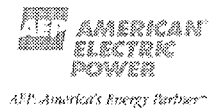
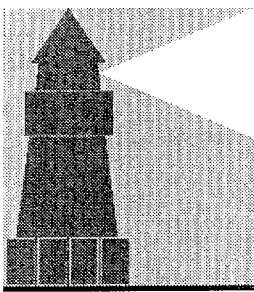




**Unit 2 CRDM Vessel Head Penetration  
Justification for Safe Operation  
November 20, 2001**



ENCLOSURE 2

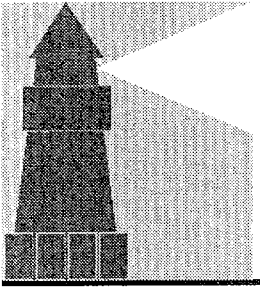


# ***American Electric Power Meeting with Nuclear Regulatory Commission***

**Donald C. Cook Nuclear Plant  
Unit 2 CRDM Vessel Head Penetration  
Justification for Safe Operation Until  
January 19, 2002**

Slide 2

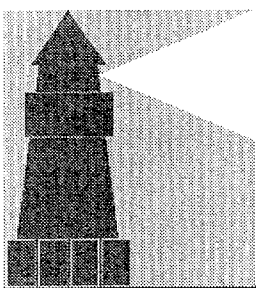




# ***Agenda***

---

- |  |                |
|--|----------------|
| ■ Introduction, Background, and Overview | Scot Greenlee  |
| ■ Deterministic Model                    | Warren Bamford |
| ■ Probabilistic Risk Assessment          | James Hawley   |
| ■ Inspection Plans                       | Daniel Garner  |
| ■ Closing Summary                        | Scot Greenlee  |



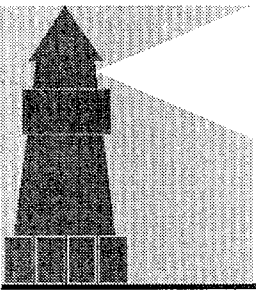
# ***Introduction, Background, and Overview***

**Scot Greenlee**  
**Director**

**Nuclear Technical Services Organization**  
**American Electric Power**

Slide 4





# ***Background***

---

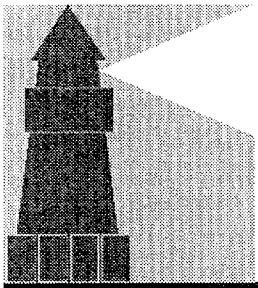
## ■ **October 1994 - Inspected 71 of 78 Unit 2 Penetrations**

- Proactive response to industry issue
- Used eddy current examination on ID
- Three axial indications found on one penetration
- NRC approved operation with indications until next outage

## ■ **April 1996 - Inspected Most Susceptible Penetrations (5)**

- No significant growth in previous indications
- Repaired previous indications
- No new indications

## ■ **September 1997 to June 2000 - Extended Shutdown**



# ***Background***

---

## ■ **May 2001**

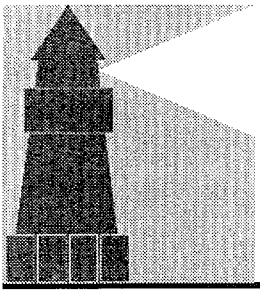
- Planning begins for Unit 2 visual and NDE inspections for November 2001 outage - includes purchase of robotic repair arm

## ■ **August 3, 2001 - NRC Issues Bulletin 2001-01**

- Cook Unit 2 in Moderate Susceptibility Range
- Previous indications require additional justification for operation past December 31, 2001

## ■ **August / September 2001**

- Forced outage - Unit 2 in cold shutdown >30 days
- Refueling outage moved to January 19, 2002
- Started head purchase activities



# ***Presentation Overview***

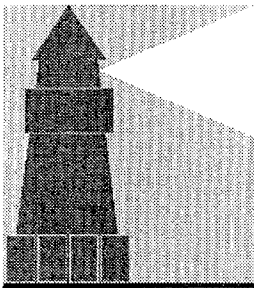
---

## ■ **Cold Shutdown Period Following Bulletin Issue**

- No crack growth in cold shutdown
- Operation to January 19, 2002, with cold shutdown period, provides less crack growth than operation to December 31, 2001, without cold shutdown

## ■ **Extensive Plant Specific Analyses Completed**

- Deterministic model conservatively shows more than 40 years to critical circumferential crack
- Probabilistic analysis shows more risk in early shutdown than operation past December 31, 2001



# ***Deterministic Model of Penetration Integrity***

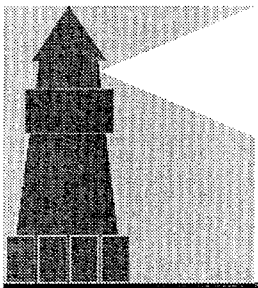
**Warren Bamford**



Slide 8



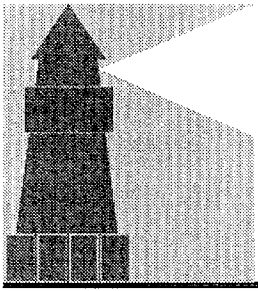




# ***Presentation Outline***

---

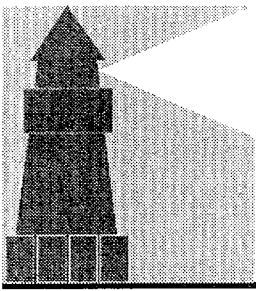
- **Background**
- **Stress Analysis**
- **Crack Growth Model**
- **Comparison to Babcock & Wilcox Plants**
- **Circumferential Crack Growth Calculations**
- **Results: Safety Case**



# ***Background***

---

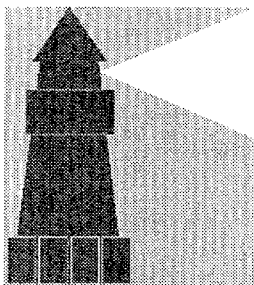
- **Circumferential Cracking Has Been Found in Three Babcock and Wilcox Plants**
- **Cook Unit 2 Manufactured With Tubes From Huntington Alloys Stock**



# ***Stress Analysis Overview***

---

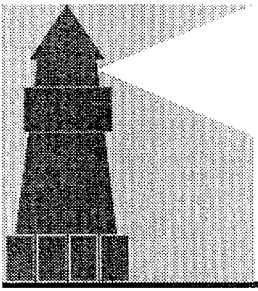
- **Elastic Plastic (E-P) Analysis Approach**
- **Model for E-P Finite Element Analysis**
- **Loadings**
- **Stress Strain Curve**
- **Results: Axial Stress Dominant**
- **Independent Confirmations**
  - Framatome
  - EdF
  - CE
  - B&W



# ***Elastic Plastic Analysis Approach***

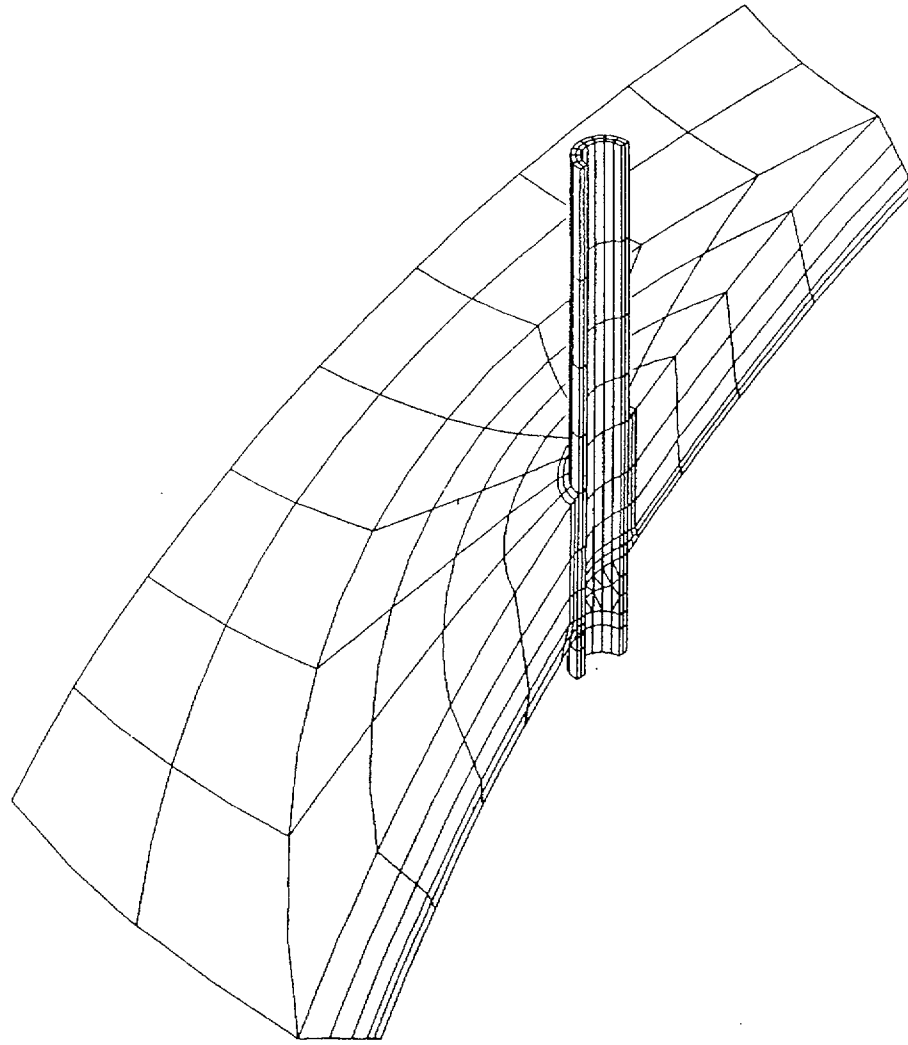
---

- **3-D Isoparametric Bricks and Wedges**
- **Model**
  - Wedge of the head
  - Half a penetration
  - Takes advantage of symmetry
- **Materials**
  - Tube and weld: Alloy 600
  - Vessel: carbon steel
- **Welding Process Model Produced Ovality - Closely Matched Field Profiles**

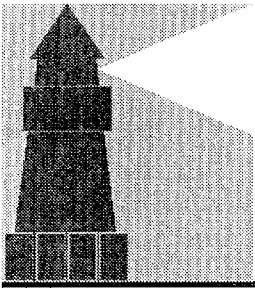


# ***Three-Dimensional Model of the Outermost Penetration***

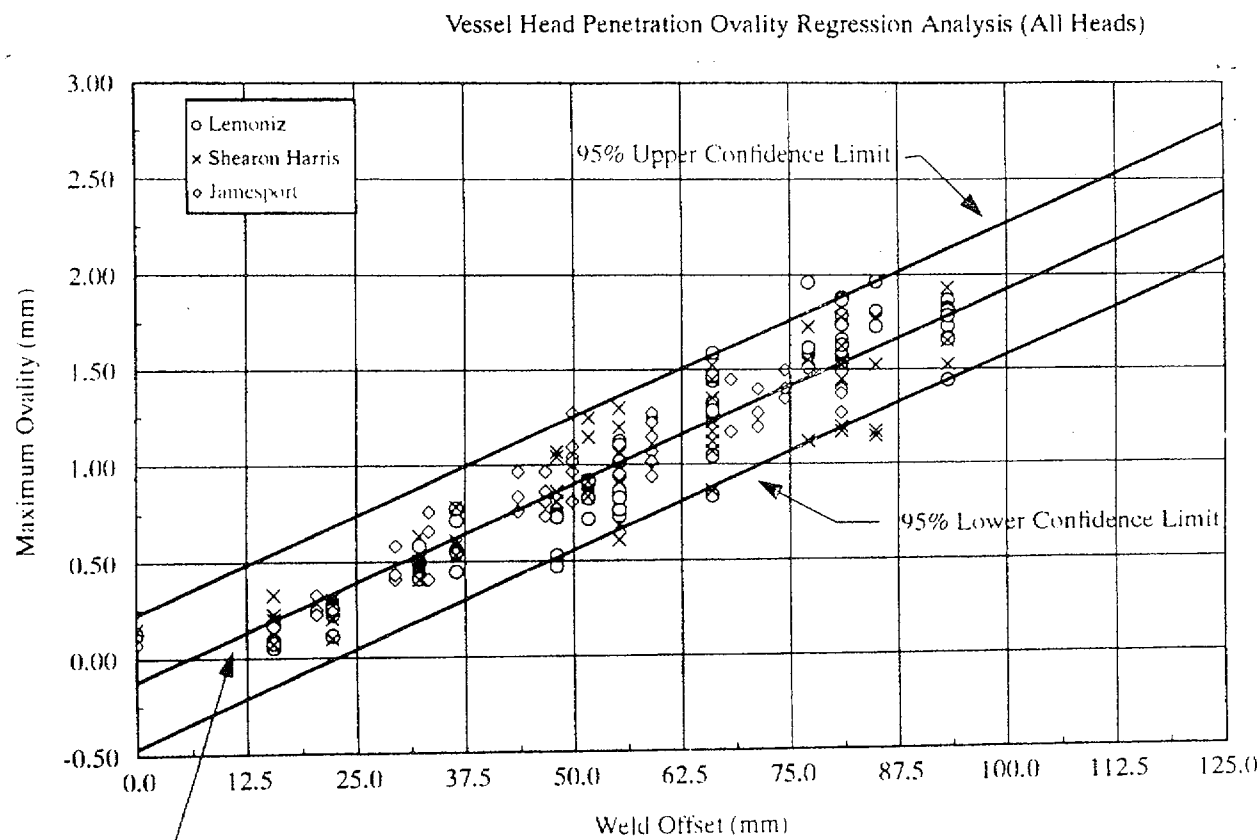
---



Slide 13

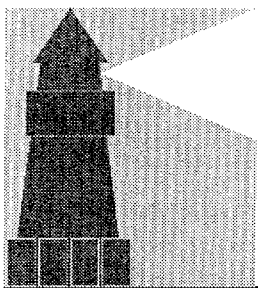


# Vessel Head Penetration Ovality Regression Analysis (All Heads)



Linear Regression

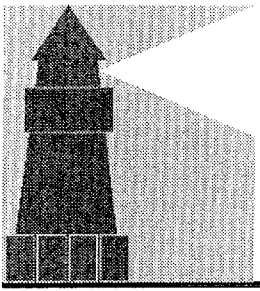
$$f(x)=2.0426E-2 \cdot x+ -1.1922E-1$$
$$R^2=8.7738E-1$$



# ***Stress Analysis Results***

---

- **Highest Stresses at Outermost Penetrations**
- **During Operation**
  - Vessel displaces radially
  - Tubes displace toward position perpendicular to head
- **Gap Tends to Open Between Head and Tube**
- **Hoop Stress Exceeds Axial Stress Significantly on All Hill Side Locations**

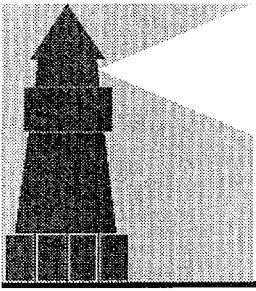


# ***Stress Analysis Results***

---

- **Highest Stresses in Outermost Penetrations Are Hoop**
  - On the tube ID
  - Below and near the weld
  - Corresponds to crack locations in all but the B&W Tubular Products (BWTP) penetrations
  
- **Highest Axial Stresses Just Above and Along Plane of Weld**
  
- **Stress Analysis Consistent With No Circumferential Cracking Found in Huntington Materials**

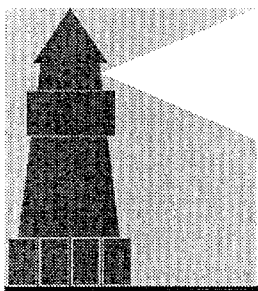




# ***Loadings Evaluated***

---

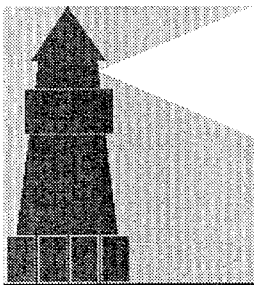
- **Steady State - *Governing Loading***
- **Hydrotest**
- **Operating transients**
- **Boltup**
- **Seismic**
- **Welding**
- **Interference**



# ***Weld Model Load Sequence***

---

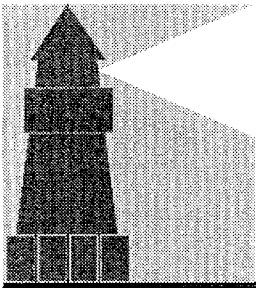
- **First Weld Pass**
- **Second Weld Pass**
- **Cold Hydrotest (Shop @ 3107 psi)**
- **Cold Hydrotest (Field @ 3107 psi)**
- **First Cycle of Steady State Loading / Unloading**
- **Second Cycle of Steady State Loading**



# ***Stress Strain Curves***

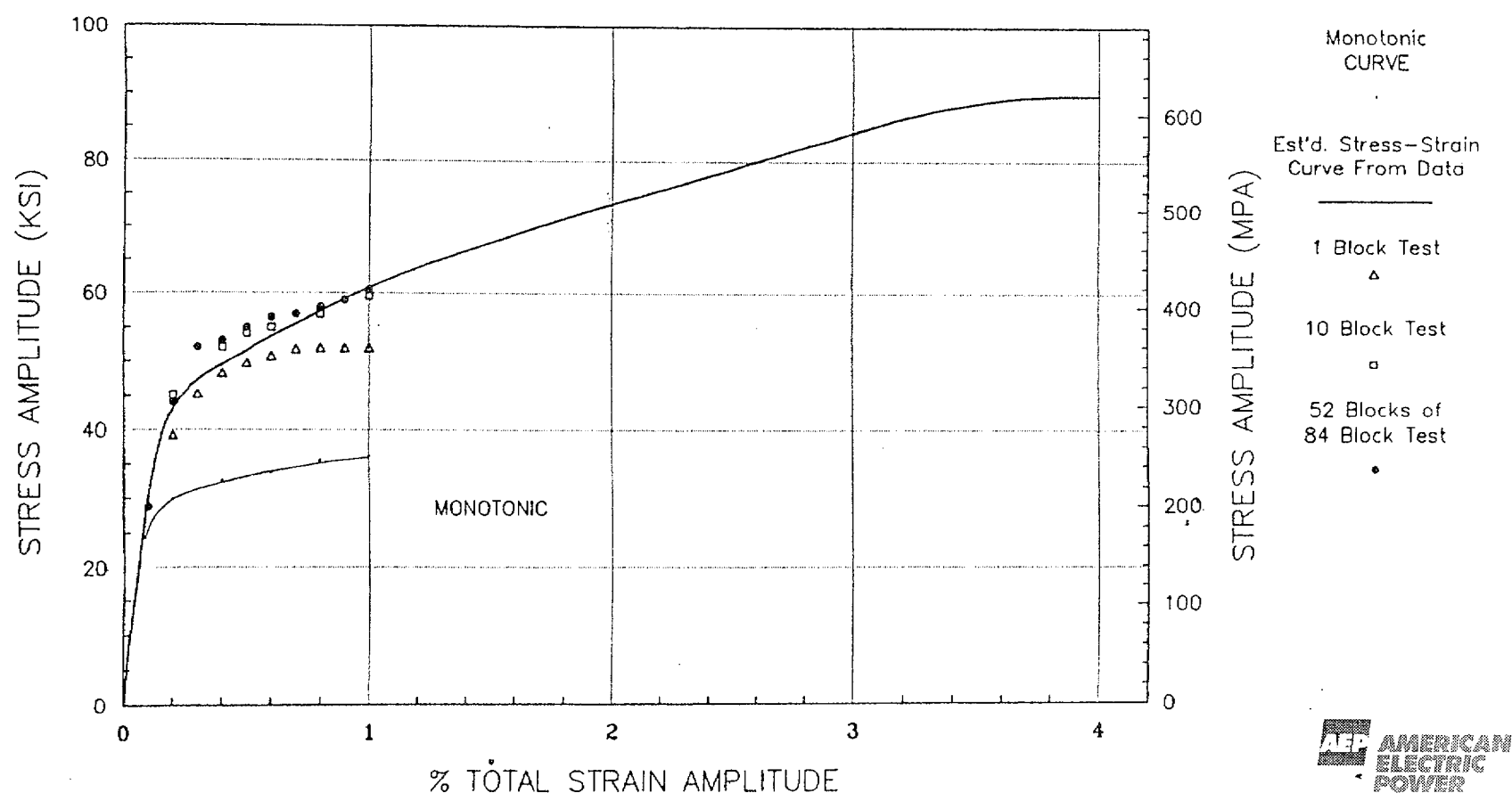
---

- **Based on Testing Material From Outermost Penetration of Non - Irradiated Plant**
- **Monotonic and Cyclic Curves Obtained**
- **Cyclic Stress - Strain Curve Used**
  - Multiple loading cycles during fabrication / service
  - Different yield strength materials converge to a single cyclic curve
- **Used Cyclic Curve for One Half the Life of Penetration**



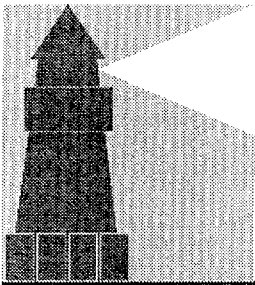
# Cyclic Stress - Strain Curve and Test Data

ALLOY 600 HEAD PENETRATION MATERIAL  
@ 600 °F(316 °C)  
CYCLIC STRESS-STRAIN CURVE AND TEST DATA



Slide 20

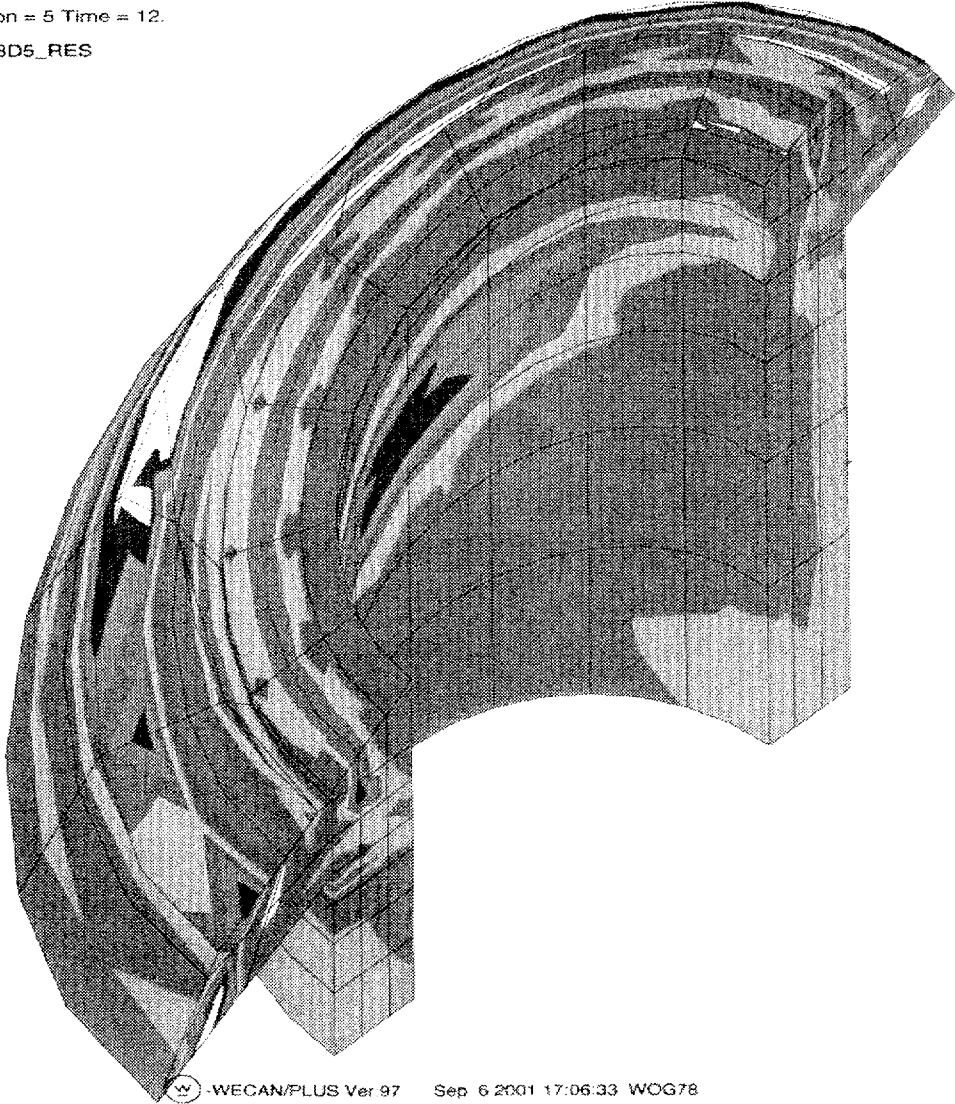
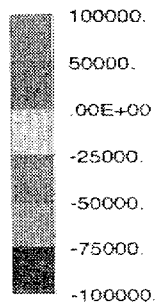




# Axial Stresses in CRDM Above Weld Region

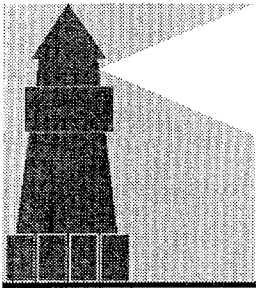
Load step = 12 Iteration = 5 Time = 12.  
Neutral file = NRFW78D5\_RES

Variable = S33  
Max. = 163667.  
Min. = -230727.



Slide 21

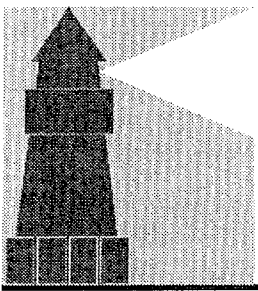




# ***Crack Growth Model Overview***

---

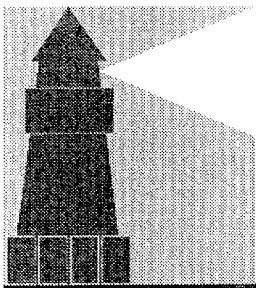
- **Development of Scott Model**
- **Independent Confirmation**
- **Available Test Data**
- **DC Cook ISI Confirmation**
- **MRP Recommendations**
- **Comparison With NRC Model of November Report**
- **Temperature Correction**



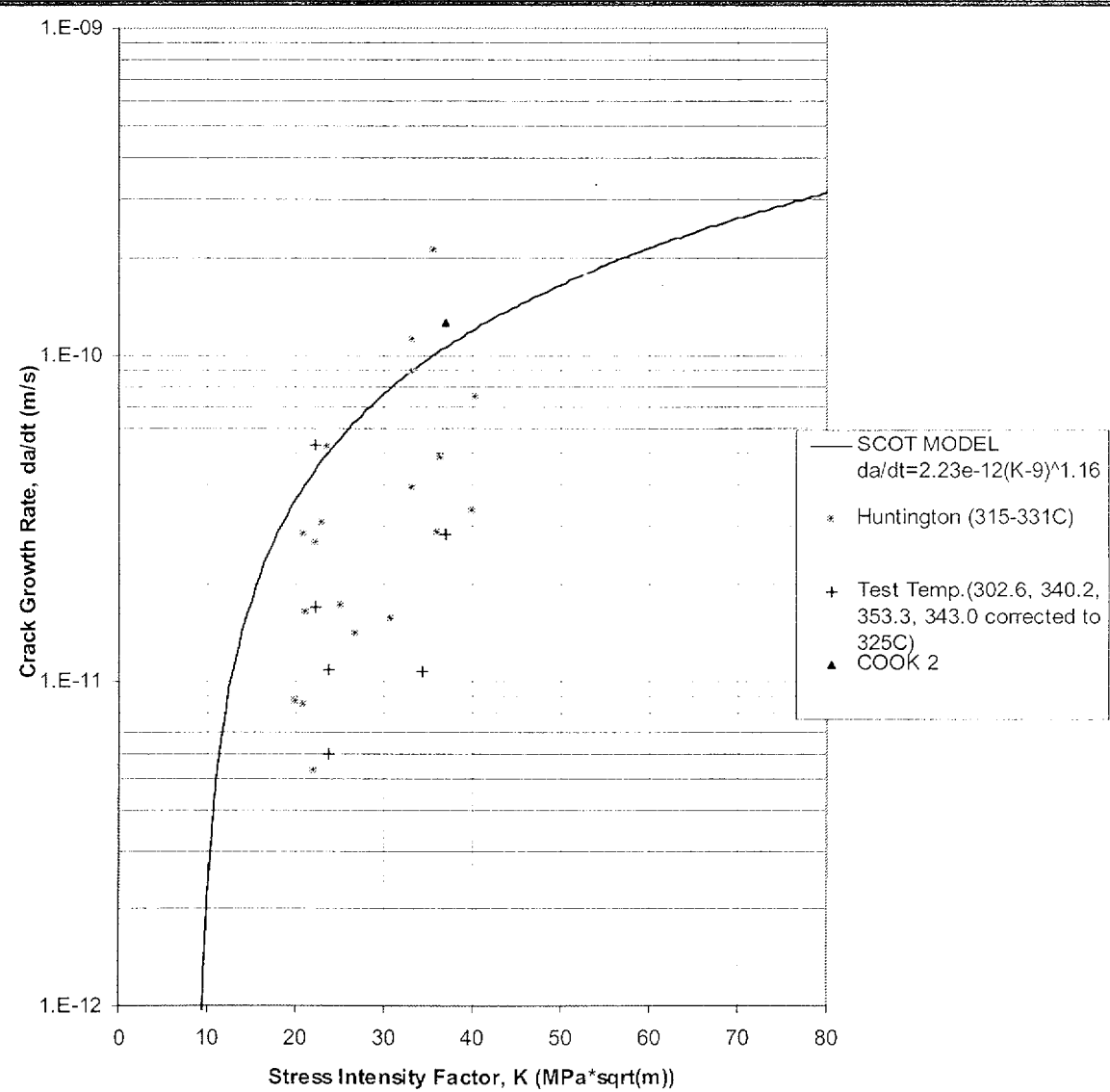
# ***Crack Propagation***

---

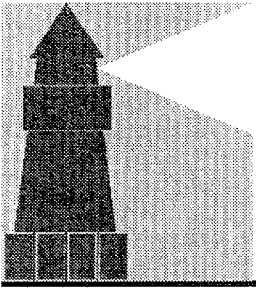
- **Mechanism Is Primary Water SCC**
- **Key Variables**
  - Temperature
  - Applied Stress Intensity Factor
- **Temperature Effect Modeled on Observed Cracking in Alloy 600**
- **Base Crack Growth Rate of Scott Modified to Account for Temperature Effects**
- **Results to Be Discussed**
  - Propagation through wall
  - Propagation along circumference of penetration



# Crack Growth Data 325C, Huntington Heats



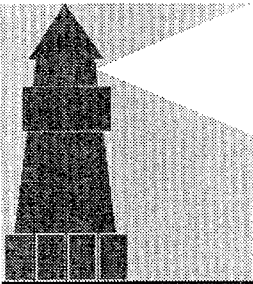




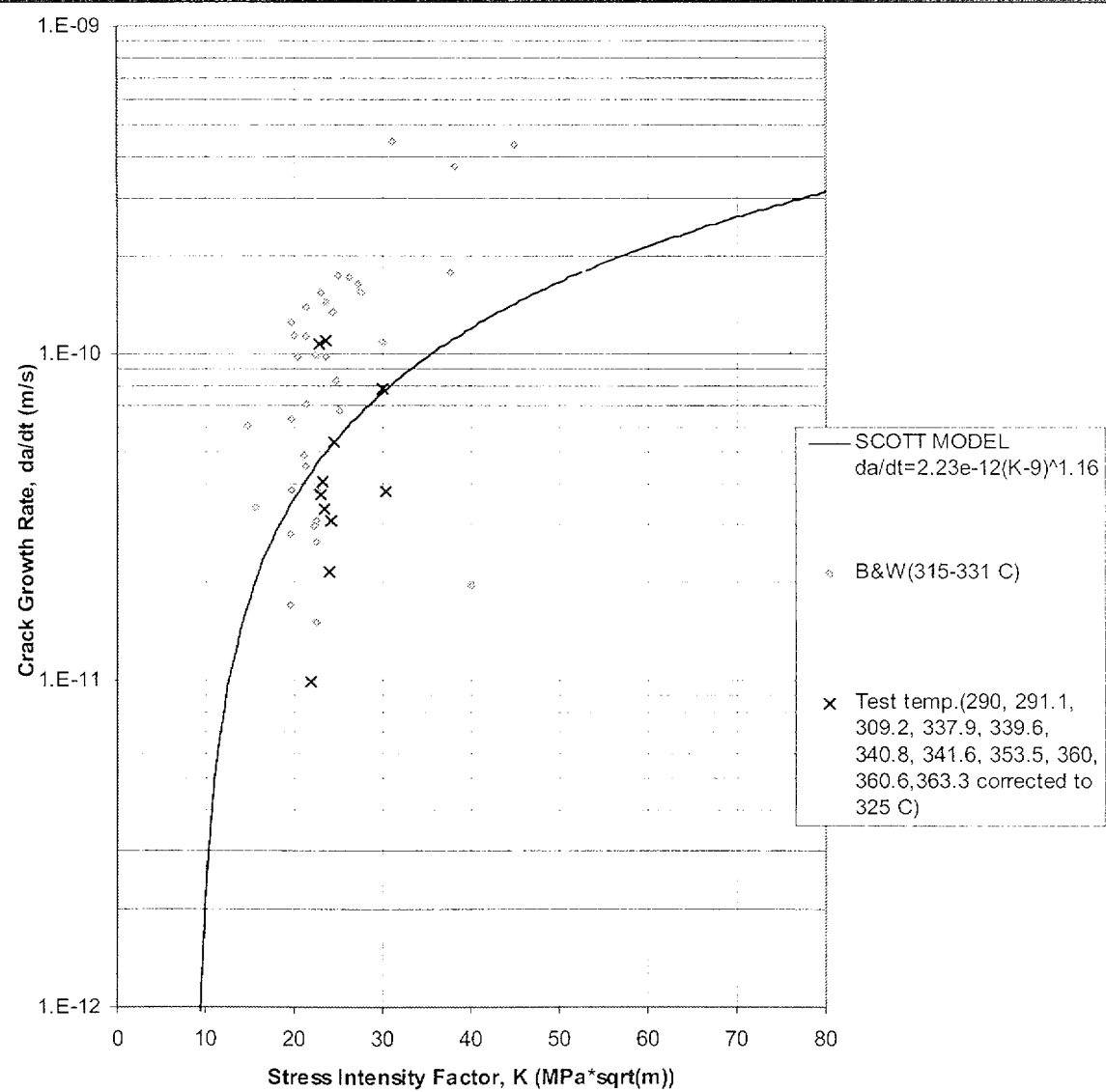
# ***Comparison to Babcock and Wilcox Plants***

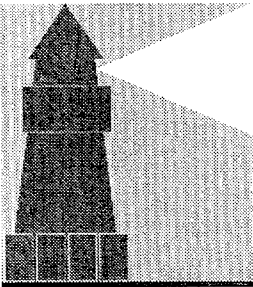
---

- **B&W Plants Use BWTP Tubes**
- **BWTP Tubes Are Different From Huntington Alloy Tubes**
  - Lower grain boundary carbide coverage leads to shorter initiation times for BWTP heats
  - PWSCC crack growth rates are 2-3 times faster for BWTP heats
  - Majority of BWTP tubes were roto-straightened
    - » High hardness on the OD
    - » Higher PWSCC susceptibility on the OD
- **Cook Unit 2 Uses Huntington Alloy Tubes**

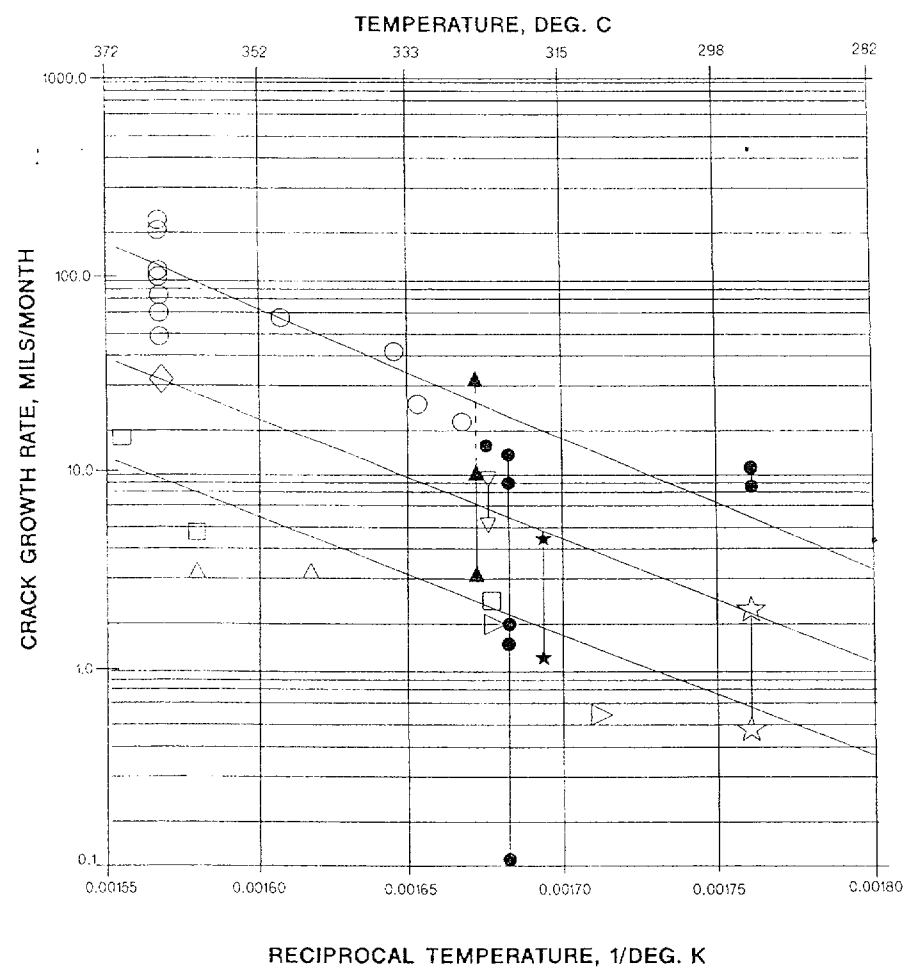


# Crack Growth Data 325C, B&W Tubular Products Heats





# Summary of Crack Growth Temperature Effects



Slide 27

Key:

●

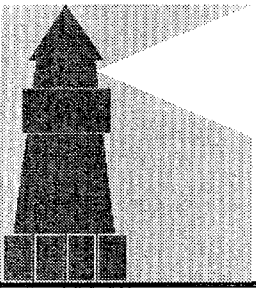
CRDM Field Data

▲

CRDM Lab Tests

All others are S/G Tube Lab & Field Data

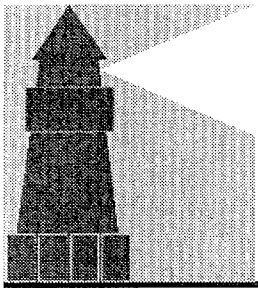




# ***Crack Growth Calculations For Circumferential Flaws***

---

- **Development of a Leak Path**
- **OD Initiation and Growth Toward the ID**
- **Circumferential Growth to Failure**



# ***Development of a Leak Path***

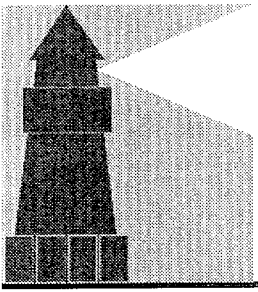
---

## ■ **Three Possible Paths**

- ID above weld
- OD up past weld
- Through the weld

## ■ **ID Above Weld Is Preferred Path For All Except B&W Plants**

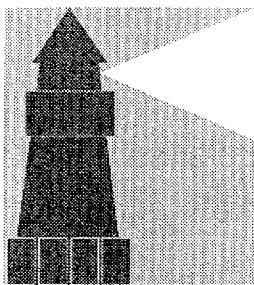
## ■ **Cook Unit 2 ID Verified Flaw Free in 1994, Except One Penetration - Repaired in 1996**



## ***OD Initiation and Growth Toward ID***

---

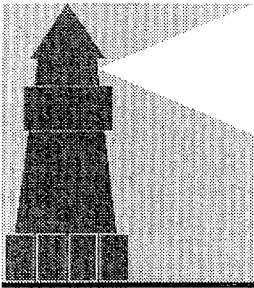
- Assume Leakage Occurs by Any of Three Paths
- Nine Circumferential Locations Studied
- Only Uppermost Hillside Location Had Stresses Leading to Through - Wall Penetration
- Compressive Stresses Lead to Relatively Slow Growth
- Raju and Newman Stress Intensity Factor Used
- Through - Wall Growth Would Take About 24 Years



# ***Circumferential Growth to Failure***

---

- **Propagation Follows the Top of the Weld**
- **Stress Decreases as the Flaw Propagates**
  - Nominal axial residual stresses
  - Displacement - induced stresses
- **Stress Intensity Factor Determined from Finite Element Modeling Accounts for These Factors**
- **Results Obtained for Two Crack Growth Models**
  - Scott Model
  - NRC Model

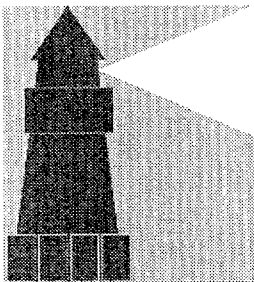


# ***Scott Crack Growth Model***

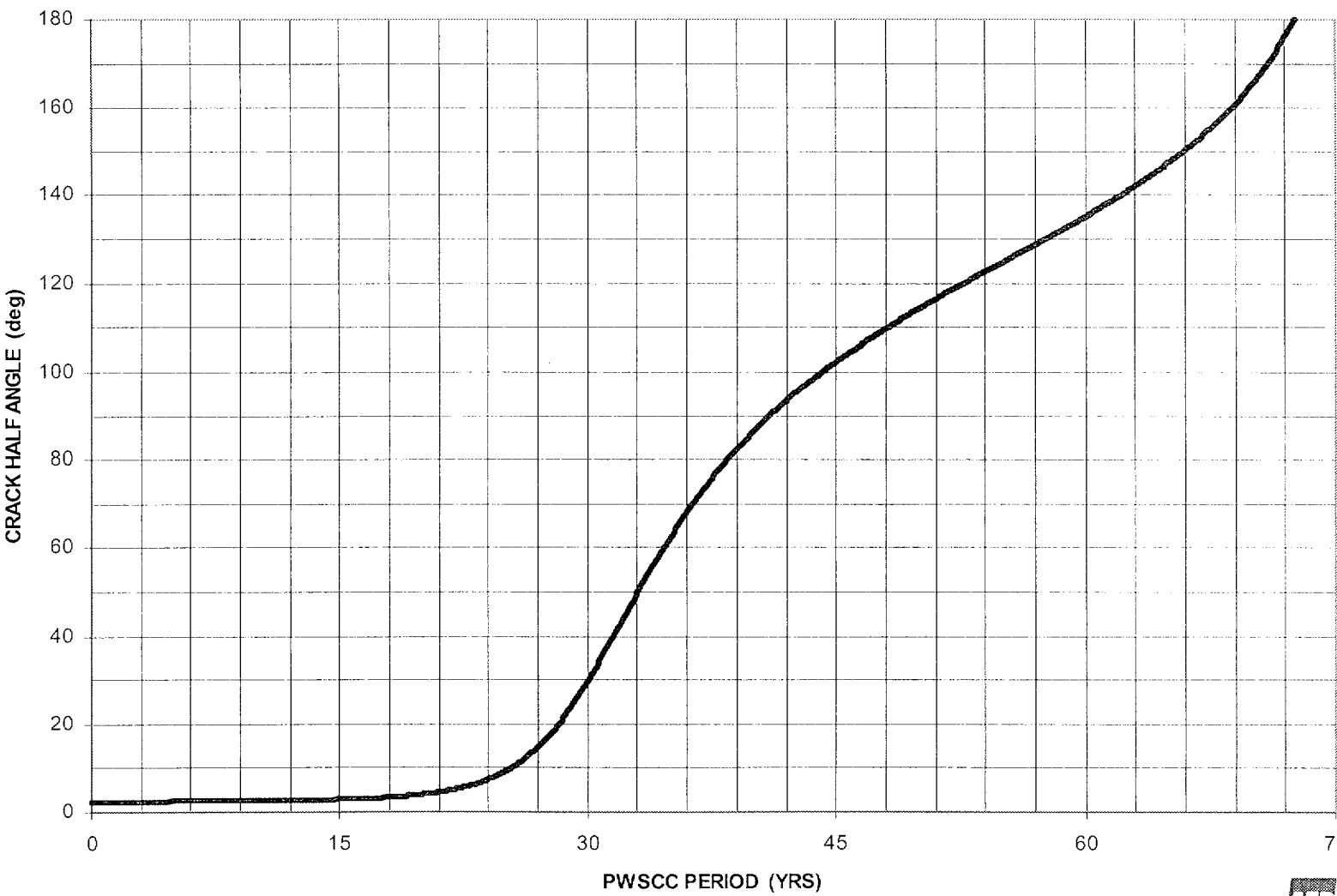
---

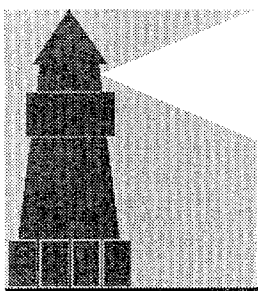
- **Assume Through-wall Circumferential Flaw**
  - Occurred just after the Cook inspection in fall 1994
  - Length of 10 percent of circumference
  
- **Over 40 Years Required to Grow Flaw to Unacceptable Length**
  
- **Cook Unit 2 Will Have Less Than 4 EFPY of Operation From 1994 Inspection to January 19, 2002**
  
- **Any Circumferential Flaw Occurring in 1994 Would Still Be at Acceptable Level**





# Scott Crack Growth Model

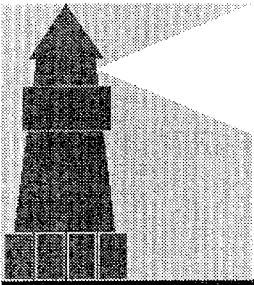




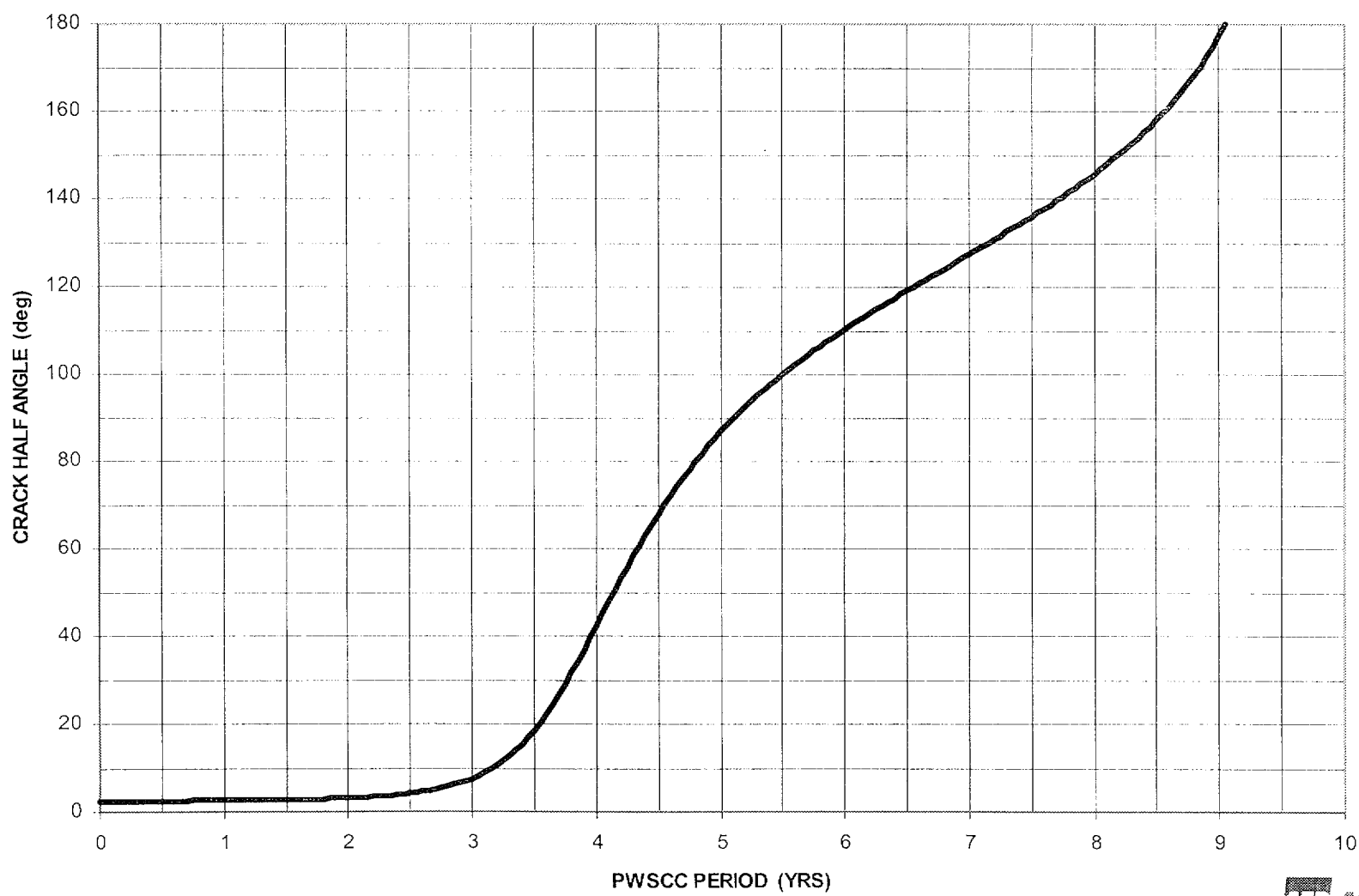
# ***NRC Crack Growth Model***

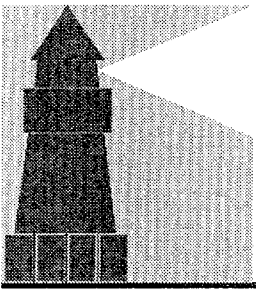
---

- **Assume Through-wall Circumferential Flaw**
  - Occurred just after the Cook inspection in fall 1994
  - Length of 10 percent of circumference
- **Over 5 Years Required to Grow Flaw to Unacceptable Length**
- **Cook Unit 2 Will Have Less Than 4 EFPY of Operation From 1994 Inspection to January 19, 2002**
- **Any Circumferential Flaw Occurring in 1994 Would Still Be at Acceptable Level**



# NRC Crack Growth Model





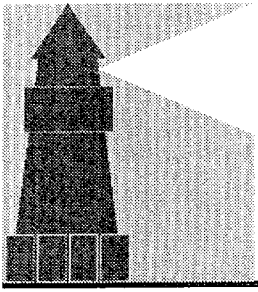
# ***Probabilistic Risk Assessment***

**James Hawley  
Supervisor**

**Probabilistic Risk Assessment  
American Electric Power**

Slide 36

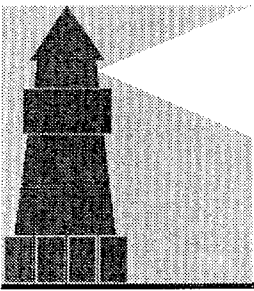




# ***RISK ASSESSMENT***

---

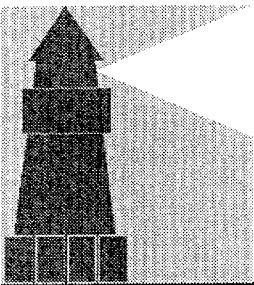
- **NRC Requested Justification for Operation Past December 31, 2001**
- **Risk Assessment Complements Deterministic Assessment**
- **Looks at Operation Past December 31, 2001 From Incremental Perspective (Incremental Core Damage Probability – ICDP)**
- **Comparison Made to Unplanned Outage Risk**



# ***RISK INPUTS***

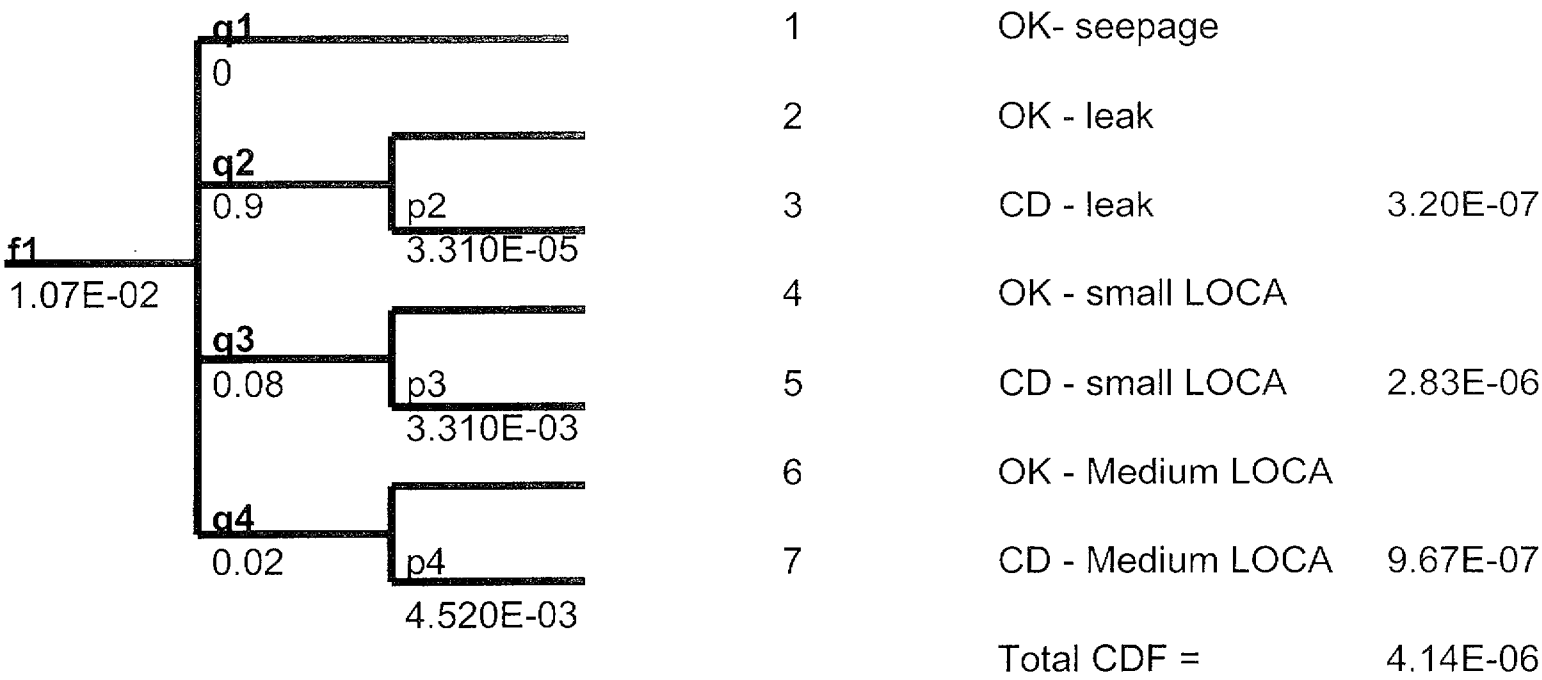
---

- **Leak Frequency: Based on Statistical Analysis of Industry Data**
  
- **Four Types of Leaks / LOCAs: Seepage, Leak, Small LOCA, Medium LOCA**
  - Fractions conservatively chosen as 0, 0.9, 0.08 & 0.02 based on Engineering Judgement
  - Industry data for fractions: 0.965 (28/29), 0.035 (1/29), 0, 0
  
- **Conditional Core Damage Probability (CCDP)**
  - From recently updated / WOG certified PRA model

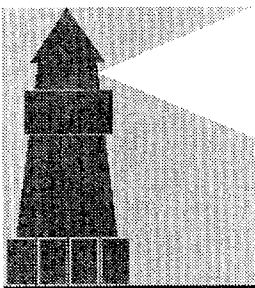


# CDF Risk Assessment Model Event Tree

| Leak Freq. | Type of Leak or LOCA | Conditional core damage Probability | Sequence | End State | Frequency |
|------------|----------------------|-------------------------------------|----------|-----------|-----------|
|------------|----------------------|-------------------------------------|----------|-----------|-----------|



$ICDP \text{ for } 19 \text{ DAYS} = 4.14E-06 \times 19/365 = 2.16E-07$

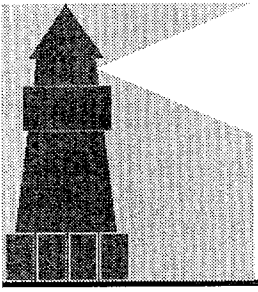


# CDF Risk Assessment Model Event Tree Using Leak Inspection Data Results

| Leak Freq.            | Type of Leak or LOCA | Conditional core damage Probability | Sequence | End State        | Frequency |
|-----------------------|----------------------|-------------------------------------|----------|------------------|-----------|
| <b>f1</b><br>1.07E-02 | <b>q1</b><br>28/29   |                                     | 1        | OK- seepage      |           |
|                       |                      |                                     | 2        | OK - leak        |           |
|                       | <b>q2</b><br>1/29    | <b>p2</b><br>3.310E-05              | 3        | CD - leak        | 1.22E-08  |
|                       |                      |                                     | 4        | OK - small LOCA  |           |
|                       | <b>q3</b><br>0/29    | <b>p3</b><br>3.310E-03              | 5        | CD - small LOCA  | 0.00E+00  |
|                       |                      |                                     | 6        | OK - Medium LOCA |           |
|                       | <b>q4</b><br>0/29    | <b>p4</b><br>4.520E-03              | 7        | CD - Medium LOCA | 0.00E+00  |
| Total CDF =           |                      |                                     |          |                  | 1.22E-08  |

ICDP for 19 DAYS = 1.22E-08 X 19/365 = 6.35E-10

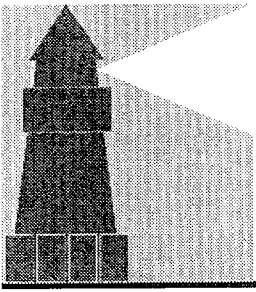




# ***RISK RESULTS***

---

- Risk of Operating 19 Days Past 12/31/01 = 2.16 E-07
  
- Risk of One Unplanned Day in Mode 5 is 6.1E-08
  - Based on Shutdown Safety Monitor Model
  
- Four Extra Days In Mode 5 Causes Increase In Overall Plant Risk

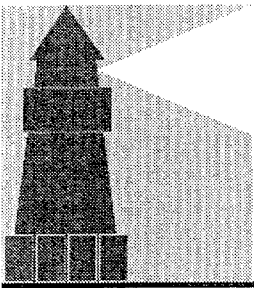


# ***Cook Unit 2 Inspection Plans***

**Daniel Garner  
Project Manager  
American Electric Power**

Slide 42





# ***Inspection Plans***

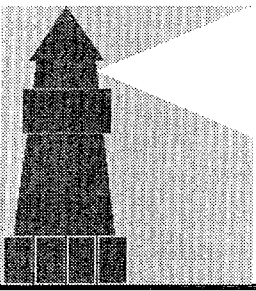
---

## **■ Visual Examination**

- 100% effective visual examination using robotic crawler
- Evaluating qualified visual examination

## **■ Eddy Current / Ultrasonic Exam**

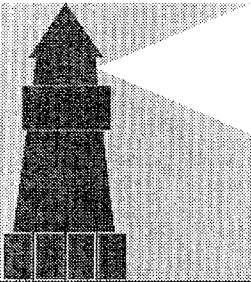
- All J-groove welds and outside diameter metal of interest for all CRDMs and thermocouples
- Inside diameter of most susceptible penetrations - (19)
- Inside diameter for seven part length rod locations



# ***Susceptibility Summary***

---

- **WCAP 14907 (Not Docketed) Prepared in 1997 for Cook Units**
- **Each Penetration Analyzed for Probability of Achieving 75% Through Wall Cracks**
- **Data Provided at 10 EFPY Intervals**
- **Cook 2 Is at ~15 EFPY - Linear Interpolation Used Between 10 and 20 EFPY**





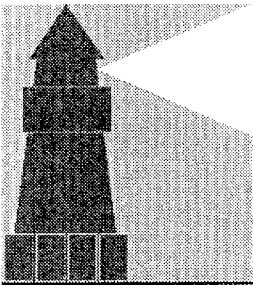
# Probabilities of Reaching 75% Through Wall Cracks

| Penetration | 15 Years |
|-------------|----------|
| 76-78       | 49.5     |
| 75          | 49.2     |
| 66-68       | 40.3     |
| 74          | 36.6     |
| 62          | 36.2     |
| 69-71, 73   | 34.9     |
| 63, 65      | 27.8     |
| 64          | 22.3     |
| 72          | 19.8     |
| 56          | 17.5     |
| 58          | 17.2     |
| 53          | 12.9     |
| 42, 44      | 11.5     |
| 43, 45-48   | 11.1     |

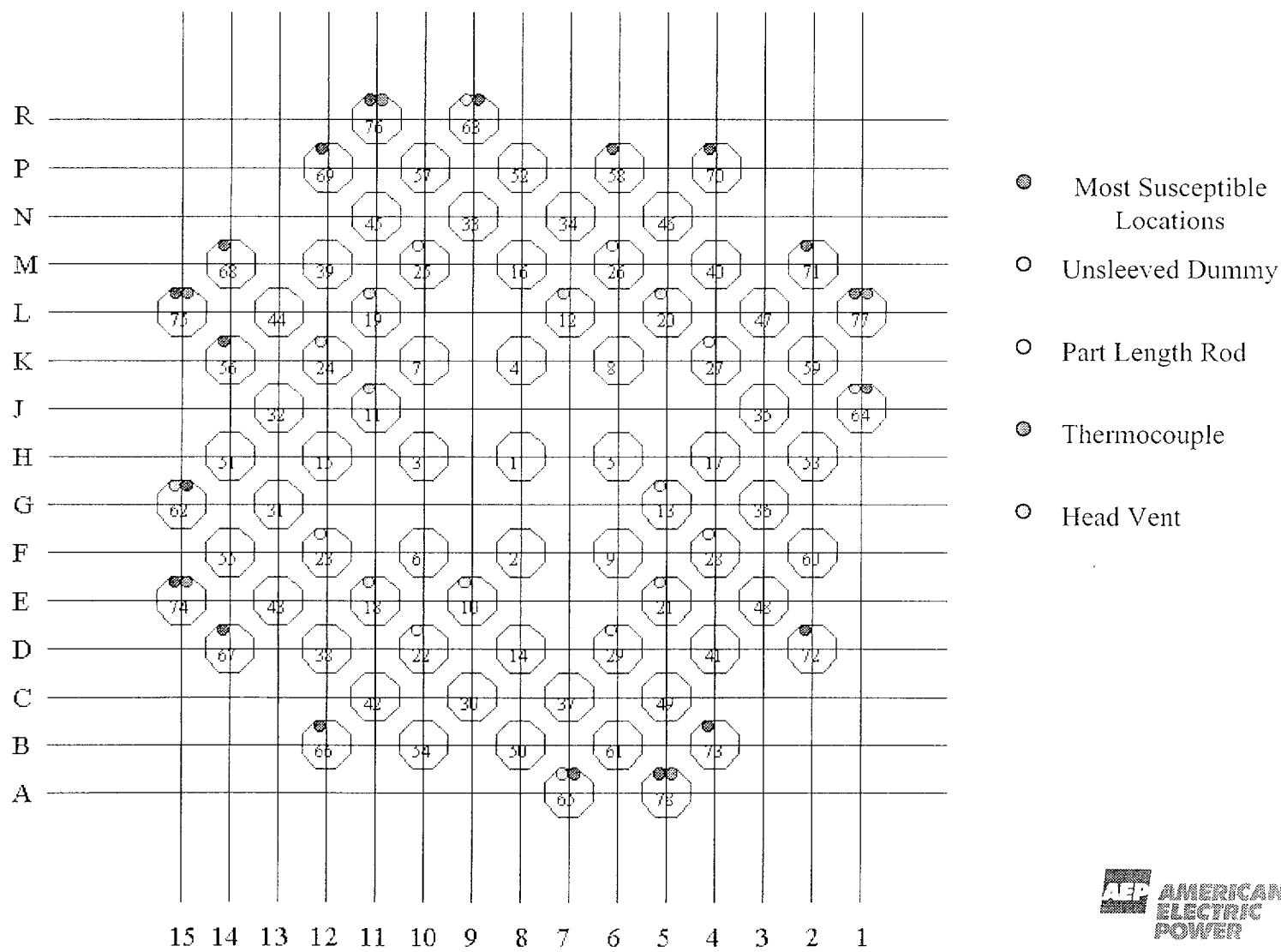
| Penetration    | 15 Years |
|----------------|----------|
| 38-41          | 9.7      |
| 61             | 8.0      |
| 60             | 6.9      |
| 50, 51         | 5.3      |
| 54, 55, 57, 59 | 4.7      |
| 49             | 3.9      |
| 23, 24         | 3.2      |
| 27, 28         | 3.1      |
| 52             | 3.0      |
| 32             | 3.0      |
| 31, 33         | 1.6      |
| 30, 34-37      | 1.3      |
| 25, 26         | 1.2      |
| 22             | 0.8      |

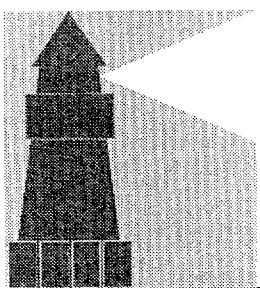
| Penetration | 15 Years |
|-------------|----------|
| 29          | 0.7      |
| 17          | 0.7      |
| 10, 11, 12  | 0.7      |
| 13          | 0.6      |
| 18-21       | 0.5      |
| 14, 16      | 0.5      |
| 8           | 0.5      |
| 7           | 0.5      |
| 15          | 0.4      |
| 9           | 0.1      |
| 6           | 0.1      |
| 2, 3, 4, 5  | 0.1      |
| 1           | 0.0      |

-  Most Susceptible Locations
-  Part Length Rod Locations



# UNIT 2 REACTOR VESSEL HEAD PENETRATION MAP





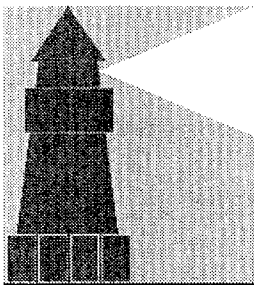
# ***Closing Summary***

**Scot Greenlee**  
**Director**

**Nuclear Technical Services Organization**  
**American Electric Power**

Slide 47





# ***Closing Summary***

---

**Based on Conservative Analysis**

**Cook Unit 2 Is Safe to Operate  
Until January 19, 2002**



