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Subject: Duke Energy Corporation
Catawba Nuclear Station, Units 1 and 2
Docket Nos. 50-413 and 50-414
Technical Specification Bases Changes

Pursuant to 10CFR 50.4, please find attached changes to the Catawba Nuclear Station Technical Specification Bases. These Bases changes were made according to the provisions of 10CFR 50.59.

Any questions regarding this information should be directed to Larry Rudy, Regulatory Compliance, at (803) 831-3084.

I certify that I am a duly authorized officer of Duke Energy Corporation and that the information contained herein accurately represents changes made to the Technical Specification Bases since the previous submittal.

Gary R. Peterson

Attachment

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xc: L. A. Reyes, Regional Administrator
U. S. Nuclear Regulatory Commission, Region II

C. P. Patel, Project Manager
U.S. Nuclear Regulatory Commission
Office of Nuclear Reactor Regulation, Mail Stop 0-8 H12

D. J. Roberts
Senior Resident Inspector
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BASES

BACKGROUND (continued)

banks are either fully withdrawn or fully inserted. The shutdown banks are withdrawn above insertion limits specified in the COLR before withdrawing any control banks during an approach to criticality. The shutdown banks remain above the insertion limits specified in the COLR until the reactor is shut down, except for surveillance testing required by SR 3.1.4.2 and as allowed during physics testing according to LCO 3.1.8. Since the shutdown banks are fully withdrawn while this Specification is applicable, they do not affect core power and burnup distribution, but merely add negative reactivity to shut down the reactor upon receipt of a reactor trip signal.

APPLICABLE
SAFETY ANALYSES

On a reactor trip, all RCCAs (shutdown banks and control banks), except the most reactive RCCA, are assumed to insert into the core. The shutdown banks shall be at or above their insertion limits and available to insert the maximum amount of negative reactivity on a reactor trip signal. The control banks may be partially inserted in the core, as allowed by LCO 3.1.6, "Control Bank Insertion Limits." The shutdown bank and control bank insertion limits are established to ensure that a sufficient amount of negative reactivity is available to shut down the reactor and maintain the required SDM (see LCO 3.1.1, "SHUTDOWN MARGIN (SDM)") following a reactor trip from full power. The combination of control banks and shutdown banks (less the most reactive RCCA, which is assumed to be fully withdrawn) is sufficient to take the reactor from full power conditions at rated temperature to zero power, and to maintain the required SDM at rated no load temperature (Ref. 3). The shutdown bank insertion limit also makes the reactivity worth of an ejected shutdown rod negligible.

The acceptance criteria for addressing shutdown and control rod bank insertion limits and inoperability or misalignment is that:

- a. There be no violations of:
 - 1. specified acceptable fuel design limits, or
 - 2. RCS pressure boundary integrity; and
- b. The core remains subcritical after accident transients.

As such, the shutdown bank insertion limits affect safety analysis involving core reactivity and SDM (Ref. 3).

The shutdown bank insertion limits preserve an initial condition assumed in the safety analyses and, as such, satisfy Criterion 2 of 10 CFR 50.36 (Ref. 4).

BASES

SURVEILLANCE REQUIREMENTS (continued)

The acceptance criteria for this Surveillance are consistent with IEEE-450 (Ref. 9). This reference recommends that the battery be replaced if its capacity is below 80% of the manufacturer's rating. A capacity of 80% shows that the battery rate of deterioration is increasing, even if there is ample capacity to meet the load requirements.

The Surveillance Frequency for this test is normally 60 months. If the battery shows degradation, or if the battery has reached 85% of its expected life and capacity is < 100% of the manufacturer's rating, the Surveillance Frequency is reduced to 18 months. However (for DC vital batteries only), if the battery shows no degradation but has reached 85% of its expected life, the Surveillance Frequency is only reduced to 24 months for batteries that retain capacity $\geq 100\%$ of the manufacturer's rating. Degradation is indicated, according to IEEE-450 (Ref. 9), when the battery capacity drops by more than 10% relative to its average capacity on the previous performance tests or when it is $\geq 10\%$ below the manufacturer's rating. These Frequencies are consistent with the recommendations in IEEE-450 (Ref. 9). This SR is modified by a Note which is applicable to the DG batteries only. The reason for the Note is that performing the Surveillance would perturb the associated electrical distribution system and challenge safety systems.

REFERENCES

1. 10 CFR 50, Appendix A, GDC 17.
2. Regulatory Guide 1.6, March 10, 1971.
3. IEEE-308-1971 and 1974.
4. UFSAR, Chapter 8.
5. IEEE-485-1983, June 1983.
6. UFSAR, Chapter 6.
7. UFSAR, Chapter 15.
8. 10 CFR 50.36, Technical Specifications, (c)(2)(ii).
9. IEEE-450-1975 and/or 1980.
10. Regulatory Guide 1.32, February 1977.

BASES

SURVEILLANCE REQUIREMENTS (continued)

exceed 18 months.

This SR is modified by two Notes. Note 1 allows the performance of a modified performance discharge test in lieu of a service test once per 60 months.

The modified performance discharge test is a performance discharge test that is augmented to include the high-rate, short duration discharge loads (during the first minute and 11-to-12 minute discharge periods) of the service test. The duty cycle of the modified performance test must fully envelope the duty cycle of the service test if the modified performance discharge test is to be used in lieu of the service test. Since the ampere-hours removed by the high-rate, short duration discharge periods of the service test represents a very small portion of the battery capacity, the test rate can be changed to that for the modified performance discharge test without compromising the results of the performance discharge test. The battery terminal voltage for the modified performance discharge test should remain above the minimum battery terminal voltage specified in the battery service test for the duration of time equal to that of the service test.

A modified discharge test is a test of the battery capacity and its ability to provide a high rate, short duration load (usually the highest rates of the duty cycle). This will often confirm the battery's ability to meet the critical periods of the load duty cycle, in addition to determining its percentage of rated capacity. Initial conditions for the modified performance discharge test should be identical to those specified for a service test. The reason for Note 2 is that performing the Surveillance would perturb the electrical distribution system and challenge safety systems.

SR 3.8.4.9

A battery performance discharge test is a test of constant current capacity of a battery, normally done in the as found condition, after having been in service, to detect any change in the capacity determined by the acceptance test. The test is intended to determine overall battery degradation due to age and usage.

A battery modified performance discharge test is described in the Bases for SR 3.8.4.8. Either the battery performance discharge test or the modified performance discharge test is acceptable for satisfying SR 3.8.4.9; however, only the modified performance discharge test may be used to satisfy SR 3.8.4.9 while satisfying the requirements of SR 3.8.4.8 at the same time.

BASES

SURVEILLANCE REQUIREMENTS (continued)

18 month Frequency. Therefore, the Frequency was concluded to be acceptable from a reliability standpoint.

SR 3.8.4.7

This SR requires that each battery charger for the DC channel be capable of supplying at least 200 amps and at least 75 amps for the DG chargers. All chargers shall be tested at a voltage of at least 125 V for ≥ 8 hours. These requirements are based on the design capacity of the chargers (Ref. 4). According to Regulatory Guide 1.32 (Ref. 10), the battery charger supply is required to be based on the largest combined demands of the various steady state loads and the charging capacity to restore the battery from the design minimum charge state to the fully charged state, irrespective of the status of the unit during these demand occurrences. The minimum required amperes and duration ensures that these requirements can be satisfied.

The Surveillance Frequency is acceptable, given the unit conditions required to perform the test and the other administrative controls existing to ensure adequate charger performance during these 18 month intervals. In addition, this Frequency is intended to be consistent with expected fuel cycle lengths.

SR 3.8.4.8

A battery service test is a special test of battery capability, as found, to satisfy the design requirements (battery duty cycle) of the DC electrical power system. The discharge rate and test length should correspond to the design duty cycle requirements as specified in Reference 4. The DC channel batteries are tested to supply a current ≥ 522.14 amps for the first minute, then ≥ 267.71 amps for the next 9 minutes, ≥ 376.15 amps for the next 10 minutes, and ≥ 281.94 amps for the next 100 minutes. Terminal voltage is required to remain ≥ 110.4 volts during this test. The DG batteries are tested to supply a current ≥ 218.5 amps for the first minute, then ≥ 42.5 amps for the next 10 minutes, then ≥ 121.8 amps for the next minute, then ≥ 42.5 amps for the remaining 108 minutes. Terminal voltage is required to remain ≥ 105 volts during this test.

Except for performing SR 3.8.4.8 for the DC channel batteries with the unit on line, the Surveillance Frequency of 18 months is consistent with the recommendations of Regulatory Guide 1.32 (Ref. 10), which states that the battery service test should be performed during refueling operations or at some other outage, with intervals between tests, not to

BASES

SURVEILLANCE REQUIREMENTS (continued)

For the DG batteries, visual inspection to detect corrosion of the battery terminals and connections provides an indication of physical damage or abnormal deterioration that could potentially degrade battery performance. The presence of visible corrosion does not necessarily represent a failure of this SR, provided an evaluation determines that the visible corrosion does not affect the OPERABILITY of the battery.

The Surveillance Frequency for these inspections, which can detect conditions that can cause power losses due to resistance heating, is 92 days. This Frequency is considered acceptable based on operating experience related to detecting corrosion trends.

SR 3.8.4.4

Visual inspection of the battery cells, cell plates, and battery racks provides an indication of physical damage or abnormal deterioration that could potentially degrade battery performance. The presence of physical damage or deterioration does not necessarily represent a failure of this SR, provided an evaluation determines that the physical damage or deterioration does not affect the OPERABILITY of the battery (its ability to perform its design function). Operating experience has shown that these components usually pass the SR when performed at the 18 month Frequency. Therefore, the Frequency was concluded to be acceptable from a reliability standpoint.

SR 3.8.4.5 and SR 3.8.4.6

Visual inspection and resistance measurements of intercell, interrack, intertier, and terminal connections provide an indication of physical damage or abnormal deterioration that could indicate degraded battery condition. The anticorrosion material, as recommended by the manufacturer for the DG batteries, is used to help ensure good electrical connections and to reduce terminal deterioration. The visual inspection for corrosion is not intended to require removal of and inspection under each terminal connection. The removal of visible corrosion is a preventive maintenance SR. The presence of visible corrosion does not necessarily represent a failure of this SR provided visible corrosion is removed during performance of SR 3.8.4.5.

For the DG batteries, the cell-to-cell terminal pole screws should be set from 14 to 15 foot-pounds of torque. Operating experience has shown that these components usually pass the SR when performed at the

BASES

ACTIONS (continued)

the loss of the channel DC power and the associated DG DC power, the load center power for the train is inoperable and the Condition(s) and Required Action(s) for the Distribution Systems must be entered immediately.

SURVEILLANCE
REQUIREMENTS

SR 3.8.4.1

Verifying battery terminal voltage while on float charge for the batteries helps to ensure the effectiveness of the charging system and the ability of the batteries to perform their intended function. Float charge is the condition in which the charger is supplying the continuous charge required to overcome the internal losses of a battery (or battery cell) and maintain the battery (or a battery cell) in a fully charged state. The voltage requirements are based on the nominal design voltage of the battery and are consistent with the initial voltages assumed in the battery sizing calculations. The 7 day Frequency is consistent with manufacturer recommendations and IEEE-450 (Ref. 9).

SR 3.8.4.2

Verifying battery individual cell voltage while on float charge for the DG batteries ensures each cell is capable of supporting their intended function. Float charge is the condition in which the charger is supplying the continuous charge required to overcome the internal losses of a battery (or battery cell) and maintain the battery (or a battery cell) in a fully charged state. The voltage requirements are based on the nominal design voltage of the battery and are consistent with the initial voltages assumed in the battery sizing calculations. For this surveillance two different cells shall be tested each month. The 7 day Frequency is consistent with manufacturer recommendations.

SR 3.8.4.3

For the DC channel batteries, visual inspection to detect corrosion of the battery terminals and connections, or measurement of the resistance of each intercell, interrack, intertier, and terminal connection, provides an indication of physical damage or abnormal deterioration that could potentially degrade battery performance. The presence of visible corrosion does not necessarily represent a failure of this SR, provided an evaluation determines that the visible corrosion does not affect the OPERABILITY of the battery.

BASES

SURVEILLANCE REQUIREMENTS (continued)

SR 3.4.13.2

This SR provides the means necessary to determine SG OPERABILITY in an operational MODE. The requirement to demonstrate SG tube integrity in accordance with the Steam Generator Tube Surveillance Program emphasizes the importance of SG tube integrity, even though this Surveillance cannot be performed at normal operating conditions.

REFERENCES

1. 10 CFR 50, Appendix A, GDC 30.
2. Regulatory Guide 1.45, May 1973.
3. UFSAR, Section 15.
4. 10 CFR 50.36, Technical Specifications, (c)(2)(ii).
5. EPRI TR-104788-R2, "PWR Primary-to-Secondary Leak Guidelines," Revision 2.

BASES

SURVEILLANCE REQUIREMENTS (continued)

LEAKAGE are determined by performance of an RCS water inventory balance. Primary to secondary LEAKAGE is also measured by performance of an RCS water inventory balance in conjunction with effluent monitoring within the secondary steam and feedwater systems. For this SR, the volumetric calculation of unidentified LEAKAGE and identified LEAKAGE is based on a density at room temperature of 77 degrees F. The volumetric calculation of primary to secondary LEAKAGE is based on a density at operating RCS temperature of 585 degrees F.

In order to provide enhanced assurance that the primary to secondary LEAKAGE limit of LCO 3.4.13 is met in MODE 1, a continuous calculation is performed via an Operator Aid Computer program that utilizes the ratio of primary and secondary system activities to determine a LEAKAGE rate. This verification methodology is based on guidance contained in Ref. 5. In addition, on a monthly basis, primary to secondary LEAKAGE is determined based on grab samples.

The RCS water inventory balance must be performed with the reactor at steady state operating conditions and near operating pressure. Therefore, this SR is not required to be completed in MODES 3 and 4 until 12 hours of steady state operation near operating pressure have been established.

Steady state operation is required to perform a proper inventory balance; calculations during maneuvering are not useful and a Note requires the Surveillance to be met when steady state is established. For RCS operational LEAKAGE determination by water inventory balance, steady state is defined as stable RCS pressure, temperature, power level, pressurizer and makeup tank levels, makeup and letdown, and RCP seal injection and return flows.

An early warning of pressure boundary LEAKAGE or unidentified LEAKAGE is provided by the automatic systems that monitor the containment atmosphere radioactivity and the containment sump level. It should be noted that LEAKAGE past seals and gaskets is not pressure boundary LEAKAGE. These leakage detection systems are specified in LCO 3.4.15, "RCS Leakage Detection Instrumentation."

The 72 hour Frequency is a reasonable interval to trend LEAKAGE and recognizes the importance of early leakage detection in the prevention of accidents. A Note under the Frequency column states that this SR is required to be performed during steady state operation.

BASES

SURVEILLANCE REQUIREMENTS (continued)

The setpoint shall be left set consistent with the assumptions of the setpoint methodology.

SR 3.3.1.7 is modified by a Note that provides a 4 hour delay in the requirement to perform this Surveillance for source range instrumentation when entering MODE 3 from MODE 2. This Note allows a normal shutdown to proceed without a delay for testing in MODE 2 and for a short time in MODE 3 until the RTBs are open and SR 3.3.1.7 is no longer required to be performed. If the unit is to be in MODE 3 with the RTBs closed for > 4 hours this Surveillance must be completed within 4 hours after entry into MODE 3.

The Frequency of 92 days is justified in Reference 7.

SR 3.3.1.8

SR 3.3.1.8 is the performance of a COT as described in SR 3.3.1.7, except it is modified by a Note that this test shall include verification that the P-6, during the Intermediate Range COT, and P-10, during the Power Range COT, interlocks are in their required state for the existing unit condition. The verification is performed by visual observation of the permissive status light in the unit control room. The Frequency is modified by a Note that allows this surveillance to be satisfied if it has been performed within 92 days of the Frequencies prior to reactor startup and four hours after reducing power below P-10 and P-6. The Frequency of "prior to startup" ensures this surveillance is performed prior to critical operations and applies to the source, intermediate and power range low instrument channels. The Frequency of "4 hours after reducing power below P-10" (applicable to intermediate and power range low channels) and "4 hours after reducing power below P-6" (applicable to source range channels) allows a normal shutdown to be completed and the unit removed from the MODE of Applicability for this surveillance without a delay to perform the testing required by this surveillance. The Frequency of every 92 days thereafter applies if the plant remains in the MODE of Applicability after the initial performances of prior to reactor startup and four hours after reducing power below P-10 or P-6. The MODE of Applicability for this surveillance is < P-10 for the power range low and intermediate range channels and < P-6 for the source range channels. Once the unit is in MODE 3, this surveillance is no longer required. If power is to be maintained < P-10 or < P-6 for more than 4 hours, then the

BASES

SURVEILLANCE REQUIREMENTS (continued)

condition, thus preventing inadvertent actuation. Through the semiautomatic tester, all possible logic combinations, with and without applicable permissives, are tested for each protection function. The Frequency of every 31 days on a STAGGERED TEST BASIS is adequate. It is based on industry operating experience, considering instrument reliability and operating history data.

SR 3.3.1.6

SR 3.3.1.6 is a calibration of the excore channels to the incore channels. If the measurements do not agree, the excore channels are not declared inoperable but must be calibrated to agree with the incore detector measurements. If the excore channels cannot be adjusted, the channels are declared inoperable. This Surveillance is performed to verify the $f(\Delta I)$ input to the overtemperature ΔT Function and overpower ΔT Function.

The excore channel ΔI signals are calibrated, as necessary, at the beginning of the fuel cycle based on the data from the incore-excore ΔI calibration test. At Beginning of Cycle (BOC), an incore to excore AFD calibration is performed at power levels > 75% full power to determine the relationship (M_i factor) between incore and excore AFDs. This relationship is normally only determined at BOC since variation in the M_i factor throughout the cycle is within the AFD allowance used to calculate $f(\Delta I)$ setpoints.

A Note modifies SR 3.3.1.6. The Note states that this Surveillance is required only if reactor power is > 75% RTP and that 24 hours is allowed for completing the first surveillance after reaching 75% RTP.

The Frequency of 92 EFPD is adequate. It is based on industry operating experience, considering instrument reliability and operating history data for instrument drift.

SR 3.3.1.7

SR 3.3.1.7 is the performance of a COT every 92 days.

A COT is performed on each required channel to ensure the channel will perform the intended Function.

The tested portion of the loop must trip within the Allowable Values specified in Table 3.3.1-1.