Repetition from operating tests used during the previous licensing examination is within acceptable limits (30% for the walk-through) and do not compromise test integrity.	<u>JKW</u>		<u>BJ</u>	
d.	At least 20 percent of the JPMs on each test are new or significantly modified.	<u>JKW</u>		<u>BJ</u>	
3. SIMULATOR (CATEGORY C) CRITERIA			--	--	--
a.	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	<u>JKW</u>		<u>BJ</u>	
a. Author		Printed Name / Signature <u>Gregory Werner / Gregory Werner</u>		Date <u>5/14/01</u>	
b. Facility Reviewer(*)		<u>N/A</u>			
c. NRC Chief Examiner (#)		<u>Gary W. Johnston / Gary W. Johnston</u>		<u>5/16/01</u>	
d. NRC Supervisor		<u>Andrew P. Chod</u>		<u>5/16/01</u>	
NOTE: * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.					

Facility: <u>CPSES</u>		Date of Exam: <u>5/21-25/01</u> Scenario Numbers: <u>1/2/3</u> Operating Test No.: <u>411</u>		
QUALITATIVE ATTRIBUTES		Initials		
		a	b*	c#
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	<i>RM</i>		<i>SL</i>
2.	The scenarios consist mostly of related events.	<i>RM</i>		<i>SL</i>
3.	Each event description consists of . the point in the scenario when it is to be initiated . the malfunction(s) that are entered to initiate the event . the symptoms/cues that will be visible to the crew . the expected operator actions (by shift position) . the event termination point (if applicable)	<i>RM</i>		<i>SL</i>
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	<i>RM</i>		<i>SL</i>
5.	The events are valid with regard to physics and thermodynamics.	<i>RM</i>		<i>SL</i>
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	<i>RM</i>		<i>SL</i>
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	<i>RM</i>		<i>SL</i>
8.	The simulator modeling is not altered.	<i>RM</i>		<i>SL</i>
9.	The scenarios have been validated. Any open simulator performance deficiencies have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	<i>RM</i>		<i>SL</i>
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.4 of ES-301.	<i>RM</i>		<i>SL</i>
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	<i>RM</i>		<i>SL</i>
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	<i>RM</i>		<i>SL</i>
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	<i>RM</i>		<i>SL</i>
TARGET QUANTITATIVE ATTRIBUTES (PER SCENARIO; SEE SECTION D.4.D)		Actual Attributes	--	--
1.	Total malfunctions (5-8)	5 / 4 / 6		
2.	Malfunctions after EOP entry (1-2)	2 / 0 / 1		
3.	Abnormal events (2-4)	3 / 4 / 5		
4.	Major transients (1-2)	1 / 1 / 1		
5.	EOPs entered/requiring substantive actions (1-2)	2 / 2 / 2		
6.	EOP contingencies requiring substantive actions (0-2)	1 / 1 / 1		
7.	Critical tasks (2-3)	3 / 3 / 3		

Facility: <u>CPSES</u>		Date of Exam: <u>5/21-25/01</u>		Scenario Numbers: <u>41</u> / <u>1</u>		Operating Test No.:	
QUALITATIVE ATTRIBUTES			Initials				
			a	b*	c#		
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	<i>mw</i>		<i>hy</i>			
2.	The scenarios consist mostly of related events.	<i>mw</i>		<i>hy</i>			
3.	Each event description consists of <ul style="list-style-type: none"> the point in the scenario when it is to be initiated the malfunction(s) that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable) 	<i>mw</i>		<i>hy</i>			
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	<i>mw</i>		<i>hy</i>			
5.	The events are valid with regard to physics and thermodynamics.	<i>mw</i>		<i>hy</i>			
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	<i>mw</i>		<i>hy</i>			
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	<i>mw</i>		<i>hy</i>			
8.	The simulator modeling is not altered.	<i>mw</i>		<i>hy</i>			
9.	The scenarios have been validated. Any open simulator performance deficiencies have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	<i>mw</i>		<i>hy</i>			
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.4 of ES-301.	<i>mw</i>		<i>hy</i>			
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	<i>mw</i>		<i>hy</i>			
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	<i>mw</i>		<i>hy</i>			
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	<i>mw</i>		<i>hy</i>			
TARGET QUANTITATIVE ATTRIBUTES (PER SCENARIO; SEE SECTION D.4.D)		Actual Attributes	--	--	--		
1.	Total malfunctions (5-8)	3 / /					
2.	Malfunctions after EOP entry (1-2)	1 / /					
3.	Abnormal events (2-4)	2 / /					
4.	Major transients (1-2)	1 / /					
5.	EOPs entered/requiring substantive actions (1-2)	3 / /					
6.	EOP contingencies requiring substantive actions (0-2)	0 / /					
7.	Critical tasks (2-3)	3 / /					

OPERATING TEST NO.: 3 (For RO 6 → Scenario 2 as Pri, Scenario 3 as BOP) (Scenario 2 as SRO for SRO(U2) only)

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	3	4
RO	Reactivity	1		3		
	Normal	1			1	
	Instrument / Component	4		1,2,4,5	3,5,7	
	Major	1		6	6	
As RO	Reactivity	1				
	Normal	0				
	Instrument / Component	2				
	Major	1				
SRO-I	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				
As SRO	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				
SRO-U	Reactivity	0				
	Normal	1		3		
	Instrument / Component	2		1,2,4,5		
	Major	1		6		

- Instructions:
- (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 - (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
 - (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

NRC Reviewer:

Gregory W. Wierger
Gary W. Sokolow / *Gregory W. Wierger*

OPERATING TEST NO.: 2 (For RO 5 → Scenario 1 as Pri, Scenario 3 as BOP)

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	3	4
RO	Reactivity	1	1			
	Normal	1			1	
	Instrument / Component	4	2,4 5,7		3,5,7	
	Major	1	6		6	
As RO	Reactivity	1				
	Normal	0				
	Instrument / Component	2				
	Major	1				
SRO-I						
As SRO	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				
SRO-U						
SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

NRC Reviewer:

Gregory Werner / Gregory Werner
Gary W. Johnston / G.W. Johnston

OPERATING TEST NO : 1 (For ROs 1,2,3,4 → Scenario 3 as Pri, Scenario 1 as BOP)
 (For SRO(I) and SRO(U#1) → Scenario 3 as SRO/ For SRO(I) Scenario 1 as RO)

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	3	4
RO	Reactivity	1			1	
	Normal	1	1			
	Instrument / Component	4	3,4,7		2,4,5,7,8	
	Major	1	6		6	
As RO	Reactivity	1	1			
	Normal	0				
	Instrument / Component	2	2,4,5,7			
	Major	1	6			
SRO-I						
As SRO	Reactivity	0				
	Normal	1			1	
	Instrument / Component	2			2,4,5,7,8	
	Major	1			6	
SRO-U	Reactivity	0				
	Normal	1	1		1	
	Instrument / Component	2	2,3,4,5,7		2,4,5,7,8	
	Major	1	6		6	

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

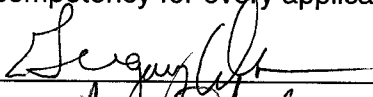
NRC Reviewer:

Gregory W. Johnston / Gregory Werner
Gregory W. Johnston / Gregory Werner

Competencies	RO5 Scen 1 as RO Scen 3 as BOP		RO6 Scen 3 as BOP Scen 2 as RO					
	SCENARIO		SCENARIO		SCENARIO			
	1	3	2	3	1	2	3	4
Understand and Interpret Annunciators and Alarms	3	3,5,7	1,2,4	3,5,7				
Diagnose Events and Conditions	2,4,6	3,5,7	1,2,4	3,5,7				
Understand Plant and System Response	6	6	6	6				
Comply With and Use Procedures (1)	1	1	3	1				
Operate Control Boards (2)	1-7	1-8	1-6	1-8				
Communicate and Interact With the Crew	1-7	1-8	1-6	1-8				
Demonstrate Supervisory Ability (3)								
Comply With and Use Tech. Specs. (3)								
Notes: (1) Includes Technical Specification compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.								

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author: Gregory Werner 

NRC Reviewer: Gary Johnston 

Competencies	RO1, RO2, RO3, RO4 Scen 1 as BOP Scen 3 as RO				U1, U2, U3, U4 Scen 3 - U1 only Scen 2 - U2 only			I2, I3, I4 Scen 1 as RO Scen 3 as SRO		
	SCENARIO				SCENARIO			SCENARIO		
	1		3		1	2	3	1		3
Understand and Interpret Annunciators and Alarms	3		2,4		2-7	1,2, 4-6	2-8	3		2-8
Diagnose Events and Conditions	3,4, 6		4,6,7		2-7	1,2, 4-6	2-8	2,4,6		2-8
Understand Plant and System Response	6		6		1-7	1-6	1-8	6		1-8
Comply With and Use Procedures (1)	1		1		1-7	1-6	1-8	1		1-8
Operate Control Boards (2)	1-7		1-8		N/A	N/A	N/A	1-7		N/A
Communicate and Interact With the Crew	1-7		1-8		1-7	1-6	1-8	1-7		1-8
Demonstrate Supervisory Ability (3)					1-7	1-6	1-8			1-8
Comply With and Use Tech. Specs. (3)					2,4	1,4, 5	4			4
Notes: (1) Includes Technical Specification compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.										

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Gregory Werner

NRC Reviewer:

Gary Johnston

Facility: <u>CPSES</u>		Date of Exam: <u>May 18, 2001</u> Exam Level: <u>RO/SRO</u>		
Item Description	Initials			
	a	b	c	
1. Clean answer sheets copied before grading	<u>Stu</u>	<u>NT</u>	<u>Stu</u>	
2. Answer key changes and question deletions justified and documented	<u>N/A</u> <u>Stu</u>		<u>NT</u> <u>Stu</u>	
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	<u>Stu</u>		<u>Stu</u>	
4. Grading for all borderline cases (80% +/- 2%) reviewed in detail	<u>Stu</u>		<u>Stu</u>	
5. All other failing examinations checked to ensure that grades are justified	<u>N/A</u> <u>Stu</u>		<u>NT</u> <u>Stu</u>	
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	<u>Stu</u>		<u>Stu</u>	
Printed Name / Signature		Date		
a. Grader	<u>Gregory Werner / Gregory Werner</u>		<u>6/5/01</u>	
b. Facility Reviewer(*)	<u>NT</u>			
c. NRC Chief Examiner (*)	<u>STEPHEN L. McCREARY / Stephen L. McCreary</u>		<u>6/7/01</u>	
d. NRC Supervisor (*)	<u>ANTHONY T. GODY / AT Gody</u>		<u>6/8/01</u>	
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.				

Facility: <u>CPSES</u>		Date of Exam: <u>May 18, 2001</u>		Exam Level: RO <u>(SRO)</u>	
Item Description	Initials				
	a	b	c		
1. Clean answer sheets copied before grading	<u>SEW</u>	<u>NT</u>	<u>SM</u>		
2. Answer key changes and question deletions justified and documented	<u>N/A</u> <u>SEW</u>		<u>NT</u> <u>SM</u>		
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	<u>SEW</u>		<u>SM</u>		
4. Grading for all borderline cases (80% +/- 2%) reviewed in detail	<u>N/A</u> <u>SEW</u>		<u>NT</u> <u>SM</u>		
5. All other failing examinations checked to ensure that grades are justified	<u>N/A</u> <u>SEW</u>		<u>NT</u> <u>SM</u>		
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	<u>SEW</u>		<u>SM</u>		
Printed Name / Signature		Date			
a. Grader	<u>Gregory Werner / Gregory Werner</u>		<u>6/5/01</u>		
b. Facility Reviewer(*)	<u>NT</u>				
c. NRC Chief Examiner (*)	<u>STEPHEN L. McCarty / Stephen L. McCarty</u>		<u>6/7/01</u>		
d. NRC Supervisor (*)	<u>ANTHONY T. Gody / AT Gody</u>		<u>6/8/01</u>		
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.					

Operator Licensing Exam Schedule

From 01/01/2001 To 05/31/2001

Region: 4

Phase Code: 5 Operational

Exam Week	Site/Docket No./Insp Rpt #	# Candidates	Type	Exam Author	Chief Examiner	Examiners Assigned	
11/26/2000	Comanche Peak / 05000445 / Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
11/26/2000	Comanche Peak / 05000446 / Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
01/14/2001	Comanche Peak / 05000445 / 2001002 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
01/14/2001	Comanche Peak / 05000445 / 2001002 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
01/14/2001	Comanche Peak / 05000446 / 2001002 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
01/14/2001	Comanche Peak / 05000446 / 2001002 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
02/12/2001	Comanche Peak / 05000445 / 2001002 Procedure #: 7111111B				JOHNSTON, GARY W.	JOHNSTON, GARY W. WERNER, G E.	
02/12/2001	Comanche Peak / 05000446 / 2001002 Procedure #: 7111111B				JOHNSTON, GARY W.	JOHNSTON, GARY W. WERNER, G E.	
04/01/2001	Comanche Peak / 05000445 / 2001003 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
04/01/2001	Comanche Peak / 05000446 / 2001003 Procedure #: 7111111Q				GODY, ANTHONY T.	GODY, ANTHONY T. SCHWIND, SCOTT C.	
04/30/2001	Comanche Peak / 05000445 / 2001301		Prep		JOHNSTON, GARY W.	JOHNSTON, GARY W. WERNER, G E.	
05/21/2001	Comanche Peak / 05000445 / 2001301	RO - 6 SROU - 4	SROI - 3 3 <i>pw</i>	Admin	NNN	JOHNSTON, GARY W.	GAGE, PAUL C. JOHNSTON, GARY W. MCCRORY, STEPHEN L. MCKERNON, THOMAS O. WERNER, G E.
05/21/2001	Comanche Peak / 05000446 / 2001301		Admin	NNN	JOHNSTON, GARY W.	GAGE, PAUL C. JOHNSTON, GARY W. WERNER, G E.	

Sites: CP

Orgs: ALL

Exam Author: ALL

Operator Licensing Exam Schedule

From 01/01/2001 To 05/31/2001

Region: 4

Phase Code: 5 Operational

Summary By Date

11/2000 CP - Comanche Peak

11/2000

01/2001 CP - Comanche Peak

01/2001

02/2001 CP - Comanche Peak

02/2001

04/2001 CP - Comanche Peak

RO - 0

SROI - 0

SROU - 0

LSRO - 0

Total for Comanche Peak: 0

04/2001

RO - 0

SROI - 0

SROU - 0

LSRO - 0

Total for 04/2001: 0

05/2001 CP - Comanche Peak

RO - 6

SROI - 3

SROU - 4

LSRO - 0

Total for Comanche Peak: 13

Sites: CP

Orgs: ALL

Exam Author: ALL

Operator Licensing Exam Schedule

From 01/01/2001 To 05/31/2001

Region: 4

Phase Code: 5 Operational

Summary By Site

CP - Comanche Peak

RO - 6

SROI - 13

13

SROU - 4

LSRO - 0

Total for Comanche Peak: 13

13

Sites: CP

Orgs: ALL

Exam Author: ALL

Operator Licensing Exam Schedule

From 01/01/2001 To 05/31/2001

Region: 4

Phase Code: 5 Operational

Summary By Region

Region 4

RO - 6

SROI - ~~14~~ 13

SROU - 4

LSRO - 0

Total for Region 4: ~~14~~ 13

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 5/14/01 & 5/21/01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 5-18-01 - thru - 5-25-01. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY DATE NOTE	SIGNATURE (1)	DATE	SIGNATURE (2)
1. <u>Peter Presby</u>	<u>Instructor 4-12-01</u>	<u>P. Presby</u>	<u>5-25-01</u>	<u>P. Presby</u>
2. <u>Duane G. Strickland</u>	<u>SRO 4-16-01</u>	<u>Duane G. Strickland</u>	<u>6-1-01</u>	<u>Duane G. Strickland</u>
3. <u>James Terry Jank</u>	<u>Chemistry Supervisor 4-17-01</u>	<u>J. Jank</u>	<u>5-29-01</u>	<u>J. Jank</u>
4. <u>MELINDA OLIVER</u>	<u>Tech Training Supv 4-17-01</u>	<u>Melinda B. Oliver</u>	<u>5-29-01</u>	<u>Melinda B. Oliver</u>
5. <u>DOCK W. McCOMBES</u>	<u>SUPERVISOR OPERATIONS TRAINING 4-23-01</u>	<u>D. McCombes</u>	<u>5-25-01</u>	<u>D. McCombes</u>
6. <u>JACK R. WISE</u>	<u>INSTRUCTOR 4/23/01</u>	<u>J. R. Wise</u>	<u>5-25-01</u>	<u>J. R. Wise</u>
7. <u>CLIFF DAVIS</u>	<u>Instructor 4-23-01</u>	<u>Cliff Davis</u>	<u>5-25-01</u>	<u>Cliff Davis</u>
8. <u>Michael EVANS</u>	<u>INSTRUCTOR 4-23-01</u>	<u>Michael Evans</u>	<u>5-29-01</u>	<u>Michael Evans</u>
9. <u>David Willis</u>	<u>RO 4/23/01</u>	<u>David Willis</u>	<u>6/1/01</u>	<u>David Willis</u>
10. <u>Cathleen Raffin</u>	<u>Nuc. Support Assist. 4/23/01</u>	<u>Cathleen Raffin</u>	<u>5-25-01</u>	<u>Cathleen Raffin</u>
11. <u>DAVID GOODMAN</u>	<u>SIMULATOR SUPPORT 5/17/01</u>	<u>David C. Goodman</u>	<u>5-29-01</u>	<u>David C. Goodman</u>
12. <u>Curtis Dillard</u>	<u>Instructor 5/18/01</u>	<u>C. Dillard</u>	<u>6/1/01</u>	<u>C. Dillard</u>
13. <u>Randall Sargent</u>	<u>Instructor 5/18/01</u>	<u>Randall F. Sargent</u>	<u>5-29-01</u>	<u>Randall F. Sargent</u>
14. <u>GREGORY C. BOYAN</u>	<u>INSTRUCTOR 5/18/01</u>	<u>G. Boyan</u>	<u>5/29/01</u>	<u>G. Boyan</u>
15. <u>EXETER T. SKELTON</u>	<u>Instructor 5-22-01</u>	<u>E. T. Skelton</u>	<u>5/29/01</u>	<u>E. T. Skelton</u>

NOTES: Pg 1 of 2

1. Pre-Examination

5-18-01 - 5-25-01

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of Y as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

5-18-01 thru 5-25-01

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 2. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE/NOTE
1.	DAVID HILTBRAND	SR. ENGINEER	<i>David Hiltbrand</i>	5/22/01	<i>David Hiltbrand</i>	5/29/01
2.	MARK MESWARB	SOFTWARE ENGINEER	<i>Mark Meswarb</i>	5/22/01	<i>Mark Meswarb</i>	5/25/01
3.	ES. THOMAS	SRO - Instructor	<i>ES. Thomas</i>	5-23-01	<i>ES. Thomas</i>	5-25-01
4.						
5.						
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NOTES: Pg 2 of 2