



OFFICE OF THE
SECRETARY

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

January 10, 2002


COMMISSION VOTING RECORD

DECISION ITEM: SECY-01-0209

TITLE: FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES

The Commission (with all Commissioners agreeing) approved the subject paper as recorded in the Staff Requirements Memorandum (SRM) of January 10, 2002.

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Commission.


Annette L. Vietti-Cook
Secretary of the Commission

Attachments:

1. Voting Summary
2. Commissioner Vote Sheets

cc: Chairman Meserve
Commissioner Dicus
Commissioner Diaz
Commissioner McGaffigan
Commissioner Merrifield
OGC
EDO
PDR

VOTING SUMMARY - SECY-01-0209

RECORDED VOTES

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIP	COMMENTS	DATE
CHRM. MESERVE	X				X	01/02/02
COMR. DICUS	X				X	01/03/02
COMR. DIAZ	X					01/08/02
COMR. McGAFFIGAN	X				X	12/28/01
COMR. MERRIFIELD	X				X	12/20/01

COMMENT RESOLUTION

In their vote sheets, all Commissioners approved the staff's recommendation. Chairman Meserve, Commissioner Dicus, Commissioner McGaffigan, and Commissioner Merrifield provided some additional comments/edits. Subsequently, the comments of the Commission were incorporated into the guidance to staff as reflected in the SRM issued on January 10, 2002.

NOTATION VOTE

RESPONSE SHEET

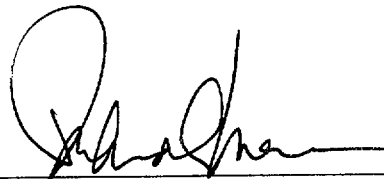
TO: Annette Vietti-Cook, Secretary
FROM: CHAIRMAN MESERVE
SUBJECT: **SECY-01-0209 - FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES**

Approved w/edits Disapproved _____ Abstain _____

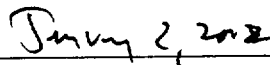
Not Participating _____

COMMENTS:

See Attachment



SIGNATURE



DATE

Entered on "STARS" Yes ☒ No _____

(IAEA) safeguards. Communication between the Program Offices and OIP is important to assure basic alignment of the various NRC activities having an international dimension, and to make adjustments in such activities as may be required at any given time to support NRC performance goals and thus promote NRC's overall best interests. The International Council promotes such communication and coordination, and, for example, has reviewed and concurred in this document.

B. Prioritizing NRC Activities in the Context of Overall U.S. Interests

integration with the Nation's foreign policy

Although NRC is an independent Agency, the Commission's international activities must conform with U.S. foreign relations policy and must not conflict in any significant way with the programs and activities of other U.S. government (USG) agencies. In practice, NRC's international interests and activities have been enhanced by these requirements. The National Security Council, the Department of State, and other Executive Branch agencies which promote U.S. interests abroad usually inform NRC of international activities in our areas of interest and expertise, and invite (if not urge) our participation. In turn, NRC submits for Executive Branch comment and clearance or approval its proposed international agreements, planned foreign travel, and requests for funding or support from other agencies.

Interagency consultation is an essential component of the Commission's decision-making process in setting priorities for NRC's international activities and assuring overall conformance with U.S. foreign policy interests. Responsible agencies, including NRC, consult among themselves formally and informally to develop common positions on international nuclear issues and to coordinate their various undertakings. Several USG interagency coordinating groups review nuclear-related international activities. These include:

1. The U.S. Steering Committee for IAEA, and its various subcommittees.
2. The U.S. Subgroup on Nuclear Export Controls.
3. The U.S. interagency review group for nuclear safety assistance activities.
4. The U.S. interagency coordination group for the Nuclear Energy Agency (NEA) Steering Committee and its various sub-committees.

C. Additional Priority-Setting Considerations

At the Operating Plan level, implementation of international activities requires informed judgements of the relative costs and merits, including efficiency and effectiveness considerations, of competing uses of resources in the pursuit of NRC goals. These day-to-day decisions also involve practical considerations such as the timing of the activity and the availability of key people required for success.

A Program Office's decision to participate in specific international activities should be consistent with the NRC's strategic goal and operating plans to support NRC interests in the safe and secure use of nuclear materials and in nuclear nonproliferation. The responsible office should prioritize its international activities, based on whether proposed international interactions are important to fulfill the strategic plan performance goals or strategies and are of sufficient benefit to warrant participation. In making these determinations, the responsible office should assess

whether proposed international interactions will provide NRC with information relevant to ongoing or planned NRC work; will improve the quality or timeliness of NRC work; will facilitate the dissemination of information important to safety, safeguards, or nonproliferation; will allow NRC to provide technical advice or assistance, as approved and as appropriate; or are needed to comply with a legal requirement. The same process should be applied in considering each international activity, regardless of whether NRC participation is requested on short notice or expenses are being paid by someone other than NRC. The scope of international activities to be covered by the process should not be limited to foreign travel. It might include participation in international meetings in the U.S., meetings with international officials not involving foreign travel, or requests from international organizations or governments to review documents or programs. Each office should consider its international interactions and identify the appropriate scope of activities to be covered by its process.

II. CURRENT EXPECTATIONS IN THE INTERNATIONAL NUCLEAR SAFETY SUPPORT ARENA: A FRAMEWORK

This Section defines broad categories and identifies examples of activities the staff would undertake to achieve NRC's strategic and performance goals. These examples are intended to be illustrative, and a more complete accounting of these activities is expected to be reflected in each individual Office Operating Plan.

- A. Carry out export licensing, international safeguards and international reporting and consultative activities mandated by U.S. law, international treaties or international conventions, or required pursuant to Commission-approved agreements or policy directives.
1. Conduct thorough and timely reviews of proposed nuclear exports and imports, as required by statute or regulation, and reviews and activities to satisfy the requirements of U.S. Agreements for Cooperation in the Peaceful Uses of Nuclear Energy (U.S. Agreements).
 2. Consult with Executive Branch agencies on proposed U.S. Agreements, retransfers of U.S. obligated nuclear materials and equipment, and nuclear technology transfers.
 3. Facilitate implementation of IAEA safeguards at licensee facilities and submit international safeguards data to the IAEA.
 4. Submit required reports under the terms of the Convention on Nuclear Safety, including a high-quality submission for the Revised U.S. National Report to the IAEA Nuclear Safety Convention National Report Review Meeting, and robust NRC participation in the Review meeting, pursuant to the SRM of April 24, 2001. Similar requirements will apply once the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management is notified by the Senate and implemented in the U.S. is commenced.

provide

then

the Senate gives its advice and consent to ratification of

NOTATION VOTE

12 DEC 01 9:45

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary
FROM: COMMISSIONER DICUS
SUBJECT: **SECY-01-0209 - FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES**

Approved X Disapproved Abstain

Not Participating

COMMENTS: See attached comments.

Greta Joy Dicus
SIGNATURE

January 3, 2002
DATE

Entered on "STARS" Yes X No

Comments of Commissioner Dicus Regarding SECY 01-0209

I would like to commend the International Council and staff for their efforts in developing a framework that stresses the alignment of the NRC's international involvement with the appropriate goals and objectives of the Agency's Strategic Plan, and which also recognizes that implementation responsibility should be factored into, and carried out in accordance with, individual Program Office Operating Plans. I believe that the proposed framework and general guidance largely addresses my previous issues and concerns with respect to Program Office Operating Plans, foreign travel funded by outside sources, and resource participation. I also believe that it provides the appropriate mechanism for carrying out the responsibilities as outlined in Management Directive 9.14. and for keeping the Commission well informed in a timely manner.

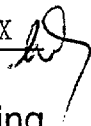
Additionally, in the last sentence of item 4., located on Page 4 of the "Framework for International Activities," the word **notified** should be changed to **ratified**.

gfd
1-03-02


NOTATION VOTE

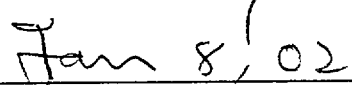
RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary
FROM: COMMISSIONER DIAZ
SUBJECT: **SECY-01-0209 - FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES**

Approved xx  Disapproved _____ Abstain _____
Not Participating _____

COMMENTS:



SIGNATURE


DATE

Entered on "STARS" Yes X No _____

NOTATION VOTE

RESPONSE SHEET

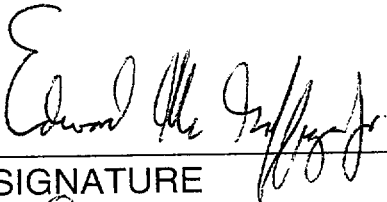
TO: Annette Vietti-Cook, Secretary
FROM: COMMISSIONER MCGAFFIGAN
SUBJECT: **SECY-01-0209 - FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES**

Approved ^{w/edit}
X Disapproved _____ Abstain _____

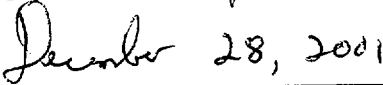
Not Participating _____

COMMENTS:

See attached minor edit.



SIGNATURE



DATE

Entered on "STARS" Yes X No _____

whether proposed international interactions will provide NRC with information relevant to ongoing or planned NRC work; will improve the quality or timeliness of NRC work; will facilitate the dissemination of information important to safety, safeguards, or nonproliferation; will allow NRC to provide technical advice or assistance, as approved and as appropriate; or are needed to comply with a legal requirement. The same process should be applied in considering each international activity, regardless of whether NRC participation is requested on short notice or expenses are being paid by someone other than NRC. The scope of international activities to be covered by the process should not be limited to foreign travel. It might include participation in international meetings in the U.S., meetings with international officials not involving foreign travel, or requests from international organizations or governments to review documents or programs. Each office should consider its international interactions and identify the appropriate scope of activities to be covered by its process.

II. CURRENT EXPECTATIONS IN THE INTERNATIONAL NUCLEAR SAFETY SUPPORT ARENA: A FRAMEWORK

This Section defines broad categories and identifies examples of activities the staff would undertake to achieve NRC's strategic and performance goals. These examples are intended to be illustrative, and a more complete accounting of these activities is expected to be reflected in each individual Office Operating Plan.

- A. Carry out export licensing, international safeguards and international reporting and consultative activities mandated by U.S. law, international treaties or international conventions, or required pursuant to Commission-approved agreements or policy directives.
 - 1. Conduct thorough and timely reviews of proposed nuclear exports and imports, as required by statute or regulation, and reviews and activities to satisfy the requirements of U.S. Agreements for Cooperation in the Peaceful Uses of Nuclear Energy (U.S. Agreements).
 - 2. Consult with Executive Branch agencies on proposed U.S. Agreements, retransfers of U.S. obligated nuclear materials and equipment, and nuclear technology transfers.
 - 3. Facilitate implementation of IAEA safeguards at licensee facilities and submit international safeguards data to the IAEA.
 - 4. Submit required reports under the terms of the Convention on Nuclear Safety, including a high-quality submission for the Revised U.S. National Report to the IAEA Nuclear Safety Convention National Report Review Meeting, and robust NRC participation in the Review meeting, pursuant to the SRM of April 24, 2001. Similar requirements will apply once the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management is ^{notified}~~notified~~ by the Senate and implemented in the U.S. _{ratified}

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary
FROM: COMMISSIONER MERRIFIELD
SUBJECT: **SECY-01-0209 - FRAMEWORK DOCUMENT FOR
INTERNATIONAL ACTIVITIES**

Approved x Disapproved Abstain

Not Participating

COMMENTS:

The staff should modify Section C, Additional Priority-Setting Considerations, to include a discussion which allows for early, efficient Commission notification of, and involvement in, policy issues consistent with Management Directive 9.4 and the Staff Memorandum dated September 4, 2001 (M010814).



SIGNATURE

December 20, 2001

DATE

Entered on "STARS" Yes x No