

1 I would just wish that they all would do that. It's  
2 something that I would like to have seen in the NRC  
3 research document. It's that -- what were the  
4 assumptions we made about the sensitivities we saw  
5 that led us to propose this particular program. Some  
6 sections within the dissenters' R & D plan, it was  
7 very clear about that. Others, it was less clear, but  
8 this idea of establishing criteria by which you judge  
9 whether you do work or not, is a really good one and  
10 I thought that this assumption about sensitivity was  
11 a really good way of beginning at least that process.

12 Okay, I need to qualify what I say.  
13 Igneous activity work looks good, generally. I think  
14 that what they're doing for work looks good. That  
15 doesn't necessarily mean we agree with their model  
16 interpretations. But certainly, they seem to be going  
17 after the right issues.

18 One thing that we are looking at now is  
19 some sort of need to consider radionuclide  
20 partitioning, tephra particle size distribution,  
21 resuspension factors related to the particle size  
22 distribution and the radionuclide distribution versus  
23 particle size. You know, how much of it really gets  
24 ground up and ejected. How far down wind does it go?  
25 Is it likely to get into lungs? And are the

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1 radionuclides on the particles that will go down wind  
2 and into the lungs? It's a lot of question marks out  
3 there that will be, I think, pretty critical for  
4 establishing a reasonable consequence side of the  
5 probability consequence volcanism scenarios.

6 I'm running through the other parts of  
7 their plan on structural deformation and seismicity.  
8 It wasn't so clear to me that the Center has  
9 established why they think this area is of high  
10 importance. For example, in fact, I was just echoing  
11 what was just talked about, studying the earthquake  
12 probability doesn't seem well justified. It's this  
13 idea that well, okay, how high does it have to get and  
14 do we really think it would get that high and do you  
15 really think that getting the data that you're after  
16 is going to help you clarify it to the point where it  
17 makes a difference. I don't see that in the plan. Of  
18 course, the plan is relatively short and maybe it's  
19 justified elsewhere, but I'd like to see that kind of  
20 information.

21 Evolution of the near field environment,  
22 the proposed tests look appropriate combining thermal-  
23 hydro chemical modeling with the Nopal natural analog  
24 work sounds like very good work. Again, I like a lot  
25 of the natural analog work that the Center is

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1 proposing.

2 Diffusion from waste form through the EBS  
3 would be a value too. If there's anything that they  
4 can do to help out poor DOE and their very  
5 conservative approach at the moment.

6 Container life and source term, work on  
7 Neptunium solubility and waste form degradation rate,  
8 I would argue, is of lesser importance because we  
9 don't think diffusion is all that important and when  
10 we look at the solubilities for -- at least for the  
11 range of Neptunium, it's not all that high and uranium  
12 dose is down there farther and so I don't care about  
13 it as much. Again, I exaggerate. I know I'm being  
14 controversial here, but it's the idea of thinking  
15 through how one would prioritize based on what one  
16 knows and of course the uncertainties in those models  
17 that one needs to think about.

18 So here's my example for Neptunium 237  
19 sensitivity that's both a solubility and the waste  
20 form degradation rate. We looked at combinations for  
21 solubility which are below, medium and high and don't  
22 ask me exactly what the numbers are. I'll have to  
23 look them up for you. And a degradation rate for the  
24 waste form between 1,000 and 5,000 years and for the  
25 Neptunium range that we thought was reasonable, we get

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1 no sensitivity for the full analysis from the total  
2 system performance.

3 So that kind of leads us to believe that  
4 gee, maybe for the total performance we don't care as  
5 much about getting the Neptunium solubility right, of  
6 course, based on all of the assumptions that go into  
7 our model.

8 Repository design in terms of mechanical  
9 effects. Certainly, we had agreed that it's important  
10 to evaluate DOE's claim that rockfall is a minor  
11 issue. If we could get past that, then a lot of these  
12 other things would fall out like probabilities of  
13 earthquakes, maybe we'd care less about them.  
14 Whatever, as long as that's established to be a valid  
15 point, that rockfall isn't that big a deal.

16 Some of the other issues may be of lower  
17 priority. I would ask what's unique about Yucca  
18 Mountain that requires a special seismic study and I'm  
19 thinking of the surface facilities now. There's a lot  
20 of seismic work that's been done at all kinds of  
21 nuclear facilities and I just want to make sure that  
22 what the Center is doing there is unique and it built  
23 on what everybody else has done. And maybe I'm  
24 misinterpreting what was proposed there.

25 Thermal effects on flow, again, just

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1 because it's short, the descriptions were so vague  
2 that I couldn't really get a handle on whether they  
3 were appropriate tests or not.

4 In their Section 212 on radionuclide  
5 transport, the Nopal 1 work seems good, especially if  
6 uranium, thorium analyses are included. Yes, I'm  
7 being a little inconsistent about asking for those,  
8 only because I care more about Thorium actually in the  
9 sense of its -- the daughter from Neptunium 237, 229  
10 is pretty important and so getting the Nopal work,  
11 they come together, so I would approve it.

12 The focus on Neptunium and technecium  
13 seems appropriate. While technecium for peak dose  
14 isn't that important, it could come out early in some  
15 scenarios. Where they say certainly we agree with  
16 this, that sensitivity analyses and bounding  
17 calculations are useful tools to evaluate the DOE  
18 approach to radionuclide transport, but the tools must  
19 be tempered with independent confirmation of DOE  
20 results and more in-depth analyses to avoid undue  
21 conservatism or optimism. That certain sounds like  
22 the kind of work I'd be wanting them to do.

23 Sorption seems to be stressed over  
24 fracture matrix interaction and I talked about that a  
25 bit already.

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1                   Here's where we're coming from on this.  
2       Where we put in -- this is sorption in the unsaturated  
3       zone and saturated zone versus some sort of base case  
4       for a wet scenario we call it. Well, we get some  
5       effect where we take out sorption, but it's not a huge  
6       effect. Again, when we pile it through our model, we  
7       don't see a huge increase in peak dose and yeah,  
8       there's some earlier arrival, so you could say that  
9       yeah, it's sort of important, depending on what you  
10      care more about, arrival time or peak dose. Again, be  
11      clear about how you prioritize would be something that  
12      I would argue as to why you're including something.

13                  Another part of this is we did a  
14      sensitivity to fracture matrix interaction and our  
15      particular model we talk about block radius. The idea  
16      is that you have to get from -- we have sort of a  
17      spherical block idea which is the solid matrix and  
18      that you've got fractures running around it, so the  
19      larger the block, the more dispersed the fractures are  
20      and for smaller blocks, the more fracture matrix  
21      interaction you're likely to get. So for our base  
22      case model where we assume that the block radius is  
23      pretty small, we get something out here and for  
24      fracture matrix interaction which is much less for a  
25      large block radius we can get earlier arrivals. So

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1 again, you would say that maybe this is more  
2 important, if you're worried about arrival time and  
3 maybe you don't care if all you worry about is peak  
4 dose.

5 Knowledge needed for source term and  
6 radionuclide transport issues, chemical conditions  
7 within the EBS. It was nice to see Budhi's vu-graph  
8 up there about attempting to slog through the whole pH  
9 question. That's really tough. They're doing a lot  
10 with it as is DOE and that certainly should continue  
11 as it helps us determine well, gee, does it really  
12 affect solubilities or release rates or not?

13 Role of diffusion within the EBS, I talked  
14 about that already. I've talked about really all of  
15 these. I'll get back to collating to transport is a  
16 lower priority. Again, it gets back to the point that  
17 John made earlier. It's like well, even with a lot of  
18 sort of maximizing assumptions, it just barely makes  
19 it on to the radar scope, so why do I care? Again,  
20 I'm trying to be controversial. There may be reasons  
21 and I'm oversimplifying, but it's the idea of  
22 prioritizing and thinking about these things and  
23 writing them down as to why you've decided to fund  
24 something is why I'm making these sort of over  
25 statements.

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1           Okay, so again for our sensitivity to  
2           solubility, where we basically say everything is  
3           infinitely soluble. We get a little bit of a factor  
4           here, a factor of two or three. And again, because  
5           this is due to somewhere down in the dust here,  
6           uranium is highly variable, at least in our model in  
7           terms of solubility, so when we go to maximum  
8           solubility on it, that tends to drive things up. It  
9           doesn't really -- it's not Neptunium solubility isn't  
10          a big factor here.

11           And here's the example. For U-238, just  
12          looking at one of the uraniums, you can see how much  
13          difference there is, so one could say well, gee, you  
14          know, I could get a big dose change if I look at just  
15          U-238, but because Neptunium is sitting over the top,  
16          maybe I don't care so much. But if I got something  
17          wrong about Neptunium, maybe I care more about Uranium  
18          and again, it gets back to John's point about making  
19          sure you've got the other parts right to know where  
20          you want to set your priorities.

21           The recent ACNW letters look good, but I'd  
22          sure like to see some more specifics in them. There  
23          were some general issues that it would have been nice.  
24          There were some examples to clarify what was meant.  
25          For example, what is an anticipatory research? I hope

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1 we get a chance to talk about it.

2 MEMBER GARRICK: Be careful here.

3 MR. KESSLER: I don't really know.

4 (Laughter.)

5 CHAIRMAN HORNBERGER: Your presentation  
6 has been really good up until now, John.

7 (Laughter.)

8 MR. KESSLER: Just shut it off now.

9 (Laughter.)

10 Well, put it this way, I'll save air fare  
11 the next time because I won't get invited to the  
12 meetings next time.

13 (Laughter.)

14 I would say that if you're going to say  
15 you have a concern about potentially the way research  
16 is partitioned between the two, do you have an idea  
17 how it might be done better? Maybe you'd want to tell  
18 us.

19 What does ACNW think is the highest  
20 priority? I don't really know. Maybe it's not --  
21 maybe you don't feel it's your role, but I didn't get  
22 a sense of reading recent letters what you think the  
23 highest priority is or maybe I read the wrong ones, I  
24 don't know.

25 Certainly we agree that more realistic

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1 TSPAs are required. I found the evaluation of the  
2 TSPAs are a little vague to the point where it sounded  
3 probably more damning than you meant it to sound and  
4 an example or two of the consequences of not doing  
5 this in relation to TSPA-SR probably would have been  
6 helpful. And again, calling DOE's TSPA-SR assumption  
7 based I think is overstated.

8 An example or two of what is meant as to  
9 how to use the data, didn't they use it, was it really  
10 all assumptions based? Okay, give us an example? It  
11 would have been helpful there. And I really think it  
12 would have been better if you had acknowledged DOE  
13 advances since TSPA-SR would have been a little  
14 fairer.

15 Next.

16 (Slide change.)

17 That's it.

18 MEMBER HINZE: Is it appropriate to clap  
19 now?

20 (Laughter.)

21 CHAIRMAN HORNBERGER: Thanks, John. I'm  
22 curious. One question that I have for you is as  
23 you've pointed out, what we get to think is important  
24 depends upon how we approach a total systems analysis,  
25 so that your example is you have fracture matrix being

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1 important, so you don't care about the alluvium and  
2 NRC doesn't have fracture matrix doing much, so they  
3 care about the alluvium.

4 How are we to know which is right? How do  
5 we determine what research is needed in fracture  
6 matrix or in alluvium when we can get different  
7 answers from --

8 MR. KESSLER: Well, I'm making a claim  
9 based on assumptions that need to be tested. I've  
10 discussed with the DOE folks that are managing the  
11 saturated zone work that where we're coming from and  
12 we've argued rather specifically about going back and  
13 doing better Packer tests on these intervals to get an  
14 idea of what the flowing intervals really are, maybe  
15 doing some more wells. I've said look, I understand  
16 why you've picked a certain fracture matrix  
17 interaction. You're trying to be conservative because  
18 you can't claim that you have any more fracture  
19 interaction because of the way you did your Packer  
20 tests. Well, maybe it's worth some money to go back  
21 and do them if you can get a whole lot more credit for  
22 it.

23 CHAIRMAN HORNBERGER: But how do we decide  
24 I do more Packer tests there or put more money into  
25 the Nye County wells and the alluvium?

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1 How do I make that decision?

2 MR. KESSLER: You've have to weigh it  
3 against cost and time and when you need to proceed and  
4 everything else, as well as what you feel, I think it  
5 gets back to John's point again. What decision might  
6 you be making that's wrong based on wrong inputs along  
7 the way?

8 So I wouldn't argue you would give up on  
9 alluvium research, especially if you right now have a  
10 model that doesn't take that much credit for fracture  
11 matrix interaction. Of course, you're going to do  
12 some sorption in the alluvium, but I would argue that  
13 if one of the things you're trying to show to the  
14 public is that Yucca Mountain is a good site and it's  
15 not just all in the canister, I would think you'd want  
16 to spend some more money on showing that some other  
17 aspect of the natural system might be of more value.

18 CHAIRMAN HORNBERGER: Wes?

19 MR. PATRICK: If I could add something to  
20 that, Mr. Chairman. I don't know whether John will  
21 agree with this or not. One thing that I found  
22 extremely helpful in the risk assessments that have  
23 been done so far in the sensitivity studies, both ones  
24 we've done and also DOE has done, so much in the early  
25 days of those analyses we're doing the one off type of

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1 analysis. And that's good, but it only gets you so  
2 far because you're always doing a relative comparison  
3 and if all my other assumptions are true, what does  
4 this contribute.

5 What I thought was a major contribution  
6 was the move toward doing one on analyses because that  
7 says what does this contribute and it isn't burdened  
8 by what is lost when I take this away, which is  
9 burdened by all of those other assumptions. If I do  
10 a one on, I start with basically spent fuel in the  
11 middle of an open field. What happens when I add  
12 sorption? What happens when I add some of these other  
13 phenomena. It gives a really different insight, I  
14 think.

15 MEMBER GARRICK: Let me push that a little  
16 further and maybe to the maximum. John, in any of  
17 your modeling, have you ever just dumped the fuel  
18 elements in the drift?

19 MR. KESSLER: Wait until tomorrow.

20 (Laughter.)

21 MEMBER GARRICK: And if you've done that,  
22 then what do your solubility curves look like for  
23 neptunium?

24 MR. KESSLER: Can I ask you wait until  
25 tomorrow? I'm serious.

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1 MEMBER GARRICK: Yes.

2 MR. KESSLER: I do show -- in fact, I  
3 completely agree with you, Wes. It's how do you want.  
4 Tomorrow, I'm going to talk about the criterion that  
5 NRC research used that says well, it's an order of  
6 magnitude of effect, we'll go after it. Well, how do  
7 you measure that order of magnitude effect and where  
8 do you get it is what I wanted to go after where I do  
9 not only a one off, but a three off, again, trying to  
10 be controversial about whether you want to go do some  
11 research on cladding or not. And then I do the --  
12 starting with bare spent fuel and then adding barrier  
13 after barrier after barrier to try to see its relative  
14 contribution is something that I'll talk about  
15 tomorrow, again with this idea of where do you see  
16 your credit that you're taking and what does that mean  
17 about what research you might want to do to support  
18 that particular barrier. So I agree with that.

19 MR. SAGAR: George, I just want to make  
20 two brief comments. One is what Wes just said about  
21 one off or one in analysis is also true for all  
22 sensitivity analyses. You do make assumptions that  
23 everything else is correct and this one thing is  
24 changing and therefore you should stick with some  
25 other understanding before you make decision. You

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1 shouldn't make decisions for research based entirely  
2 on a sensitivity analysis and one model.

3 MR. KESSLER: Absolutely.

1 MR. SAGAR: So the EPRI model may mean one  
2 thing and the NRC model something else. That's simply  
3 to mean that things are uncertain, and you need to  
4 look at which topic to study, probably based on cost  
5 and time that is required.

6 MR. KESSLER: Sure.

7 MR. SAGAR: The second thing I just wanted  
8 to make correct that the CNWR report that you looked  
9 at, what we call the operations plans, we are  
10 providing technical assistance.

11 MR. KESSLER: I'm sure it's somewhere  
12 else, Budhi. I didn't think you'd be that shallow.  
13 It's not like you.

14 (Laughter.)

15 MR. SAGAR: But some of the questions may  
16 be -- we can answer some of your questions on the  
17 side.

18 MR. KESSLER: Sure.

19 CHAIRMAN HORNBERGER: Once last quick  
20 question.

21 VICE CHAIRMAN WYMER: Thirty seconds?

22 CHAIRMAN HORNBERGER: Thirty seconds.

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1 VICE CHAIRMAN WYMER: You'll probably  
2 answer this tomorrow too, John, but you should have a  
3 dozen or so curves there. To what extent are they  
4 dependent upon the alloy 22?

5 MR. KESSLER: I'll show you tomorrow also.

6 VICE CHAIRMAN WYMER: Okay.

7 MR. KESSLER: The short answer is in our  
8 model where we try to be more realistic -- and, again,  
9 one would have to evaluate whether we're being  
10 realistic or optimistic -- but when we try to be more  
11 realistic and we completely remove the alloy 22, we're  
12 under 15 at all times. We completely remove the alloy  
13 22 and the drip shields and we're under 15 at all  
14 times. Finally, when we take out all three -- drip  
15 shield, waste package and the cladding -- we get a  
16 peak that starts to get over 15.

17 VICE CHAIRMAN WYMER: Okay. Thanks.

18 CHAIRMAN HORNBERGER: Thanks very much,  
19 John. We're going to move on, because we are on a  
20 tight schedule this afternoon. Next presenter is  
21 Michael Ryan, CSU, but as you see, it's not Fort  
22 Collins, Colorado.

23 MR. RYAN: I'm from the North Avenue Trade  
24 School in Atlanta, Georgia. For those of you that  
25 don't know, that's Georgia Tech.

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(Laughter.)

I tried to stick hard to the topic that I was offered, which was source turbine modeling issues. Next slide, please. My comments are focused on the four key questions that I extracted from the material: What future information is needed to support NRC decision making, and particularly what knowledge and technical tools are needed, and how can research support the development and what are some of those priorities?

My opinions really come from four points of view. Next slide, please. First, as a scientist interested in a variety of topics surrounding the health physics aspects of waste management and the environmental science aspects as well. Opinions as a licensee. I actually operated a low-level waste site, Barnwell, South Carolina. As an applicant. I was involved in three applications for low-level waste sites, and as a practitioner. And that is -- my definition of practitioner is somebody that has real practical waste management problems to decide on today. I have waste that has to be managed, and we have to move ahead.

So those points of view kind of drive my thinking, and, of course, I'll be slanted much more

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1 toward low-level waste thinking than high-level waste,  
2 and I find the rest of today's program on high-level  
3 waste fascinating, interesting, and I didn't know that  
4 there was not that many acronyms I didn't know.

5 (Laughter.)

6 One very important starting point to me is  
7 the radionuclide inventory. We often take that for  
8 granted, and we shouldn't. We have newly generated  
9 waste that are generated under 10 CFR 61 rules in a  
10 nuclear power plant, whereas 15 years ago they were  
11 generated under a whole different set of rules.

12 The descriptions of the waste and what's  
13 in them are different as time goes on, and they're  
14 used for different purposes. We have legacy waste at  
15 DOE, very often with no information whatsoever. And  
16 we're gaining information as we study those materials  
17 all the time. Decommissioning wastes are very often  
18 characterized for the purpose of decommissioning, not  
19 for the purpose of disposing the waste. And we have  
20 surface and volumetrically contaminated waste. What  
21 do we do with that? Is it total Curies, is it  
22 concentration, is it surface concentration, how do we  
23 deal with it? Then we have another large category of  
24 wastes, NORM, naturally occurring radioactive  
25 material; TENORM, technologically enhanced naturally

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1 occurring radioactive material; and NARM, natural and  
2 accelerated reduced radioactive material.

3 These two categories are what delivered  
4 those to the public today, NORM and TENORM. Those two  
5 are not in the same regulatory framework that we're  
6 thinking about today. If that's sort of an out-of-  
7 the-box thought for ACNW is what are you doing about  
8 the wastes that delivered those to the public today  
9 versus the wastes that, in essence, don't deliver  
10 those. So that's kind of a broad, interesting  
11 question to think on. Next slide, please.

12 Purposes for determination. Here are just  
13 some of the purposes that inventories are determined.  
14 Disposal site requirements. What's the sin no one  
15 wants to commit at a disposal site? Underestimate the  
16 radioactivity content of the waste. Overestimating's  
17 fine; underestimating is a violation. So if I have  
18 something that I can detect at less than some MDA,  
19 what do I report on the manifest? The MDA. Now, what  
20 happens when I add up that inventory over 30 years of  
21 operation? I've wildly overestimated the inventory of  
22 the site. Everything that comes from an inventory  
23 then -- fraction released, fraction of this, fraction  
24 of that -- is in error by that original error I made  
25 in the inventory.

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1                   Very often transportation requirements  
2       drive how you describe materials you ship.  
3       Decommissioning requirements.       I'm much more  
4       interested in decommissioning in what?   In what I  
5       leave behind, not what I take out.   So very often the  
6       concentration of information is based on what's  
7       behind, not what's removed.

8                   There are lots of different averaging  
9       criteria for different things.   Irradiated hardware,  
10      for example, has lots of averaging rules about taking  
11      hot ends and cold ends of LPRMs and whether they're in  
12      the same shipment or a different shipment.   And very  
13      often that can result in differing concentrations of  
14      a factor of ten or more and various wastes of the same  
15      type.

16                   And all of this means that there can be  
17      overestimates or underestimates of the actual  
18      radionuclide Curie inventory in various waste  
19      descriptions.   So rather than thinking about just the  
20      source, I'd like you to back up one step to think  
21      about inventory and how you get to the inventory,  
22      because that's as important as the fraction that goes  
23      somewhere.   That's a practical person's view.   Next  
24      slide, please.

25                   The history of this is interesting as

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1 well. The Atomic Energy Act has definitions based on  
2 security and safeguards -- source material, byproduct  
3 material, and special nuclear material. None of those  
4 definitions are rooted in health and safety issues,  
5 particularly health and safety issues related to  
6 disposed materials so defined. So we live with those  
7 definitions today, but it's not convenient for  
8 categorizing radioactive material on any basis of  
9 health and safety concern to the public once disposed.  
10 And while that seems simple, it's not really trivial  
11 when you try and work through the system.

12 They are based on operational worker  
13 protection at the old AEC facilities and general  
14 operational controls. We have definitions like  
15 contact waste, non-contact waste, true came out all of  
16 this and so forth. So the definitions that we use to  
17 categorize materials are not rooted -- based in health  
18 and safety, which is the ultimate goal of all of our  
19 assessment and calculations. Nonetheless, we have to  
20 somehow resolve all that in our thinking today. I  
21 point it out to you because that's a fairly poorly  
22 understood issue, particularly in a lot of states. I  
23 mean I don't think folks have the depth of history  
24 sometimes to know what those definitions were based  
25 on.

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1 Here's an example. Technecium 99 and I-  
2 129 and low-level waste. First, low-level waste is  
3 defined by exclusion. Low-level waste is waste that's  
4 radioactive but is not, and then there's a whole list  
5 of nots. Earlier the comment was made the  
6 concentration-based system doesn't help us very much.  
7 I fully agree for the extremes of concentration. For  
8 very dilute concentration and very high specific  
9 activity concentration, the 61 system is not useful  
10 for the purpose of performance assessment. It's  
11 workable for the purpose of making disposal decisions,  
12 but it doesn't have any relationship to performance  
13 assessment. It would be nice if we could somehow  
14 unify those two a little bit better. You know, a  
15 Strontium 90 eye applicator used in opthamology is  
16 greater than Class C waste but has thousands of times  
17 less Strontium 90 than one resin shipment. How do you  
18 deal with that? And the same thing occurs on the low  
19 end.

20 So a key mistake can be avoided by  
21 reporting these two radionuclides at a minimum  
22 detectable activity. Now, this was the case when the  
23 new licenses started for low-level waste site  
24 development in the '80s, after the '85 Amendments Act.  
25 And performance assessment folks said, "Oh, woe is us.

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1 We've got way too much Iodine 129 and way too much  
2 Tech 99, based on inventories reported on the shipping  
3 manifests."

4 Well, Gene Vance & Associates did some  
5 studies at power plants, particularly in the  
6 Southeast, to take a look at what was actual content  
7 of these low-level wastes for technecium and iodine.  
8 Turns about a factor of 1,000 less was the true  
9 inventory of these two radionuclides. It varied plant  
10 to plant, it varied one nuclide to the other, but  
11 roughly a factor of ten to the three. So  
12 interestingly enough, paperwork and shipping records  
13 produced one number that turned out to be 1,000 times  
14 overestimating what was actually there. Inventory to  
15 source term and then to assessment, that's very  
16 important.

17 So I think the key point I'd like to leave  
18 you with on this is compliance and performance  
19 assessment compete. Demonstrating compliance at the  
20 disposal site and the needs for performance assessment  
21 for information compete. They're not always aligned,  
22 and we need to be mindful of that.

23 Next, I did not discover a new element.  
24 That should -- I'm fighting with my new version of  
25 Word 2002. The spell checker has a mind of its own,

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1 so if you would just make that neptunium NP, I'd  
2 appreciate it.

3 (Laughter.)

4 It liked AC, and I changed it twice but it  
5 changed it back. I don't know why.

6 You know, in source terms, are we sure  
7 that what we need to know is no? Very often our  
8 classification system I think in low-level waste is  
9 based on both operational and long-term performance  
10 issues, and sometimes the operational issues drive the  
11 bus; sometimes the long-term ones do. But for low-  
12 level waste, Carbon 14, Tech 99, I 127, Neptunium 237  
13 always show up in the performance assessments for low-  
14 level waste. Why? It's not rocket science. They're  
15 long-lived and mobile. That's it.

16 So, you know, I think do we focus on those  
17 in the classification system? Has enough attention  
18 been paid to those in the low-level waste environment?  
19 Do we know enough about their mobility, their behavior  
20 in packaging and so forth? I'm not sure. Do we have  
21 all the information that we need with regard to the  
22 waste content, engineering features and the natural  
23 environment? I don't know. I think we could focus  
24 our money a little bit better on some of the key ones  
25 that crop up more and more often. Do we really track

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1 what we need to know for disposal?

2 Let's take a look at the next page.  
3 Cobalt 60 drives the classification system. Greater  
4 than Class C waste is greater than Class C in the low-  
5 level waste arena, why? Typically, it's got too much  
6 cobalt -- stellite, for example. I contend all the  
7 cobalt in any disposal site has no impact. It's too  
8 short-lived and too immobile. It should be taken out  
9 of the classification system for the purpose of long-  
10 term performance assessment.

11 It is critically the most important  
12 radionuclide operationally for worker protection;  
13 there's no question about that. Irradiated hardware  
14 shipments can read ten, 20, and 30,000 R per hour on  
15 contact with the waste package if you want to handle  
16 it correctly. But in terms of it being important, in  
17 terms of long-term performance assessments, it falls  
18 off the bus very early in the game.

19 So it's a little bit forward-thinking, but  
20 should we then go back and look at the system we use  
21 now to say some of these radionuclides don't belong in  
22 the picture, in terms of performance assessment over  
23 the long haul once waste is disposed. How do we  
24 separate those operational issues from the performance  
25 assessment issues?

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1 Performance assessment period strongly  
2 influences this choice. If I'm making an assessment  
3 for 100 years, 500 years, 2,000 years or 10,000 years,  
4 several things happen. Important parameters change,  
5 and the number of decimal points that I can claim  
6 should go down. It's fascinating to me to see  
7 performance assessments integrated over 10 and 20,000  
8 years that predict doses to three significant digits.  
9 We all chuckle, but how many have seen one? I mean  
10 that's not science. Yes, the computer does it, that's  
11 right. I define a computer as a high-speed idiot  
12 generally operated by a low-speed idiot. That would  
13 be me.

14 (Laughter.)

15 MEMBER GARRICK: I've got to write that  
16 down.

17 (Laughter.)

18 MR. RYAN: And believe me, I'm behind it  
19 steering it too. I do these calculations myself all  
20 the time. But I think it's an important point. We  
21 need to focus on those things that reduce uncertainty,  
22 and I believe what Dr. Garrick said, that we need to  
23 prioritize based on how much it improves the answer.

24 Help me on the high-level waste side.  
25 Your dose numbers were ten to the minus two milirem

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1 per year? Is that the dose to an individual? See,  
2 from a health physicist point of view, I would take  
3 all of that and say, "I don't care from there on  
4 down." If it's ten to the minus two milirem per year,  
5 we live in a 360 milirem per year environment. Wow.  
6 When do we stop? That's an interesting question. So  
7 if I'm going to assess for long periods of time like  
8 that, what's the uncertainty of those numbers? Is it  
9 ten to the minus two, plus or minus ten to the two?  
10 Or is it ten to the minus two, plus or minus 20  
11 percent? I don't know. Lots of influence on the  
12 integration period.

13 And I think at some point we have to  
14 question the natural environment. What do really know  
15 in terms of these detailed short-term behaviors that  
16 we can predict for the long term? I think Mel said  
17 some things about accelerated testing and taking  
18 short-term tests to predict long-term behaviors.  
19 Those are critical kinds of issues I think as well,  
20 not so much in the low-level waste case, but I think,  
21 clearly, in the high-level it is.

22 And how long is long enough? If you wait  
23 long enough in any performance assessment, uranium  
24 will be the most important radionuclide and does.  
25 Well, is it natural analogs that tell us how to think

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1 about uranium? Perhaps. So what I've always tried to  
2 feel out here, where are the edges? And one key  
3 question I'm asked on all applications, when will I be  
4 done with the application process? So far in the  
5 United States we've had ten or so low-level waste  
6 applications, and we're batting zero. California was  
7 issued but never put into play.

8           Some of the issues that we've heard about,  
9 I think, in the high-level side clearly exist for the  
10 low-level waste forms. Chemical form, physical form  
11 have dramatically changed over the last 20 years. In  
12 1982 when I started working at Barnwell, Barnwell  
13 received 1.2 million cubic feet year, which was the  
14 license limit, and people were showing up, "Do you  
15 have any extra space?" Last year, the Barnwell site  
16 disposed of less than 50,000 cubic feet. Waste form  
17 and waste characteristics, chemical and physical, have  
18 changed dramatically.

19           Drums, for example, come in typically at  
20 1,000 pounds of very densely compacted waste, whereas  
21 20 years ago they weighed 150 pounds, maybe 200. So  
22 the question there is, is leaching from  
23 radioactivities -- leaching of radioactivity from  
24 those wastes changed over that period of time? What  
25 do we need to know then to really be doing a good job

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1 of estimating? Waste packaging has changed --  
2 cardboard boxes now to steel overpacked with concrete.  
3 What are the disposal site characteristics? How have  
4 those evolved? What kind of capping has gone into  
5 various sites and so forth?

6 And then, most importantly, in your  
7 performance assessment, what credit do you give for  
8 these engineering controls? You spend a lot of time  
9 on the first four, and then we immediately enter a  
10 performance assessment with some degradation model or  
11 failure mode, very typically, a very aggressive  
12 failure mode. What's the right answer there? Surely,  
13 it can't be that all the radioactivity leaches out of  
14 the waste in some short period of time like ten or 20  
15 years. Doesn't seem reasonable. So things like that,  
16 I think, need a little bit more attention. Next,  
17 please.

18 Performance assessment issues. Release  
19 fraction from the waste. If I have two-thirds of my  
20 radioactivity tied up in steel in low-level waste and  
21 my radionuclides, 65 percent or so, is Cobalt 60, what  
22 does that do in terms of impacts on a performance  
23 assessment? Two-thirds of the radioactivity disposed  
24 as low-level waste is in steel, irradiated hardware --  
25 Nickel 63, Carbon 14, Cobalt 60 and so on. So

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1 different wastes, particularly in the low-level waste  
2 arena will have very different characteristics in  
3 terms of what gets released. Have we got to that  
4 level of detail? Not yet, I don't think so.

5 Without water radioactive material does  
6 not move appreciably. So early on in a site's  
7 performance while radioactivity is decaying away, you  
8 probably have higher integrity than perhaps 100 or 200  
9 years down the line in barriers. How do we deal with  
10 that? How do we model that? How do we get some  
11 realism into taking credit for what we can take credit  
12 for as a function of time? We typically assume things  
13 fail fairly quickly or linearly because we don't have  
14 a better model. Should we get one?

15 By the way, let me interject a comment.  
16 The research plan that I saw has many of these  
17 elements in it. I thought it was very well done and  
18 very thoughtful. The one thing I think would be a  
19 great addition to it would be try and give some  
20 priority, and maybe you've done that in the numerical  
21 order that you've offered them, but to really  
22 emphasize explicitly what you think the key priorities  
23 ought to be. That would be helpful. And one category  
24 would be release fracture from waste types of projects  
25 I think are real powerful and helpful.

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1                   How much radioactive material is entrained  
2                   from the engineered barriers? If radioactivity gets  
3                   out of the waste form to the inside of a waste  
4                   package, does it get out of the package? If it gets  
5                   out of the package, does it get out of the overpack?  
6                   If it gets out of the overpack, does it escape the  
7                   sump and the disposal cell? And so on. There's lots  
8                   of ways where you can think about some fractionation  
9                   process where a small amount of radioactivity could  
10                  eventually leave based on what was disposed.

11                  Something happened here, I don't know  
12                  exactly what. The slides came over, but that's okay.  
13                  I think something got repeated perhaps, but that's  
14                  okay.

15                  Some of these transport issues are  
16                  performance intervals. You know, it's a whole  
17                  different story if I'm integrating for 2,000 years  
18                  versus 10,000. Groundwater modeling. Kd drives the  
19                  bus. Kd is a direct scaling parameter, it's a dose,  
20                  and can vary orders of magnitude based on different  
21                  geologic types, soil types, waste types, et cetera.  
22                  That is what drives the does of low-level waste. I  
23                  imagine it has big influence on high-level waste as  
24                  well. No? Yes?

25                  MR. KESSLER: It certainly influences

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1 arrival time but not necessarily less of dose.

2 MR. RYAN: Yes. In the low-level waste  
3 case, it really drives what's released and therefore  
4 drives the dose at the arrival, because the arrival is  
5 so short, relatively speaking.

6 The arrival profiles. Where does it  
7 arrive? Exposing whom? We worry about intruders, we  
8 worry about residents, we worry about occupants, we  
9 worry about farmers. All of these scenarios are  
10 constructed and artificial. None of them are  
11 realistic. So when we say dose to an individual, who  
12 is that individual? The intruder, by the way, has to  
13 be unemployed, because he's exposed 18 hours a day on  
14 the excavated soil.

15 (Laughter.)

16 But he also has to be a brilliant farmer,  
17 because he has to grow vegetables in low-level  
18 radioactive waste. So now I'm not -- I make a joke of  
19 that, however, it's very important to realize that is  
20 a construct from which a regulatory judgment is going  
21 to be made. It is not a real dose. Outside of this  
22 room it's often interpreted as a real dose, and that's  
23 kind of an important thing to keep in mind. All of  
24 this science that we're talking about is going to be  
25 interpreted in a different light than we created it.

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1           There's lots of conservatism, and the  
2 issue has been more smartly articulated today than I  
3 can, and that is that I think we really need to focus  
4 on what's the right amount of conservatism. Are we  
5 overly conservative? Are we conservative to the point  
6 of being ludicrous on some of these kinds of  
7 calculations? I think we need to address that.

8           When am I done? When is this technical  
9 process of performance assessment complete? Well,  
10 what risk are we trying to manage? To me, the context  
11 of the risk we're trying to manage should help answer  
12 that question. It's dose to members of the public.  
13 And if we're predicting doses to members of the public  
14 that are down somewhere in this ten to the minus  
15 something rem, are we done? By the way, a pismorem is  
16 the smallest unit of dose equivalent that you can  
17 calculate for which you will receive a paycheck.

18           (Laughter.)

19           How does this technical process flow into  
20 decision making? That's the key question -- when are  
21 we done? Next, please.

22           Lots has been said about uncertainty  
23 analysis. What formal system of uncertainty analysis  
24 is most useful? Is it probablistic risk assessment?  
25 Is it deterministic statistics? What exactly is it

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1 that we want to do? There's lots of models, lots of  
2 approaches. Nothing is standardized. It would be  
3 nice to have guidance on what the right standard is to  
4 look at uncertainty analysis for these kind of long-  
5 term performance assessments.

6 Standards are deterministic, however. All  
7 dose standards are valued. How do I take a  
8 deterministic standard and measure that against a  
9 probablistic result? That guidance needs to be  
10 developed. The worker case is a good one. If I came  
11 into an agreement state of the NRC and said, "Well, my  
12 model says that my worker had a 95 percent chance of  
13 receiving under five rem this year," where does that  
14 leave me when the dose standard is five rem a year?  
15 Unsatisfied would be the answer I would typically get.  
16 We want to know if we get more or less than that. So  
17 how do we deal with that question in terms of  
18 evaluation against the public dose standard? And,  
19 again how does this uncertainty analysis fit into the  
20 regulatory decision making?

21 One thing that pleased me, I think it was  
22 Item Number 6 in 5.3.1.3, was the use of historical  
23 and operational data to guide research. Please dig up  
24 old sites and figure out what happened. It will be a  
25 whole lot more instructive than all the calculational

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1 work in the world. One of my mentors at Oak Ridge,  
2 Phil Perdue, said, "If you're not making measurements,  
3 you're making conversation."

4 (Laughter.)

5 And I think that's quite right. I think  
6 it would be very instructive to try and find a couple  
7 of the right sites around the country, whether it's a  
8 closed low-level waste site or whether it's a site  
9 that's going to undergo decommissioning where there's  
10 been some waste issues, maybe it's an authorized  
11 disposal by burial and a licensee's facility where you  
12 could gain some insight as to what has happened. Even  
13 if it's a 20- or 30-, 40-year interval, you know, I  
14 think it would be great to go out and test some of  
15 these models, to actually dig up the waste, see what  
16 the inventories really are, see what migrations  
17 occurred.

18 It would also be, I think, very, very  
19 helpful to try -- this is a real reach; maybe it's  
20 hard to do, but I think it would be a tremendous  
21 benefit to folks that are required to do environmental  
22 monitoring as part of their license, it would be a  
23 magnificent thing to figure out how to add to that  
24 some modeling measurements. If I go down a well and  
25 take a sample to show compliance and if I measured the

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1 water level every month, then I've got something I can  
2 model as well as demonstrate compliance.

3 So if you're cooperative effort could look  
4 at how to work with licensees to maybe do modeling and  
5 monitoring, to do the compliance demonstration and to  
6 look at the science questions, that would be a real  
7 asset. And I think if we could somehow figure out how  
8 to fit that historical data into the regulatory  
9 decision-making process or into the confidence-  
10 building process, that would be a win for everybody.

11 How to do that, I think there's lots of  
12 moving parts. One thing would be cooperative  
13 agreements, which I appreciate is a very hard thing,  
14 but the more of that we can do I think the more we can  
15 better improve our knowledge base.

16 Do we really track what we need to know  
17 for disposal? I think there are some things on  
18 inventory we need to talk about. How long is long  
19 enough for performance assessments? What credit is  
20 appropriate for engineering controls? And when is the  
21 technical process of performance assessment complete?  
22 Another key question.

23 Couple more. How does the technical  
24 process flow into the decision making? How does  
25 operational data fit into uncertainty analysis and

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1 regulatory decision making? And how does the  
2 technical process fit into the overall decision making  
3 process? Somewhere along the line the stakeholder  
4 interests are going to come into play. Having been  
5 through, I don't know, 150 public meetings on low-  
6 level waste, I can tell you that's true. It's going  
7 to come in, and I think somehow that has to come  
8 together.

9 The areas for research I think that would  
10 be interesting to prioritize -- next slide, please --  
11 for me would be can closed facilities and D&D  
12 facilities be laboratories? Can we somehow, instead  
13 of looking at in addition to some of the basic  
14 research, which I think is critical -- I mean you have  
15 to do bench research. You can guide yourself well  
16 into the field by doing that -- but can we somehow  
17 take this 50 years of experience that's gone on in the  
18 nuclear industry, whether it's DOE or the private  
19 sector or reactor license locations, whatever it might  
20 be, research reactors, can we somehow dissect those  
21 with the mind that there's very powerful information  
22 about environmental behavior of radioactive material,  
23 material science questions, all those kinds of things  
24 that would help us do that? That would be a win/win,  
25 particularly if there could be a research component

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1 and somehow the licensee might get some benefit out of  
2 it or however that might work. That, to me, would be  
3 a real powerful priority that would have a lot of  
4 potential benefit in many of the technical areas  
5 you've discussed.

6 Can environmental monitoring for closed  
7 sites be mined to enhance modeling certainty? How  
8 many records of decision are out there for radioactive  
9 waste sites? I don't know. It would be very  
10 interesting to look at the pre- and post-record of  
11 decision monitoring to see what the decision did.  
12 Another interesting area where you could use real  
13 data, I think, to enhance knowledge. And how can  
14 closure of the performance assessment process be  
15 defined?

16 So what I've tried to, in a real short few  
17 minutes, is just outline some technical areas, some  
18 experience base, my own experience base of what's  
19 important, what drives the bus, and then I've tried to  
20 group them into some areas. I'd be happy to answer  
21 any questions or sit down and relax till later.

22 CHAIRMAN HORNBERGER: Thanks very much,  
23 Michael. We have time for a quick question. Anyone?

24 VICE CHAIRMAN WYMER: I'm still gasping.

25 CHAIRMAN HORNBERGER: That was very

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1 comprehensive. We appreciate it.

2 MR. RYAN: Thank you.

3 CHAIRMAN HORNBERGER: That was a good  
4 overview. Thanks very much.

5 MEMBER GARRICK: I have a comment.

6 MR. RYAN: Yes?

7 MEMBER GARRICK: How do we correlate what  
8 you said your friend said, "If you're not making  
9 measurements, you're making conversation," with what  
10 my friend, Tom Pickford, used to say, "If you want to  
11 learn about something, try to calculate it."

12 (Laughter.)

13 MR. RYAN: We'll let them get in a room  
14 and fight it out. Yes, obviously, there's a place for  
15 both activities. I think what we have focused on is  
16 sometimes easier of the two. It's easier to calculate  
17 sometimes than it is to go out and measure. The  
18 results are quicker, you get information back quicker,  
19 and you can make conclusions on that quicker.

20 MEMBER HINZE: And it's less expensive.

21 MR. RYAN: And it's less expensive. But  
22 the real merit of some of these field experiments,  
23 while daunting, I think would be that the return on  
24 the investment will be a lot higher.

25 MEMBER LEVENSON: John, I don't think

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1 those two statements are in conflict at all. If you  
2 want to know what something really is, you measure it.  
3 If you want to understand it, you need to try to  
4 analyze it, and there's a difference between those  
5 two.

6 MEMBER GARRICK: I just meant it to be a  
7 half joke.

8 MEMBER LEVENSON: I know, but --

9 MEMBER HINZE: It was half.

10 (Laughter.)

11 MEMBER LEVENSON: Well, Michael, you'll  
12 probably appreciate it in the context of this matter  
13 in connection with WIPP when they had a model for  
14 predicting hydrogen content of drums, and they went  
15 out and sampled 109 drums at random. None of them  
16 came within an order of magnitude of what had been  
17 predicted, so the conclusion was that the physical  
18 world was wrong and the model must be right.

19 MR. KNAPP: I was in Oak Ridge when the  
20 TMI accident occurred, and there's lots of discussion  
21 of the iodine release fraction. And I remember one --  
22 I think you might have been in the room, Ray -- when  
23 the model discussion was -- well, the model was very  
24 conservative because it only predicted the iodine  
25 release by a factor of ten to the six. And I think it

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1 was Tony Malinauskus that said, "Anything that  
2 predicts something off by a factor of ten to the six  
3 isn't conservative; it's wrong."

4 (Laughter.)

5 With that, I'll leave you.

6 CHAIRMAN HORNBERGER: Okay. On that note,  
7 thank you, Michael.

8 What we're going to do, next I'm going to  
9 rearrange the schedule a little bit. We are going to  
10 hear from Jane Long. Jane has done a --

11 MR. SAVIO: Can we take a break first? It  
12 will give us an opportunity to get Jane Long's laptop  
13 hooked up to our system.

14 CHAIRMAN HORNBERGER: Oh, okay. That's  
15 fine. We'll take a 15-minute -- we're going to  
16 reconvene at quarter past three because we're on a  
17 tight schedule. It turns out that we have a  
18 presentation scheduled for later in the afternoon,  
19 4:30. I might be able to push it a few minutes but  
20 not too much longer, and so we're going to have to  
21 terminate this right about 4:30. So let's reconvene  
22 at quarter past three.

23 (Whereupon, the foregoing matter went off  
24 the record at 3:04 p.m. and went back on  
25 the record at 3:16 p.m.)

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1 CHAIRMAN HORNBERGER: Much as I hate to  
2 ruin all the fun you've been having just chatting with  
3 one another --

4 MS. LONG: Just wait till I --

5 CHAIRMAN HORNBERGER: Okay. So we have a  
6 presentation. Jane has a commitment tomorrow, and she  
7 was good enough to come into town early to share her  
8 thoughts with us.

9 MS. LONG: Thanks, George. Well, I may be  
10 a little bit off base on this presentation. I'm not  
11 sure I was completely clear about what you were up to,  
12 but my sense was that you wanted to know about what  
13 geologic research should be done at the Nuclear  
14 Regulatory Commission. So I'm going to give you my  
15 personal point of view on that.

16 The first thing that I think is really  
17 important to me to understand is that a lot of the  
18 research on the programs surrounding nuclear waste  
19 were generated out of the nuclear power community,  
20 and, consequently, I think especially in the early  
21 years, there was a lot of difficulty in understanding  
22 the basic difference between engineering above-ground  
23 systems and trying to understand what's going on below  
24 ground. And the fundamental concept, a very simple,  
25 simple-minded concept that you can't see what's

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1       happening underneath the ground very easily drives a  
2       lot of problems that aren't as big problems,  
3       generally, above ground.

4               I think if you look at -- the most studied  
5       underground situation in the world is probably  
6       petroleum reservoirs where you have much shorter time  
7       scales. Things are happening -- you can monitor  
8       things in real time. We have to figure out what's  
9       going to happen in 10,000 years; they need to know in  
10      a year or even a few days. And they have lots of  
11      monitoring, lots of geophysics, and they still have  
12      a really hard time getting it right. So we have a  
13      harder time.

14             And I'd like to show this. This is like  
15      if you were in the -- trying to look through the  
16      ground and you get a kind of peep show through the  
17      ground, through bore holes, and you pick up part of  
18      the picture. And what you're trying to figure out is  
19      what that really is. And you can see that there are  
20      some black zones and some places with stripes, but  
21      it's pretty hard to figure out that the picture might  
22      be something like that. That's essentially the  
23      equivalent sense of the problem that we have.

24             So it comes down to -- and our last  
25      speaker really pointed to it -- whether it's wrong or

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1 right or whether it's precise. And the issue for me,  
2 an important thing that I think NRC should be looking  
3 at, is whether the models are accurate. It really --  
4 we know how to handle precision. We do lots and lots  
5 of parameter studies. In this case, for our zebra,  
6 you could model how many stripes you manage to find  
7 and what their frequency was, how big they were. But  
8 it's really important that you know it's a zebra and  
9 not a tiger. And that's the essence of what I'm going  
10 to say today.

11 So to be accurate, you have to have the  
12 right concept or the right conceptual model. And if  
13 in all of your studies you fix the concept that you're  
14 working with and just crank the parameters, then  
15 you're fundamentally not dealing with conceptual model  
16 uncertainty, and that's what I think the major issue  
17 is in repository research.

18 The conceptual model problem I think is  
19 embodied very well in the safety case type approach to  
20 repositories, and I'm going to -- my version of a  
21 definition of safety case -- I think there's a very  
22 long NEA definition, but I'll just give a simple one,  
23 which is just that it's the set of arguments that we  
24 make to say why you think the site is safe. What are  
25 the concepts that you're relying on? What are the

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1 types of structures you think you have, and what do  
2 you think the type of behavior is? And the safety  
3 case is inherently about accuracy, not about  
4 precision.

5 So just to give you a stronger feeling for  
6 that, if in the solution space of behavior you are  
7 doing model results and those model results give you  
8 some kind of bullseye of what the behavior is but if  
9 instead reality is way over here, you're not being  
10 accurate. And so what I want to know is that I've got  
11 this bullseye and not that bullseye. And I think the  
12 precision parts can then be handled.

13 So I'd like to -- just to hammer this in,  
14 I'd like to contrast what I think the TSPA is doing  
15 versus what a safety case does. I think the TSPA  
16 deals with conceptual modeling only indirectly. It's  
17 based on conceptual models, but it isn't actually  
18 necessarily comparing the validity or the sense of --  
19 it isn't comparing data with the conceptual models in  
20 an explicit way. It's really an extensive parametric  
21 study, and therefore it's basically about precision.

22 The safety case is different way of  
23 focusing on this. It deals explicitly with accuracy.  
24 It has very little quantification, and it's concerned  
25 with what the important parameters are and not

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1 necessarily their values. But is permeability  
2 important or is porosity important, for example,  
3 trying to understand what those are.

4 I took a quick look at the new regulations  
5 that came out for Yucca Mountain, and a quick review.  
6 I don't see anything in the regulations that gives me  
7 assurance that the performance is going to be  
8 accurate. If you look through Part 61, which lists  
9 the contents of the license application, it doesn't  
10 say anything about a safety case. And I that's, I  
11 think, the concern.

12 It's my belief that the safety case should  
13 be the fundamental building block of a program. I  
14 think it's descriptive. It can be understood by  
15 people. The performance assessment is very obscure to  
16 most people. And furthermore, the important thing  
17 about it is that if you take that conceptual model  
18 that's in the safety case and you use it to underlie  
19 a TSPA, then I think you have a better feeling of  
20 confidence in the millions and millions of numbers  
21 that come out of a TSPA, which are very confusing.

22 I don't think there's an explicit safety  
23 case built for Yucca Mountain now. I haven't seen  
24 one. I think that TSPA is clearly the main vehicle  
25 for licensing, and NRC, as I said, I don't think is

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1 requiring one, and I don't think DOE is producing one.

2 It's my recommendation that it should be  
3 explicitly articulated, that the safety case should be  
4 formally written up, and it should be based on  
5 conceptual models, which are based on data, getting  
6 back to if you're not measuring things, you're just  
7 having conversation. It should be based on  
8 interpretation of real data.

9 This formal report should lead to further  
10 investigation in a staged approach, and the data  
11 that's collected in that new stage should be compared  
12 to the existing concepts, and you should regularly and  
13 formally revise the report, as indicated by the data.

14 I think one of the most important things  
15 to say about this process is that it's not necessarily  
16 confirmatory research. Confirmatory research is a  
17 very bad term, in my view, because it may go the other  
18 way. Each stage of this project you look back and see  
19 whether your safety case has to be radically revised  
20 or your concepts are no longer any good. And if you  
21 were lurching from one safety case to another, then  
22 your project isn't converging, and you're not  
23 confirming the behavior; you're going all over the  
24 map.

25 And I think Yucca Mountain provides a very

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1 difficult problem in this case, because since the site  
2 has been chosen -- if you look at other repository  
3 programs, such as, say, the Swedish program, where  
4 they fixed the concept, the safety concepts that they  
5 wanted to use, and then they looked for a site. In  
6 the Yucca Mountain case, we're doing more or less the  
7 opposite. We have picked the site at some point, and  
8 now we're trying to understand why it's safe. So that  
9 the concepts that we're using to say that it's safe  
10 are lurching a little bit from place to place. All of  
11 a sudden we have to have titanium drip shields. We  
12 went there because it was dry. If it was dry, we  
13 weren't going to have corrosion, didn't need  
14 canisters. Now, maybe it's not so dry, so now we have  
15 to have these canisters, but they're going to be  
16 aerobic conditions. So maybe they're more --  
17 corrosion is more of a problem. So our understanding  
18 of why this site is safe is moving back and forth. It  
19 needs to start converging if we're going to develop  
20 confidence.

21 I don't think DOE is doing this kind of  
22 research as much as they should. I think there are  
23 three things that have gotten in the way. QA, which  
24 asks you whether what you're doing is right -- whether  
25 you're doing what your doing, not if you're doing the

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1 right thing. And so QA has gotten in the way.

2 Politics has gotten in the way because  
3 there's so many entities involved in the program. I  
4 was actually involved in the program a number of years  
5 ago when I pushing them to write a safety case, and in  
6 the end they wrote a safety case that basically said,  
7 "We think this site is safe because it meets the  
8 regulations." And that wasn't a safety case, but the  
9 reason they had to do that was because there were too  
10 many competing entities working on the project, and  
11 they couldn't decide whose part of the project was  
12 causing the project to be safe for political reasons.  
13 So there's been politics in the way.

14 And I think regulation, based on what I'm  
15 seeing in this latest regulation, will also get in the  
16 way. If you're asking it to -- you're asking the  
17 licensing to be formulated totally on TSPA, you're not  
18 asking for a safety case.

19 So I think you should do this kind of  
20 research. I think you should define the conceptual  
21 models that underlie the performance assessment and  
22 challenge them. And NRC has been pretty good about  
23 doing some of this, and I think you should be doing  
24 more.

25 There are three examples that I'll bring

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1 up now. There's a lot more. I'm only going to talk  
2 about one today, which is the vadose zone flow in  
3 fractured rock, but, certainly, the other ones that  
4 are well known and have been mentioned today is the  
5 effects of heat on the repository, the coupled  
6 behavior problems and the long-term behavior of alloys  
7 that haven't been around very long. How can we  
8 understand what concepts will govern their behavior  
9 over time?

10 So I'm going to talk a little bit, just to  
11 give -- and the purpose of doing this is just to give  
12 an example. I'm not recommending this as the  
13 conceptual model that should be adopted. I'm just  
14 going to give you another conceptual model and show  
15 you what difference it makes so that you can  
16 understand why I think this kind of research is  
17 important.

18 A traditional view that goes back since I  
19 started in -- since Yucca Mountain project first  
20 started is that a fractured rock in a vadose zone will  
21 actually be a barrier to flow, and that flow can only  
22 occur where there's a tight neck. And the reason for  
23 this is capillary forces. People felt that capillary  
24 forces would dominate the flow behavior, so all the  
25 moisture would be sucked into the rock like a sponge,

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1 and the fractures would be these big voids that would  
2 preferentially attract the air. And, therefore,  
3 fractures were barriers to flow in the fractured  
4 vadose zone.

5 Well, recently, you mentioned before this  
6 Chlorine 36 data. This is some of June Fabryka-  
7 Martin's data, and she went down along the drifts and  
8 took samples and measured the Chlorine 36. And what  
9 you see here is elevated Chlorine 36 primarily in  
10 feature-based or fracture-zone-based areas of the  
11 drift. So in other words, she's walking along the  
12 tunnel where she sees water coming out of a fault  
13 zone, a major feature. It tends to be higher in  
14 Chlorine 36 and in fact higher than the present  
15 background and consistent with the bomb pulse of 50  
16 years ago. So she's seeing fast transport of Chlorine  
17 36.

18 Now, you asked -- I guess it was Milt --  
19 you asked a little bit about whether this is real,  
20 true data or not. My understanding is now that  
21 they're remeasuring this and that the data is  
22 controversial. One reason I find this data very  
23 appealing is that the elevated Chlorine 36 only occurs  
24 where there's faults, and that is consistent with a  
25 hydrologic interpretation of what's going on. My

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1 understanding is that they're now remeasuring all of  
2 this.

3 But what isn't happening and what should  
4 be happening is that it shouldn't just be Chlorine 36  
5 that is measured at these places. You should be  
6 measuring strontium and tritium and other things all  
7 at once. And if it's not showing up now, does that  
8 mean it was measured wrong in the first place or does  
9 it mean the pulse went on through and we don't see it  
10 anymore. So there's an awful lot of questions about  
11 this data, but I think it is should be making us  
12 think, if it's true, what could explain it?

13 So based on this data, what would the  
14 highest velocities -- what high velocities could we  
15 expect? And that, of course, is an issue for  
16 transport. After water has accumulated in a  
17 repository and it moves on through down to the  
18 groundwater, we want to know how fast that happens.

19 But there's another problem, which is how  
20 much flux gets in, how much quantity of water gets  
21 into the repository, and that's a problem for the  
22 waste package. So we know -- if we believe this  
23 Chlorine 36 data, we know we have high velocity, but  
24 that doesn't necessarily mean we have high flux, or  
25 does it? We don't really know.

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1                   So here's a new model that was developed  
2 by Maria Draglia. She was a Ph.D. student with us a  
3 few years ago, and she looked at this. And she said,  
4 "Well, I'm going to look at analyzing film flow down  
5 a fracture." And in this case, it doesn't matter how  
6 big the fracture is as long as the water doesn't touch  
7 the other side. You can have a little fracture or a  
8 big fracture and you'd get a film flowing down. It's  
9 a free surface, and the flow is governed by gravity.  
10 It's gravity-driven dynamics.

11                   Well, she looked in the literature and  
12 Mary interestingly found some work by a guy in Russia  
13 by the name of Kapitza. A couple of years ago, I was  
14 on a delegation to Moscow to look at nuclear waste,  
15 and I met one of Kapitza's students there. And he  
16 told me that Kapitza was imprisoned by Stalin in  
17 Estasha and spent the time looking at rain going down  
18 his window, and that's how he came up with this model.  
19 It's an interesting little vignette, but it also does  
20 point to the fact that a lot of the kind of work that  
21 has to be done is observational.

22                   So in his work, he looked at the idea that  
23 the flow that would be going down a surface like that  
24 is unstable. And you've seen this if you've watched  
25 rain go down your windshield, that you get soliton

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1 waves that move very quickly down the surface, and  
2 those waves ride on a substrate which is water that  
3 clings to the surface and then essentially forms a  
4 very slippery surface for the soliton to move down.  
5 And she analyzed that.

6 And this little cartoon shows what she  
7 analyzed. The trucks are the solitons moving down the  
8 surface, and they represent about half the mass.  
9 Because they're moving faster, they represent 94  
10 percent of the flow. So she then looked at a little  
11 model where she said, "Okay, things will go from  
12 matrix flow into an open fracture and back into matrix  
13 flow," and she had a little seepage face in between  
14 each one. And she looked at the percentage -- as a  
15 function of the percentage of matrix flow, she  
16 compared that to a tough model, which would be all  
17 matrix flow. And you can see that the film flow model  
18 gets down a lot faster than the tough model.

19 Another way to look at that is if she  
20 looked at various totally matrix flow to totally  
21 fracture flow, and these red bars represent the  
22 estimates based on the Chlorine 36 data. And then her  
23 model can explain the fast transport of the Chlorine  
24 36 data. That's not to say it's right, but it's worth  
25 pursuing.

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1                   Now, the Chlorine 36 data may or may not  
2                   be right, but there's data all over now that indicates  
3                   that some of these radionuclides are being transported  
4                   very quickly. The INEEL site has I believe it's a  
5                   plutonium transport that's been observed at the water  
6                   table in a fracture with salt. There's data from  
7                   fracture systems in the Negev Desert, and there's also  
8                   other data from the Nevada Test Site on tritium and  
9                   strontium. Now, the problem is that none of these  
10                  things have been studied all in the same place, and I  
11                  think that points to the fact that you have to have a  
12                  coherent focused program on doing research on these  
13                  concepts and whether they're correct or not.

14                 So we don't know enough about this  
15                 problem, and until we do, I don't think we're going  
16                 really understand what kind of barrier this geologic  
17                 system is going to provide. We need to understand the  
18                 basic physics that are controlling this behavior. If  
19                 it isn't capillary physics, then what is it?

20                 If you believe the Chlorine 36 data, then  
21                 you know you've got fast transport, but that still  
22                 doesn't answer the question about flux. If Maria's  
23                 model is right, that means the flux is high too.  
24                 There are some other people that are saying, "Well,  
25                 we've got some fast transport, but most of the flux is

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1 low." So I don't know what the answer is. There  
2 haven't been any field tests. And, of course, as the  
3 water flow rate goes up and you get closer and closer  
4 to saturation -- in Maria's model, for example, as you  
5 get more and more water, at some point it's going to  
6 hit the other side of the fracture, and all of a  
7 sudden you're going to get a capillary force, which is  
8 actually going to slow it down. So at what point do  
9 you continue to have increase in flow, and does that  
10 continue monotonically or not?

11 So in summary, I think NRC should conduct  
12 research which determines the accuracy of the models  
13 underlying the TSPA. I think these are mostly field  
14 and lab tests. They're not a lot of modeling, except  
15 for using the models to try to understand your data.  
16 But doing parameter studies is not part of this. This  
17 is really observational research. I think three of  
18 the key issues again are the vadose zone, the thermal  
19 effects and the alloy behavior, but I'm sure there are  
20 others.

21 Finally, I'd just like to make one comment  
22 in closing. One question that came up during Ken  
23 Rogers' committee was who should do the research? And  
24 I guess NRC has had a policy of trying to keep out  
25 conflict of interest. If you're doing research on

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1 DOE's work, then you can't do research on NRC's work.  
2 I would recommend very strongly that you do  
3 competitive research, and with a small amount of money  
4 the most important thing is to do excellent research,  
5 and peer-reviewed research, not to keep conflict of  
6 interest -- I think conflict of interest is a  
7 secondary factor. So thanks.

8 CHAIRMAN HORNBERGER: Thanks very much,  
9 Jane. I suspect that there may be a question or  
10 comment. John, do you want to start?

11 MEMBER GARRICK: Yes. I have just one  
12 question.

13 CHAIRMAN HORNBERGER: Just John first.

14 MS. LONG: Only one.

15 MEMBER GARRICK: Jane, I enjoyed your  
16 comments about the safety case versus the TSPA. Let  
17 me ask you, if you performed a perfect TSPA, by which  
18 we meant you're calculating the correct measures of  
19 safety, that you've exposed and ranked the important  
20 contributors to that measure, and that you've done a  
21 good job of dealing with the issue of uncertainty,  
22 would it be possible, if you had that perfect model,  
23 to extract a safety case -- to abstract a safety case  
24 from such a model?

25 MS. LONG: Well, it implies a safety case.

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1 MEMBER GARRICK: Yes.

2 MS. LONG: And then the question is, is it  
3 accurate?

4 MEMBER GARRICK: Yes.

5 MS. LONG: Yes. I think you can look at  
6 the TSPA that DOE is using. You can extract the  
7 safety case that must underlie it and must be implied  
8 it, and then you can ask yourself if it's accurate.

9 MEMBER GARRICK: I guess the question is,  
10 isn't the safety case a subset of a perfect TSPA?

11 MS. LONG: Well, I guess it's just your  
12 point of view. I think that the TSPA should drive --  
13 the safety case should drive the TSPA, but that's not  
14 important. The important thing is that you've written  
15 a safety case and that you ask the question, is it  
16 accurate?

17 MEMBER GARRICK: Thank you.

18 CHAIRMAN HORNBERGER: John Kessler, did  
19 you have a comment that you want to make? You have to  
20 use the microphone.

21 MR. KESSLER: Which came first, the safety  
22 case or the TSPA, it's an interesting dilemma. I  
23 guess the point is, is at the beginning you may not  
24 know what you're safety case is, right? I mean even  
25 the --

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1 MS. LONG: Yes.

2 MR. KESSLER: -- Swedes had to come up  
3 with something based on some sort of understanding of  
4 a model plus observation, and sort of it was in  
5 iterative process by which one begat the other or  
6 whatever.

7 MS. LONG: Right.

8 MR. KESSLER: So I mean I guess I tend to  
9 fall more in John Garrick's side, which is that you  
10 may have a disparate models, assuming that they're all  
11 the correct conceptual models, and out of which, after  
12 you do a bunch of analyses using all kinds of  
13 different techniques, you may realize, oh, okay, this  
14 is what's really important. So I guess I come at it  
15 from that way simply because you don't know what  
16 you're safety case is at the beginning, necessarily.  
17 I worry that if you have to start with the safety  
18 case, that you may -- it's based on preconceptions  
19 about --

20 MS. LONG: Well, I'm terribly hung up on  
21 whether you do it first or not. I mean if you want to  
22 do a chicken and egg, and the egg is the safety case  
23 and the chicken is the TSPA, just don't let the egg  
24 roll down the road and forget it, okay?

25 MR. KESSLER: Right. I guess I would

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1       argue that the project has been working on something  
2       they called a repository safety strategy --

3               MS. LONG: Right.

4               MR. KESSLER: -- which has something in it  
5       that looks like what you define as a safety case.

6               MS. LONG: I haven't seen it.

7               MR. KESSLER: Yes. They're on version --  
8       rev 4 now or something like that. So they're working  
9       on it.

10              MS. LONG: Well, that's good.

11              MR. KESSLER: It's still pretty long,  
12       though

13              CHAIRMAN HORNBERGER: Budhi.

14              MR. SAGAR: Jane, you said -- I think this  
15       was the last slide where you recommended that the NRC  
16       research ought to focus lab and field, observational,  
17       not modeling. First of all, the parametric studies  
18       are not entirely precision. I think different sets of  
19       parameters do define different conceptual models in  
20       some way. It may not be totally fundamentally  
21       different like your film flow kind of model, but it  
22       will define different scenarios differently. So it's  
23       not entirely procedural.

24              MS. LONG: You can't avoid some of it.

25              MR. SAGAR: You cannot avoid some of it.

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1 So it is important to do the parametric studies, I  
2 think, even for a regulator. And, also, I think you  
3 do need to do modeling even before you do an  
4 experiment just to understand what you're doing, and  
5 then follow it up after you do the observations to see  
6 if indeed what you studied makes sense. So I think to  
7 disjoint the observation from the calculation phase  
8 would be a mistake, in my point of view. You may  
9 disagree but --

10 MS. LONG: Well, I'm trying to be a little  
11 bit pointed here, but I agree with you, Budhi. I mean  
12 the bottom line is that I guess I could conceive of  
13 valid research being done on conceptual models that  
14 didn't include any modeling, but I can't conceive of  
15 valid research being done without lab and field  
16 measurements.

17 CHAIRMAN HORNBERGER: Wes, did you -- are  
18 you waiting to get in here?

19 MR. PATRICK: Actually, I think a  
20 clarification with your analogy. Instead of getting  
21 hung up on whether it's a chicken or an egg, I think  
22 the real question is, is it a chicken or is it chicken  
23 dinner? Is the safety case -- take John's argument.  
24 He's saying --

25 MS. LONG: I skipped lunch, so you know --

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1 (Laughter.)

2 MR. PATRICK: I guess I've always seen the  
3 safety case -- from an engineering point of view, not  
4 as a performance assessment person, which I'm not,  
5 I've always seen the safety case as having a component  
6 that is PA, but there are other arguments that are  
7 marshaled there as well. And I'm just curious what  
8 your point of view and whether anyone else here shares  
9 that? I mean I don't see it as one leading to the  
10 other, that's the chicken or the egg analogy, but I  
11 think the salient thing is that if DOE came forward  
12 with only the perfect PA, could NRC make a licensing  
13 decision or not? I've always imagined the answer to  
14 that question as no.

15 MS. LONG: No.

16 MR. PATRICK: The safety case is more than  
17 just the risk calculation, that it would be some  
18 source of supplemental modeling, it may be some  
19 detailed calculations and measurements and analogs and  
20 all of that other stuff that kills confidence that  
21 safety will be there with reasonable aspect.

22 MEMBER GARRICK: That's why I qualified my  
23 definition of perfect TSPA with it containing the  
24 proper risk measures, safety measures.

25 MS. LONG: I guess the thing that I'm

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1 looking for is a coherent process which says, "Here  
2 are the concepts we think underlie our TSPA. And  
3 we're not so sure about this one, so we're going to  
4 collect this data," and then you formally collect that  
5 data and formally compare it to the concept. And I  
6 don't see that happening in a formal way, in a formal  
7 iterative, staged manner. I just don't -- you know,  
8 they may be producing this report and they may be on  
9 all these stages, but I don't see a formal process  
10 that says, "Here's my conception model report  
11 document, here's the data I collected, subsequently,  
12 and here's the analysis of the conceptual model as a  
13 result and the change in it." Now, it may be  
14 happening, but it's not explicit. And it's that  
15 process, to me, that gives me confidence that the TSPA  
16 is perfect enough. It doesn't have to be perfect, but  
17 perfect enough.

18 MR. PATRICK: Next think you know, she'll  
19 be asking for people to pose hypotheses and test them.

20 (Laughter.)

21 CHAIRMAN HORNBERGER: Jane, you started  
22 out reminding us, of course, that in the geological  
23 environment it's quite difficult to make necessarily  
24 all the observations you might want. My own  
25 suspicion, perhaps yours as well, is that there may be

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1 alternative conceptual models --

2 MS. LONG: Right.

3 CHAIRMAN HORNBERGER: -- alternative  
4 safety cases, and you may not be able to collect data  
5 to decide on one versus the other. What do you think  
6 the NRC should be doing in terms of research along  
7 these lines? How would you advise them to decide one  
8 way or the other?

9 MS. LONG: Well, I think it's -- I guess  
10 depending on how -- I mean you don't have a huge  
11 amount of money.

12 CHAIRMAN HORNBERGER: Right.

13 MS. LONG: So you have to pick the major  
14 competitors. I mean if you keep -- and then I guess  
15 I would go back to what Budhi recommended: If you  
16 have to choose between them and your modeling is  
17 telling you that one doesn't matter, then you could  
18 probably find rationale for picking more important  
19 ones.

20 CHAIRMAN HORNBERGER: Do you think there's  
21 a way to --

22 MS. LONG: I tried to pick one that I  
23 thought was pretty important.

24 CHAIRMAN HORNBERGER: Right. And to a  
25 certain extent, I think that one was obvious in the

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1 sense that I was just going to say Mal Knapp suggested  
2 that we might focus research by going after pursuit of  
3 flaws, and I might characterize the film flaw in  
4 fractures as one look at that. I mean do you think  
5 that's a good strategy?

6 MS. LONG: Yes. I thought there were  
7 three things that are really obvious about the  
8 program: the alloy behavior, the heat -- the coupled  
9 behavior due to heat and the way in which water moves  
10 through the mountain. Those are really critical areas  
11 where a good conceptual model, whatever the physics  
12 and chemistry that dominate that performance. I think  
13 you can -- there's a term that comes out of -- you  
14 know, if you've been in the Army and you've been  
15 taught how to respond in a fire fight, they tell you  
16 to point, don't aim. And there is an ability to look  
17 at this whole thing and point to issues that are  
18 clearly big, and I think those are three big ones.  
19 You know, I'm not saying they're the only ones, but I  
20 think you can do that. I think you can prioritize.

21 CHAIRMAN HORNBERGER: Any last question?  
22 Thanks very much, Jane.

23 MS. LONG: You're welcome.

24 CHAIRMAN HORNBERGER: We have asked  
25 several panelists to offer their comments, as they

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1 like, on the variety of things that we've heard, and  
2 I think I'll just go down this list in order, and  
3 William Hinze is first.

4 MEMBER HINZE: Well, thank you very much.  
5 I believe that the four presentations we've heard this  
6 afternoon are as good as they are different. They  
7 certainly have brought up a number of interesting  
8 points. Before I go to the RTE document, just perhaps  
9 some general comments, because I thought you said that  
10 we could do that.

11 First of all --

12 CHAIRMAN HORNBERGER: And if I didn't, you  
13 would anyway.

14 (Laughter.)

15 MEMBER HINZE: Well, one of the things  
16 that we saw in the expert panel report and also that  
17 John Kessler brought up this morning was the linear  
18 no-threshold hypothesis. It seems to me that this is  
19 one of the very significant weaknesses in our  
20 knowledge of radioactivity and its effect upon human  
21 beings. It seems to me that the American public is  
22 going to demand that the government come up with some  
23 better evidence regarding this. Now, the NRC may be  
24 doing something in relationship to this on an  
25 international cooperative basis, but you see very

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1 little action. And I think that any long-term  
2 research has to address this problem, and I really  
3 hope it will, because that is a topic dear to my  
4 heart.

5 One of the things that is sitting around  
6 the ACNW table for a number of years essentially all  
7 of the topics that came before the ACNW cried out for  
8 more information, more data, more research, if you  
9 will. And we've mentioned a number of these. One of  
10 them that, as some of you may recall, is quite dear to  
11 my heart is time of compliance. We've seen that on  
12 the screen in a number of different forms today, both  
13 low-level and high-level waste.

14 The decision should not be based upon  
15 political realities, I guess you'd call them, but it  
16 shouldn't be done on the very conservative aspect, for  
17 example, as we saw in a National Academy report  
18 suggesting that the time of compliance be made a  
19 million years, which was, to my view, taking a very  
20 extremely conservative approach to the problem. I  
21 think, again, that the time of compliance and this  
22 question of where do you terminate the performance  
23 assessment is one that's going to have to be  
24 addressed.

25 The only person that I've really listened

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1 attentively to today is John Kessler, and that's  
2 because John has included natural analogues, and  
3 perhaps Budhi mentioned it too. But it seems to me  
4 that when we talk about realistic TSPA, whatever that  
5 is, and that's in the beholder's mind, I guess --

6 MEMBER GARRICK: Oh, God, you haven't  
7 changed a bit.

8 (Laughter.)

9 MEMBER HINZE: What's your name again?

10 (Laughter.)

11 It seems to me that the way to get a  
12 handle on realistic TSPA is through natural analogs.  
13 I'm not saying that this should completely displace  
14 performance assessment, but it should be made to  
15 control performance assessment, and I really like the  
16 suggestion of Mike that we have closed repositories,  
17 so it's kind of the ultimate natural analog and tear  
18 those apart and see what goes on. I think that's  
19 really great thinking.

20 Talking about the radionuclide transport  
21 and environment draft research program plan, that's  
22 got a lot of kudos today, and so I will take the  
23 opposite view and suggest that there are some -- in  
24 particular, there's one area in which I think it fails  
25 to have a sufficient amount of vision. And this

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1 relates to hydrologic parameter estimation, and I  
2 think this is what Jane was getting to in her  
3 discussion.

4 The hydrologic parameter estimation, of  
5 course, is part of this uncertainty -- the model  
6 uncertainty and the parameter uncertainty -- and this  
7 Committee is well noted for its concern about limiting  
8 uncertainties or at least controlling them so that  
9 they are in some kind of consistent fashion.

10 The model uncertainty certainly is a  
11 problem, but I think that's something that we're  
12 starting to get our hands on. What is not there is  
13 this parameter uncertainty, and that is in the various  
14 nodes in the model that one has some kind of realism  
15 in the parameters. The problem here is largely in the  
16 heterogeneity of the subsurface. I'm afraid that my  
17 engineering friends -- and, incidentally, it is  
18 apparent I come from the geoside of things; I hope  
19 it's apparent -- my engineer friends think about  
20 steel, and I think about steel as being a pretty  
21 homogeneous thing -- I realize it isn't.

22 But when we go to the subsurface, we have  
23 a great deal of inhomogeneity, and I picked up a book  
24 out of my shelf that was published under the aegis of  
25 a committee chaired by George M. Hornberger, so this

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1 has to be -- and this was published last year, George  
2 -- so this has to be right.

3 (Laughter.)

4 I'll just pick out a few sentences.  
5 "Spatial heterogeneities" -- and this is a National  
6 Academy scene into the Earth -- "Spatial  
7 heterogeneities and the physical properties of rock  
8 units prevent complete characterization of subsurface  
9 rock formations from observations made in outcrops or  
10 cores, and parenthetically, one usually has anywhere  
11 from one part in a million to one part in a billion of  
12 volume that is investigated compared to that which you  
13 are trying to model."

14 "In environmental and engineering studies,  
15 properties of interest, such as porosity, hydrologic  
16 conductivity and chemical or mineralogical  
17 composition, including sorptivity, might vary over  
18 short distances within a single geological unit. No  
19 reliable mathematical model for interpolating between  
20 observation exists. The importance of minor  
21 geological details in geotechnical engineering is well  
22 known in another phrase. The key to effectively  
23 describing the subsurface heterogeneity is most likely  
24 integration of different types of information at  
25 different types of scale." And this gets to Budhi's

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1 third overhead, which I thoroughly agree with. I  
2 thought that was great.

3 Well, what this really is getting at is  
4 that what we need to do is we need to -- if we're  
5 going to decrease our uncertainties, we have to have  
6 some kind of better sampling procedures. And this is  
7 sampling by direct sampling, by cores, by outcrops, so  
8 that we have direct observation. And that has to be  
9 tuned to the geological history of the area. It has  
10 to be tuned to the types of rocks that are present and  
11 the origin of those rocks and their subsequent  
12 tectonic history.

13 And as a geologist, you probably could  
14 say, "Well, that's impossible," but, no, I don't think  
15 it is. This borders on use of such things as chaos  
16 theory, fractals and so forth. There's a good deal  
17 that could be done here, and this is pertinent not  
18 only to high-level waste, which certainly is important  
19 too, but is associated with all of the other types of  
20 waste activities that deals with the subsurface that  
21 the NRC is involved with.

22 Yet when we go to Page 26, we see  
23 hydrologic parameter estimation, develop test, and the  
24 focus here, in brief, is on decommissioning and  
25 estimating parameters from historical data sets. Now,

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1 Mike made a point that it's great to go to historical  
2 data sets, and I agree with you wholeheartedly, but  
3 you have to be able to extrapolate that into the realm  
4 in which you are using the data. And in addition to  
5 that, we have to have a lot more data. Now, in many  
6 cases, what we need to do is we need to obtain this  
7 data, not by direct sampling, but we need to obtain it  
8 so that we do not or at least we minimize the loss of  
9 integrity of the site or we maintain the integrity of  
10 the site. And that means someone today had remote  
11 sensing -- and I think that was you again, Budhi --  
12 the remote sensing to seek out these characteristics.

13 Now, when we use remote sensing, what  
14 we're doing is we're determining primary properties,  
15 seismic velocity, P wave, S wave, whatever kind of  
16 velocity you want or electrical properties. But we  
17 would like to be able to translate those at the really  
18 meaningful properties from a waste standpoint; that  
19 is, Kds and porosities and so forth. And there is a  
20 general lack of information on how to do that. There  
21 is certainly no theoretical way of doing that, and the  
22 empirical ways of doing that are extremely limited.

23 Thus, I think that I would very much like  
24 to see that this radionuclides and transport in the  
25 environment broaden out their view about the

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1 elimination of -- or the constraining of uncertainties  
2 to do a broader job of hydrologic parameter  
3 estimation. And that's about my 15 minutes.

4 CHAIRMAN HORNBERGER: Tim McCartin.

5 MR. WILSON: I have one quick question.

6 CHAIRMAN HORNBERGER: We have time for one  
7 quick question. John, you'll have to come to the  
8 microphone, though, because we're on the record, and  
9 you'll have to announce your name and affiliation.

10 MR. WILSON: Yes. I'm is John Wilson from  
11 New Mexico Tech in Socorro. One person's model  
12 uncertainty is somebody else's parameter uncertainty.  
13 It varies all over the place. But my personal opinion  
14 is, for someone who's worked on heterogeneity and  
15 parameter uncertainty for decades, is model  
16 uncertainty is far the worst actor. Basically, I can  
17 be uncertain about the spacial distribution of some  
18 property, it can have an influence, but if I do not  
19 account properly for the physics and biology and the  
20 chemistry, that influence is not properly handled.

21 For example, heterogeneity, coupled  
22 together with things like gravity and viscus  
23 instabilities and other things like that, can lead to  
24 fingering. The fingering will occur because of the  
25 heterogeneity or because of the instability that's

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1 present. If I leave out the physics, I don't  
2 necessarily get that kind of instability. I've got to  
3 take it into account for a wide variety of processes  
4 the kind of modeling uncertainty that comes about  
5 because I don't know what processes are acting, I  
6 don't know how they interact, I don't know how they  
7 couple, I'm not certain what's going on, I don't know  
8 how any one of them works. All of this has, to me, a  
9 much more profound influence on what goes on than the  
10 heterogeneity.

11 The heterogeneity is something you've got  
12 to deal with; there's no question about it. But in  
13 the absence of that heterogeneity, the  
14 misunderstanding we have about processes just really  
15 causes us far more problems. I've done a lot of  
16 applied modeling, and it's the conceptual model  
17 problems that drive me nuts as a consultant.

18 One last thing, though: I think as time  
19 goes on and we do more and more process-oriented  
20 research, we will come to grips with how these  
21 processes work more. As we know, like Darcy's law,  
22 for example, already. As we come to grips with how  
23 they work in principle, we do not necessarily come to  
24 grips with how they work on a particular site, and  
25 that's more like your parameter uncertainty.

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1 Eventually, we can reduce some of the process level  
2 uncertainty, that part of conceptual model, down to  
3 essentially zero.

4 But I don't think we're ever going to  
5 reduce the heterogeneity and the other aspects of  
6 model uncertainty that will remain simply because we  
7 can't characterize these systems well enough, and  
8 never will, unless we have some kind of geophysical or  
9 other remote sensing instrument that gives us far more  
10 resolution than anything we see on the horizon today.

11 CHAIRMAN HORNBERGER: Thanks, John. Tim?

12 MR. MCCARTIN: Yes. I'd like to start off  
13 at least to give NRC's perspective on performance  
14 assessment. And I know people define it a lot of  
15 ways. I know the NWTRB tends to define it fairly  
16 narrow. We at the NRC, and maybe we failed, have  
17 tried to define it very broadly. And the performance  
18 assessment includes not only the calculational  
19 results, all the supporting information, all the  
20 testing of hypotheses, testing of alternative  
21 conceptual models, comparison with laboratory field  
22 data, comparison with detailed process models,  
23 comparison with natural analogs, and we consider all  
24 that under the umbrella of performance assessment. We  
25 do not try to separate the calculational results from

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1 all the information that got you to the point how you  
2 built that model and why you believe those results.  
3 And I want to give at least that perspective. We  
4 tried to put that in the Part 63. We may have failed,  
5 but at least we have attempted at every chance we  
6 could to define performance assessment in a very broad  
7 context.

8 And in that regard, I think in looking at  
9 the different talks, and there were a lot of excellent  
10 ideas put forward, but I would like to put three  
11 particular bins for where I think research fits. And  
12 one would be conceptual models, performance assessment  
13 results and best available data. And I look on those  
14 three bins that I'll describe earlier it really is an  
15 iterative process. And maybe some of them should be  
16 put first rather than third, but it is iterative and  
17 you're going through the cycle as many times as it  
18 takes before you have a certain comfort level.

19 And, first, in terms of conceptual models,  
20 although we have a relatively complex performance  
21 assessment tool at the NRC in our TSPA code, we did  
22 start with a simple model, and I think research could  
23 be very beneficial even at this stage, still looking  
24 at simple concepts. And by that, I would look at why  
25 do things work? Why might things fail? And I think

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1 you could look at -- have research basic concepts.

2 And I can look at some of the things that  
3 were said. We have matrix diffusion, and Kd and the  
4 matrix is a very significant, we believe, reason for  
5 the system to work. It will provide a significant  
6 amount of retardation, even with fracture flow. Let's  
7 understand that better. Why does it work that way?  
8 Are we representing it correctly? Conversely,  
9 colloids is just the inverse of that. It may totally  
10 defeat matrix diffusion and subsequent retardation in  
11 the matrix. So you've got these two things. Why does  
12 it work? Why might it fail? I think research is  
13 probing and looking at different concepts.

14 Along the same lines, we have limited  
15 water contacting the waste. Is one of the reasons the  
16 site performs well? The converse of that, I'll say,  
17 is what John Kessler alluded to, is a very large  
18 diffusional release. That may be a very conservative  
19 assumption on the DOE's part, but if you have a very  
20 large diffusional release, whether you have limited  
21 water contact or not, may not matter. And so that's  
22 sort of why does it fail, why does it work? And we at  
23 least -- whether we agree or not how conservative that  
24 is, it's something to look at.

25 I think there are a lot of concepts out

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1       there that having research look at why does the site  
2       look good but what are things that could defeat it?  
3       And I think those are areas of research -- and we  
4       heard a lot of different ideas in terms of those kinds  
5       of concepts. You're developing a simple model in your  
6       mind in that sense of why it works, not necessarily  
7       computational, but it is a simple model.

8               At NRC, we're probably at our fourth or  
9       fifth generation of developing the TPA code and  
10      revising it. It gets a little more sophisticated, a  
11      little more complicated as a result of that. But the  
12      reason you have that code is, yes, I have these simple  
13      concepts of what works, what doesn't work at the site,  
14      but now stringing it all together, what does it really  
15      mean? And I think that's my second bin is PA results.  
16      And now you do the calculation of the results, and you  
17      try to pull out -- tease out as much information as  
18      you can from those results. And I know Dr. Garrick  
19      has pushed us a lot of times on different ways to  
20      probe the analyses. Budhi has looked at some of the  
21      barrier analyses. John Kessler's done other types of  
22      one-off analyses.

23              There's also the question of uncertainty.  
24      How do you factor in -- certainly, conceptual model  
25      uncertainty, I would agree, is clearly the most

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1 dominant thing. Parameter uncertainty is small  
2 typically in comparison to conceptual models. But are  
3 there -- research could be done to look at different  
4 ways we can explore conceptual models uncertainty,  
5 different ways to tease out the results, like the one-  
6 off analyses, one-on analyses.

7 There are a lot of things that could be  
8 done, I think, in that regard to better understand  
9 what the results are telling us. But those results  
10 are important. They are a way -- sometimes you --  
11 some of the results are counterintuitive. "Gee, I  
12 would have thought this was important. It didn't turn  
13 out important. Why?"

14 There's a lot of understanding. Running  
15 the performance assessment code is a trivial exercise.  
16 I think at NRC we can run the PA code overnight.  
17 Getting those results and pouring through them and  
18 analyzing them gives you all the understanding. Why  
19 did it come out the way I thought it did? Certainly,  
20 it's a feedback to the conceptual model development.  
21 "Gee, I thought this should have been important, and  
22 it wasn't or just turned out more important." But  
23 that thinking process, are there, like I said,  
24 different tools that could be developed to help us  
25 better present the results, interpret the results and

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1 just tease out more information. I think that's an  
2 area for potential research.

3 And then, thirdly, what I'll call the best  
4 available data. And I'd have to say in my 20 years in  
5 waste management, one of the more impressive speakers  
6 I've ever heard that I always felt, "Gee, he gave me  
7 confidence that what he was explaining was correct."  
8 It was Dr. Neuman at the University of Arizona. And  
9 there was one reason for that. I think he is  
10 extremely unique in that he can give you a process or  
11 a theory or a concept and then rattle off five or six  
12 field examples around the world of where that was  
13 proven true. And I think that is extremely important.

14 And along the lines of what Mike Ryan was  
15 saying, we now have between superfund sites, NRC,  
16 former low-level waste sites, decommissioning sites,  
17 there is a tremendous wealth of information out there  
18 of environmental transport and possibly some source  
19 term issues. In addition to the natural analogs, I  
20 would argue that it may be worth it for some of these  
21 concepts for research to look, "Gee, is there a story  
22 out there being told that we just haven't heard,  
23 because we haven't pieced together, gee, these 30  
24 sites where, whatever, americium was moving, and these  
25 are the things that were observed?" Maybe one of

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1       those sites doesn't say much, but 30 of those sites  
2       there's a story there.

3               And I think -- I remember -- Bill may  
4       remember this, I don't know -- maybe 15, 18 years ago,  
5       research did some studies looking at the old low-level  
6       waste sites. Well, maybe there's some value in going  
7       in -- research going in and looking at, like I said,  
8       all these other sites. Are there common themes that  
9       say something about transport or source term that  
10      might be pulled forward?

11             I would argue in terms of public  
12      confidence, something that was talked to a little bit  
13      in the morning, I think that's where you get much more  
14      public confidence if you can show to people, "Gee, at  
15      these sites, we've observed these kinds of processes,  
16      and we believe it's appropriate. These models are  
17      representative of what's going on in the subsurface."  
18      I think that carries a lot of weight, similar to the  
19      way I think Dr. Neuman will rattle off many, many  
20      examples in the field to support his conclusion. And  
21      with that, I'll keep quiet.

22             CHAIRMAN HORNBERGER: Bill?

23             MR. OTT: It's been a very interesting  
24      day. It may, in the long run, be more helpful to us  
25      than it is to you, because I appreciate the fact that

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1       you've brought all these people here to get ideas on  
2       research, which is basically what we're trying to get  
3       on the plan. I wrote down a whole list of things to  
4       try and talk about, so I'll bring out my list and at  
5       least think about it while I'm making these  
6       observations.

7               I think I wanted to make a few remarks  
8       about the conceptual model problem, and it's received  
9       a lot of attention. I just wanted to give you the  
10      perspective that we've been working on and basically  
11      where we came from.

12             I think part of it comes from both our  
13      natural analog work and our work in the international  
14      community back when we were still in the high-level  
15      waste program, when we were involved with Intraval and  
16      Hydracoin and the Natural Analog Working Group. And  
17      there was a report that came out of one of those that  
18      -- I think it was the Natural Analog Working Group --  
19      that talked about the conclusions of a certain phase  
20      of their work. And they said if you look at the data  
21      available on a site and say you take all the  
22      hydrologic data and then try and take that data and  
23      develop a hydrologic model or a model for the system,  
24      you may come up with two or three different  
25      interpretations of that data. Maybe you can come up

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1 with ten interpretations of the data that are  
2 hydrologic models that you could use to represent that  
3 site.

4 And then somebody else comes in and they  
5 say, "Well, let me look at the geochemical data and  
6 see if it's consistent with that." And they'll look  
7 at just the geochemical data, and they'll develop  
8 their own concept of the site, and they'll come up  
9 with ten or 15 models. And low and behold they aren't  
10 the same. There may be a subset, an intersection of  
11 these two sets of models, which are consistent.

12 Then if you go in and you look at the  
13 geophysical data, you can get a further limitation and  
14 maybe further expansion, another set of models that  
15 doesn't agree with either the hydrology or the  
16 geochemistry. What it boils down to is you're trying  
17 to interpret a natural system with limited data. The  
18 more data you have the more you can limit it, but I  
19 don't think we can ever have enough data to say that  
20 we truly know what that conceptual model is.

21 Then the question goes, well, all right,  
22 if there are multiple interpretations of the data, how  
23 do we know which one is right, and how do we calculate  
24 the uncertainty which results from one particular  
25 selection of those particular conceptual models?

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1 That's the problem which we've thrown at Shlomo Neuman  
2 to try and address for us. He hasn't got a result  
3 yet, but he's working on a methodology to come there,  
4 to get to that point.

5 I challenged him at one point when he came  
6 in to discuss the progress on his work and I said,  
7 "Can you ever quantify this?" And he said, "At this  
8 point, I don't think so." So he was saying that at  
9 that point he wasn't certain that he could ever  
10 quantify the uncertainty associated with conceptual  
11 model uncertainties, i.e. that you might have the  
12 wrong model, but a different model might be right.  
13 He's since backed off from that, and he says, "We may  
14 be able to do limited quantification." He hasn't said  
15 he can put a number on it, but he says, "I might be  
16 able to do better than no."

17 He's not done yet. We're still working on  
18 the problem, but conceptual model uncertainty is not,  
19 in our mind, simple a matter of parameter variability  
20 or heterogeneity in the natural system. It's due to  
21 the fact that we have extremely limited information  
22 and there are multiple interpretations of that  
23 information that may lead to the actual way the system  
24 evolves.

25 This list I've got is very disjointed

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1 list, so I'm going to go through it and try and make  
2 these observations. Prioritization system. There  
3 were some people today who said they didn't -- it  
4 looked like it had evolved as an apology to the other  
5 system. Well, it wasn't quite that bad. Basically,  
6 the Office developed a prioritization system. We  
7 investigated the systems used by a whole host of other  
8 -- well, not a whole host -- a limited set of other  
9 federal agencies. We looked at what the National  
10 Institutes of Health do, we looked at the National  
11 Institute of Standards and Technology, we looked at  
12 USGS, we looked at the Federal Aviation  
13 Administration.

14 We went down and we talked to their  
15 research arms, and almost every one of them had a  
16 very, very large component of expert judgment in their  
17 prioritization of research, as did we. Unfortunately,  
18 that was one of the things that we were getting an  
19 awful lot of trouble from, of all people, our Advisory  
20 Committee on Nuclear Waste.

21 So we looked very hard for some way of  
22 coming up with a systematic and quantifiable approach.  
23 The only one of those five agencies or six agencies  
24 that we talked to that had a quantifiable approach was  
25 the National Institute of Standards and Technology.

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1 And they had gone to their Economic Division, and  
2 their Economic Division had actually worked with the  
3 Building and Fire Research Division to develop a  
4 prioritization scheme based on the analytical  
5 hierarchy approach. Just one of many systems that's  
6 evolved over the years that tries to give you a way of  
7 comparing apples and oranges. It is subjective. It's  
8 designed to be subjective, because it's designed to  
9 respond to the priorities of the decisionmakers.

10 The prioritization that was -- system that  
11 was developed was forced to be responsive to the goals  
12 of the Agency. The Agency has a strategic plan, and  
13 we have strategic goals, and the structure of the  
14 prioritization is built along those strategic goals.  
15 Unfortunately, all of the offices in the Agency have  
16 the same set of strategic goals, just the words  
17 underneath them are described differently. And the  
18 words that were generally put together when that  
19 system was discussed really focused on reactor  
20 research.

21 When we wanted to deviate from this, we  
22 thought it was politically wise to try and move from  
23 the prioritization system adopted by the Office,  
24 slowly away, to try and at least be consistent with  
25 the approach that was being used but try to tailor it

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1 for waste. I think we've received some acceptance of  
2 the policy that we should be treating waste  
3 differently, and I think a wider departure would  
4 probably be acceptable now.

5 You'll hear tomorrow from Jack Rosenthal  
6 who's looking at the prioritization system within the  
7 Office and what we can do about both materials and the  
8 waste arenas, because they are so substantially  
9 different from the reactor arena. And he'll talk with  
10 you in more specifics, so I'm not going to go into  
11 that anymore.

12 That's sort of the way we evolved. We  
13 deliberately tried to go from what the Office had  
14 adjusted rather than try and defend a drastically new  
15 approach that might challenge the validity of the  
16 other approach. It was a case of choosing for  
17 evolution as opposed to choosing for something  
18 radically different, and I think we're making  
19 progress.

20 There's been a lot of discussion on  
21 realism versus conservatism and risk significance. I  
22 think the plan says in there that our objective is  
23 more realistic analyses. We've been very carefully  
24 told that the Agency doesn't make decisions which are  
25 unrealistic; they make decisions based on the best

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1 information available, and those are good decisions.  
2 The decisions themselves may be more conservative or  
3 less conservative based on the information that's  
4 provided on them. Risk significance should certainly  
5 be an input to that. I think we would agree that you  
6 need to consider risk significance in trying to  
7 approach realism.

8 Anticipatory versus confirmatory research,  
9 this is an argument that's gone on for a long time.  
10 Quite often anticipatory research becomes confirmatory  
11 research once the user office decides they need it.  
12 Quite often it's anticipatory because you can't get  
13 direct strong support from the user office, perhaps  
14 because it does have a longer time frame and they  
15 don't need it now. There's very little other way to  
16 talk about it.

17 If you go back historically, and I don't  
18 want to go in this place very long, back when the  
19 Office of Research stopped doing high-level waste  
20 research, we had a fairly large program at the Center.  
21 The problem was that funding got reduced  
22 substantially, and the Agency had to maintain the  
23 integrity of their analytical capability. They  
24 sacrificed anticipatory research to do that. So there  
25 was a significant reduction in research, and there was

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1 no longer a real reason for the Office of Research to  
2 be involved; in fact, it was complication that wasn't  
3 helpful. So everything was transferred over there,  
4 and as a result, operations plans are only one year  
5 long, as Budhi said at one of the breaks. They don't  
6 look beyond that year in terms of what's the long-term  
7 for the work that they're doing at the present time.  
8 Much of the work that we had that was terminated at  
9 that time was looking at the longer range.

10 And some stuff that -- in hindsight, if I  
11 looked at it now, I would wish that we had continued  
12 to do some of the work at the Apache Leap Research  
13 Site, which is probably the best analog outside of  
14 Yucca Mountain for fractured flow in an unsaturated  
15 tough. Unfortunately, that was one of the victims of  
16 the congressional reduction in funding. We managed to  
17 finish out the actual primary goals of the project.  
18 Some of the secondary goals of the project weren't  
19 realized, but it was just a matter of funds  
20 availability. There was nothing you could do about  
21 it, because to do anything else would have compromised  
22 the technical integrity of the support structure at  
23 the Center, and that wasn't an acceptable option in  
24 anybody's mind.

25 A couple of other areas. We had a

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1       volcanism program in process that we had scoped out at  
2       about \$5 million for the entire scope of the program.  
3       It involved three projects to be started up  
4       sequentially, one starting not before the other had  
5       ended, the last one being one that would look at  
6       structural controls and volcanism. That project never  
7       got started. That was our primary mechanism for  
8       trying to deal with probabilities of volcanic -- of  
9       igneous events at Yucca Mountain.

10               In the absence of doing that part of the  
11       research, I think what you see is an argument that is  
12       based primarily on statistical analyses of existing  
13       volcanic features. It probably would have been much  
14       better in the long run if you had the other. It may  
15       be adequate science to do what we have. I can't make  
16       that conclusion, because I'm not a volcanologist. But  
17       what I'm saying there is that, again, this was  
18       anticipatory research that because of political  
19       decisions on the Hill essentially had to be cut back,  
20       that we considered anticipatory because it was long-  
21       range. The short-term work continued and has probably  
22       provided adequate support for that.

23               Monitoring instrumentation, performance  
24       indicators, engineered barriers. We're seeing, in  
25       many instances -- not seeing -- we're hearing from

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1 places like Savannah River that caps installed on  
2 disposal units are failing -- about five percent at  
3 the current time. But that may be the first edge of  
4 a wave of failures over time. Everybody has said that  
5 we do not have long-term performance data for  
6 barriers. We're going to need to monitor them. What  
7 do we monitor? Do we monitor them after we fail or do  
8 we monitor the characteristics that are going to lead  
9 to failure. So I think that monitoring is something  
10 that we think is an important thing to continue to  
11 follow.

12 Instrumentation. We haven't been strong  
13 on instrumentation. We've been doing a little bit of  
14 instrumentation work. The Apache Leap program had a  
15 very strong component of instrumentation, because we  
16 were trying to figure out how to measure things in the  
17 unsaturated, fractured environment. And from that  
18 perspective, that program probably made a major  
19 contribution to being able to adequately characterize  
20 Yucca Mountain.

21 Instrumentation may be an issue for things  
22 like looking at engineered barriers over a long period  
23 of time. Most monitoring systems haven't been  
24 designed to work in place for 30 years, 40 years or 50  
25 years. And you're not going to have a continuous

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1 record if you have to take it out and replace it and  
2 put in new instrumentation or change the  
3 instrumentation.

4 And then the question is what do you  
5 measure? That's the performance indicator thing.  
6 What do we measure that's -- what can we measure  
7 that's an indicator of performance of the site? Not  
8 necessarily radionuclides. If you look in the  
9 saturated zone for radionuclides, you detect failure.  
10 If you look in the unsaturated zone for evidence of  
11 water or if you look at the barrier for evidence of  
12 fractures, you detect failure before it occurs, and  
13 perhaps you can remediate. So to a certain extent,  
14 we're looking for preventive monitoring techniques as  
15 opposed to those kind of techniques that allow you to  
16 detect that you've failed.

17 We talked about prioritization. We've  
18 talked a lot about models today. I just gave a long  
19 discourse on my perspective on conceptual models. I  
20 think the biggest problem that we have is people that  
21 actually start to believe in the models, okay? And  
22 this could be that simply because the conceptual model  
23 of we don't know that it's even the right model. So  
24 that's about all I'm going to say about that right  
25 now. Belief is not necessarily a good thing.

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1                   Good versus adequate science. It's hard  
2 for us to tell when we have good or adequate science.  
3 This was a point raised by John Garrick earlier. As  
4 a regulatory agency, we aren't looking to set the  
5 world on fire with science. On the other hand, it's  
6 difficult to get really high quality scientific  
7 investigators to commit to doing adequate science.  
8 They want to resolve the problems, and they want to  
9 resolve them well. I think we try to design programs  
10 that have good science at their heart, and then we  
11 look for a place to end it before we hit diminishing  
12 returns and start polishing the results to meet  
13 scientific curiosity.

14                   Collaborative R&D. George stopped me  
15 earlier, and I'm going to at least say a few remarks  
16 about collaborative R&D.

17                   CHAIRMAN HORNBERGER: I'm going to stop  
18 you in a couple minutes again too.

19                   MR. OTT: Okay. Well, I've only got --  
20 this is my last one. We have started a working group  
21 on conceptual model and parameter uncertainty under  
22 this MOU on multimedia environmental research that  
23 George stopped me from talking about before. This  
24 particular working group involves six federal  
25 agencies, involves the U.S. Geological Survey out of

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1 George Leavesly in Colorado, involves EPA, involves  
2 the Department of Energy, the Agricultural Research  
3 Service and the Corps of Engineers. And they are  
4 developing a plan, and hopefully when we get the final  
5 phase two proposal in, which will be a much more  
6 significant document than the old one, than the phase  
7 one proposal, we'll bring that to you and let you see  
8 what we plan to do over the next four years in  
9 cooperation with these other federal agencies, both on  
10 parameter uncertainty and on conceptual model  
11 uncertainty.

12 The same MOU has another working group  
13 that's working on these large platform models that are  
14 supposed to deal with complex sites. The phase two  
15 proposal from that working group is also due in the  
16 next month or so.

17 We're planning additional working groups.  
18 The MOU itself is a framework, and it's gotten the  
19 agencies to commit to cooperating together. It won't  
20 do any good unless we get scientists to come up with  
21 ideas to work together, and that's what we're working  
22 on right now. We're pushing to get working groups  
23 started that will have these scientists working  
24 together. The working groups themselves are limited  
25 to federal employees, but support work for those

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1 working groups is not limited to federal employees.  
2 We can involve contractors in that as well. So there  
3 are going to be opportunities for places like the  
4 Center for Nuclear Waste and Regulatory Analyses for  
5 their experts to work with and contribute to these  
6 working groups, and I think we will try to do that as  
7 much as we can.

8 We've already mentioned the NEA sorption  
9 project. There are, I think, 13 nations working on  
10 that project. We, ourselves, have four working  
11 groups, three working groups that are going to be  
12 working on modeling test cases of sorption parameters.  
13 And encouraging Jim Davis, the USGS PI for our work on  
14 that to develop another working group proposal under  
15 the MOU on geochemical issues. I'd like very much for  
16 the agencies to start combining and coordinating their  
17 understanding of chemical processes and natural  
18 systems. EPA, certainly, with regard to contaminated  
19 chemical systems, the USGS has been helping them. The  
20 Corps of Engineers has a tremendous amount of  
21 information from contaminated military sites. I think  
22 there are tremendous potentials here for collaboration  
23 that's in the spirit of the recommendations or  
24 discussions that were here earlier today. That's it.  
25 You don't even have to stop me.

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1 CHAIRMAN HORNBERGER: Good. Thank you,  
2 Bill. John?

3 MR. KESSLER: Just a quick question, Bill.  
4 The Apache Leap work, did you ask DOE to help fund  
5 that work?

6 MR. OTT: We gave them the opportunity to  
7 work at the Site. I mean we can't just ask them to  
8 fund something. And they did fund some work at the  
9 Apache Leap Site, and they got some benefit out of it.  
10 There is a whole lot of core that was collected for  
11 that Site, and the University of Arizona was recently  
12 asking what they do with it. And we asked DOE whether  
13 they wanted it, and they said no.

14 MR. KESSLER: Okay.

15 MR. OTT: So to a certain extent, the  
16 opportunities at Apache Leap are closing out. We've  
17 still got some work going on there, but it's at the  
18 near surface. That's one of those things that you  
19 don't like to see happen but it did.

20 CHAIRMAN HORNBERGER: Any other comments,  
21 questions for anyone? Jane?

22 MS. LONG: I just wanted to --

23 CHAIRMAN HORNBERGER: Have to use a  
24 microphone, sorry.

25 MS. LONG: Oh, okay.

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1 CHAIRMAN HORNBERGER: It's not for us to  
2 hear you, it's the recorder.

3 MS. LONG: I know you don't want to hear  
4 me. That's okay, George.

5 (Laughter.)

6 I just wanted to comment on conceptual  
7 modeling uncertainty. There are at least a couple  
8 ideas that are -- we working on when I was at OBL that  
9 I think are interesting. One of the ways to get after  
10 conceptual modeling uncertainty might be to look at  
11 inverse methods where you look at the data and then  
12 try to use an inverse process to determine what the  
13 model is that explains all the data simultaneously.  
14 Traditionally, when that's done, the principle of  
15 parsimony comes in, and that's a principles that says  
16 use the simplest possible model. And, therefore, what  
17 you do is you actually impose a conceptual model, and  
18 based on that conceptual model you do the inversion.

19 Another way to do the inversion is to  
20 allow the model that you are inverting the base model  
21 to be as conceptual model-free as possible. In other  
22 words, instead of putting in permeability and  
23 porosity, the parameter that you put at each node is  
24 how fast can something go from A to B? And instead of  
25 saying, "I think this is the basic structure, and I'm

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1 inverting for the parameter that applies to that  
2 structure," you allow the structure to become part of  
3 what you're inverting for.

4 So to the extent that you perform these  
5 inversions, they're going to be non-unique, and you're  
6 going to get a lot of them. And that distribution of  
7 models that you get gives you some idea of what the  
8 conceptual model uncertainty is. It's not a very  
9 popular form of research, and when we were doing it,  
10 we got a lot of criticism because it wasn't  
11 parsimonious, but I do think that hits right at the  
12 problem.

13 CHAIRMAN HORNBERGER: Budhi?

14 MR. SAGAR: I'm intrigued at Michael's  
15 idea about going back to the low-level waste sites,  
16 dig them up and make some observations. Is that even  
17 a practical idea in the sense that you would have to  
18 worry about the workers getting dose which is a  
19 necessity and that you might be -- this is human  
20 intrusion, in a sense, that -- I mean it's a very good  
21 theoretically, but in practical sense, does it really  
22 -- can it pan out?

23 MR. RYAN: Yes. You know, I think you can  
24 do it.

25 CHAIRMAN HORNBERGER: Excuse me, Michael.

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1 You have to use a microphone.

2 MR. RYAN: Oh, I'm sorry. I'm guess I'm  
3 not sure that I'd just pick a low-level waste site per  
4 se. I'd want to look at low-level waste sites. Parts  
5 of those might be appropriate, parts might not where  
6 there was maybe irradiated hardware. I'd want to look  
7 at D&D sites, other opportunities like sites where  
8 there's been a record of decision for radioactive  
9 material, and there's a monitoring plan in place and  
10 other things.

11 I don't know that I'd start with a low-  
12 level waste site, but there's certainly an opportunity  
13 there if there is monitoring and modeling that exists  
14 and there's the opportunity to see something moving.  
15 I mean that's certainly something. Whether it means  
16 you intrude right to the waste as an initial  
17 enterprise, I don't know. But at some point, you  
18 know, we're digging up sites, we're decommissioning  
19 research and test reactors. Our recent test reactor  
20 project found that the concrete substructure was in  
21 fact leaking into the aquifer, things like that. And  
22 maybe are those missed opportunities, where if there  
23 was an environmental component to the study, we could  
24 have gained something.

25 So I don't know that I would start with

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1 the notion let's dig up a low-level waste site until  
2 I said here's the list of all the kinds of sites.  
3 Where can I maximize my opportunity to learn something  
4 important and useful for the money I'm spending?  
5 Maybe it is a low-level waste site, maybe it isn't,  
6 but I'd sure want to -- I think it's well worth the  
7 exercise of trying to make that list of where the  
8 opportunities are.

9 CHAIRMAN HORNBERGER: Of course we could  
10 point out that there at least one or two DOE sites  
11 where some radionuclides have migrated and --

12 (Laughter.)

13 MR. RYAN: Exactly. Sure. And the  
14 monitoring's already going on.

15 CHAIRMAN HORNBERGER: And the monitoring's  
16 already going on. And the other thing, of course, we  
17 could point out that those of us who know something  
18 about something post-audits that have been done on  
19 groundwater models know that the thing you're going to  
20 learn is probably not going to make you very happy.

21 Let's see, Mal and then Milt.

22 MR. KNAPP: Just, while I applaud Mike's  
23 idea, I think it would be great if it could be done,  
24 one of the other practical matters is simply the  
25 responsibility and whether or not the present owner or

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1 previous owners of the site might, and frankly very  
2 legitimately, fear the legal consequences if anything  
3 were to happen. And I suspect more likely a site that  
4 is currently being decommissioned is going to be more  
5 fertile in terms of just getting the willingness of  
6 the property owners to cooperate.

7 CHAIRMAN HORNBERGER: Exactly.

8 VICE CHAIRMAN WYMER: Yes. It's certainly  
9 hard, in any event, to get the participation, but if  
10 somehow you can create an opportunity for everybody to  
11 gain something out of it, that's the way to try and  
12 approach it.

13 CHAIRMAN HORNBERGER: Milt?

14 MEMBER LEVENSON: The question I have,  
15 which got triggered by what you guys have just said,  
16 is that our primary concern in validating the model  
17 doesn't arise because there's a radioactive atom  
18 there, but much more important is the type of soil and  
19 terrain. And could we learn even more by selecting a  
20 location even if it what we're looking at is a  
21 municipal dump, which you could model and check and  
22 avoid the problems of radioactivity and find strata  
23 that are more relevant to what we would like to talk  
24 about?

25 CHAIRMAN HORNBERGER: Just perhaps a point

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1 of interest for those of who aren't hydrogeology  
2 oriented. The U.S. Geological Survey has, for many  
3 years, had what they called their toxic hydrology  
4 program, and one of their current projects is at the  
5 Norman, Oklahoma Landfill where they're doing all  
6 sorts of things, just as you mentioned. The other  
7 thing that you might recall is that there's something  
8 called the Nevada Test Site, there's the Beatty Low-  
9 Level Waste Site, there's all sorts of monitoring that  
10 has been done, even at sites where vadose zones are  
11 important.

12 So I'm not sure that it's that we don't  
13 have any other information out there. It's a question  
14 of whether or not --

15 VICE CHAIRMAN WYMER: It's being used.

16 CHAIRMAN HORNBERGER: -- well, or how  
17 pertinent it may be to a specific -- there are lots of  
18 smart people around who know that these things exist.

19 MR. RYAN: Yes. Part of it's the modeling  
20 question. I think the relevancy of the environment is  
21 critical there. But the other part of it is the  
22 inventory and the source term and what gets out, and  
23 that's a little bit less dependent on the site. It's  
24 certainly dependent on the hydrology, but it tends to  
25 be a little bit more site independent, and I think

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1       those kind of sites are where the decommissioning  
2       sites and maybe some of the others could be of  
3       benefit.

4                   CHAIRMAN HORNBERGER: Did somebody up here  
5       have a -- Bill?

6                   MR. OTT: I was just going to observe that  
7       we do have political difficulties occasionally getting  
8       access to private sites, but we are also able to get  
9       around those. The slag research that we've done, we  
10      visited a number of sites that we had to go through  
11      meetings with lawyers and technical staff and all that  
12      kind of stuff to get access to the sites, and  
13      sometimes we got stopped at the ninth hour, at the  
14      11th hour. We were getting ready to go out there, and  
15      the head office comes back and says, "No, we don't NRC  
16      doing research on our site."

17                   On the other side, we've got the NADA  
18      reader program going where USGS has been out in  
19      Colorado on a DOE remediation site for three years  
20      drilling holes all over the place and taking a  
21      tremendous amount of data. So we've had mixed success  
22      at going to contaminated sites. But in addition, the  
23      observation about USGS in particular being involved  
24      with a lot of contaminated sites is particularly  
25      relevant.

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1           The surface complexation modeling that  
2 we've been doing with regard to uranium is work that  
3 parallels work that the same USGS investigator did on  
4 chromium contamination at a New Jersey waste site. So  
5 there is a commonality of interest, and this is one of  
6 the reasons why that MOU is so interesting to us right  
7 now and has so much potential.

8           CHAIRMAN HORNBERGER: Okay. We're going  
9 to have to close this off pretty shortly. Any other  
10 comments or questions from anybody who has patiently  
11 sat here all day? No takers? Jane? No.

12           Actually, we do have another item on our  
13 agenda, so what I'm going to do is call this workshop  
14 closed for the day. We are going to have time to have  
15 further discussion even on some of the topics that  
16 we've raised today when we restart tomorrow.

17           We will have a five-minute recess, and  
18 then we will reconvene to have a presentation on  
19 performance confirmation.

20           (Whereupon, the foregoing matter went off  
21 the record at 4:39 p.m. and went back on  
22 the record at 4:53 p.m.)

23           CHAIRMAN HORNBERGER: We need to  
24 reconvene, please. I have to find out where I am on  
25 my other schedule so I don't make a mistake. I see

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1 that Jeff is undoubtedly going to do some kind of  
2 presentation, but I want to make sure I know what it  
3 is before he starts. Okay. So this is a presentation  
4 on the performance confirmation, and the staff has  
5 been, I guess, having some discussions and has  
6 something to share with us. So, Jeff, why don't you  
7 take off?

8 MR. POHLE: Okay. Thank you. Jeff Pohle,  
9 the NRC staff. We'll talk a little bit about  
10 performance confirmation today. First, we'll say a  
11 few things on the NRC perspective on performance  
12 confirmation, then there will be a section where I've  
13 taken excerpts from Part 63 that deal with performance  
14 confirmation and kind of close up with planned  
15 activities in the future.

16 I'll move somewhat quickly through this  
17 first part. Given I have a lot of excerpts from Part  
18 63, we probably don't need to worry too much about  
19 specific words or anything when I discuss it, because  
20 we'll actually get into the definition that's in the  
21 rule later on.

22 What is performance confirmation? Broad-  
23 based technical program of tests, experiments and  
24 analyses to be conducted by DOE to confirm repository  
25 design and performance over the time frame from site

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1        characterization through permanent closure. And that  
2        time frame is specified in Subpart F, Section 63.131  
3        Paragraph B.

4                What is the NRC's role? Well, first, we  
5        require that DOE do a performance confirmation by rule  
6        and that is integrated into our concept of a multi-  
7        step licensing process. The NRC will review the  
8        program and oversee its implementation by DOE  
9        ultimately to verify the subsurface conditions and the  
10       long-term repository performance.

11               Our main objective is to ensure safety is  
12       maintained by the Department of Energy, and it's part  
13       of a process to build public confidence. And what do  
14       we need to do that? Well, an important aspect is to  
15       maintain our capability throughout the long-term  
16       process. We want to identify what the risk  
17       uncertainties are and then to probe certain areas to  
18       identify any inadequacies or gaps in DOE's program.  
19       And, obviously, a lot of our support will be coming  
20       from the Center.

21               How will we do that? Well, there will be  
22       a series -- a number of activities through reviews,  
23       our own evaluations. Inspections are going to be a  
24       part of this program down the road. It was such a  
25       long-term program that we'll actually have to get in

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1 the business in the not too distant future of writing  
2 what the inspection procedures are, what it is we want  
3 to look and get people ready to do that.

4 We will continue, I'm sure, to do our own  
5 performance-related assessment through TSPA. And then  
6 we'll do some independent experimental investigations,  
7 whether confirmatory or exploratory, however one wants  
8 to define that. Part of the process actually is to  
9 identify what the topical areas should be.

10 Now I'll kind of walk through the rule.  
11 I've pretty much pulled out every area in Part 63  
12 where performance confirmation is mentioned or even  
13 conceptually discussed without actually using the  
14 words in one case. The definition is straight from  
15 the rule. It's the program of tests, experiments and  
16 analyses as conducted to evaluate the adequacy of the  
17 information used to demonstrate compliance with the  
18 performance objective that's in Subpart E.

19 I think this definition fits Tim's concept  
20 that he discussed earlier, what is performance  
21 assessment, where we had a very broad review. It's  
22 just not a series of computer runs, it's all the  
23 underlying information and the works and experiments  
24 and the methodologies that went into gathering the  
25 data that's used to support that. So, basically, when

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1 we talk about evaluating the adequacy of information,  
2 that's very broad. It's flexible, but there's really  
3 no limitations on us.

4 Part 63.21 lays out the required contents  
5 of a license application, and this would be the  
6 application that would come in to support the decision  
7 for construction authorization. And it would be our  
8 task to review that.

9 Now, subsequent to construction  
10 authorization, during construction and prior to  
11 issuing a license to receive and possess, 63.24 makes  
12 clear -- I highlighted in Paragraph B.3 -- that in the  
13 updates of the application, the results of the program  
14 carried out to confirm the adequacy of designs,  
15 conceptual models, parameter values and estimates,  
16 performance of the geological repository should be  
17 incorporated into that. This is a little broader than  
18 just to confirm the adequacy of the information. It  
19 starts bringing in concepts like conceptual models,  
20 trying to establish a philosophy that we're not  
21 necessarily limited to engineering parameters -- this  
22 number is within this range.

23 The following page I've added two sections  
24 which relate in a way to the performance confirmation  
25 program. There seems to be some interest on DOE's

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1 part to do research and development on their own apart  
2 from a performance confirmation and apart from the  
3 oversight of the NRC. Part 63 allows DOE to do that.  
4 They can carry out tests, experiments at the site that  
5 are not discussed in the SAR, but it lays out roughly  
6 eight criteria under which they can do these tests.  
7 And that's all laid out and specified in the rule, the  
8 various items that have to be addressed in order for  
9 them to do so.

10 And, finally, it answers some  
11 recordkeeping requirements. So it's something that  
12 could be inspected against in the future. While we're  
13 not directly overseeing, let's say, some of their work  
14 for whatever reasons they choose to do that, we can  
15 see that as long as inspected in the context of have  
16 these criteria been met, like it doesn't affect the  
17 performance of the repository, et cetera.

18 And 63.74 basically is the paragraphs  
19 which gives the Commission the authority to require  
20 the DOE to carry out tests at the site, as well as  
21 allows the Commission to carry out its own tests at  
22 the site. Now, this Section's broken into two parts.  
23 One part's basically a paragraph that references that  
24 performance confirmation program is one of the tests  
25 under 63.74.

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1           Then there's a few paragraphs that talk  
2 about other tests, which are outside performance  
3 confirmation program and whether DOE -- we would  
4 require DOE to test on these items or the Commission  
5 to do its own. And, basically, it deals with we could  
6 perform tests of radioactive waste, radiation  
7 detection and monitoring instruments and other  
8 equipment and devices used in connection with receipt,  
9 handling or storage of radioactive waste. So this is  
10 an area of tests that really doesn't fall directly  
11 under Subpart F, performance confirmation program, but  
12 there could be other tests that the Commission  
13 requires DOE to do.

14           Now, we haven't thought out in a formal  
15 way what our needs are in that area, but I could  
16 conceive that, at least through some long-term  
17 thinking as to what we're looking at in that area,  
18 under performance confirmation as an addendum to a  
19 plan we're trying to develop, there's some initial  
20 thoughts. At least who should look at this and how  
21 we're going to deal with it. I'm sure DOE will want  
22 some guidance in that area too.

23           And then, finally, for the license  
24 amendment for permanent closure, the update assessment  
25 must include any performance confirmation data

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1 collected in the program acquired by Subpart F and  
2 pertinent to compliance with 63.13, which are the  
3 post-closure performance requirements. And I believe  
4 the last paragraph in 51 probably refers to the  
5 environmental impact statement. They have to be  
6 updated a final time considering any new information  
7 pertinent.

8 And 63.102 is just a general discussion in  
9 the concept section. This is not really a requirement  
10 per se. It's not, "DOE or the Commission shall do  
11 this," it was just more of a philosophical discussion.  
12 Basically, the idea is that it's more of a focus on  
13 post-closure performance.

14 In 63.111, this is really in the subpart  
15 that deals with what we call pre-closure, but  
16 performance confirmation comes into play, that when  
17 you design the GROA you need to consider in advance  
18 that you're going to have to do a performance  
19 confirmation program, and the design must allow you to  
20 implement that plan. Just a look ahead.

21 And, finally, the requirements for the  
22 performance confirmation program are outlined in  
23 Subpart F. Basically, four different sections:  
24 general requirements, more specific requirements on  
25 confirmation or geotechnical and design parameters,

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1 design testing and monitoring and testing the waste  
2 packages. I will only just discuss the general  
3 requirements, again, try and look at it from the broad  
4 perspective, what we're after.

5 Two paragraphs: Must provide data that  
6 indicate, where practicable, the conditions  
7 encountered and changes are within the limits assumed.  
8 And the natural and engineered systems and components  
9 required for repository operation and that are  
10 designed are assumed to operate as barriers after  
11 permanent closure are functioning as intended and  
12 anticipated.

13 Our thinking there was, again, to key it  
14 in the post-closure performance and what's important,  
15 and certainly what DOE defines in its safety case is  
16 the barriers that are important to meeting the  
17 standard. I mean that's where the focus should be,  
18 presumably.

19 Planned activities, generally, this coming  
20 fiscal year. We've been tasked with putting together  
21 a performance confirmation action plan. The idea was  
22 just a general -- try and take some long-term vision,  
23 look down the road what it is we need to do. And I'm  
24 working with English Pearsy at the Center to come up  
25 with this plan, and, generally, we've been going back

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1 and forth on what the scope and objectives should be.  
2 And things can grow very rapidly without much  
3 difficulty.

4 A good part of this will be the technical  
5 in that we want to get to a point, much as what the  
6 ACNW's doing now. What are the topical areas, the  
7 technical areas that should be our focus or DOE's  
8 focus, and we haven't gotten to the point to polling  
9 the technical staff to start working through that,  
10 iterating through that input yet. But whatever  
11 results this Committee comes up with could be a factor  
12 that we would incorporate into that plan.

13 We also need to familiarize staff with  
14 performance confirmation. Generally, it's not  
15 something that the staff has dealt with on any routine  
16 basis, to date. And we also need to interact with  
17 DOE. Now, the review plan for Yucca Mountain should  
18 be coming up, let's say, second quarter, and I would  
19 think that after that would be an opportune time to  
20 get with DOE. At least we would have the benefit of  
21 comments on the review plan. Now I know in advance  
22 there's a ways to go. As we go further and further  
23 into more detail to evaluation of what we want, the  
24 review plan itself would likely become more detailed.

25 And it's my understanding that DOE is

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1 currently working on a revision of the draft  
2 performance confirmation plan, and I think the  
3 tentative date I heard was August of 2002, but that's  
4 certainly subject to change, I'm sure.

5 CHAIRMAN HORNBERGER: Just a quick comment  
6 in passing, Jeff. Given the common understanding of  
7 the initials PC, you might to avoid using them in your  
8 slides.

9 (Laughter.)

10 MR. POHLE: Oh, okay. Right. Point  
11 taken.

12 MEMBER HINZE: I thought it meant post-  
13 closure.

14 MR. POHLE: And just some points on the  
15 plan. Use the insights from our own calculations,  
16 DOE's calculations, the various levels, the models,  
17 the laboratory studies, the experiments everyone's  
18 done, field investigations to try and identify and  
19 prioritize an initial set of elements for NRC  
20 oversight. Regardless, in a sense, I think the first  
21 task would be to identify what should be done and then  
22 worry about who. Clearly, we'll be focused on the  
23 importance of safety, waste isolation, risk,  
24 uncertainty.

25 And here comes the part where I'm still

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1 struggling with. I'm sure Management will be  
2 interested in, well, what are the administrative  
3 outcomes of the technical work? How do we want to  
4 manage this program in the future? I'm sure that's  
5 something we'll have to deal with.

6 And, certainly, in that sense, some of the  
7 things we'll probably be discussing and working on  
8 will be beyond the technical, like that example on  
9 tests. Well, someone needs to look at it, and it will  
10 probably end up being under this umbrella, at least  
11 internally.

12 And a plan is a plan. It could be -- you  
13 know, things change, just like you would expect DOE's  
14 program has and would change in the future as new  
15 information becomes available. You'd be revising what  
16 it is you want to focus on through time as new  
17 information is available. And that's it.

18 CHAIRMAN HORNBERGER: Thanks very much.  
19 Questions? Milt?

20 MEMBER LEVENSON: Yes. I've got one,  
21 Jeff, on your Slide 16, which is 63.111. What's the  
22 time frame or the definition of "through permanent  
23 closure?" Does this imply that you're going to be  
24 doing testing, measurements, et cetera, after  
25 permanent closure? I'm just wondering what the words

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1 "through permanent closure" means?

2 MR. POHLE: No, no, 63.111 was always  
3 intended that these requirements are permanently Part  
4 20, the operational requirements, that end at closure.

5 MEMBER LEVENSON: So it doesn't go beyond  
6 when it's permanently closed.

7 MR. POHLE: Correct.

8 CHAIRMAN HORNBERGER: Ray?

9 VICE CHAIRMAN WYMER: Let's see if I  
10 understand what you just said. There will not be  
11 performance confirmation after permanent closure?

12 MR. POHLE: Well, let's say the regulation  
13 requires a performance confirmation program that  
14 begins in site characterization and continues to  
15 permanent closure. Beyond that I can't say right now.

16 VICE CHAIRMAN WYMER: Seems to me one of  
17 the most significant parts of the performance of the  
18 site is whether or not it holds the stuff in there for  
19 a few thousand years.

20 MR. POHLE: Yes. There is a -- well,  
21 that's probably another item to be added to my scope.  
22 In the section of the rule that deals with the license  
23 amendment for permanent closure, the DOE will be  
24 required to come in with plans for post-closure  
25 monitoring of the geologic repository. Now, I'm sure

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1 nothing exists right now that goes beyond that as to  
2 what our needs are. So that's another item we'll  
3 probably have to take a look at.

4 VICE CHAIRMAN WYMER: That's the most  
5 significant part of the performance is whether or not  
6 it holds the radioactivity.

7 MR. POHLE: True.

8 CHAIRMAN HORNBERGER: But the concept of  
9 permanent disposal does not include permanent  
10 monitoring.

11 VICE CHAIRMAN WYMER: No, but there are  
12 other cases in the DOE --

13 MEMBER GARRICK: Are you thinking of  
14 stewardship?

15 VICE CHAIRMAN WYMER: Well, approach that  
16 go well beyond that. Let's hear what Tim has to say.

17 MR. MCCARTIN: Yes. Tim McCartin. Well,  
18 I mean there's two separate purposes here, though. I  
19 mean the performance confirmation program is getting  
20 information up to the time of closure --

21 VICE CHAIRMAN WYMER: By definition.

22 MR. MCCARTIN: Yes -- to get confidence in  
23 the decision that the Commission has made. That's  
24 over and done. Now, there is a post-closure program  
25 for monitoring of the repository that goes on.

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1 VICE CHAIRMAN WYMER: That sort of goes  
2 with performance.

3 MR. MCCARTIN: You've made the decision to  
4 close, so you're clearly -- you can't use future  
5 information to help you with the decision. It's a  
6 separate decision point. So performance confirmation  
7 is at the time of permanent closure to assist that  
8 final decision.

9 MR. POHLE: Originally, a performance  
10 confirmation was always just inextricably combined  
11 with the decision to retrieve.

12 VICE CHAIRMAN WYMER: Okay.

13 MR. POHLE: And once you reach that  
14 decision point, "We will not need to retrieve, we will  
15 close the facility," then you've kind of went beyond  
16 that administrative concept.

17 VICE CHAIRMAN WYMER: I'd stick a couple  
18 more adjectives in front of performance confirmation,  
19 like -- or behind performance confirmation, "until  
20 closure" or something like that.

21 MR. MCCARTIN: But your concern that would  
22 there be monitoring after closure, the answer is yes,  
23 the regulations do require a program for post-  
24 permanent closure monitoring, but it's not to assist  
25 the decision to close, because you've already made it.

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1 MR. POHLE: And Part 63 does not really  
2 prescribe what that monitoring is to be.

3 MEMBER LEVENSON: In other words, the  
4 title to this really is performance confirmation to  
5 authorize closure.

6 VICE CHAIRMAN WYMER: Yes.

7 MEMBER GARRICK: Or performance  
8 confirmation during operations.

9 VICE CHAIRMAN WYMER: Yes.

10 MEMBER GARRICK: Is this confirmation plan  
11 modeled after any other activity that NRC has ever  
12 done before?

13 MR. POHLE: The action plan?

14 MEMBER GARRICK: The performance  
15 confirmation plan.

16 MR. POHLE: Not to my knowledge. I've  
17 never seen an example that I could use to work with or  
18 anything like that. I think it's pretty unique.  
19 Certainly, at this time scale is involved.

20 MEMBER GARRICK: Does it kind of  
21 substitute for the oversight function that's performed  
22 on nuclear reactors, inspection kind of activity?

23 MR. POHLE: Well, I guess I'm not really  
24 familiar with the reactor side of the business, so I  
25 probably couldn't deal with that metaphor.

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1                   MEMBER GARRICK:    There seems to be a  
2                   number of different ways to do it.  EPA, for example,  
3                   on WIPP recertifies WIPP every five years.  That would  
4                   be another way to do this.  I was just looking for  
5                   what was the origin of this particular process and  
6                   whether or not it was inspired by previous activities  
7                   of the Agency or what have you.

8                   MR. POHLE:  This is reflecting from memory  
9                   of the ancient documents from the early '80s.  I think  
10                  it was recognized that this was unique in terms of  
11                  other licensed activities we've done at the time  
12                  scales involved.  And the whole difficulty in dealing  
13                  with what constitutes proof and how you develop  
14                  confidence in your decisions and reasonable assurance  
15                  that this was developed to be part of the process  
16                  which would allow decisions to be made as you went  
17                  through time and allowed you, ultimately, a way to  
18                  back off from the final decision, I mean  
19                  retrievability.  So you can't remove the requirement  
20                  for doing this type of program from the decision on  
21                  whether do you need to retrieve the waste or not.

22                  MEMBER GARRICK:  Okay.

23                  CHAIRMAN HORNBERGER:  So, Jeff, is where  
24                  you are now -- I'm trying to figure out where you are  
25                  now and where you're going.  Did I understand your

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1 last slide correctly that you're headed toward an  
2 action plan, but you're not there yet?

3 MR. POHLE: Correct. Yes. That will be  
4 in the operations plan for the Center. That's  
5 deliverable for, I think, September -- for the end of  
6 this fiscal year.

7 MEMBER LEVENSON: Is this the only  
8 proposed inspection and surveillance program of the  
9 operations or will there be another one?

10 MR. POHLE: No, no, no.

11 MR. REAMER: Bill Reamer, staff. There  
12 will be other aspects, components of the inspection  
13 program to assure compliance with the Commission's  
14 regulations. This is one element of the Commission's  
15 regulations, the performance confirmation plan, that  
16 will be part of the inspection program.

17 MEMBER LEVENSON: Will this be potentially  
18 duplicating other things or will these be coordinated  
19 programs?

20 MR. REAMER: I can assure you it will be  
21 coordinated.

22 CHAIRMAN HORNBERGER: I would not have  
23 expected a lawyer to say anything else.

24 (Laughter.)

25 MEMBER LEVENSON: But he used the word

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1 "assurance" and not "insurance."

2 (Laughter.)

3 CHAIRMAN HORNBERGER: Other questions?  
4 Comments?

5 MR. LARKINS: Yes, a quick question.

6 CHAIRMAN HORNBERGER: John.

7 MR. LARKINS: On the last page, you say  
8 you're going to use TPA calculations to identify an  
9 initial set of elements for the NRC's performance  
10 confirmation oversight. Now, DOE's already put  
11 together a preliminary plan, and they've listed a  
12 number of experiments and instrumentation for  
13 measurements and things like that. How do you get  
14 agreement on what's reasonable, what's acceptable?  
15 Are you going to have to set up some kind of  
16 acceptance criteria? What are your metrics to --

17 CHAIRMAN HORNBERGER: Jeff?

18 MR. POHLE: You're looking this way.  
19 Well, that is going to be a mix. I mean there are  
20 certain things you can put in a review plan early,  
21 starting from the regulation itself -- you know, it  
22 was A, B, C provided. In terms of has DOE specified  
23 what it is they need to do and why, I mean, clearly,  
24 with the Center's help, we'll have our own opinions on  
25 that. Right. Now, ultimately, you would take it down

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1 to reviewing the methodology used in the experiments  
2 when you're at that point. Clearly, we're at the  
3 point where we need to be interacting and reaching  
4 agreements with DOE at the highest level and work our  
5 way down.

6 When we -- I had Jim Manners, and I asked  
7 DOE to find a date are they revising the draft plan  
8 they have and do that, and would it mirror our  
9 detailed comments today? And the opinion they gave  
10 back is, well, at a higher level, the process is  
11 something would be their priority to deal with, but  
12 for us to, let's say, comment on the detailed  
13 experiments themselves and the draft plan is probably  
14 not worth our time now, given that that's in revision.  
15 So that's kind of -- I can see this as something we  
16 would deal with -- begin to deal with it in a pre-  
17 licensing time frame as quickly as we can, maybe down  
18 to a very detailed level.

19 MR. LARKINS: So in a sense, you're going  
20 to negotiate interactives to what really should be  
21 included in DOE's performance confirmation program.

22 MR. POHLE: Right. And, of course, we'll  
23 be dependent to a large degree on DOE's safety case,  
24 you know, what are the barriers and how they choose to  
25 define the system that functions to isolate the waste.

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1 MR. MCCARTIN: Jeff, if I could just add  
2 one point.

3 MR. POHLE: Sure.

4 MR. MCCARTIN: As with all of 63, we have  
5 tried to provide DOE a lot of flexibility.  
6 Performance confirmation program would have the same  
7 flexibility, and as Jeff's indicated, it really is the  
8 risk significance of the barriers that would drive our  
9 review of the DOE plan. Barriers that are very  
10 important, we obviously look at with more rigor in  
11 terms of the performance confirmation plan. Things of  
12 lesser importance -- and so it would, as with the rule  
13 itself, somewhat risk informed. But the flexibility  
14 is with DOE, both in identifying the barriers they're  
15 relying on and then just determining what the right  
16 appropriate level of confirmation is.

17 MR. LARKINS: Yes. It just seems like at  
18 some point you're going to have to develop some set of  
19 acceptance criteria. These things -- this is an  
20 acceptable set of things that you need to measure and  
21 if things come out in this range, that's acceptable.  
22 But you're probably at an early stage.

23 MR. POHLE: Well, I don't -- when the  
24 review plan comes out there are criteria in there that  
25 lead one down the path in making decisions to focusing

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1 on what's important. Now, I don't necessarily see the  
2 day where I would describe a delta of such and such  
3 for parameter X in the review plan necessarily. That  
4 might be a step too far. That doesn't mean one  
5 doesn't discuss it with the Department of Energy and  
6 reach an agreement on things, any differences. That's  
7 another -- at that level of detail.

8 An interesting comment was made at EPRI's  
9 workshop -- I think it was Budhi made it -- just  
10 philosophically, while we're focusing on risk  
11 significant issues. And he brought up climate as an  
12 example. It may be a no never mind now, but should  
13 the DOE program at least be keeping an eye on the  
14 literature over the next long period of time should  
15 something change that would change one's conclusions  
16 about a given THEP, which is today unimportant and can  
17 be excluded.

18 We've so focused on the risk significant  
19 things that how does items like that fall into the  
20 picture? What weight do they have as something to do?  
21 What level of commitment do you expect DOE to make on  
22 items like that? Something to think about.

23 CHAIRMAN HORNBERGER: Other questions?  
24 Comments? Okay.

25 Thanks very much, Jeff.

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1 I think we've reached the end of the part  
2 of the meeting that needs to be recorded, so we won't  
3 need the recorder any further.

4 (Whereupon, at 5:25 p.m., the ACNW  
5 Workshop was concluded.)  
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