



Palo Verde Nuclear
Generating Station

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10CFR73.71

192-01085-CDM/SAB/RAS/DJS
April 18, 2001

U. S. Nuclear Regulatory Commission
ATTN: Document Control Desk
Mail Station P1-37
Washington, DC 20555-0001

Dear Sirs:

Subject: Palo Verde Nuclear Generating Station (PVNGS)
Unit 1
Docket No. STN 50-528
License No. NPF-41
Licensee Event Report 2001-S01-00

Attached please find Licensee Event Report (LER) 50-528/2001-S01-00 that has been prepared and submitted pursuant to 10CFR73.71(a)(4) and (d). This LER reports a condition where APS denied access to an individual who had previously been granted unescorted access to the PVNGS protected area based on information developed from pre-employment screening records.

In accordance with 10CFR73.71(a)(4), a copy of this LER is being forwarded to the NRC Regional Office, NRC Region IV. If you have questions regarding this submittal, please contact Daniel G. Marks, Section Leader, Regulatory Affairs, at (623) 393-6492.

Arizona Public Service Company makes no commitments in this letter.

Sincerely,

CDM/SAB/RAS/DJS/kg

Attachment

cc: E. W. Merschoff (all with attachment)
J. H. Moorman
J. N. Donohew

IE74

LICENSEE EVENT REPORT (LER)

(See reverse for required number of
digits/characters for each block)

Estimated burden per response to comply with this mandatory information collection request: 50 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Records Management Branch (T-6 E6), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to bjs1@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOF-10202 (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

| | | | | | | | | | | | |
|---|--------|-----------|----------------|--|--------|---|-----------|----------------------|-------------------------------|---------------------------|-------------|
| FACILITY NAME (1) Palo Verde Nuclear Generating Station | | | | | | DOCKET NUMBER (2) 05000528 | | | | PAGE (3) 1 OF 5 | |
| TITLE (4) Denial of unescorted access based on information subsequently developed | | | | | | | | | | | |
| EVENT DATE (5) | | | LER NUMBER (6) | | | REPORT DATE (7) | | | OTHER FACILITIES INVOLVED (8) | | |
| MONTH | DAY | YEAR | YEAR | SEQUENTIAL NUMBER | REV NO | MONTH | DAY | YEAR | FACILITY NAME | DOCKET NUMBER | |
| 03 | 22 | 2001 | 2001 | S01 | 00 | 04 | 18 | 2001 | Palo Verde Unit 2 | 05000529 | |
| | | | | | | | | | Palo Verde Unit 3 | 05000530 | |
| OPERATING MODE (9) | | 1 | | THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR §: (Check all that apply) (11) | | | | | | | |
| POWER LEVEL (10) | | 97 | | 20.2201(b) | | 20.2203(a)(3)(ii) | | 50.73(a)(2)(ii)(B) | | 50.73(a)(2)(ix)(A) | |
| | | | | 20.2201(d) | | 20.2203(a)(4) | | 50.73(a)(2)(iii) | | 50.73(a)(2)(x) | |
| | | | | 20.2203(a)(1) | | 50.36(c)(1)(i)(A) | | 50.73(a)(2)(iv)(A) | | X | 73.71(a)(4) |
| | | | | 20.2203(a)(2)(i) | | 50.36(c)(1)(ii)(A) | | 50.73(a)(2)(v)(A) | | 73.71(a)(5) | |
| | | | | 20.2203(a)(2)(ii) | | 50.36(c)(2) | | 50.73(a)(2)(v)(B) | | OTHER | |
| | | | | 20.2203(a)(2)(iii) | | 50.46(a)(3)(ii) | | 50.73(a)(2)(v)(C) | | Specify in Abstract | |
| | | | | 20.2203(a)(2)(iv) | | 50.73(a)(2)(i)(A) | | 50.73(a)(2)(v)(D) | | below or in NRC Form | |
| | | | | 20.2203(a)(2)(v) | | 50.73(a)(2)(i)(B) | | 50.73(a)(2)(vii) | | 366A | |
| | | | | 20.2203(a)(2)(vi) | | 50.73(a)(2)(i)(C) | | 50.73(a)(2)(viii)(A) | | | |
| | | | | 20.2203(a)(3)(i) | | 50.73(a)(2)(ii)(A) | | 50.73(a)(2)(viii)(B) | | | |
| LICENSEE CONTACT FOR THIS LER (12) | | | | | | | | | | | |
| NAME Daniel G. Marks, Section Leader, Regulatory Affairs | | | | | | TELEPHONE NUMBER (Include Area Code) 623-393-6492 | | | | | |
| COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT (13) | | | | | | | | | | | |
| CAUSE | SYSTEM | COMPONENT | MANUFACTURER | REPORTABLE TO EPIX | CAUSE | SYSTEM | COMPONENT | MANUFACTURER | REPORTABLE TO EPIX | | |
| | | | | | | | | | | | |
| SUPPLEMENTAL REPORT EXPECTED (14) | | | | | | EXPECTED SUBMISSION DATE (15) | | MONTH | DAY | YEAR | |
| YES (If yes, complete EXPECTED SUBMISSION DATE) | | | | | | X | NO | | | | |
| ABSTRACT (Limit to 1400 spaces, i.e., approximately 15 single-spaced typewritten lines) (16) | | | | | | | | | | | |
| <p>On March 22, 2001, at approximately 1443 MST, Units 1, 2 and 3 were in Mode 1, operating at approximately 100 percent power. At this time, APS Access Authorization personnel determined that an individual who had previously been granted unescorted access to the PVNGS protected area would have been denied access, based on information subsequently developed from pre-employment screening records. The contract employee had entered the protected area (PA) a total of eight times between September 29, 2000 and October 30, 2000. The contract employee was never assigned to work packages related to safety related equipment inside or outside the PA.</p> <p>The contract employee's protected area access was terminated on October 30, 2000. PVNGS Access Authorization made appropriate entries into the Personnel Access Data System (PADS) on April 10, 2001 and added "additional information" in the event the contract employee requested access at another nuclear utility.</p> <p>No previous similar events have been reported in the last three years.</p> | | | | | | | | | | | |

LICENSEE EVENT REPORT (LER)

| FACILITY NAME (1) | DOCKET NUMBER (2) | LER NUMBER (6) | | | PAGE (3) |
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| Palo Verde Nuclear Generating Station Unit 1 | 05000528 | YEAR | SEQUENTIAL NUMBER | REVISION NUMBER | 2 OF 5 |
| | | 2000 | -- S01 | -- 00 | |

TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

DISCUSSION

On March 22, 2001, at approximately 1443 Mountain Standard Time (MST), APS Access Authorization personnel determined that an individual who had previously been granted unescorted access to the PVNGS protected area would have been denied access, based on information subsequently developed from pre-employment screening records. The contract employee did not have unescorted access to PVNGS at the time of the discovery.

Upon making this determination, Access Authorization personnel took action to revoke the unescorted access of the contract individual. The individual remained employed by the Atlantic Group at the PVNGS, but in a position that did not require PA access. (The contract employee's protected area access had been terminated on October 30, 2000.) The contract employee had failed to disclose a felony arrest for "Possession of a Controlled Substance" on his personnel history statement (PHS).

APS discovered the omitted information during a subsequent review of the individual's FBI records and information gathered through investigative follow-up. Had this information been disclosed at the time of the original badging request, Access Authorization personnel would not have granted unescorted access privileges to this individual.

APS determined this event is reportable pursuant to 10 CFR 73, Appendix G (I)(b) and initially reported this condition via the Emergency Notification System (reference: EN 37860).

APS continues to investigate this event and will supplement this report if substantial information is identified that would significantly change a reader's perception of the course or consequences of the event, or if there are substantial changes in the planned corrective actions.

1. DATE AND TIME OF EVENT (START AND END TIME).

APS Access Authorization personnel revoked unescorted access privileges to the PVNGS protected area on March 22, 2001 at approximately 1443 MST. (The contract employee's protected area access had been terminated on October 30, 2000.)

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2. LOCATION OF ACTUAL OR THREATENED EVENT IN A PROTECTED AREA, MATERIAL ACCESS AREA, CONTROLLED ACCESS AREA, VITAL AREA, OR OTHER (SPECIFY AREA).

There was no actual or threatened event in the protected area, material access area, controlled access area, vital area or other owner controlled area. The contract employee had entered the protected area (PA) on eight different occasions between September 29, 2000 and October 30, 2000. The contract employee's access to the PA was limited to general area access with exception of a 2 minute 25 second time frame at or near the Radiological Control Area (RCA) control point. The contract employee (coater/painter) was never assigned to work on safety related equipment inside or outside the PA. As a "dependent worker" the contract employee did not sign-off on any work packages and was part of 2, 3 or 4-person crews.

3. FOR POWER REACTORS, THE OPERATING PHASE, E.G., SHUT-DOWN, OPERATING.

At the time of discovery, Units 1, 2 and 3 were in Mode 1 at approximately 100 percent power.

4. SAFETY SYSTEMS AFFECTED OR THREATENED, DIRECTLY OR INDIRECTLY.

There were no systems affected or threatened, directly or indirectly during this event.

5. TYPE OF SECURITY FORCE ONSITE (PROPRIETARY OR CONTRACT).

APS employs proprietary security personnel.

6. NUMBER AND TYPE OF PERSONNEL INVOLVED, E.G., CONTRACTORS, SECURITY, VISITORS, NRC PERSONNEL, OTHER (SPECIFY).

One Atlantic Group contract employee was involved in this event.

7. METHOD OF DISCOVERY OF INCIDENT, E.G., ROUTINE INSPECTION, TEST, MAINTENANCE, ALARM, CHANCE, INFORMANT, COMMUNICATED THREAT, UNUSUAL CIRCUMSTANCES (GIVE DETAILS).

The falsified personal history statement was discovered by Access Authorization personnel during routine review of the individual's files and information developed in accordance with 10CFR73.57 and Regulatory Guide 5.66.

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| | | 2000 | -- S01 | -- 00 | |

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8. PROCEDURAL ERRORS INVOLVED, IF APPLICABLE.

PVNGS has continuously maintained procedures to administratively control access to the PVNGS PA. The current procedure number is 20DP-0SK40, "PVNGS Access Screening and Badge Processing." There were no procedure errors involved in this event.

9. IMMEDIATE ACTIONS TAKEN IN RESPONSE TO EVENT.

The appropriate site personnel were notified.

The NRC Operations Center was notified of the event via the Emergency Notification System (EN# 37860).

On March 22, 2001, APS Access Authorization revoked the responsible individual's unescorted access privilege.

A corrective action document was generated and entered into the Palo Verde corrective action system.

10. CORRECTIVE ACTIONS TAKEN OR PLANNED.

The condition was corrected at the time the contract employee's protected area access expired on October 30, 2000. PVNGS Access Authorization personnel made appropriate entries into the Personnel Access Data System (PADS) on April 10, 2001 and added "additional information" in the event the contract employee requested access at another nuclear utility.

11. LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCIES CONTACTED.

No local, state or federal law enforcement agencies were notified as a result of this event.

12. DESCRIPTION OF MEDIA INTEREST AND PRESS RELEASE.

There has been no media interest in this event and a press release related to this event is not planned.

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13. INDICATION OF PREVIOUS SIMILAR EVENTS.

There have been no similar events reported in the last three years.

14. KNOWLEDGEABLE CONTACT.

Daniel G. Marks; Section Leader, Regulatory Affairs, PVNGS.