

1           There's some tests that has been done  
2 already in VERCORS in France, up to like 41 gigawatt  
3 days per ton. It's about three pallets only, and they  
4 are also starting a new facility in Cadarache that we  
5 don't know much about, but this one will have a longer  
6 length rod, about maybe 60 -- six centimeters long.

7           And we don't know what the test matrix  
8 look like in terms of when the MOX test will be coming  
9 in because this facility is supposed to replace this  
10 town in the near future. So they will shut down all  
11 of the hot cells and those type experiments at  
12 Grenoble in France, and then, of course, research will  
13 assist licensing in terms of review any technical  
14 issues that will be rising.

15           DR. MEYER: Could I add something here?

16           DR. LEE: Yes.

17           DR. MEYER: It's Ralph over here.

18           I didn't seen Cabri on your slide, but  
19 there are two MOX tests in the Cabri water loop, and  
20 there have been. Did you have that? I'm sorry if you  
21 had it on there.

22           DR. LEE: No, I didn't put it in here. I  
23 mentioned it in here that we need.

24           DR. MEYER: Oh, okay.

25           DR. LEE: I didn't put that on here.

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1           So our activities just started not too  
2           long ago. So we don't have any results to tell you,  
3           but on the source term area, next year, this coming  
4           fiscal year, we will start to initiate the validation  
5           for the codes that are going to be used for source  
6           term analysis, and that's the first one we're going to  
7           do.

8           CHAIRMAN POWERS: When is an appropriate  
9           time for us to hear about what you're doing with  
10          PARCS?

11          DR. LEE: I think by May time he will be  
12          able to do some demonstration on using the type of  
13          analysis that he has.

14          CHAIRMAN POWERS: So maybe some time in  
15          the fall?

16          DR. LEE: Some time in the fall, yes.

17          CHAIRMAN POWERS: Yeah, I think the  
18          Committee would be --

19          DR. LEE: -- MOX calculation was the  
20          difference between UO-2 versus MOX.

21          CHAIRMAN POWERS: I think it's been a long  
22          time since the Committee has looked at some of these  
23          neutronic things, and since it's an important part of  
24          TRACK M maybe the Fuel Committee and the Thermal  
25          Hydraulics Committee might want to get together and

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1 just focus on that, say, for half a day, just that  
2 particular topic.

3 MR. ROSENTHAL: Because we're using this  
4 also just plain the UO-2 RIA issues.

5 CHAIRMAN POWERS: Sure, yeah. I mean,  
6 it's a fairly important code.

7 MR. ROSENTHAL: Sure.

8 CHAIRMAN POWERS: I like the way you guys  
9 went about selecting to use it and whatnot. I thought  
10 that was a very analytic process, but when it came in,  
11 there was this list of challenges I would say in  
12 interfacing and shortcomings that the code had for  
13 modern things, and it would be nice to see how it all  
14 came out.

15 DR. MEYER: By the way, we had a small  
16 task in our program with Kurchatov Institute with IPSN  
17 involvement as well to do some MOX calculations for  
18 the reactivity transients.

19 MR. ROSENTHAL: And that's really good  
20 because everything we have traces back to NDEF  
21 (phonetic), you know, NDEF E6 or 7, and that's  
22 independent.

23 Can I just make a summary statement? And  
24 that is that I'm relatively new in the current branch,  
25 just a little bit over a month, and so I go to Richard

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1 and I go to Ralph all the time. In fact, Ralph's  
2 office is next to mine.

3 And we were talking, and I think it's  
4 important to make the following point. If I go to the  
5 RIA, okay, what we ultimately will discover is that  
6 the speed limit that we thought was appropriate for  
7 decades is probably incorrect and, you know, maybe 280  
8 becomes 100 or 80, some other number, and at the same  
9 time when we do 3D space-time kinetics, we're pretty  
10 comfortable that people will be able to demonstrate  
11 that they can live with a revised lower speed limit.  
12 So you don't have a big safety issue, having done all  
13 that work and recognized that.

14 And I said, "Yeah, but shouldn't this give  
15 us some humility?"

16 (Laughter.)

17 MR. ROSENTHAL: Okay? That here was  
18 something that, you know, we thought of and didn't  
19 question, and now we have a different perception.

20 And if it's giving us some humility with  
21 respect to the enthalpy deposition, then it's fair to  
22 say, well, what other surprises are there in stock for  
23 us as we go to high burnup or new alloys or your MOX,  
24 and that sense of, well, what other surprises are in  
25 stock for us, and maybe a little humility, leads us

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1 to, in fact, fund fuel work and research as a truly  
2 sensible fraction of the total research budget.

3 I just wanted to leave you with that.

4 DR. APOSTOLAKIS: Now, the view for  
5 McGuire and Catawba, are these considered changes in  
6 the licensing basis, the use of MOX?

7 DR. LEE: Sure, sure. It would have to  
8 be.

9 DR. APOSTOLAKIS: So what if someone --

10 DR. LEE: Specific licensee.

11 DR. APOSTOLAKIS: What if someone decided  
12 to use regulatory guide 174 to argue for or against?

13 DR. LEE: I think the same question would  
14 arise, that phrase when Margaret was asked about  
15 1.174.

16 DR. APOSTOLAKIS: The question will arise,  
17 but --

18 DR. LEE: Yes.

19 DR. APOSTOLAKIS: -- it says here MOX  
20 research, and I don't hear you doing anything about  
21 it. Why aren't you looking into it?

22 DR. LEE: I think that is up to the plant,  
23 what they want to do it under the regular 1.1 -- 1.7.

24 CHAIRMAN POWERS: I guess I'm confused,  
25 George. I mean, if the program includes an

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1 examination of the source term, and so I'm a little  
2 questioned -- I mean, maybe you can say there's some  
3 core degradation work that --

4 DR. APOSTOLAKIS: If Tom is right and the  
5 left values are not the right ones, you have to modify  
6 them. Shouldn't somebody look into that? Does that  
7 come naturally from this?

8 CHAIRMAN POWERS: Yes. I mean, that would  
9 be the whole point. If somebody came back and said,  
10 "Look. This" --

11 DR. APOSTOLAKIS: What does that -- point  
12 to me to that.

13 CHAIRMAN POWERS: If the source term is  
14 going to be different from that, then once you had  
15 that, that's when you would have to reexamine your  
16 derivation to get from the quantitative health  
17 objectives to get to the acceptance value of worth.

18 DR. KRESS: They're putting together a  
19 PIRT now just to look at that. You know, they don't  
20 define the program yet. They just want to say what  
21 are the likely phenomena; what are the issues; what  
22 research should we do.

23 DR. LEE: The source term PIRT is that  
24 we're going to look into what are the issues that we  
25 have to deal with for NUREG 1465. What do we need to

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1 do for that for MOX.

2 And then in the model developments, we're  
3 going to validate our models. We're going to use --  
4 for example, I'm going to take a core, and I'm going  
5 to have an analysis of all uranium fuel assemblies,  
6 analyze and look at inventories, and I'm going to take  
7 another core which is one third or 40 percent loaded  
8 with MOX, and I look at the two source, and I will do  
9 my consequent analysis, and I would like to compare  
10 what are the consequence, what are the difference from  
11 there.

12 Now my mother has to be validated  
13 (phonetic).

14 DR. APOSTOLAKIS: Now, when you say do  
15 your consequence analysis, what do you mean?

16 DR. KRESS: There's a design basis space  
17 he's talking about.

18 DR. LEE: For the design.

19 DR. KRESS: Chapter 15.

20 DR. APOSTOLAKIS: But LERF was not  
21 developed.

22 DR. KRESS: No, no. He'll have to do more  
23 than 1465 --

24 DR. APOSTOLAKIS: Yeah.

25 DR. KRESS: -- to get to that stage.

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1 They'll have to have more detailed fission product,  
2 release models, and --

3 MS. SHOOP: This is Undine again.

4 I would just like to add that as part of  
5 our user need memo we have requested the Office of  
6 Research to look not only into the source term, but  
7 how that will impact the different levels of the PRA,  
8 and I believe that right now that's being looked into,  
9 and I'm sure that when Richard comes back here to talk  
10 about our further research in the future after we're  
11 done with the source term, then we'll be able to go  
12 into more detail on the additional research we're  
13 doing.

14 CHAIRMAN POWERS: Okay.

15 DR. LEE: Oh, Dana, one thing that I think  
16 we should also know, that the French is launching a  
17 PHOEBUS 2K (phonetic), which also has a MOX component  
18 in it, and they want to look at is there any sudden  
19 core degradation phenomenon that we don't know about  
20 that is vastly different between UO-2 versus MOX.

21 And also in the LOCA arena, they are also  
22 looking into doing LOCA as a series of looking at the  
23 loss of cooling accident for high burnup fuel, but I  
24 don't know whether MOX is included in that.

25 CHAIRMAN POWERS: They're going to have to



1 jerk their driver core here pretty soon, aren't they?

2 DR. LEE: Yes.

3 CHAIRMAN POWERS: Now maybe they're going  
4 to run out of oomph in the driver core.

5 DR. LEE: I think they need to refurbish  
6 that entire thing. The driver core is only good for  
7 the current series of tests, and after that they  
8 completely have to refuel the whole driver core for  
9 the following improvement.

10 CHAIRMAN POWERS: So there will be an  
11 examination of the core degradation aspects.

12 DR. LEE: That's what they would like to  
13 do, yes.

14 CHAIRMAN POWERS: Right. Any other  
15 questions of the speaker?

16 (No response.)

17 CHAIRMAN POWERS: Okay. We have a treat.  
18 Dr. Lyman from the Nuclear Control Institute is here  
19 with us again. Dr. Lyman has spoken to us before.  
20 He'd like to have a word with us.

21 He didn't tell me what he was going to  
22 talk about, but I'll bet it's on MOX fuel.

23 DR. LYMAN: Thank you.

24 CHAIRMAN POWERS: Put it on your tie  
25 probably is a better --

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1 DR. LYMAN: How's that?

2 CHAIRMAN POWERS: Yeah.

3 DR. LYMAN: Okay. Well, you're right.  
4 Since the top was MOX fuel and that's one of the main  
5 concerns of my organization, the Nuclear Control  
6 Institute, so I thought it might be a good time to  
7 come back.

8 Actually I've never spoken to the ACRS  
9 before on MOX. Two years ago I gave a briefing to  
10 interested NRC staff on a study I had done, a  
11 preliminary study which was actually a consequence  
12 assessment, exactly what was just being discussed, of  
13 the use of MOX fuel in light water reactors and  
14 actually a regulatory guide 1.174 approach to how you  
15 might risk inform the use of MOX fuel.

16 And so I'd like to actually go over those  
17 again. I've since refined the report, and it's going  
18 to be published. I wish I had a final version. This  
19 is a penultimate version, and it should be available  
20 very soon in the Journal of Science and Global  
21 Security, which comes out of Princeton University, and  
22 it will be on their Web site.

23 So as soon as that's out, I'd be happy to  
24 point people to it if they're interested.

25 Okay. The title of my talk is "MOX Fuel

1 Safety, a Need for Research," and I'm very glad that  
2 there's finally money in the NRC budget for doing some  
3 MOX research since there hasn't been for a long time,  
4 even though this program has been coming for a while.

5 My organization has been very concerned  
6 about the way the Department of Energy has dealt with  
7 the issue of MOX fuel. From the beginning, their  
8 environmental analysis, the whole way in which they  
9 made decisions regarding weapons plutonium disposition  
10 without really looking hard at some of the safety  
11 issues that were going to be coming down the pike with  
12 MOX.

13 I wish they'd involved the NRC earlier,  
14 and there is still time to deal with these issues, but  
15 it's starting to run out.

16 So just briefly I'd like to give some of  
17 the overall, the general concerns I have with the way  
18 the MOX program is evolving, including some very  
19 recent developments, and then I'd like to talk about  
20 some of the detailed safety issues that I think are of  
21 concern in this program.

22 One is the issue of the source term impact  
23 on severe accident consequences and risk, and then the  
24 impact on transience, including the over cooling  
25 accident, pressurized thermal shock, and then RIAs,

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1 and then finally some troubling issues concerning the  
2 MOX qualification plan which has been laid out by the  
3 licensee, Duke, Cogeme (phonetic) with Stone &  
4 Webster, or DCS.

5 So starting with the MOX program concerns,  
6 I think the question came up before why are ice  
7 condenser plants the best suited for using MOX fuel,  
8 and the answer is they are the only ones that are  
9 willing to do it. There was no real choice for the  
10 mission reactors. There was no real competitive bid  
11 that was worth anything. There were only three  
12 consortia that competed. Two of them didn't even meet  
13 the basic requirements. So they were eliminated right  
14 off the bat, leaving on the Duke Power consortium,  
15 which originally had Virginia Power. They dropped  
16 out, I believe, because they would have had to modify  
17 their control rod systems in North Anna, and they  
18 didn't want to do that.

19 So for better or for worse, we're stuck  
20 with the ice condensers, and I'll talk about our  
21 concerns about that a little later.

22 The second great concern we have with the  
23 MOX program is the fact that the timetable is dictated  
24 by international agreement and not by safety  
25 requirements. The U.S. and Russia signed an agreement

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1 last fall or late last summer that commits both sides  
2 to starting to use MOX fuel in light water reactors by  
3 the end of 2007, and our concern, of course, is  
4 because of the political pressure, because this is a  
5 nonproliferation program, that NRC is going to have a  
6 very hard time raising substantive issues that might  
7 cause delays in the schedule, and they run the risk of  
8 being accused of being obstructionist and interfering  
9 with important nonproliferation programs.

10 And so I feel this is a potential tension  
11 that might influence the ability of NRC to do a fair  
12 assessment of MOX safety issues.

13 Related to this are the DOE budget cuts  
14 which are impending. The MOX program apparently,  
15 according to news reports, is not going to get the  
16 increases that it expected under a potential Gore  
17 administration, since it was Gore who was shepherding  
18 the bilateral plutonium disposition talks.

19 And the fact is that a reduction in budget  
20 for MOX is only going to increase pressure that any  
21 safety review for MOX be abbreviated, and that there  
22 will be less DOE resources available for helping NRC  
23 to resolve some of these technical issues.

24 This could lead to heavy reliance on  
25 proprietary foreign data, which for many reasons our

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1 organization doesn't think is going to be appropriate  
2 or adequate for resolving the issue of MOX use in U.S.  
3 reactors.

4 And finally, the impending cancellation of  
5 the other plutonium disposition track, which was a  
6 mobilization of plutonium in a ceramic and disposal of  
7 high level waste, this program apparently is being  
8 zeroed out by the Bush administration, and that means  
9 that there will be at least an additional eight and a  
10 half tons of plutonium which will be heading toward  
11 the MOX program for disposition in roughly the same  
12 time period, and it's not clear how DOE is going to  
13 address that at that point, but again, it will  
14 increase the burden on MOX as the only route for  
15 achieving disposition.

16 So with those political pressure in mind,  
17 I'd just like to review some of our concerns about the  
18 safety of MOX, and the biggest contributor I think to  
19 the enhanced risk of using MOX in light water reactors  
20 is the additional source term that comes mainly from  
21 an increased transuranic inventory in the core.

22 Now, according to the calculations that I  
23 did using the scale code, you find for the DCS core,  
24 which has a 40 percent MOX core fraction and an  
25 aqueous processing which will remove the americium

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1 that's been building up in the plutonium pits since  
2 they were last recycled; that if you remove the  
3 americium, then at end of cycle I find that you'll  
4 have about two times more of the isotopes like  
5 Plutonium 239, Americium 241, Curium 242.

6 Plutonium 238 is a little bit less, but  
7 that doesn't have a big safety impact, and also, since  
8 I know the Committee has been interested in ruthenium  
9 lately, incidentally, for a given MOX assembly you  
10 have more than twice the amount of Ruthenium 106. So  
11 an average of the core and into cycle, I find you have  
12 about 45 percent more Ruthenium 106, which might play  
13 a role in events where there's the risk of air  
14 oxidation source term, as the Committee has discussed,  
15 a PTS event, or a spent fuel pool accident.

16 Finally, after I first put out my study in  
17 spring of '99, DOE revised its EIS calculations,  
18 accordingly did a better job, but there are still  
19 flaws in the values that are outstanding in the  
20 environmental impact statement, and one of those comes  
21 from the fact that they assumed for some reason that  
22 in the reactors in the U.S. you have three or you  
23 divide the core into three equal fractions, and each  
24 burnup interval is an equal burnup interval, which is  
25 not the case in a reactor with an 18 month core

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1 loading like Catawba or McGuire.

2 So they actually underestimate the burnup  
3 of the second cycle MOX fuel.

4 So what are some of the impacts on severe  
5 accident consequences from the increased true source  
6 term using the MAX-2 code, suitably revised after I  
7 discover an error in it?

8 You find that for early containment  
9 failure, for a typical early containment failure  
10 source term, which in this case what I have here  
11 corresponds to about a one percent overall low  
12 volatile release; you find that there's a 25 percent  
13 increase in latent cancer fatalities as a result of  
14 the initial plume. That's not looking at the chronic,  
15 long term consequences, but only what's in MAX-2, in  
16 what's called the early module, and that's because I  
17 don't really trust the chronic module in MAX.

18 As far as prompt fatalities go, there's a  
19 very small or practically no increase, only about four  
20 percent for early containment failure because the  
21 particular isotopes that are greater in MOX cores  
22 don't really influence that much. Again, the results  
23 will be available in this paper.

24 Now, I just looked recently at the  
25 possibility of the high ruthenium release that might

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1 correspond to a pressurized thermal shock accident,  
2 and I found that that has a bigger impact on the  
3 prompt fatalities. In that case, this is preliminary,  
4 but there's about a 30 percent increase then in both  
5 latent cancers and prompt fatalities for a 75 percent  
6 ruthenium release.

7 DR. KRESS: What was the nature of the  
8 error you found in MAX?

9 DR. LYMAN: It turns out for very high  
10 releases, you could have more cancer fatalities than  
11 there were people.

12 DR. KRESS: Oh, okay. It was in the dose  
13 consequence.

14 DR. LYMAN: Right. It was not normalized  
15 properly, and so they fixed that, and it will be in  
16 the next release.

17 DR. APOSTOLAKIS: Now, when you're saying  
18 25 percent, four percent, and so on, you're obviously  
19 referring to some point value.

20 DR. LYMAN: Oh, I'm sorry.

21 DR. APOSTOLAKIS: Is that the mean value  
22 of something or best estimate?

23 DR. LYMAN: You mean --

24 DR. APOSTOLAKIS: What does the 25 percent  
25 refer to?

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1 DR. LYMAN: Oh, I'm sorry. Compared to  
2 the exact same source term applied to an only uranium  
3 fuel. So in other words, I --

4 DR. APOSTOLAKIS: So you did both  
5 calculations?

6 DR. LYMAN: Right. You look at the  
7 consequence analysis for a particular source term for  
8 a uranium fuel, and then you keep the release  
9 fractions all the same, which may not be a correct  
10 assumption for MOX because there may be greater  
11 volatile releases for MOX fuel, but if you assume all  
12 of the source term, the release fraction is the same.  
13 Then you just look at the impact of the additional  
14 actenites (phonetic), for example.

15 DR. APOSTOLAKIS: Okay.

16 DR. LYMAN: But I did it over the entire  
17 spectrum of isotopes.

18 And again, of course, there are different  
19 release fractions for different accidents. That's a  
20 kind of stylized early containment failure, which was  
21 derived from NUREG 1150.

22 Okay. So what about the impact on risk?  
23 Well, you can look at a set of a kind of complete set  
24 of accidents leading to a large early release, and  
25 basing on a NUREG report, which binned a whole lot of

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1 severe accident scenarios into a small number. I was  
2 able to do a rough estimate of what is the impact on  
3 the average population risk within one mile, which is  
4 the parameter cited in the quantitative health  
5 objectives.

6 And so that actually tracks the  
7 consequences pretty well, about 25 percent increase  
8 for the DCS core in average risk to the public within  
9 a mile of the reactor. That's latent cancer fatality  
10 risk.

11 So then I asked if you wanted to risk  
12 inform, since it's quite likely that when there's a  
13 submittal for a license amendment for using MOX fuel,  
14 then it will meet all of the design basis  
15 requirements, but the question is: will it have an  
16 impact on risk, which could be something you need to  
17 consider?

18 And now that the staff has the authority  
19 to use risk information either in a license submittal  
20 that's not risk informed, I thought this might be  
21 something that the staff might want to look at since  
22 this could be one of the biggest impacts. The biggest  
23 impacts of using MOX is not on design basis actions,  
24 but on beyond design basis.

25 But then this question arises, which the

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1 Committee has discussed frequently, is the 1.174  
2 assumes a particular release, and only looks at change  
3 in LERF, and so the question is: how do you deal with  
4 the situation where the actual frequencies may remain  
5 roughly the same, but the inventory changes?

6 So I did a quick and dirty -- I'm a former  
7 physicist. So that's what we do, is try to work with  
8 what you've got, and quick and dirty way of using  
9 1.174 was simply to derive what I call an effective  
10 LERF, which is let's say you have an accident, two  
11 different accidents and only the consequences change.  
12 That's associated with a change in risk.

13 So what's the equivalent change in LERF  
14 that would lead to the same change in risk? And so  
15 it's just a way of using the scale which is provided  
16 in 1.174.

17 And incidentally, this is also a useful  
18 way for evaluating what's an extended power up rate,  
19 and the issue does arise if you have the 17 percent  
20 extended power up rate. That's going to lead to a  
21 significant increase in consequences from severe  
22 accident, and if that's acceptable, then this increase  
23 associated with MOX will also be.

24 But inversely, if one isn't, then neither  
25 will be the other. So this could be a way of

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1 addressing at least until the formalism is fixed, to  
2 address this, a way of addressing things like the risk  
3 impact of an extended power up rate.

4 DR. APOSTOLAKIS: So fixing it probably  
5 will mean not to deal with a LERF anymore.

6 DR. LYMAN: Possibly. I mean --

7 DR. KRESS: If you had delta R you  
8 wouldn't need a LERF really.

9 DR. LYMAN: Right, and that's what this is  
10 just saying. Delta R is the same for both.

11 DR. APOSTOLAKIS: Because neither the  
12 large or the early change, as you said.

13 DR. LYMAN: Right.

14 DR. APOSTOLAKIS: Nor the F.

15 DR. LYMAN: But if this equation isn't  
16 right, and it may not be because, you know, you end up  
17 with small fractional increases in risk, and you know,  
18 the error bars might be big enough that it washes  
19 those out, but if that's the case, then if this isn't  
20 correct, then the overall 1.174 --

21 DR. APOSTOLAKIS: So R is the risk.

22 DR. LYMAN: Right. In other words,  
23 probability times consequences summed over all the  
24 accidents that contribute to LERF.

25 DR. APOSTOLAKIS: For whatever risk you

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1 have in mind. I mean prompt fatalities.

2 DR. LYMAN: Right. In this case I looked  
3 at latent cancer.

4 DR. APOSTOLAKIS: So you do have delta R  
5 then.

6 DR. LYMAN: Right. You can calculate it  
7 if you know everything.

8 DR. APOSTOLAKIS: If you had it or you  
9 have it.

10 DR. KRESS: You have to do some sort of a  
11 PRA. Now, he --

12 DR. APOSTOLAKIS: But look. Lyman says  
13 that we should use this to define an effective delta  
14 LERF. Therefore, you must have delta R.

15 DR. KRESS: But he used sort of --

16 DR. LYMAN: Right.

17 DR. KRESS: -- an abbreviated --

18 DR. APOSTOLAKIS: And he did that earlier.

19 DR. LYMAN: And it's like a Level 3 PRA,  
20 except it's very truncated, and it was based on a  
21 small set of accidents.

22 There was a study. I don't have the  
23 number with me, but they took, let's say, the Sequoyah  
24 NUREG 1150, and they binned. You know, you have  
25 thousands of different initiators. They binned them

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1 into a small number of accidents with the same source  
2 terms.

3 So it was manageable. There were three or  
4 four different source terms and frequencies associated  
5 with that. So you could do a kind of very rough Level  
6 3 and get the risk.

7 DR. APOSTOLAKIS: Now, instead of doing  
8 this, it seems to me since you can do a rough Level 3,  
9 what you could do is take the allowed delta F for  
10 light water reactors that the NRC staff --

11 DR. LYMAN: Right.

12 DR. APOSTOLAKIS: -- has declared is  
13 acceptable --

14 DR. LYMAN: Right.

15 DR. APOSTOLAKIS: -- ten to the minus  
16 seven --

17 DR. LYMAN: Right.

18 DR. APOSTOLAKIS: -- and see what the  
19 consequences of that are with respect to the  
20 acceptable change in prompt fatalities and compare  
21 your delta R with that.

22 DR. LYMAN: That's actually exactly the  
23 same thing.

24 DR. APOSTOLAKIS: It's the same thing?

25 DR. LYMAN: You're just saying it

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1 differently, yeah.

2 DR. APOSTOLAKIS: It's not obvious it's  
3 the same thing. Is it obvious it's the same thing?  
4 I'm not doubting, but --

5 DR. LYMAN: Well, I have to think about  
6 it. I think it's the same.

7 DR. APOSTOLAKIS: I can't see it's the  
8 same.

9 DR. LYMAN: Because you're just saying  
10 what -- you could rewrite this in that way.

11 DR. APOSTOLAKIS: In other words, what I'm  
12 saying is, okay, you can calculate the change in  
13 prompt fatalities or cancers and so on, but you don't  
14 know what's acceptable, what delta cancers is  
15 acceptable, but you have a delta LERF that has been  
16 declared acceptable for light water reactors.

17 Take that and propagate it to the front,  
18 the Level 3, and then compare you delta after that.

19 DR. LYMAN: Yeah. Do you see where it's  
20 the same thing? Because you're just saying if you  
21 know what the source term is, then you can say, well,  
22 I know what the change in risk is going to be  
23 associated with that change in LERF. Now, if you can  
24 do the Level 3, then you can propagate that through,  
25 and then you would get a delta R, which you would

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1 compare.

2 This is just doing that backward.

3 DR. APOSTOLAKIS: And so I guess what  
4 you're saying is after I take the LERF to the left, I  
5 have delta LERF or LERF is delta R over R.

6 DR. LYMAN: Yes.

7 DR. APOSTOLAKIS: And there must be some  
8 other duplicative factor there that counts as R.

9 DR. LYMAN: Right, if the source term is  
10 the same. Right. It's the same thing.

11 DR. APOSTOLAKIS: Yeah, yeah.

12 DR. LYMAN: You know, it's a very obvious,  
13 very simplistic --

14 DR. APOSTOLAKIS: I don't know about  
15 obvious. It took me ten minutes to understand.

16 DR. UHRIG: Are you contemplating a 17  
17 percent increase in power?

18 DR. LYMAN: No.

19 DR. UHRIG: I'm not aware of that.

20 DR. LYMAN: No. What I'm saying is that  
21 since the risk that I found associated with using MOX  
22 is about, you know, this 25 percent increase. That  
23 could be comparable to the increase in risk associated  
24 with the power up rate.

25 DR. UHRIG: Well, the power up rate, the

1 17 percent typically associated with BWR is not PWR.

2 DR. LYMAN: No, I'm not saying that it's  
3 going to happen. I know Catawba and McGuire are not  
4 planning to. I'm just saying that's another example  
5 where you could use this.

6 And, again, if those up rates are  
7 approved, then, well, at least it's a way of saying  
8 it. It's a way of saying -- well, let me go on to the  
9 next slide because at least this shows you in the  
10 1.174 context.

11 Okay. So what's the risk impact of MOX in  
12 ice condenser plants? Now, we know the DCH study that  
13 came out last year concluded that ice condensers are  
14 substantially more sensitive to early containment  
15 failure than other PWRs, and this is precisely the  
16 class of accidents in which you would feel the  
17 additional risk from MOX because these are the  
18 accidents where you would have fuel dispersal and  
19 containment failure.

20 So that in itself is of concern, but here  
21 I just did -- this is a rough estimate using the  
22 equation from the previous slide where from the  
23 McGuire IPE, which is now ten years old, but the total  
24 LERF, internal plus external, is 4.7 times ten to the  
25 minus six.

1           So then if you use the delta LERF  
2 effective equation from the last page, you get a  
3 number 1.2 times ten to the minus six that actually  
4 exceeds the reg. guide 1.174 threshold. At least this  
5 crude estimate means that it's in the regime where  
6 changes would not normally be allowed. So that's the  
7 first point.

8           CHAIRMAN POWERS: Actually, I think it's  
9 in the regime. It simply means it's in the regime  
10 where it gets increased management attention.

11          DR. APOSTOLAKIS: That's pointed out here.

12          DR. LYMAN: Well, the actual language is  
13 not normally allowed. It's the top tier. Now, it's  
14 close to the boundary, and nothing is set in stone,  
15 and you also have permission to use other arguments,  
16 you know, quantitative arguments to get out of this  
17 hole, but I would say that at least on the scale  
18 that's proposed in 1.174, this increase associated  
19 with MOX is fairly significant, and I wouldn't write  
20 it off.

21          Now, going back to that, the McGuire IPE  
22 does not take into account the Sandia finding that the  
23 early containment failure frequency was under  
24 estimated by a factor of seven in Duke Power's own IPE  
25 and PRA, and this, as Richard Lee said, is still a

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1 matter of controversy.

2 But if you did take into account the  
3 greater early containment failure frequency associated  
4 with station blackouts, just again using the IPE  
5 numbers, you'd end up with a LERF above ten to the  
6 minus five, which is in the regime where no risk  
7 increase greater than ten to the minus seventh would  
8 be allowed. So that, again, would exclude MOX.

9 Now, I know that the current PRA for  
10 McGuire is about half what it was in the IPE, but I  
11 don't know what the station blackout frequency is now,  
12 and these are not really publicly available, and so I  
13 can't say anything about that. But at least based on  
14 what's public, I'd say, again, that the risk is  
15 significant.

16 And, again, the implications for extended  
17 power upgrades, I'd say, is one way of looking at if  
18 a 25 or 30 percent increase in risk associated with an  
19 extended power upgrade, this is a way of evaluating  
20 where it fits in the risk informed framework.

21 And speeding up, now the MOX impact on  
22 transience. This is all pretty well known, but I'd  
23 just like to point out a few other things.

24 The PTS screening criteria which are now  
25 under review for all plants may not be appropriate for

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1 MOX cores, in other words, the ones that are  
2 appropriate for the LEU may not be appropriate for  
3 MOX, and one reason is the reduced decay heat  
4 immediately after a SCRAM in a MOX core would lead to  
5 a more rapid decline in the temperature in the reactor  
6 coolant system, and therefore, a more rapid entering  
7 into a region, a temperature region where the pressure  
8 vessel might be threatened.

9 Another aspect, well, again, if you have  
10 an air oxidation source term with greater fuel and  
11 ruthenium releases, then the source term might be more  
12 severe for a MOX core in a PTS event, and a final  
13 point is that because of the greater fast flux, the  
14 embrittlement is going to be somewhat more rapid, and  
15 this is not something that Duke Power is planning to  
16 take into account at its license renewal time limited  
17 aging assessments.

18 As a matter of fact, Duke made the  
19 alarming statement that, well, license renewal comes  
20 first, and then they'll evaluate MOX, and if there was  
21 a risk that using MOX would impair the ability of  
22 their plant to operate safely to the end of the  
23 license renewal period, then they won't do MOX.

24 And when I heard that, I wondered if the  
25 Department of Energy knew that was their position, but

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1 considering there's only a two-year, I think,  
2 difference between when they're doing their license  
3 renewal and when they'd have to do the MOX assessment,  
4 it would make sense to do it all at once in my view.

5 Moving right on in the reactivity  
6 insertion, we all know the increased vulnerability of  
7 MOX to RIAs or potential increased vulnerability as  
8 demonstrated in the REP Na-7 Cabri test is a concern.  
9 And a key consideration is the fuel homogeneity and  
10 the size distribution of the plutonium agglomerates.

11 And, you know, this has been known, I  
12 think, for decades, and Westinghouse in its  
13 consultant's report to DOE recommended -- this is a  
14 quote -- "adherence to limits on plutonium  
15 agglomerates in the range of 10 to 15 microns should  
16 be required."

17 And in that context, it's pretty alarming  
18 to learn that DCS appears to actually be relaxing the  
19 existing specification that's in use at the Maalox  
20 (phonetic) plant in France, when they should be going  
21 in the other direction.

22 And the Cogema MIMAS plutonium particle  
23 distribution that's currently achieved has a mean size  
24 of the distribution of the agglomerates of 20 to 40  
25 microns, and the specification is no more than two

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1 percent of the clusters should be greater than 100  
2 microns in size, and the maximum that occurs is around  
3 140, I believe, while the DCS specification, at least  
4 in the version of the fuel qualification plan, which  
5 we submitted last year, and I understand there's a new  
6 version now; so this may have changed, but they  
7 specify a mean size of less than 50 microns and a  
8 maximum five percent of clusters greater than 100  
9 microns with a maximum size of 400 microns.

10 So instead of trying to bring this number  
11 down to the ten or 15 range that Westinghouse  
12 suggested, they seemed to be going in the other  
13 direction. I think if this is actually the case that  
14 it's something that they need to be called to account  
15 for.

16 Now, on the issue of MOX --

17 CHAIRMAN POWERS: A 400 micron inclusion,  
18 a 400 micron plutonium inclusion would be a fairly  
19 significant inclusion, wouldn't it?

20 DR. LYMAN: Yeah. I mean, it's about the  
21 maximum. It was the maximum that was set back when  
22 they did those experiments in the '70s or '60s, and  
23 hopefully technology has improved since they were  
24 making this.

25 CHAIRMAN POWERS: I'm just trying to

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1 understand what the neutronic effects of a 400 micron  
2 -- I mean that's a pretty healthy inclusion, isn't it?

3 DR. KRESS: It's pretty good.

4 CHAIRMAN POWERS: I think you would worry  
5 about that.

6 DR. KRESS: I think you'd see it.

7 CHAIRMAN POWERS: Yeah, I think you would  
8 see something.

9 DR. LYMAN: Well, it's right in the fuel  
10 qualification plan if you want to take a look at that  
11 number.

12 Now, generally speaking, we have a lot of  
13 concerns about the way the fuel qualification is  
14 coming about. First of all, the schedule, I think, is  
15 pretty aggressive. They hope to load the LTAs and  
16 start irradiating them in McGuire in October 2003.

17 Then they're going to do it for two 18-  
18 month cycles, and so discharge would be around October  
19 2006, and these twice burn LTAs, then they would be  
20 subject to some nondestructive analysis, but the first  
21 reload batch would be a year later.

22 So that only gives one year really for  
23 doing all of the work that both the licensee and NRC  
24 might want to do on these LTAs.

25 The other aspect is at least according to

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1 the first version of the fuel qualification plan, they  
2 wouldn't even be burned up to the maximum discharge  
3 burnup that they're proposing for the fuel, but would  
4 fall short, and that's another puzzling aspect.

5 Then the issue of where the LTAs are going  
6 to be made is still not determined. As you know, Los  
7 Alamos has its contract canceled last year, leaving  
8 the program stranded. So the two bad alternatives now  
9 are, one, the LTA is manufactured in a European  
10 facility, but this raises the issue that they may not  
11 be representative if it eventually comes out of a U.S.  
12 plant, especially if the fuel qualification parameters  
13 are different.

14 CHAIRMAN POWERS: When you say they're not  
15 representative, are you speaking of the fact that they  
16 did not have weapons grade plutonium in them or --

17 DR. LYMAN: Well, no. It wouldn't make  
18 sense if they didn't, but where, you know, there had  
19 been talk that it might come from England, you know,  
20 I don't know the details, but it certainly wouldn't be  
21 U.S. weapons grade plutonium that was aqueously  
22 purified according to the plan that we have and  
23 fabricated according to the specifications that DCS is  
24 establishing.

25 So that has to be looked at. It may not

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1 be that significant an issue, but again, given what  
2 we've heard today about the variability and, you know,  
3 expectations for fuel, small changes in composition,  
4 manufacturing parameters, there seems to be some  
5 sensitivity to these things.

6 And so I would be more confident if the  
7 LTAs actually were a product of the plant that's going  
8 to be manufacturing them, but the problem with that,  
9 which is the other option, is that clearly it's going  
10 to cause a delay if the U.S. MOX plan is going to be  
11 the source of the LTAs because who knows? They'd have  
12 to establish some sort of a pilot line, I guess, and  
13 who knows if the fuel coming out of the pilot -- I  
14 mean, the first fuel -- is going to be suitable or  
15 representative of a later fuel?

16 So I think there are a lot of issues that  
17 are not being dealt with adequately here, and because  
18 of this aggressive timetable, NRC's ability to resolve  
19 MOX fuel safety issues, I think, is in jeopardy.  
20 Again, the time for post irradiation LTA  
21 characterization testing is inadequate, forcing a  
22 reliance on proprietary find data, which NRC is not  
23 going to be able to confirm, and I think the M5  
24 experience, however it plays out, should give pause in  
25 this area because whether or not the M5 cladding,

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1 which incidentally is the cladding that's going to be  
2 used on MOX fuel, and Framatome is the fuel designer  
3 and supplier for the MOX program here; whether or not  
4 it turns out to be adequate and meets the existing  
5 criteria, I'd have to say that the behavior of  
6 Framatome since they were aware that they were doing  
7 ring compression tests; they were aware that there was  
8 an issue; they were aware of the results. The Germans  
9 were making them do these tests.

10 At the same time NRC was reviewing and  
11 approving the M5 cladding without knowing any of this,  
12 and the fact is, you know, they didn't ask the  
13 questions. So maybe they didn't have to get an  
14 answer, but I think if Framatome was completely  
15 forthcoming, they would have notified them.

16 And so I think it raises questions about  
17 how reliant we should be on foreign data that's not  
18 confirmed independently.

19 And in this regard, it's especially  
20 frustrating that DOE appears to be uncooperative with  
21 NRC's Office of Research, and you may not be aware  
22 that the Office of Research sent a letter to DOE in  
23 December requesting that access be granted to NRC to  
24 have some samples of the irradiated lead test  
25 assemblies taken to Argonne for NRC's confirmatory

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1 testing.

2 DOE's answer was basically, "No, thanks.  
3 It's duplicative, and you'd have to work that out with  
4 the licensee anyway," wouldn't have anything to do  
5 with it. It was an evasion.

6 And this is an example of how I think  
7 things are going to play out especially in the context  
8 of the budget cuts that we're going to see. DOE is  
9 not willing to pay or support any of what it considers  
10 additional research, and I think that's a mistake.

11 I think that both the timetable and the  
12 staff resources for MOX safety issue resolution should  
13 be based on NRC needs and not DOE needs. You know, in  
14 an ideal world, NRC should design the research program  
15 it thinks is necessary to answer the questions, give  
16 DOE the bill.

17 (Laughter.)

18 DR. LYMAN: And then --

19 PARTICIPANT: In an ideal world.

20 DR. LYMAN: Right. Well, I'm an optimist.

21 Cancellation of the immobilization track  
22 is going to increase pressure on NRC not to be  
23 obstructionist in MOX licensing, and I think this path  
24 for MOX approval is not likely to engender public  
25 confidence the way things are going.

1                   So I would like to see a tightening up of  
2 the goals and the objectives and a good research  
3 program addressing some of these concerns.

4                   Thank you.

5                   CHAIRMAN POWERS: Any questions of the  
6 speaker?

7                   That was a great presentation. I think we  
8 appreciate it when you take the time to come talk to  
9 us.

10                  DR. LYMAN: Oh, I appreciate the  
11 opportunity.

12                  CHAIRMAN POWERS: Thank you.

13                  DR. SHACK: Let me. What is your argument  
14 again about why this is appropriate for the power up  
15 rates? You're not arguing that the source terms is  
16 increased in the same way. Are you just saying that  
17 you should consider the change in source term and use  
18 it to modify the LERF?

19                  DR. LYMAN: Well, the source term is  
20 increased not in the same way, but some of the --

21                  DR. SHACK: Okay, but your argument is you  
22 should consider that change in the source term and  
23 modify the acceptance on the LERF. That's what you  
24 mean.

25                  DR. LYMAN: Right.

1 DR. CRONENBERG: The scale and not the  
2 source term.

3 DR. LYMAN: Right. I mean, this is  
4 actually discussed here last year where there was some  
5 argument how do you risk inform this if you don't have  
6 a tool that takes into account change in source term,  
7 and I'm saying this is one way to do that.

8 DR. CRONENBERG: When did the mobilization  
9 -- was that really canceled?

10 DR. LYMAN: Well, they suspended the  
11 contract. They had had a request for proposals put  
12 out for a mobilization contractor. That's going to be  
13 suspended. That money was zeroed out for the coming  
14 fiscal year.

15 They don't say it's been canceled, but  
16 everyone I know or what I've heard from people inside  
17 the program is it's dead. People have been  
18 reassigned. The work is over.

19 Thanks.

20 CHAIRMAN POWERS: Ralph, we have some time  
21 scheduled for the full Committee tomorrow on this  
22 general area of high burnup and MOX fuel. I'll be  
23 frank. I did not see anything that I felt a burning  
24 need to bring before the full Committee. Is that true  
25 or do you have a different perception?

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1 DR. MEYER: No, I think that's okay. I  
2 was just wondering what you expected the staff to  
3 prepare for tomorrow.

4 CHAIRMAN POWERS: Well, what I was going  
5 to suggest is, I mean, you've basically given us an  
6 update on where you stand, that you've gone through  
7 your PIRTs. I think that's great.

8 I was just going to suggest that I'd give  
9 a quick summary to the ACRS and let it go at that.

10 DR. MEYER: Okay.

11 CHAIRMAN POWERS: I mean, there's nothing  
12 for us to write a letter about. So I hope you're not  
13 expecting a letter from us.

14 DR. MEYER: Right, right.

15 CHAIRMAN POWERS: We need to produce a  
16 letter that says to close out one of the GSIs on this  
17 high burnup fuel.

18 DR. MEYER: Yes.

19 CHAIRMAN POWERS: Okay, and basically what  
20 we need to be able to say is everything that's listed  
21 in that GSI is being addressed in the research  
22 program, and I think we're on safe grounds in saying  
23 that.

24 DR. MEYER: That's correct.

25 CHAIRMAN POWERS: Okay. So it seems to me

1 that the only thing we need to do is why don't I just  
2 give a summary of what went on at this meeting? You  
3 guys can go do your work and actually make some  
4 progress.

5 DR. MEYER: Okay.

6 CHAIRMAN POWERS: And that's not put -- I  
7 mean, I just don't see a need to have a -- I'm sure  
8 the Committee members would be very interested in  
9 what's going on, but that's all it would be, would  
10 just be technical interest and whatnot, and that's the  
11 job of the subcommittee. We get the fun job.

12 DR. MEYER: Okay.

13 CHAIRMAN POWERS: They've got to work  
14 hard.

15 DR. MEYER: That sounds fine to me. So I  
16 don't have to prepare a presentation tomorrow.

17 CHAIRMAN POWERS: I don't think you need  
18 to prepare a thing.

19 Richard, similar I think on the MOX.  
20 You're just getting started. I don't see anything.  
21 I think between Med and I we can take your viewgraphs,  
22 put together a viewgraph that says, "Here's what we  
23 talked about, and our intention is to come back and  
24 look again roughly in the fall."

25 Because that looks like when things were



1 coming down both from Margaret's perspective and from  
2 your perspective; is that right, Ralph?

3 DR. MEYER: Okay.

4 CHAIRMAN POWERS: I mean that's all I see  
5 to do. I think it was a great update, but I just  
6 don't see anything that the Committee needs to act  
7 upon, except we need to get that GSI out.

8 DR. MEYER: Yeah.

9 CHAIRMAN POWERS: But I think that's --

10 DR. MEYER: That's a separate.

11 CHAIRMAN POWERS: It's a separate issue  
12 for us, but I think it's -- I mean, I think what we  
13 needed from you is the assurance that the research  
14 program is covering it.

15 DR. MEYER: The assurance that?

16 CHAIRMAN POWERS: The research program --

17 DR. MEYER: Yes.

18 CHAIRMAN POWERS: -- is taking into  
19 account everything that --

20 DR. MEYER: It does. It does cover  
21 everything that was said.

22 CHAIRMAN POWERS: And I think that was all  
23 that was needed.

24 DR. MEYER: Yeah.

25 CHAIRMAN POWERS: Okay.

1 DR. MEYER: Okay. Great.

2 CHAIRMAN POWERS: Any other comments  
3 people would like to make?

4 (No response.)

5 CHAIRMAN POWERS: In that case, I will  
6 adjourn this meeting of the Subcommittee with thanks  
7 to the speakers. All very interesting, and at the  
8 same time somewhat confusing in that there obviously  
9 is at least one variable that I don't understand in  
10 clad behavior.

11 (Whereupon, at 3:17 p.m., the Subcommittee  
12 meeting was adjourned.)

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**CERTIFICATE**

This is to certify that the attached proceedings  
before the United States Nuclear Regulatory Commission  
in the matter of:

Name of Proceeding: ACRS REACTOR FUELS

Docket Number: (NOT APPLICABLE)

Location: ROCKVILLE, MARYLAND

were held as herein appears, and that this is the  
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**ADVISORY COMMITTEE ON REACTOR SAFEGUARDS  
REACTOR FUELS SUBCOMMITTEE  
APRIL 4, 2001  
ROCKVILLE, MARYLAND**

**PROPOSED AGENDA**

<b>TOPIC</b>	<b>PRESENTER</b>	<b>TIME</b>
I. Introductory Remarks (ACRS)	D. Powers	8:30- 8:35 a.m
II. Research Activities on high Burnup PIRT	R. Meyer	8:35- 9:45 a.m
III. Framatome Testing and Assessment of LOCA ductility Of M5 cladding	TBD	9:45- 10:30 a.m
<b>*****BREAK*****</b>		10:30- 10:45 a.m
IV. Westinghouse Testing and Assessment of LOCA ductility Of ZIRLO cladding	TBD	10:45- 11:30 a.m
V. Summary of OECD Topical Meeting on LOCA fuel safety criteria	R. Meyer	11:30- 12:00 Noon
<b>*****LUNCH*****</b>		12:00- 1:00 p.m
VI. Recent Operational Issues and Experience with high burnup fuel	M. Chatterton (NRR)	1:00- 1:30 p.m
VII. Research activities on MOX fuel	R. Lee	1:30- 2:00 p.m
VIII. General Discussion and adjournment		2:00- 2:10 p.m

**NOTE:** Presentation time should not exceed 50% of the total time allocated for a specific item. Number of copies of presentation materials to be provided to the ACRS is 35.

**ACRS Contact:** Med El-Zeftawy (301) 415-6889  
E-mail: mme@nrc.gov

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

SUBCOMMITTEE MEETING ON REACTOR FUELS

APRIL 4, 2001

Date

ATTENDEES - PLEASE SIGN IN BELOW

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AFFILIATION

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U.S. General Accounting Office

Mich Nissley

Westinghouse

WILLIAM LEECH

WESTINGHOUSE

DAVID DIAMOND

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Rich Janati

PA DEP/BRP

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Framatome ANP

GARRY GARNER

FRAMATOME ANP

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Washington Group International

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Duke Energy

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

SUBCOMMITTEE MEETING ON REACTOR FUELS

APRIL 4, 2001

Date

NRC STAFF SIGN IN FOR ACRS MEETING

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NAME

NRC ORGANIZATION

Sudhamay Basu

RES

RALPH CARuso

NRR/SRXB

Steve LAVIE (La Vie)

NRR/SPSB

Muffet Chatterton

NRR/SRXB

Bob Martin

NRR/DLPM

Richard Lee

RES

Harold Scott

RES

Jack Rosenthal

ICES/SMSAB

UNDINE SHoop

NRR/SRXB

## **RECENT OPERATIONAL ISSUES AND EXPERIENCE WITH HIGH BURNUP FUEL**

---



Margaret S. Chatterton  
ACRS Reactor Fuels Subcommittee Meeting  
April 4, 2001

## **Outline of Presentation**

---

- Burnup Extension Activities
- Lead Test Assembly Guidelines
- Recent Fuel Issues
- Current Fuel reviews

## **Basic Approach for Burnup Extension**

---

- NRC Working with Industry to Develop a Strategy and a Plan
- Industry is Developing the Plan and Guidelines
- Industry Will Do the Testing and Develop the Criteria
- Objective is to Endorse Industry Approach in a Regulatory Guide

## **Burnup Extension Guidelines**

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- Address Current Licensing Requirements including LOCA, ATWS and RIA
- Be Risk Informed
- Emphasis on Lead Test Assemblies
- Fuel Performance Monitoring Program

### **Burnup Extension Activities**

---

- Draft Submittal from NEI - March 2000
- NRC Staff Provided Comments
- NEI/NRC Meeting - December 6, 2000
  - Outlined Approach on RIA
  - NRC Staff Comments - Approach looked reasonable
- Expect Submittal Late Summer 2001

### **Lead Test Assemblies**

---

- Prototypical
  - Up to Proposed Burnup
  - Power History
  - Type of cladding
  - Flow Conditions
  - Water Chemistry
- Characterized before Irradiation
- Poolside and Hot Cell Examinations
  - After Each Cycle
  - After Final Burnup

### **Lead Test Assembly Guidelines**

---

- Purpose
  - Consistent Approach
  - Consistent Data Collection
  - Obtain Data
- Outline of Topics
- Progress
  - Meeting with WOG - May 2000
  - Topical Submitted by WOG and NEI - Oct/Nov 2000
  - WOG/NEI/NRC Meeting - December 6, 2000
  - Staff Comments and Acceptance of Topical for Review - January 25, 2001

### **Lead Test Assembly Guidelines**

---

- Definition
- Characterization
  - Poolside Examination Data
  - Hotcell Examination Data
- Number
- Placement
- Safety Requirements
- Reporting



### **Recent Fuel Issues**

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- Oxidation Higher than Predicted
- Axial Offset Anomalies
- Fuel Failures Due to High Fuel Duty
- Adverse Effects of Water Chemistry
- High Crud Buildup
- Accelerated Growth of Rods and Assemblies

### **Current Fuel Reviews**

---

- Duplex Cladding
  - Extensive Testing and Use in Europe
  - Beginning Review
- Zirlo for CE Plants
  - Reason for Request
  - Timetable for Review
  - Issues to be Examined

March 22, 2001  
Slide #1

# **Ductility Testing of Zircaloy-4 and ZIRLO™ Cladding After High Temperature Oxidation in Steam**

**Westinghouse Electric Company**

**Advisory Committee on Reactor Safeguards  
Reactor Fuels Subcommittee**

**April 4, 2001  
Rockville, MD**



## **Zircaloy Ductility after High Temperature Oxidation in Steam**

- Ductility measurements on Zircaloy oxidized in high temperature steam were used to establish cladding embrittlement criteria of 10 CFR 50.46
  - Peak Cladding Temperature no greater than 2200F
  - Equivalent Cladding Reacted (ECR) no greater than 17%
- Testing consisted of quench tests from temperature and ring compression tests
  - Ring Compression Tests conducted on Zircaloy-4
  - Quench Tests of Zircaloy-2 and Zircaloy-4
- The purpose of the criteria is to ensure the cladding would remain sufficiently intact to assure an easily coolable geometry



## Information Supplied for ZIRLO™ Licensing

- Testing of ZIRLO™ was performed to obtain data on the following areas
  - Material mechanical properties, density, thermal expansion, thermal conductivity, specific heat, phase changes, high temperature creep, high temperature oxidation, and rod burst characteristics.
- Other than phase change characteristics, the properties are essentially equivalent to those of Zircaloy-4
- It was argued that because of the close similarity to Zircaloy-4, the 17% ECR criterion continued to apply
- The NRC agreed that the 17% criterion for Zircaloy also applied to ZIRLO™ and 10 CFR 50.46 was amended to state that the acceptance criteria applied to ZIRLO™



## **Results of Tests on Alloy E110 Oxidized in High Temperature Steam (Bohmert, Kerntechnik 57)**

- ECR to cause complete embrittlement is about 1/3 the value for Zircaloy-4
- A number of physical differences between the oxide layers of E110 and Zircaloy-4 were observed
  - E110 displayed a heterogeneous appearance of the oxide scale
  - E110 formed two oxide layers that were frequently separated by cracks
  - Multi-layer oxide layers tend to flake
  - Zircaloy-4 always had a glossy black firmly adherent single layer relatively free from mechanical failures
  - E110 showed low hydrogen uptake only if firmly adherent crackless oxide layers were formed
- High temperature steam oxidation tests of ZIRLO™ and Zircaloy-4 produce similar dark adherent oxide layers



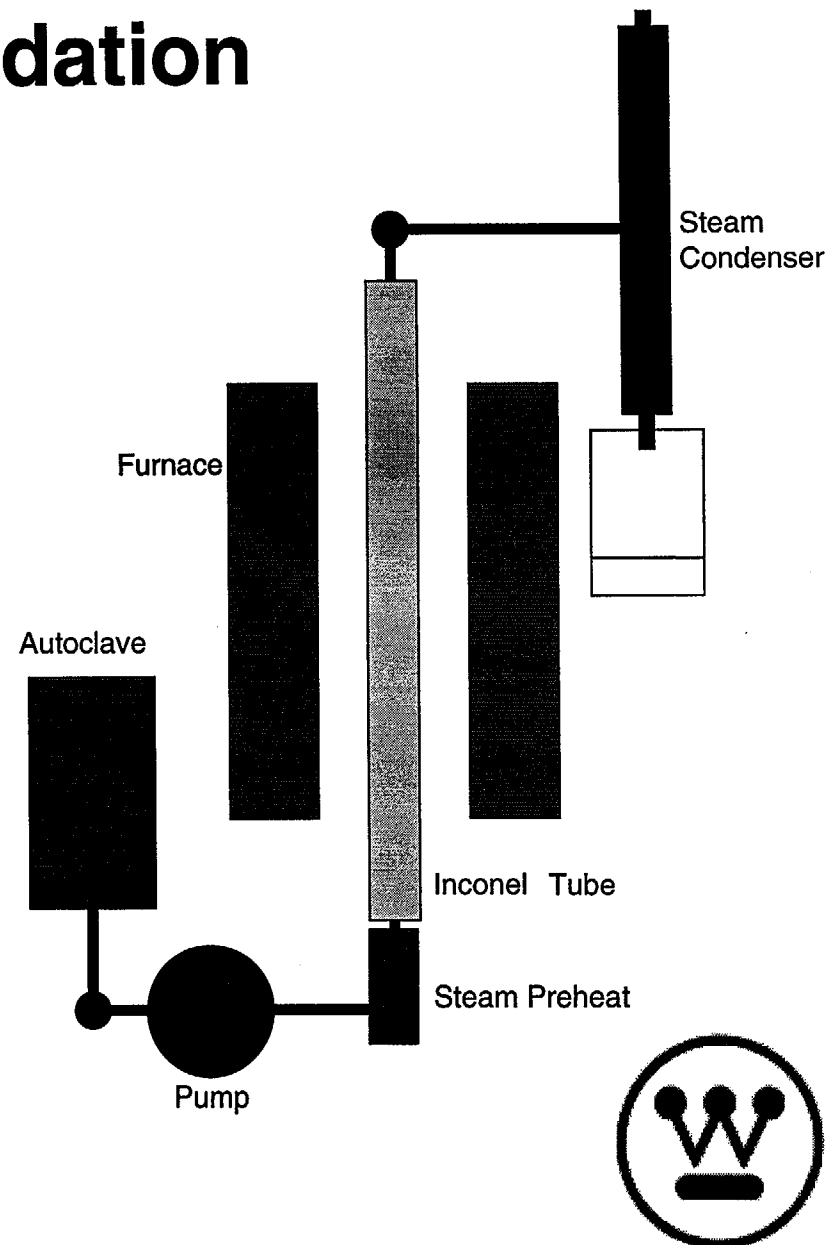
## ZIRLO™ and E110 Are Not Equivalent

- Both alloys contain 1% niobium
- ZIRLO™ also contains
  - Sn
  - O
  - Fe
- Sn and oxygen are alpha phase stabilizers and raise the transition temperature relative to Zr-Nb binary alloys.
- There are significant differences in the oxide layer structure reported for the E110 alloy and those observed for either ZIRLO™ or Zircaloy-4



# Steam Oxidation

- Clam shell resistance furnace.
- Specimens placed in Inconel tube.
- Deaerated water from autoclave pumped into Inconel tube.
- Exit steam condensed by water cooling jacket.



March 22, 2001

Slide #7

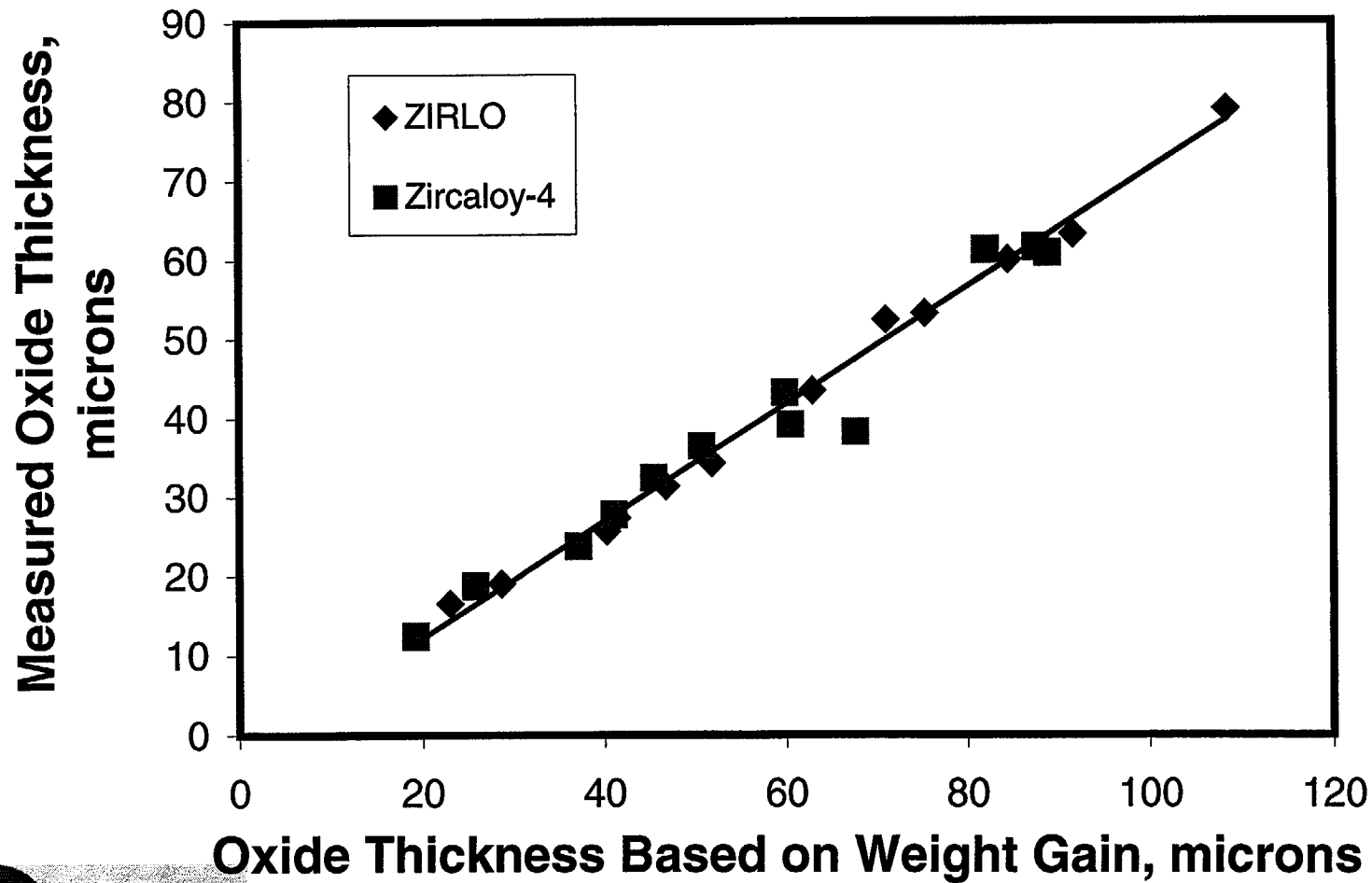
# Specimen Evaluations (In Progress)

- Specimen Evaluations
  - Oxide Layer Characteristics
  - Ring compression tests
    - Assess cladding ductility.
    - Room temperature and 275°F.
    - Test performed similar to Hobson & Rittenhouse (ORNL Report 4758) and Böhmert.
  - Optical metallography
    - Oxide thickness,  $\alpha$ -stabilized layer, transformed- $\beta$  layer.
    - Microhardness to assess oxygen penetration.
  - Hydrogen and oxygen concentrations

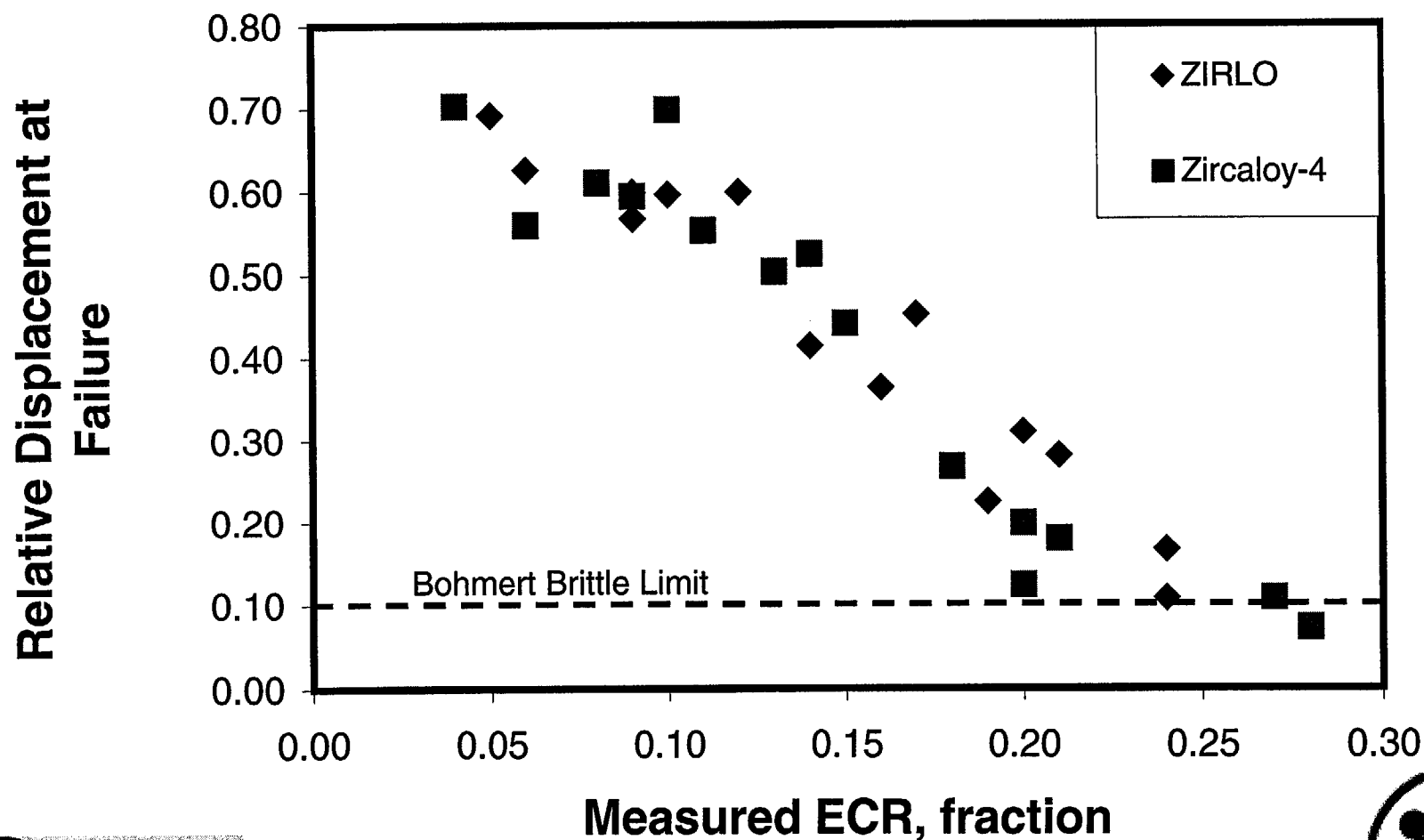




## Measured Oxide Thickness vs. Oxide Thickness Based on Weight Gain



## Relative Displacement at Failure vs Measured ECR at a Temperature of 275F (PRELIMINARY)



## Comparisons of ZIRLO™ and Zircaloy-4

- Both oxide layers were dark, adherent, and with no laminations
- Both have similar fractions of oxygen in the oxide layer and in the metal
- Ring compression tests show similar values of displacement at failure versus the measured Equivalent Cladding Reacted
- ZIRLO™ and Zircaloy-4 exhibit similar behavior



## Plan for Project Completion

- Perform remaining sample preparation
- Complete all planned tests
- Document and Review the results
- Meet with the NRC to discuss the results (May)



# MOX FUEL SAFETY: A NEED FOR RESEARCH

Edwin S. Lyman, PhD

Nuclear Control Institute

Presentation to the ACRS Reactor Fuels  
Subcommittee

April 4, 2001

# OVERVIEW

- MOX Program Concerns
- MOX Source Term Impact on Severe Accident Consequences and Risk
- MOX Impact on Transients
  - Overcooling and PTS
  - Reactivity Insertion Accidents
- MOX Fuel Qualification Issues

# MOX PROGRAM CONCERNS

- No real choice of mission reactors
- Timetable dictated by international agreement and not by safety requirements
- DOE budget cuts will increase pressure for abbreviated MOX safety review
- Heavy reliance on proprietary foreign data
- Cancellation of immobilization track will increase burden on MOX program

# MOX SOURCE TERM FOR SEVERE ACCIDENTS

- Compared to LEU core, DCS MOX core (40% MOX core fraction, Am removal) at EOC contains approximately
  - 2 times more Pu-239, Am-241, Cm-242
  - 10% less Pu-238
  - 45% more Ru-106 (important for PTS events, spent fuel pool accidents?)
- DOE EIS inventory calculations flawed



# MOX IMPACT ON SEVERE ACCIDENT CONSEQUENCES

- Increased TRU source term in DCS core is important for severe accidents with early containment failure (ECF):
  - 25% increase in latent cancer fatalities (LCFs)
  - 4% increase in prompt fatalities (PFs)  
(E.Lyman, *Science and Global Security*, forthcoming)
  - Both LCFs and PFs increase by about 30% for high-Ru release fraction

## MOX IMPACT ON RISK

- Assuming all initiator frequencies remain the same, average LCF population risk (< 1 mi) also increases by 25% for DCS core
- Risk of MOX use can be assessed using RG 1.174 methodology by defining

$$\Delta \text{LERF}_{\text{eff}} \equiv \text{LERF} \times \Delta R/R$$

- Also useful for evaluation of extended power uprates

# RISK IMPACT OF MOX IN ICE CONDENSER PLANTS

- Ice condensers “substantially more sensitive to early containment failure” than other PWRs (NUREG/CR-6427, April 2000)
- Precisely the class of accidents in which additional MOX source term impact is felt
- McGuire IPE LERF (int+ext):  $4.7 \times 10^{-6}$ 
  - $\Delta \text{LERF}_{\text{eff}} = 1.2 \times 10^{-6}$
  - $> \text{RG } 1.174 \times 10^{-6}$  threshold

## RISK IMPACT OF MOX IN ICE CONDENSER PLANTS (cont.)

- Estimate does not take into account Sandia finding that McGuire IPE underestimates ECF frequency by a factor of 7
- If taken into account, McGuire IPE LERF would exceed  $1 \times 10^{-5}$ : no LERF increase greater than  $10^{-7}$  allowed (RG 1.174)
- MOX risk increase may be unacceptable
- Implications for extended power uprates

# MOX IMPACT ON TRANSIENTS: OVERCOOLING EVENTS AND PTS

- PTS screening criteria for LEU cores may not be appropriate for MOX cores:
  - reduced decay heat leads to more rapid RCS temperature decrease
  - greater actinide and Ru inventory implies air oxidation source term is more severe
- faster embrittlement from greater fast flux
  - Duke Power not planning to consider MOX use in license renewal TLAAs

# MOX IMPACT ON TRANSIENTS: REACTIVITY INSERTION

- Increased vulnerability of MOX fuel to RIAs is a concern (Cabri REP Na-7 test)
- Key consideration is fuel homogeneity and size distribution of Pu agglomerates:
  - Westinghouse (1994) recommended to DOE that “adherence to limits on Pu agglomerates in the range of 10 to 15  $\mu\text{m}$ ” be required
  - Yet DCS appears to be proposing a relaxation of the French specification!

# PU PARTICLE DISTRIBUTIONS

- Cogema MIMAS Pu particle distribution:
  - mean size 20-40  $\mu\text{m}$
  - max. 2% of clusters  $> 100 \mu\text{m}$
  - max. size about 140  $\mu\text{m}$
- DCS specification:
  - mean size  $< 50 \mu\text{m}$
  - max. 5% of clusters  $> 100 \mu\text{m}$
  - max. size 400  $\mu\text{m}$

# MOX QUALIFICATION ISSUES

- Schedule for fuel qualification and licensing is very aggressive:
  - Oct. 2003: commencement of LTA irradiation
  - Oct. 2006: discharge of twice-burnt LTAs
  - Oct. 2007: first MOX reload batch
- Where will the LTAs be made?
  - if in Europe, may not be representative
  - if at the U.S. MOX plant, will cause delay



## QUALIFICATION ISSUES (cont.)

- NRC ability to fully resolve MOX fuel safety issues is in jeopardy:
  - Time for post-irradiation LTA characterization and testing is insufficient
  - may force over-reliance on proprietary foreign data without confirmation --- Framatome/M5 experience should give pause
  - DOE uncooperative --- has rejected RES request for access to spent LTA rods

# CONCLUSIONS

- Timetable and staff resources for MOX safety issue resolution should be based on NRC and not DOE needs
- Cancellation of the immobilization track will increase pressure on NRC not to be “obstructionist” in MOX licensing
- Current path for MOX fuel approval is not likely to engender public confidence

# **MOX RESEARCH**

Presented to the  
ACRS Reactor Fuel Subcommittee  
April 4, 2001

by

Richard Lee  
Office of Nuclear Regulatory Research  
(301) 415-6795

# MIXED-OXIDE FUEL

## ISSUE

- Utilization of weapons-grade mixed oxide fuel (MOX) in specific U.S. Pressurized Water Reactors (PWRs)

## BACKGROUND

- U.S. Department of Energy issued Record of Decisions (1/14/97 and 1/4/00) to pursue a hybrid approach to safely and securely dispose of up to 50 metric tons of surplus plutonium from the U.S.
- The hybrid approach allows for the immobilization of approximately 17 metric tons of surplus plutonium and the use of 33 metric tons in MOX fuel.
- Savannah River Site has been selected for weapons-grade MOX fuel fabrication.
- Weapons-grade MOX are to be used in selected U.S. PWR commercial reactors (McGuire and Catawba).

# MIXED-OXIDE FUEL

## RES ACTIVITIES:

- NRC/RES is to provide technical support in licensing review of weapons-grade MOX use in PWRs
- Technical support: Improvement to Analysis Codes and Assessment of Environmental Impact of MOX fuel use
  - Neutronics: develop models for MOX, benchmark against critical experiments, computational benchmarks, and plant data
  - Fuel: revised model for MOX, assessment of fuel behavior under normal and abnormal conditions
  - Source Terms: validate model(s) against relevant experimental data, and perform consequence analysis

# MIXED-OXIDE FUEL

## STATUS:

- Conduct Phenomena Identification and Ranking Tables (PIRTs) for MOX  
<http://www.nrc.gov/RES/PIRT/>
  - PIRT for LOCA and reactivity accident completed
  - PIRT for source term is being initiated and expects to complete by this year
- Neutronics:
  - PARCS code development at the Purdue University
    - initiated in November 2000
    - implement and assessment of multi-group, P1 and P3 for X-sections representations
    - collaboration with France - Saclay, comparison of CRONOS vs. PARCS
    - development of a “theoretical” benchmark for reactivity transient for MOX under discussion with OECD/NEA

- Neutronics: (continued)

Brookhaven National Laboratory

- independent assessment of PARCS
- provide feedback to code developer
- assist in assembling benchmark/assessment problems for PARCS analysis of MOX cores
- assist NRR in review of technical issues related to MOX licensing as needed (e.g. MOX fuel qualification program)

Oak Ridge National Laboratory

- Initiated the development of the NEWT lattice physics code

- Fuel:

Pacific Northwest National Laboratory

- initiated modifications of fuel codes for MOX analysis
- assess code against MOX fuel behavior (e.g., Halden)

- Source Terms:
  - Initiated effort to obtain relevant experimental data (e.g., VERCORS, France; VEGA, Japan) for the assessment of fission products release models for MOX fuel
  - Additional experimental data may be available from the IPSN MAGRAGUE program at Cadarache, France
- Assist in licensing review of technical issues as they arise



# **LOCA Ductility of M5™ Cladding**

**Garry L. Garner**  
Framatome ANP  
Lynchburg, Virginia

# Outline

- Review of In-Reactor Operating Experience
  - Alloy composition, fabrication parameters
  - Corrosion/hydrogen properties
- Review of High Temperature Testing
  - Oxidation Tests
  - Quench Tests
  - Post-Quench Mechanical Testing
- Conclusions and Summary

# In-Reactor Performance

# Alloy M5™

## ➤ Composition:

- Sn: An impurity in M5™
- Fe: Target 250 - 500 ppm (improve corrosion)
- O: Target value 1250 - 1450 ppm ( improve creep)
- S: Maintain consistent creep behavior

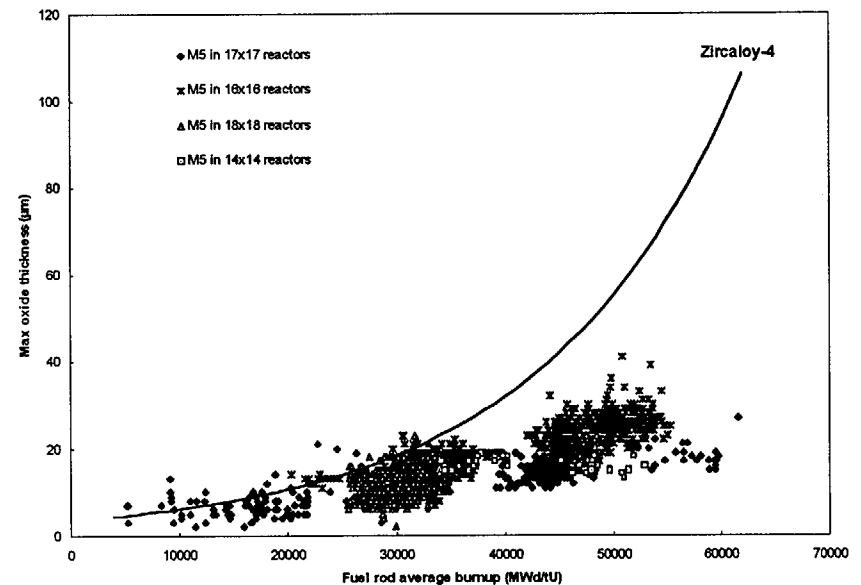
## ➤ Thermomechanical processing

- Low temperature annealing to insure stable microstructure

# M5™ PWR Corrosion Performance

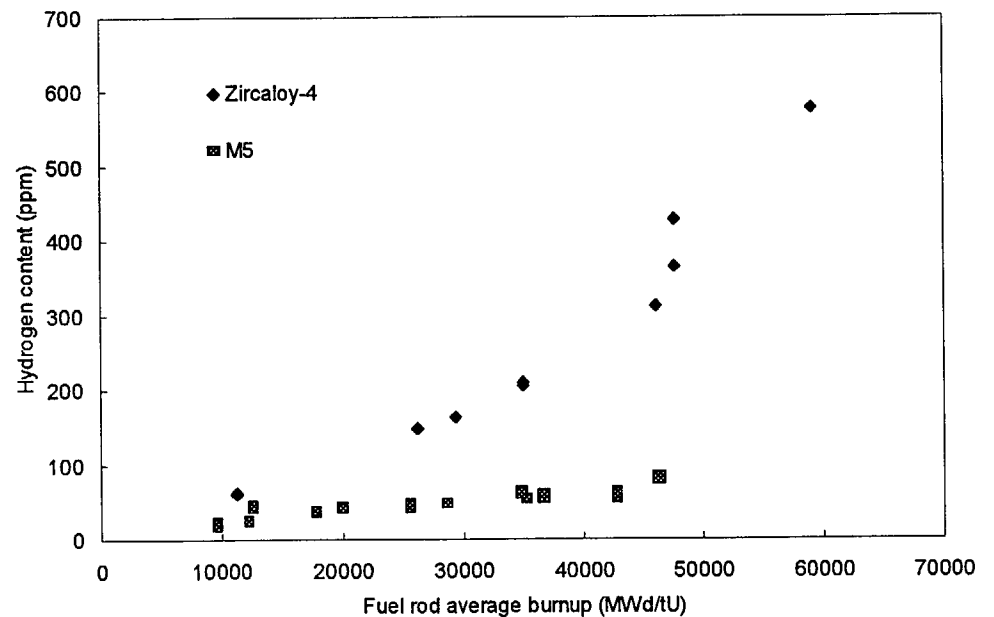
- Additional data in BU range 50-60 GWd/tU
- Excellent corrosion behavior of M5™
  - for all designs and for all operating conditions
- Thickness < 40 μm for BU up to 63 GWd/mtU

Corrosion behavior of Zirconium alloy claddings



# PWR Hydrogen Performance Of M5™

- Significant reduction of clad hydrogen content
- Additional M5™ data at high burnup planned in 2001



## Summary: M5™ In-Reactor Performance

- Low oxidation rate
- No increase in rate to burnups of 63 GWd/mtU
- Lower sensitivity to temperature and rod power than Zr-4 (reactor duty)
- **Low oxidation rate + low hydrogen absorption = low hydrogen content at high burnup**

# CINOG High Temperature Testing

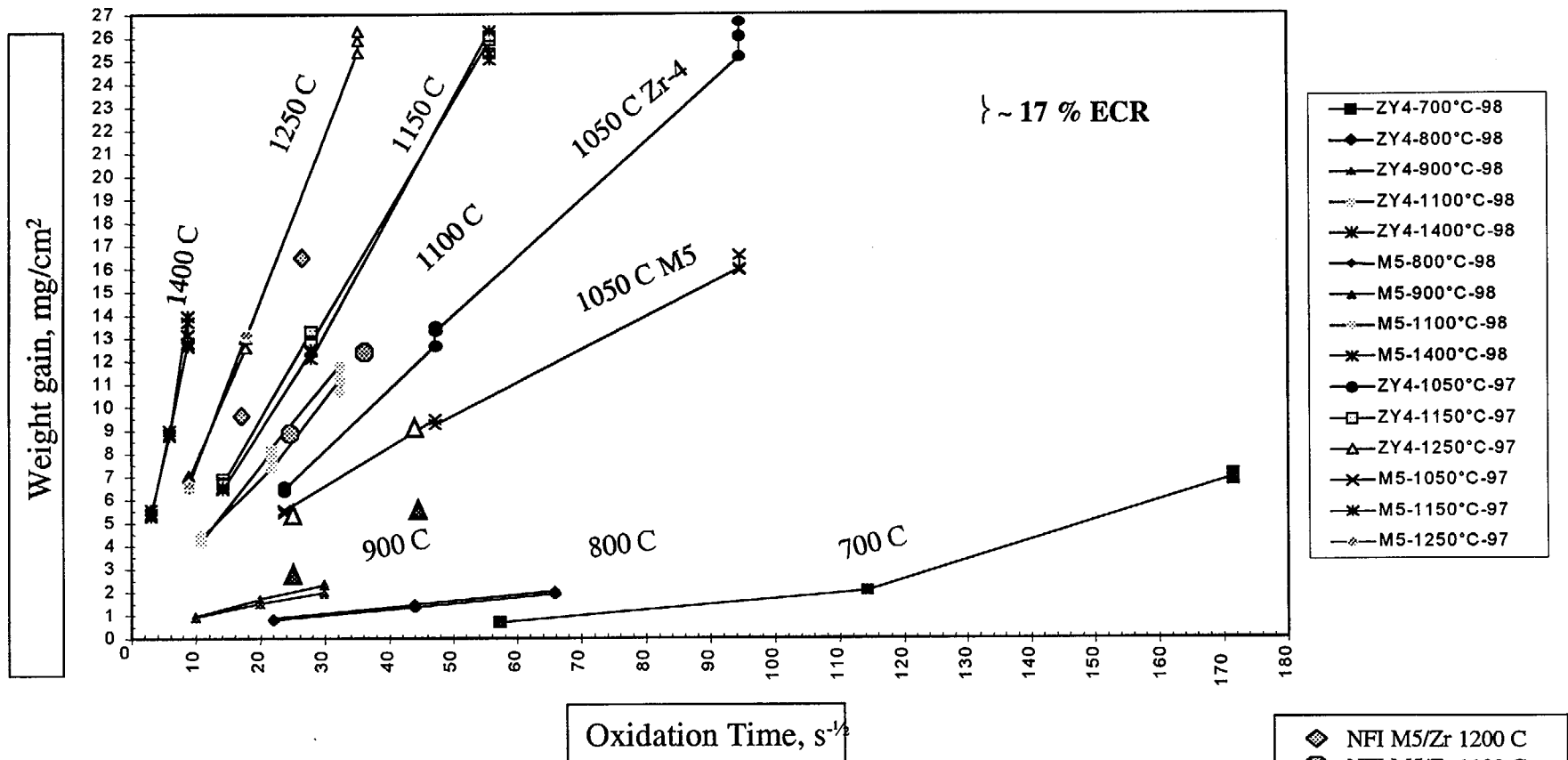


# High Temperature Oxidation (CINOG) Test Matrix

## ➤ Oxidation Tests (M5<sup>TM</sup> and Zr-4)

- Double-Sided Oxidation (L = 20 mm)
- T = 700, 800, 900, 1050, 1100, 1150, 1250, 1400 C  
(as manufactured cladding)
- T = 1200 C for Pre-Hydrated Cladding  
(200 ppm for M5, 200 and 450 ppm for Zr-4)
- 3 Oxidation times/Test Temperature → (50, 100, and 200 μm/side)
- 3 Samples/Test Condition (2 repeat tests)

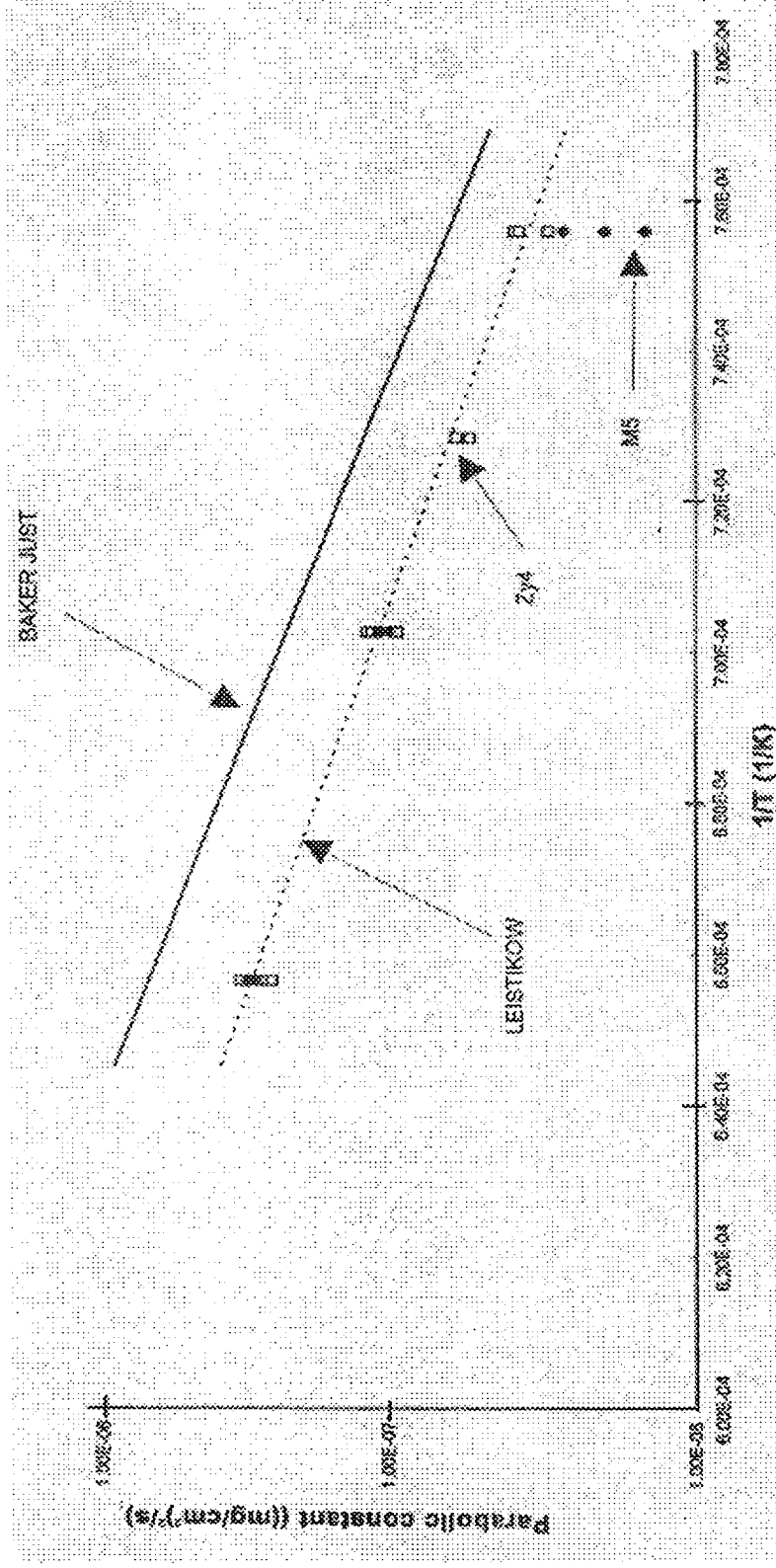
# Oxidation 700 TO 1400°C Zr-4 and M5™



- M5™ behaves better than Zr-4 at 1050°C
- Zr-4 values are consistent with literature
- M5™ values are consistent with independent Japanese tests

# Oxidation Kinetics - CINOG

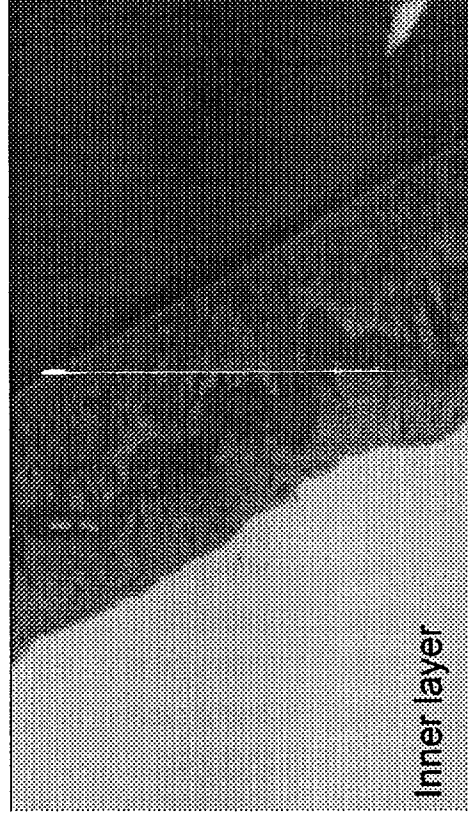
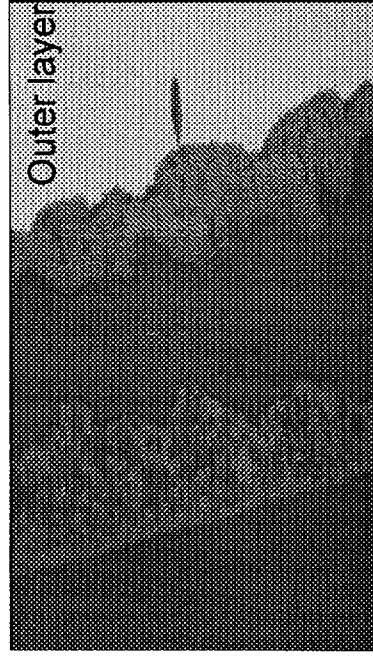
## Comparison with literature results



→ BAKER-JUST MODEL IS BOUNDING IN ALL ENCOUNTERED CONFIGURATION

→ LESTIKOW MODEL ACCURATELY PREDICTS ZY4 RESULTS AND IS BOUNDING FOR M5™

# Zy-4 Metallographic Observations After Oxidation at 1000°C for 3,270 Seconds

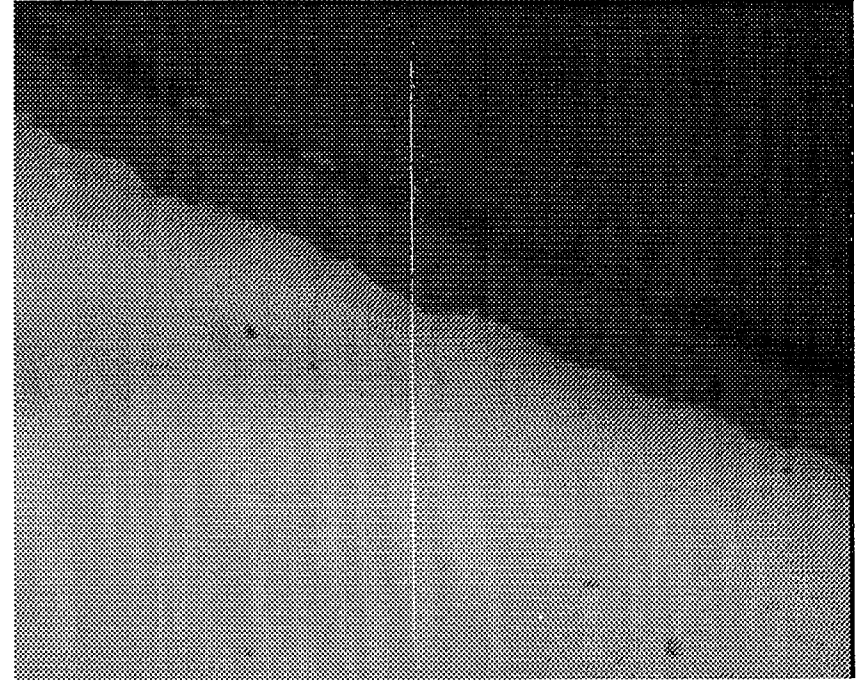


➔ Trace of delamination in inner and outer zirconia layers

# M5™ Metallographic Observations After Oxidation at 1000°C for 3,270 Seconds



Outer layer



Inner layer

- ➡ The inner and outer zirconia layers are homogeneous
- ➡ No trace of delamination

# Metallographic Observations

## M5<sup>TM</sup> and Zr-4

### After Oxidation at 1000 °C for 3,270 seconds

	Zr-4	M5 <sup>TM</sup>
External Zirconia Layer (μm)	55.2 to 61	18.9 to 20.3
External α Zr-O Layer (μm)	53.9 to 71.6	53.9 to 61.9
β <sub>Zr</sub> Layer (μm)	351 to 379	394 to 409
Internal α Zr-O Layer (μm)	53.5 to 70.4	47.4 to 57.3
Internal Zirconia Layer (μm)	48.7 to 55.8	19.0 to 21.7

# CINOG Quench Test Matrix

## ➤ Quench Embrittlement Tests (M5™ and Zr-4)

- Double-Sided Oxidation (L = 100 mm)
- Cladding Failure when Cladding Leaks Air Under Slight Overpressure
- T = 1000, 1100, 1200, 1300 C for as manufactured cladding
- T = 1200 C for Pre-Hydrided Samples  
(200 ppm for M5, 200 and 450 ppm for Zr-4)
- Generally 5 or more Tests to Establish Cladding Failure Threshold

## ➤ Post-Test Metallography and Hydrogen Analysis

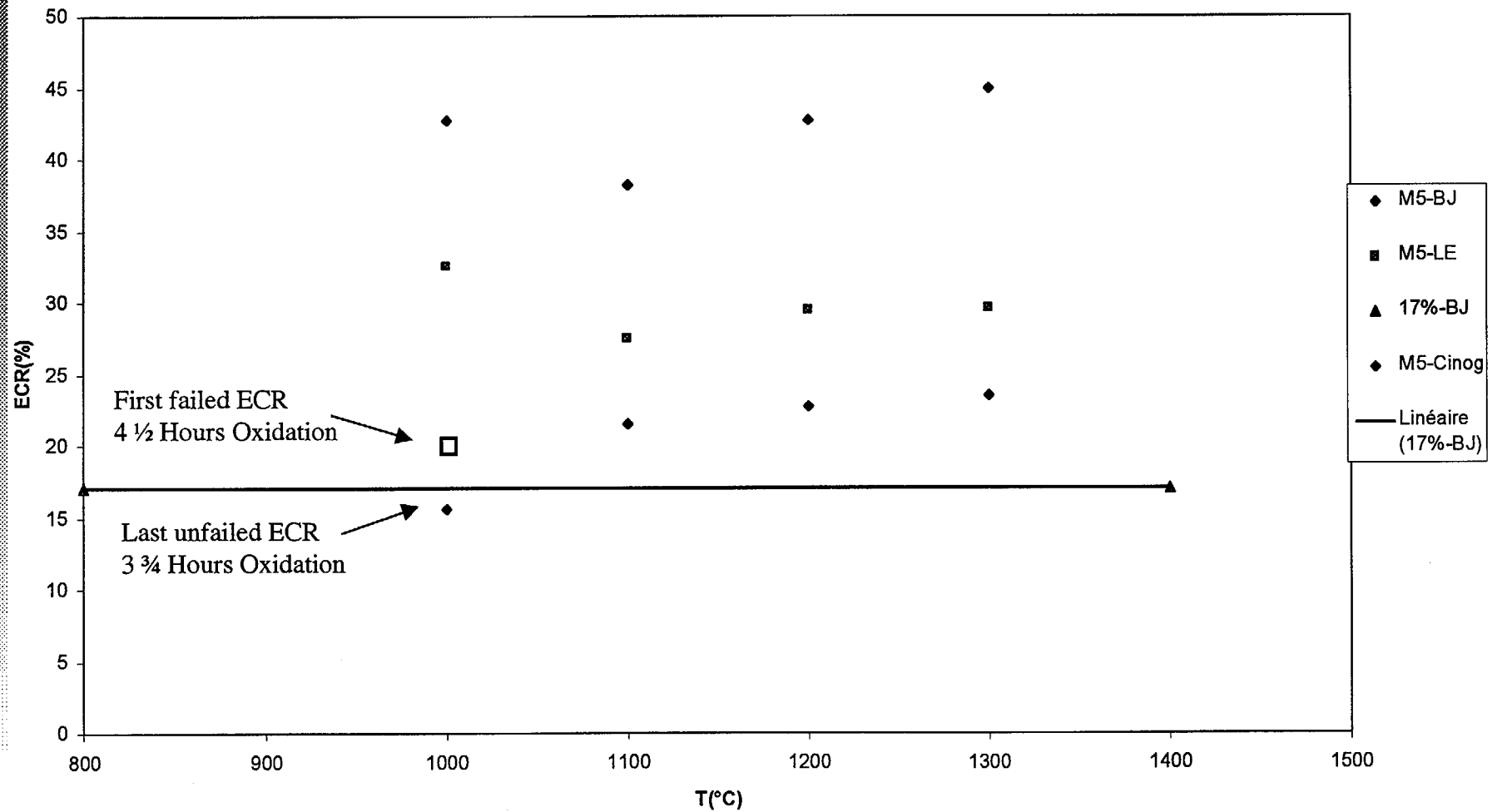
## Quench Test Results

Alloy	Oxidation Temperature (°C)	Time to Failure (seconds)
Zr-4	1000	6,500
	1100	2,970
	1200	950
	1300	390
M5™	1000	13,500
	1100	2,959
	1200	1,200
	1300	495



# CINOG Quench Test

## ECR versus temperature



# CINOG Quench Test

## HYDROGEN CONTENT IN Zy-4 AND M5™ AFTER QUENCH

Alloy	Oxidation temperature (°C)	Duration (sec)	(H2) (ppm)
Zy4	1100	2970	24-32-22
ZY4	1200	950	21-22-22
Zy4	1300	390	26-25-25
M5™	1100	2959	18-18-20
M5™	1200	1200	16-19-17
M5™	1300	495	21-24-21

- ➡ Maximum oxidation duration before embrittlement similar or higher for M5™
- ➡ Slight hydrogen pickup, practically temperature-independent

# CINOG Test Results Summary

- High Temperature Oxidation Performance of M5™ is Equivalent or Superior to Zr-4
- M5™ Hydrogen Uptake is Low
- M5™ Accident Survival is Superior to Zr-4
  - T > 1100 C M5™ and Zr-4 Have Similar Survival Ability
  - T < 1100 C M5™ Survives up to 2 Times Longer than Zr-4
- M5™ Does Not Exhibit Delamination of Oxide
- Using Baker/Just to Establish ECR M5™ Always Meets the 17 % Criterion
- At Moderate Temperatures (1100 C > T > 900 C) M5™ Requires Excessive Oxidation Times to Achieve ECRs near 17 %
- Because M5™ Actually Performs Better During an Accident, The LOCA Criterion Should Remain 17 % Local Oxidation as Calculated by Baker/Just

# Post-Quench Mechanical Tests

# Post-Quench Mechanical Tests

## Test Matrix

### ➤ Oxidation

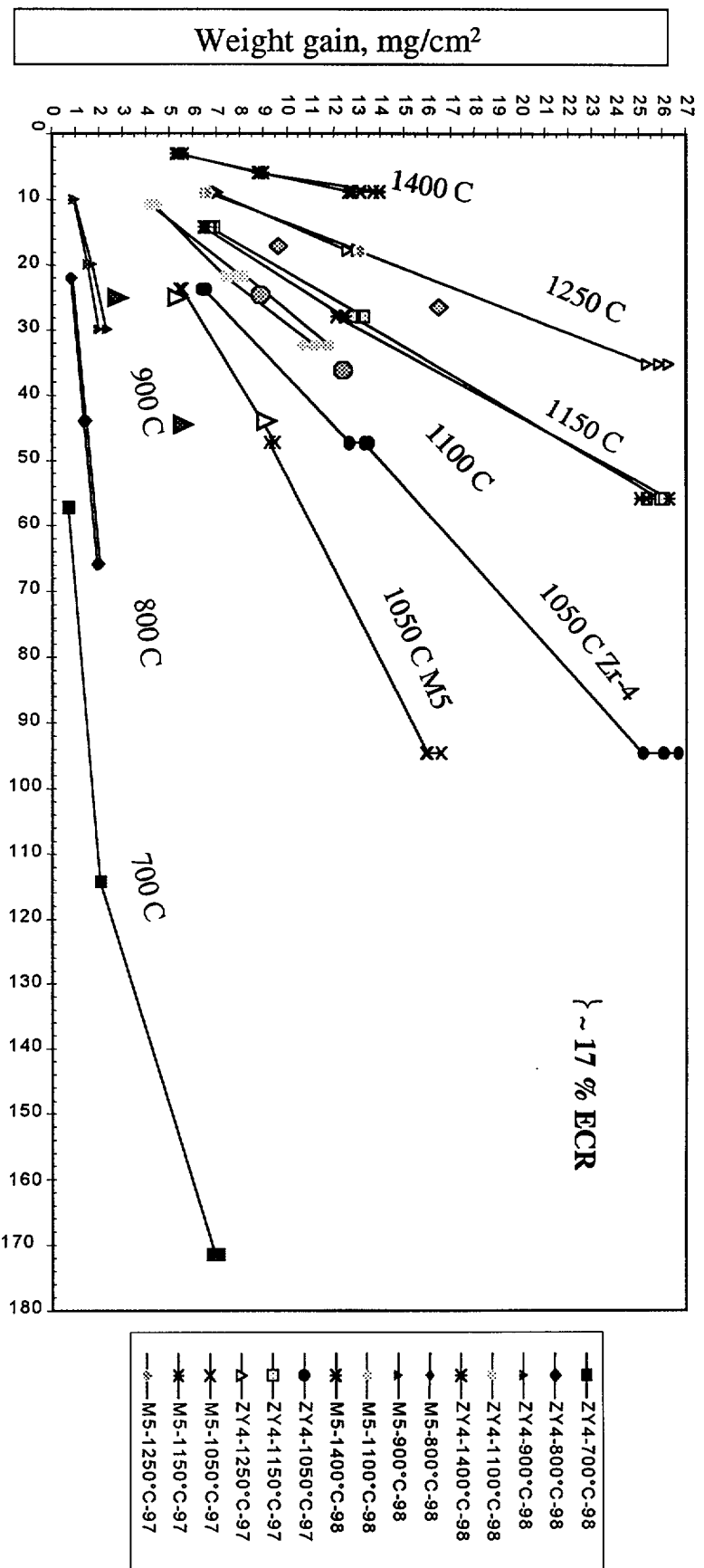
- $T=1100\text{ }^{\circ}\text{C}$
- $t \rightarrow \text{ECR}=3, 6, 10 \text{ and } 17\%$  (Lestikow law)
- Single face oxidation
- As-fabricated M5<sup>TM</sup> and Zr-4 cladding tubes

### ➤ Water Quench

### ➤ Mechanical tests

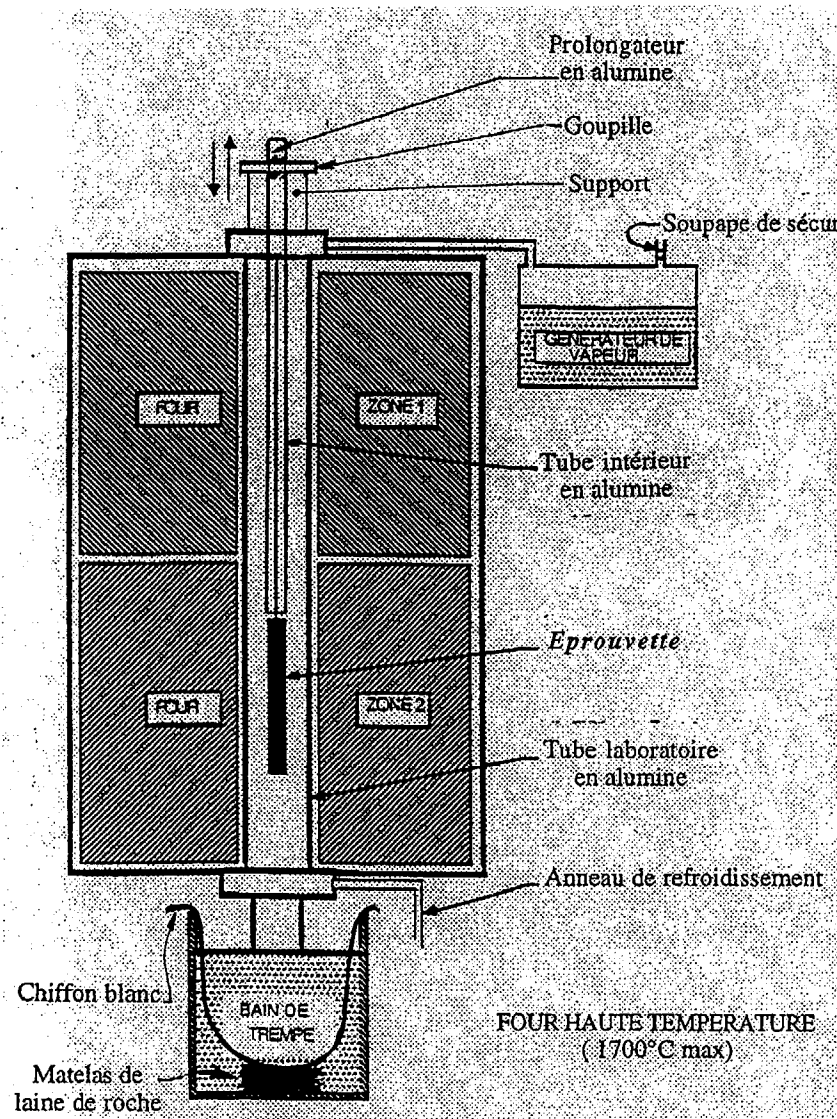
- Three point bend
- Impact
- Ring compression

# OXIDATION 700 TO 1400°C ZIRCALLOY-4 and M5™



- M5™ behaves better than Zr-4 at 1050°C
- Zr-4 values are consistent with literature
- M5™ values are consistent with independent Japanese tests

# Post-Quench Mechanical Test Oxidation - Device



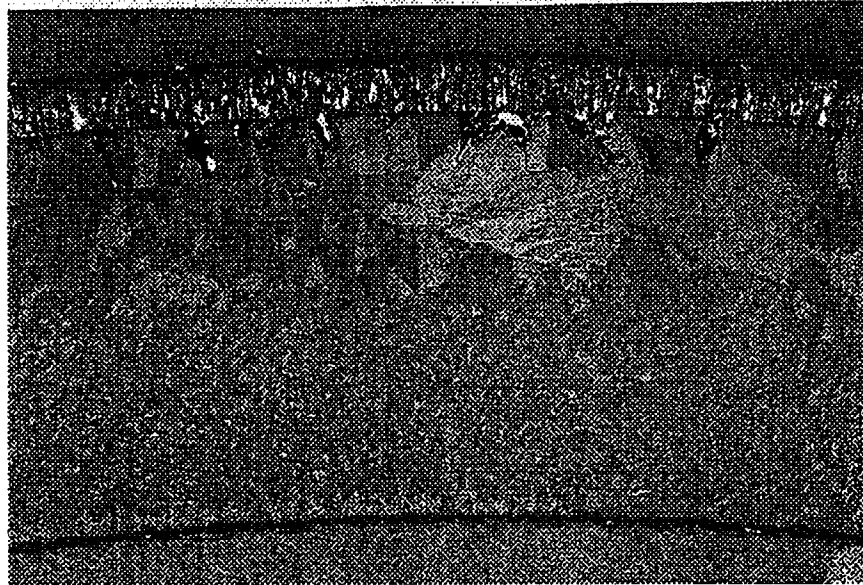
# Post-Quench Mechanical Test

## Percent of spalled oxide after oxidation at 1100 °C and quench for the longest exposure time (3098 - 3800 s)

Alloy	Test Number	Weight Gain During Oxidation (g)	Oxide Spalled (g)	Oxide Spalled (%)
Zr-4	71	1.0839	0.7215	66.9
	74	1.0799	0.6975	64.6
	77	1.0919	0.9088	83.2
M5	73	1.1634	0.0230	2
	76	1.1544	0.0259	2.2
	79	1.1696	0.0458	3.9



# Metallographic Observations Of Low-Tin Zr-4 After Oxidation At 1100°C $t = 1349$ s and Quenched



➤  $\alpha$ -Zr(0) layer: large  $\alpha$ -grains

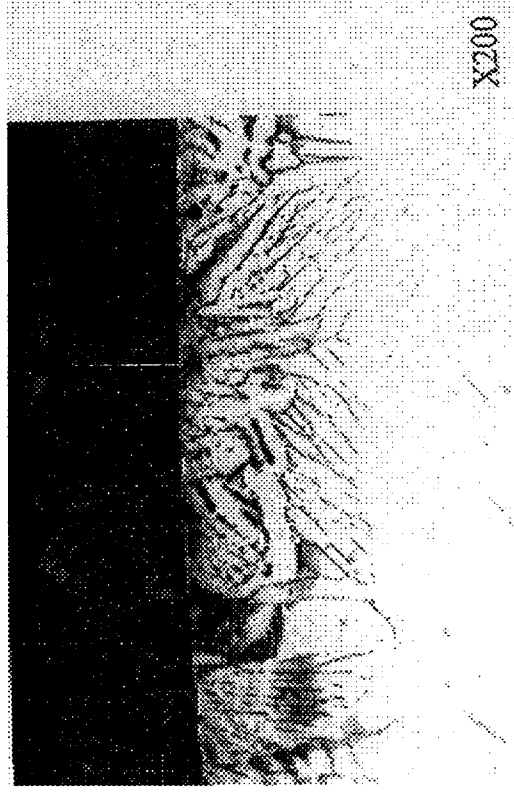


➤  $\alpha$ -Zr(0) layer: cracks

# Metallographic Observations Of M5™

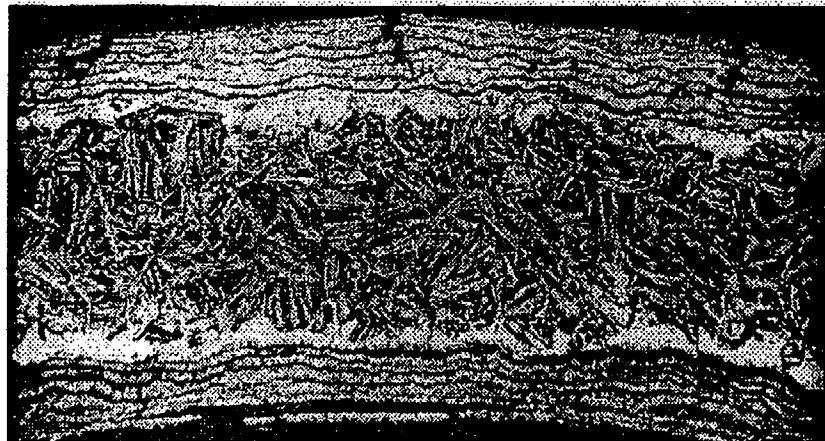
## After Oxidation At 1100°C

t = 3600 s and Quenched



- $\alpha$  Zr (O) layer : Linear distribution of niobium particles in  $\alpha$  platelets
- $\alpha$  Zr (O) layer : no cracks

# High - Temperature Oxidation Russian Alloy E-110 Cladding



- Stratified and cracked oxide layer
- Different morphology than M5™

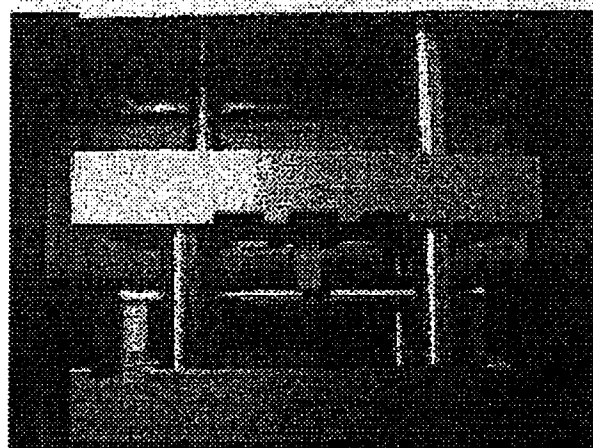
“... at an early stage, multilayer oxide scales are formed which tend to flake.”

Böhmert et al. on Russian alloy E110

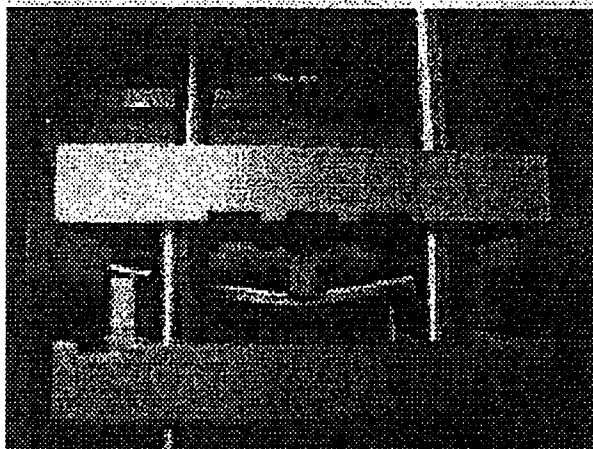
- M5™ has not exhibited multilayered oxide scale
- M5™ did not flake in quench tests

# Post-Quench Mechanical Test

## 3 Point Bend Test Apparatus



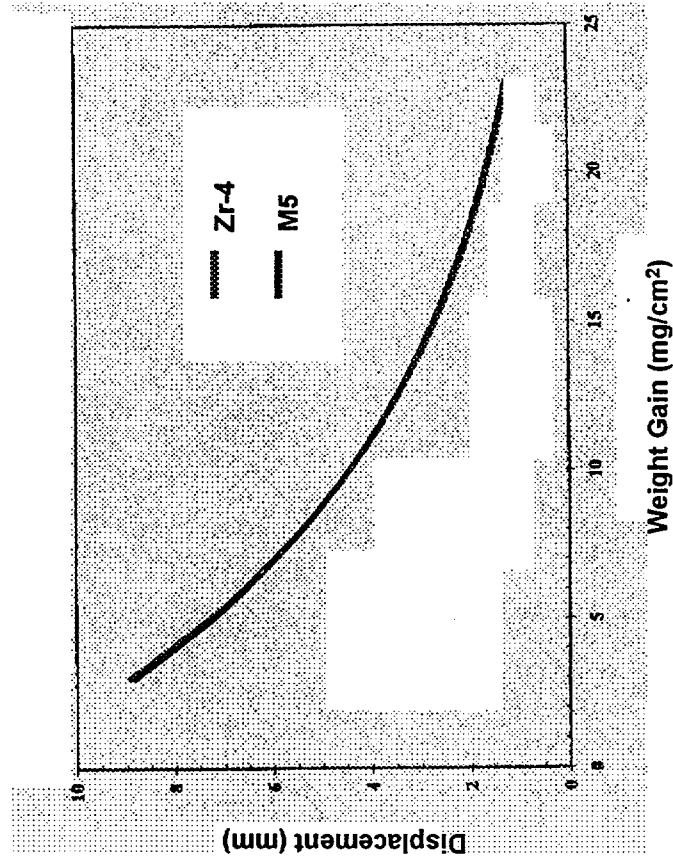
Starting position



7.5 mm displacement

# Post-Quench Mechanical Test

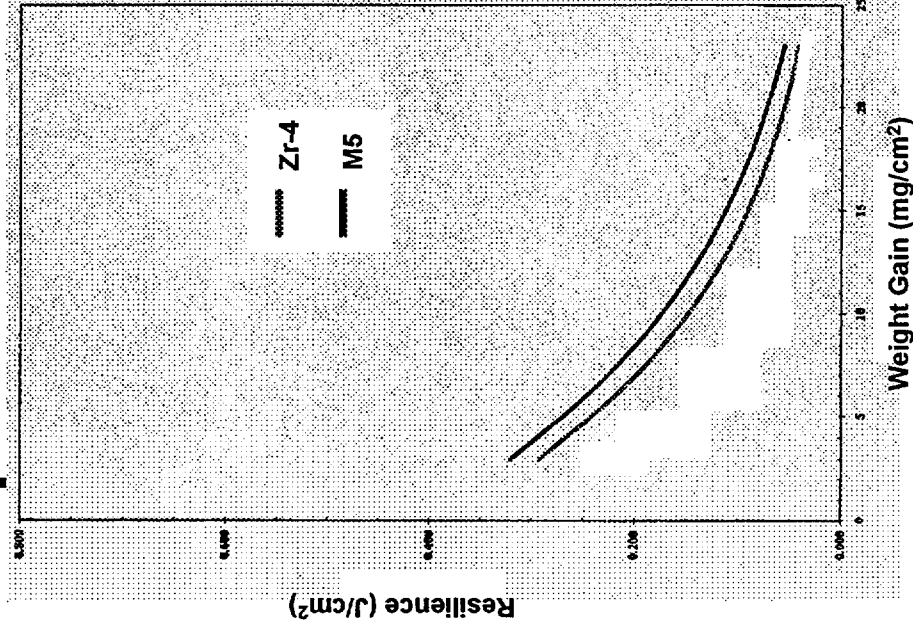
## 3 Point Bend Test Results



➤ M5™ and Zy4 behave similarly

# Post-Quench Mechanical Test

## Impact Test Results



➤ M5™ behaves slightly better than Zr-4

➤ Zy4: ductile rupture in ex  $\alpha$ - $\beta$  phase and brittle fracture in  $\alpha$ -Zr(O)

➤ M5™: ductile rupture in ex- $\alpha$ - $\beta$  phase and quasi-ductile in  $\alpha$ -Zr(O) layer

# Post-Quench Mechanical Test

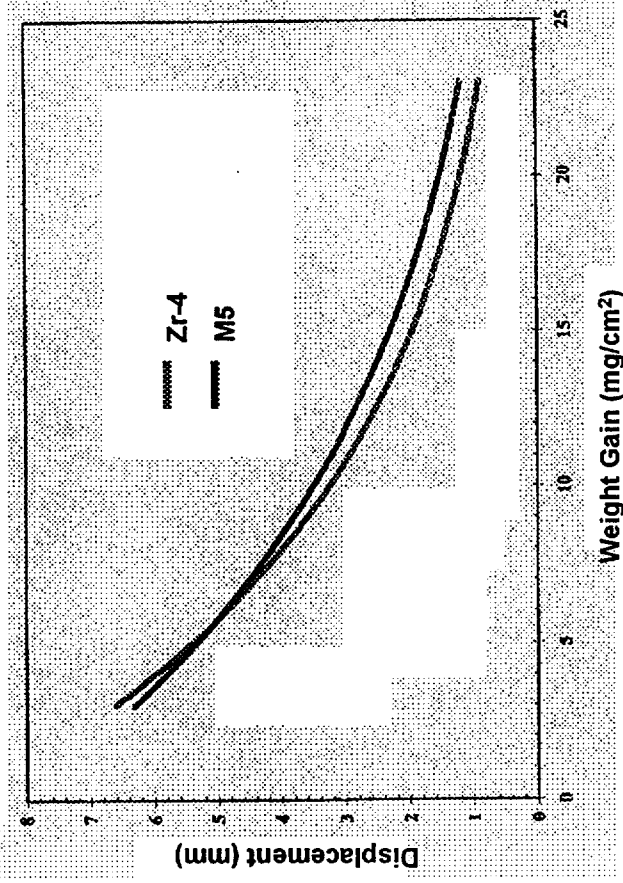
## Ring Compression Test



Starting position



# Post-Quench Mechanical Test Ring Compression Test Results



- M5™ behaves slightly better than Zr-4

### Results of Ring-Compression Tests

The graph plots Relative Deformation (%) on the y-axis (0,00 to 70,00) against ECR (%) on the x-axis (0,0 to 30,0). It compares experimental data for three alloys with theoretical curves for grain sizes of 100 μm and 1 μm.

ECR (%)	Relative Deformation (%) - Zr-4 (100 μm)	Relative Deformation (%) - M5 (100 μm)	Relative Deformation (%) - ZrNb1 (100 μm)	Relative Deformation (%) - Zr-4 (1 μm)	Relative Deformation (%) - M5 (1 μm)	Relative Deformation (%) - ZrNb1 (1 μm)
3,0	60,00	60,00	60,00	60,00	60,00	60,00
6,0	55,00	55,00	55,00	55,00	55,00	55,00
10,0	25,00	25,00	25,00	25,00	25,00	25,00
15,0	15,00	15,00	15,00	15,00	15,00	15,00
20,0	10,00	10,00	10,00	10,00	10,00	10,00

# Conclusions

## Post-Quench Mechanical Tests

- M5™ Tested in the Böhmert range with results different than E110
  - Order of magnitude less hydrogen uptake
  - Completely different oxide morphology
  
- M5™ Performed better than or similar to Zr-4
  - No delamination
  - Similar bend test results
  - Slightly better impact test results
  - Slightly better than Zr-4 and much better than E110 in ring compression tests
  
- Böhmert's conclusions regarding Zr-1Nb alloy performance may be valid for Russian alloy E110 tested in 1992, but are not valid for M5™
  - Significantly different composition and processing parameters

## Summary

- M5™ in-reactor operating performance is superior to Zr4
- M5™ LOCA and post-LOCA oxidation rates are equal to or slower than Zr4
- M5™ LOCA and post-LOCA mechanical performance is equivalent to Zr4
- M5™ LOCA and post-LOCA performance is acceptable and is equal to or better than Zr4 in events of equal duration
- M5™ LOCA and post-LOCA mechanical performance is superior to the Zr-1%Nb alloy tested by Böhmert



# **United States Nuclear Regulatory Commission**

## **SUMMARY OF OECD TOPICAL MEETING ON LOCA FUEL SAFETY CRITERIA**

Ralph Meyer  
Office of Nuclear Regulatory Research

ACRS Reactor Fuels Subcommittee  
April 4, 2001

**OECD/NEA/CSNI**  
**SPECIAL EXPERT GROUP ON FUEL SAFETY MARGINS**  
Wolfgang Wiesenack (Halden, Norway), Chair

**TOPICAL MEETING ON LOCA FUEL SAFETY CRITERIA**  
Georges Hache (IPSN France), Technical Program Chair  
Aix-en-Provence, France  
March 22-23, 2001

**Proceedings to be Published**

**Post-Quench Ductility**

- Background (G. Hache, IPSN)
- Hungarian Paper on E110 (L. Maroti, AEKI)
- 2 Russian Papers on E110 (L. Andreeva-Andrievskaya and N. Sokolov, VNIINM)
- French Paper on M5 (A. Lebourhis, Framatome)
- American Paper on ZIRLO (W. Leech, Westinghouse)

**Effect of Axial Constraint during Quenching**

- Japanese Paper on Experiments (Uetsuka, JAERI)
- French-American Paper on Calculations (Waeckel, EPRI & EdF)

**Relocation of Fragmented Fuel into Ballooned Region**

- French Paper on Calculations (M. Lambert, EdF)
- French Paper on Calculations (C. Grandjean, IPSN)

# **RATIONALE OF THE LOCA 10CFR50.46b CRITERIA FOR ZIRCALOY AND COMPARISON WITH E110 ALLOY**

**G. Hache (IPSN, France)  
Introductory Presentation**



## **OPINION OF THE REGULATORY STAFF AND COMMISSIONERS (1) (ECCS Rule - Making Hearing, 1973)**

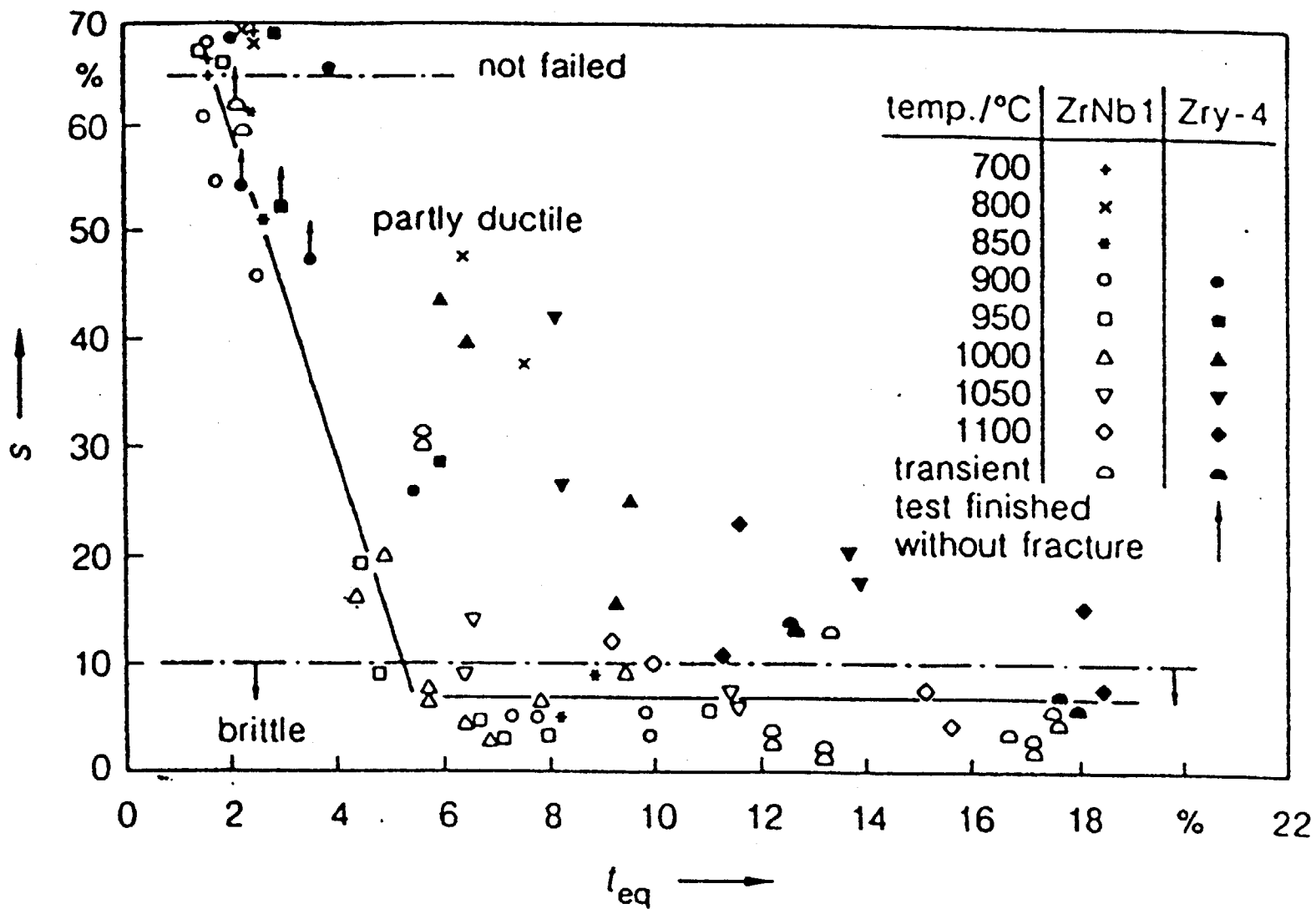
- Reluctance to neglect the effect of mechanical constraints on thermal-shock fragmentation
  - rod-rod interaction due to ballooning or bowing
  - rod-grid interaction due to differential shrinkage between fuel rods and guide tubes
- Justified later by JAERI constraint-quench tests and Phebus LOCA-219 bundle test





## **OPINION OF THE REGULATORY STAFF AND COMMISSIONERS (2)**

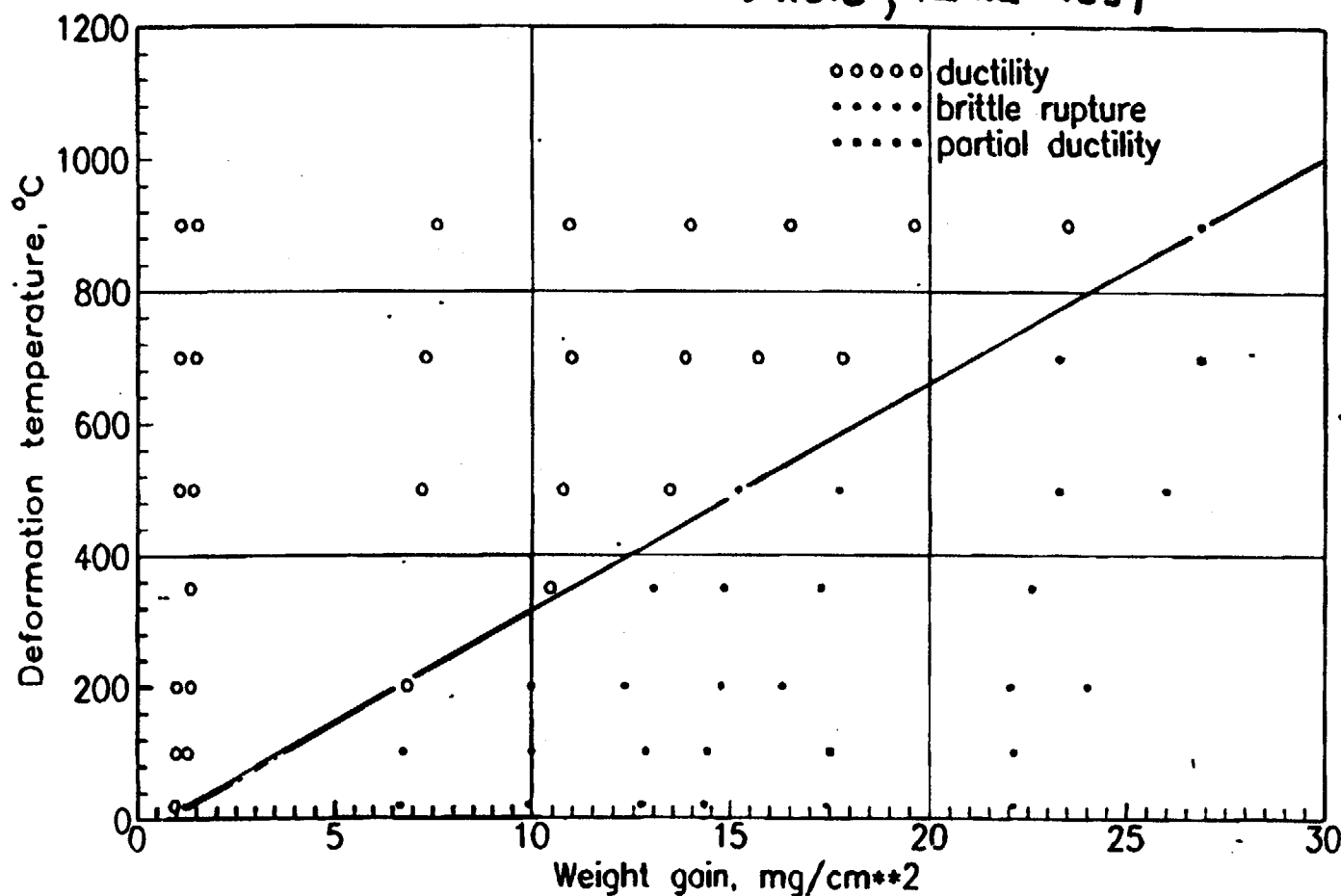
- Retention of ductility is the best guarantee against potential fragmentation under various types of loadings (thermal shock, hydraulic, seismic forces).
- Results from unconstrained quench tests (simple thermal-shock test) were:
  - considered only corroborative and reassuring.
  - Their use for regulatory purposes not accepted.
  - Later studies showed a large margin compared to 17% -ECR and 2200°F -PCT criteria.
- 17% -ECR and 2200°F -PCT criteria are based on results from post-quench ductility test (Hobson's slow-ring-compression tests).



J. Böhmert, "Embrittlement of ZrNb1 at room temperature after high-temperature oxidation in steam atmosphere," Kerntechnik, Vol. 57 (1992) p. 56

## DUCTILITY TESTS (2)

Ductility of steam-reacted Zr1%Nb claddings. Ring compression results.  
Bochvar Institute, Varna 1994



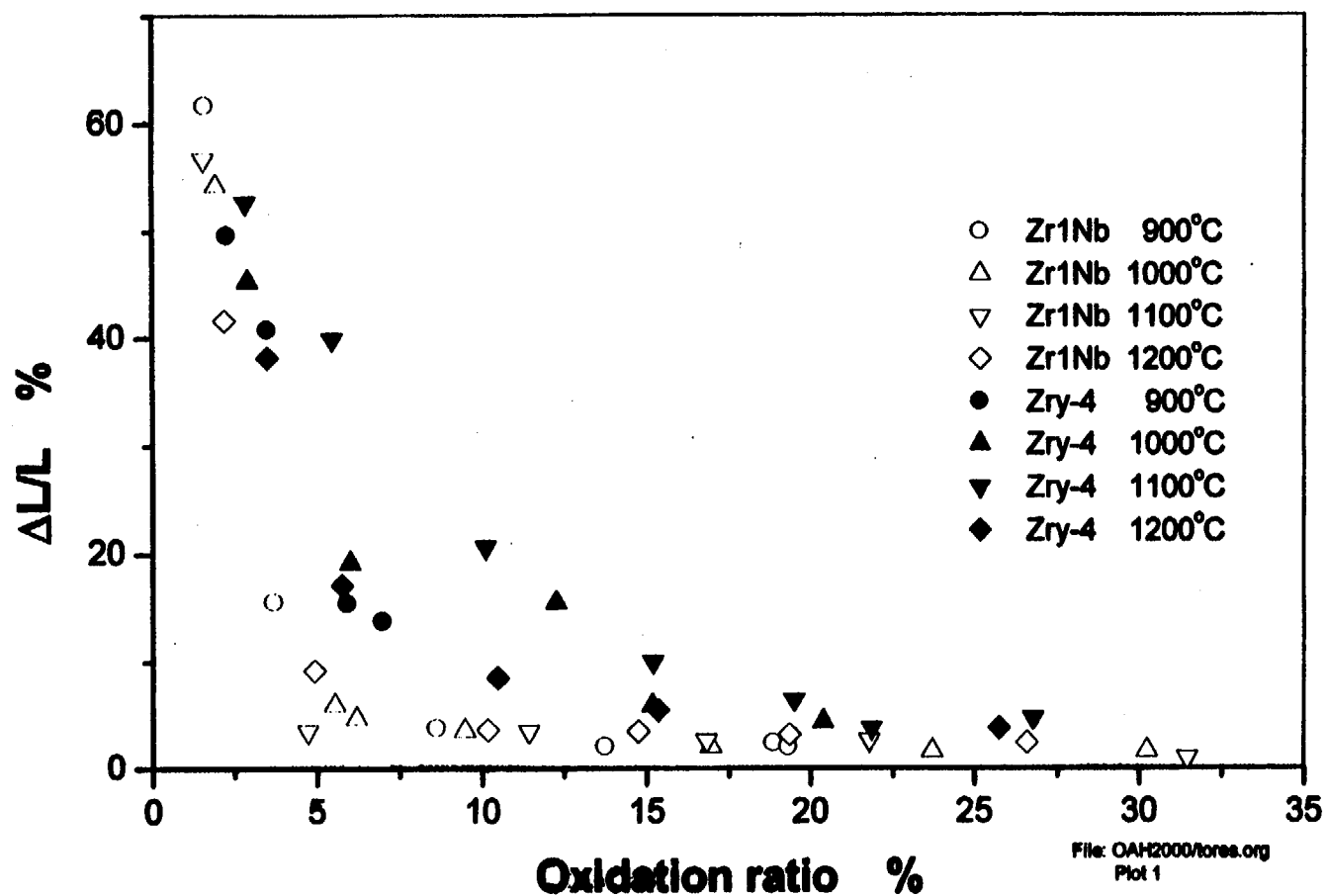
Very good linear correlation for ZDT

The line reaches 275F (135°C) for a weight gain of 4.7 mg/cm² that is to say 6% ECR

The application of the hearing methodology leads to ZDT ≤ 275F or ECR ≤ 6%

## **PRESENTATIONS ON POST-QUENCH DUCTILITY**

1. L. Maroti (AEKI, Hungary)
2. N. Sokolov (Bochvar, Russia)
3. A. Lebourhis (Framatome, France)
4. W. Leech (Westinghouse, USA)
5. H. Chung (Argonne, USA)



**Ring compression tests with  
preoxidised Zr1%Nb and Zircaloy-4 claddings**

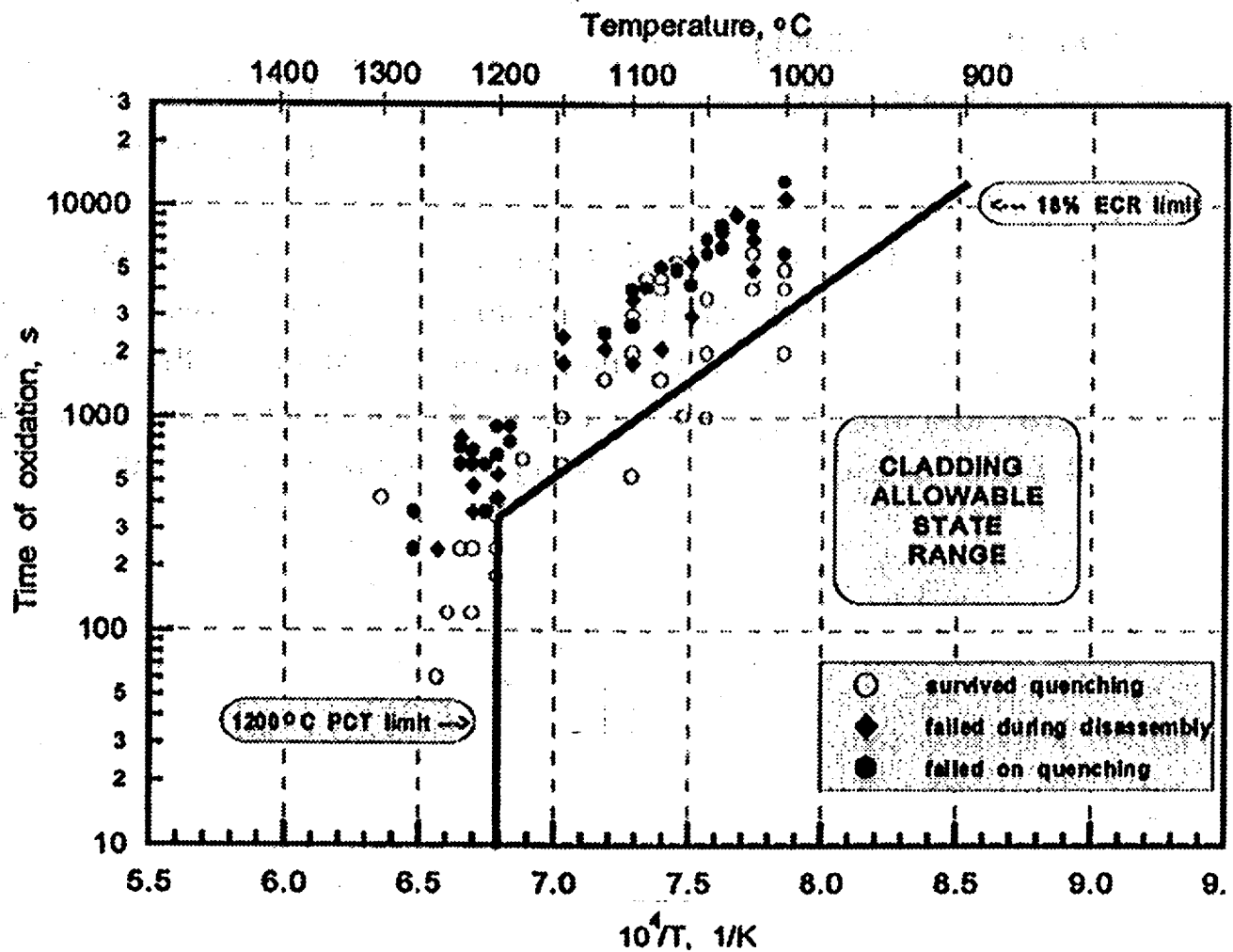
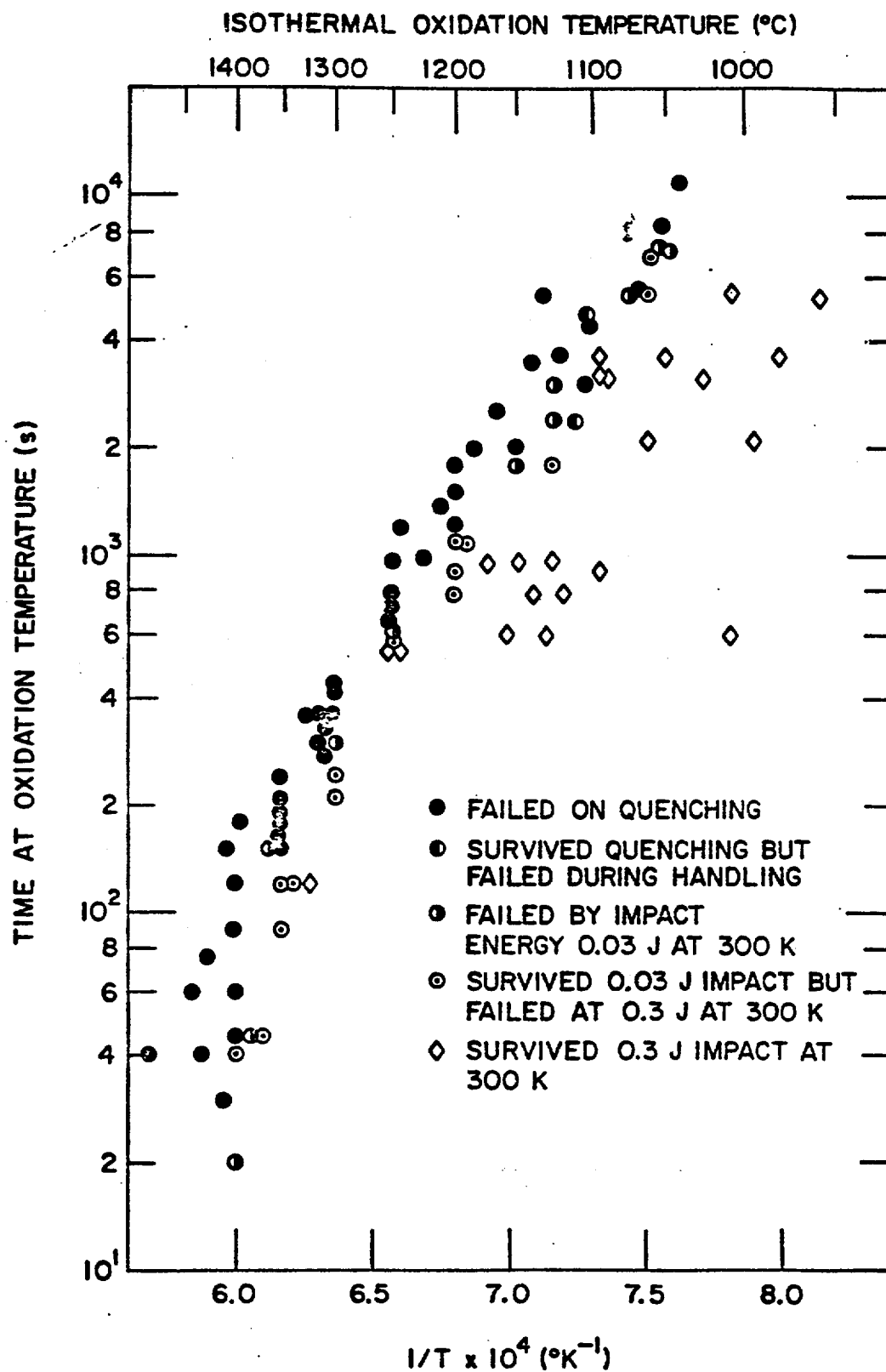
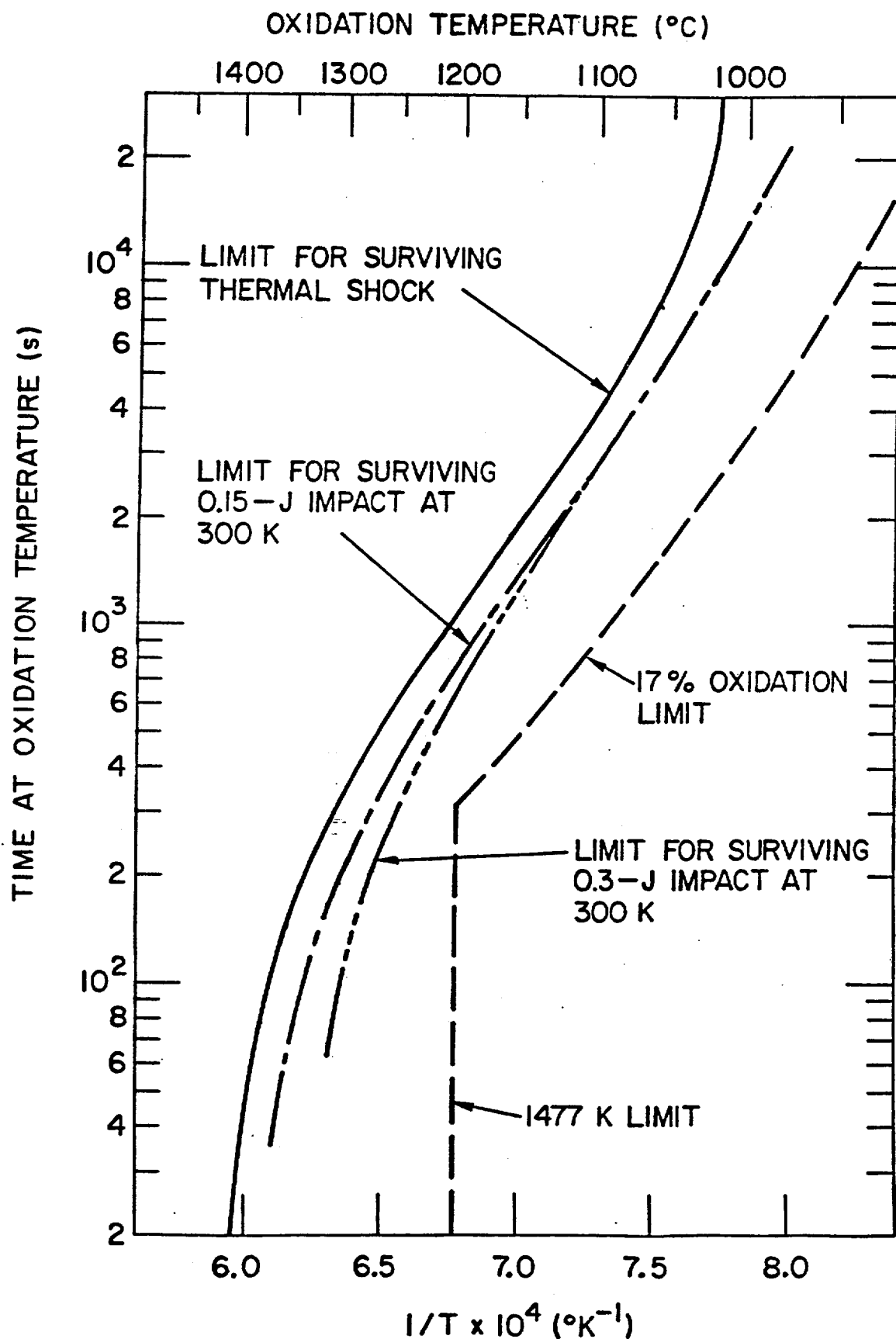


Fig.5 Post-test appearance and failure map.  
 Simulator of type 1



R. Van Houten, "Fuel Rod Failure as a Consequence of Departure from Nuclear Boiling or Dryout, NUREG-0562 (1979) Fig. 2-A



R. Van Houten, "Fuel Rod Failure as a Consequence of Departure from Nuclear Boiling or Dryout, NUREG-0562 (1979) Fig. 2-B



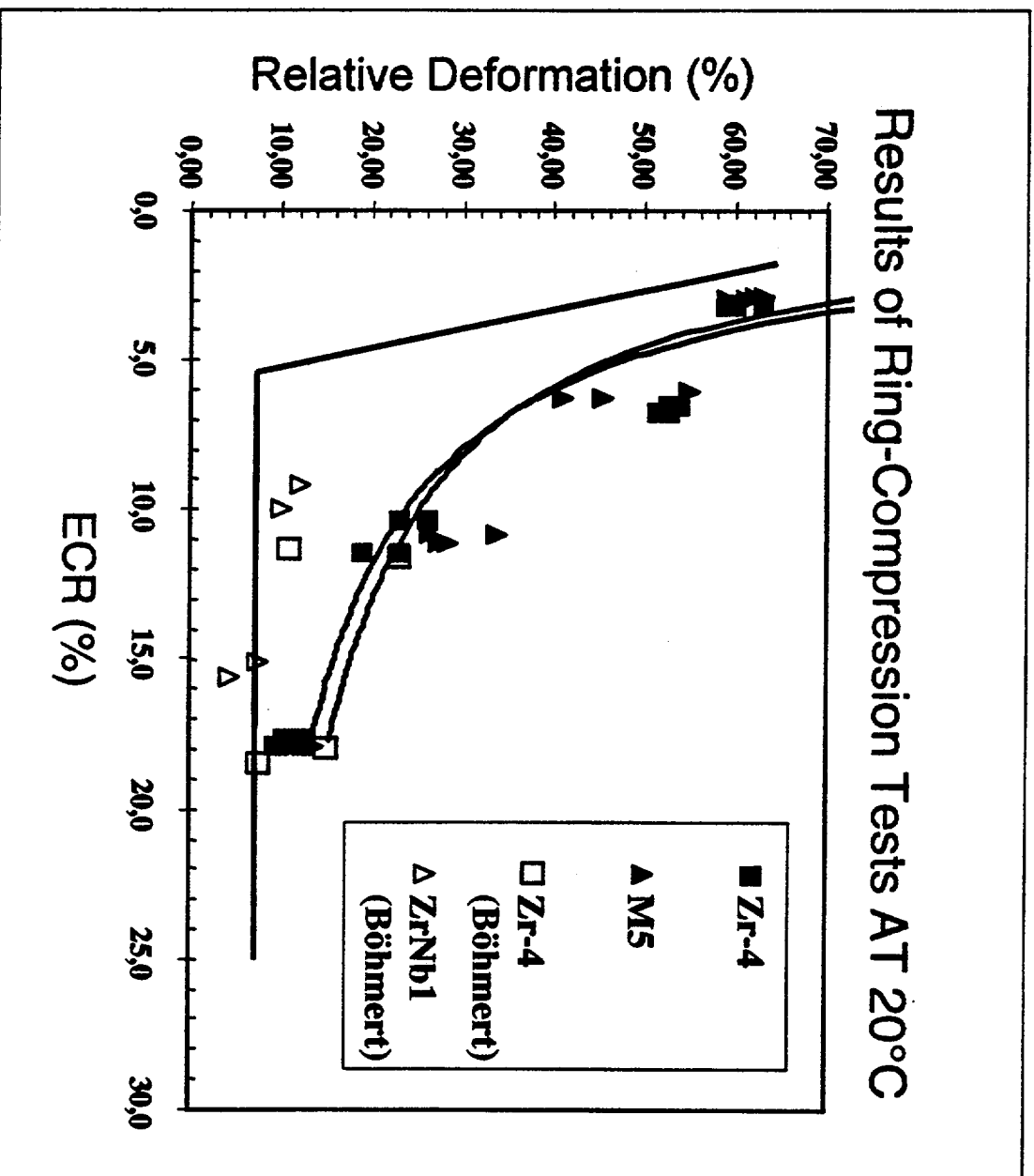
## 4. QUENCH EMBRITTLEMENT : MAIN RESULTS (1/2)

---

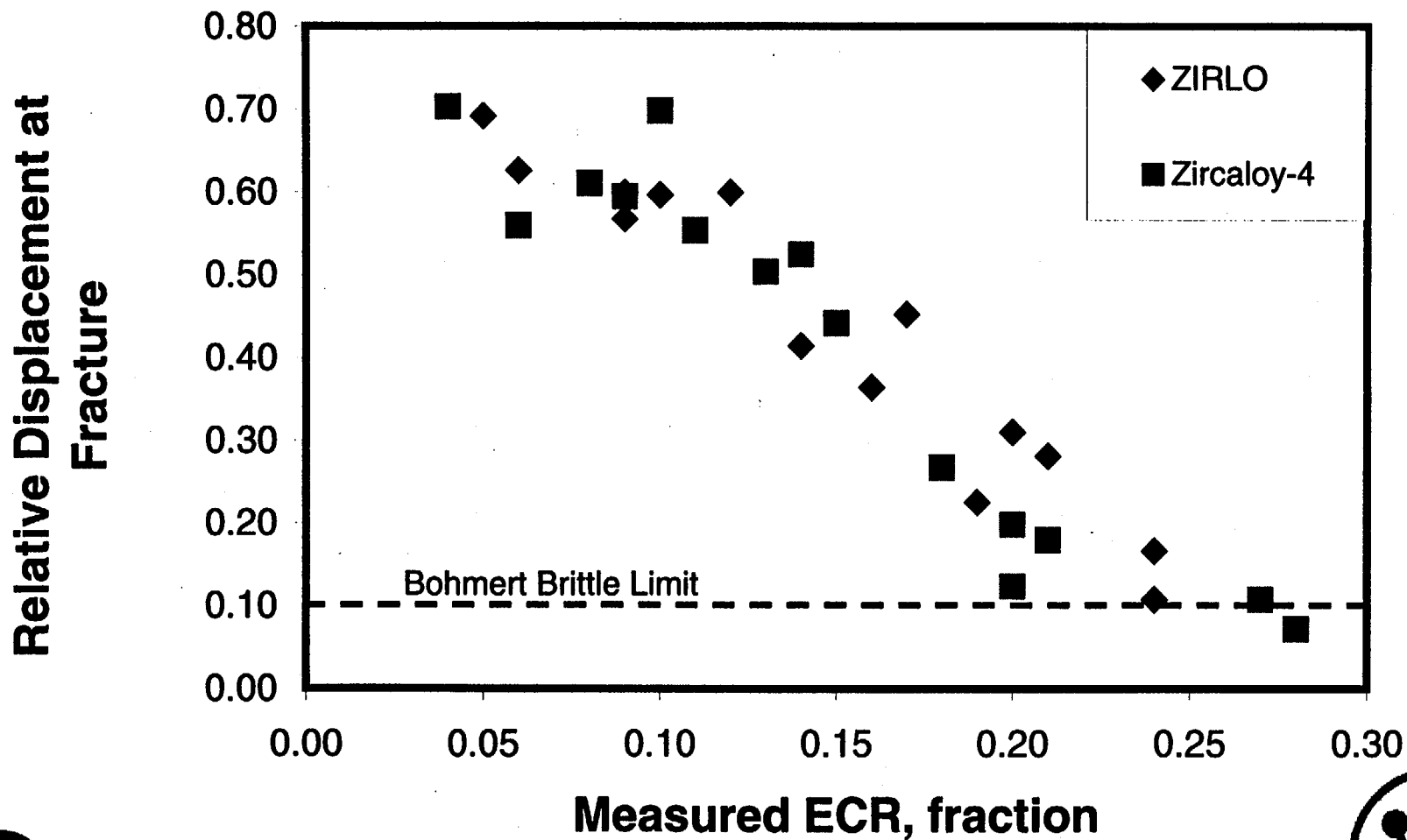
ALLOY	OXIDATION TEMPERATURE(°C)	TIME TO FAILURE (S)	ECR FAILURE (%)
Zy4	1000	6500	22*
	1100	2970	30
	1200	950	29
	1300	390	29
M5™	1000	13500	16 *
	1100	2959	28
	1200	1200	30
	1300	495	31

\* conservative value

# COMPARISON WITH BÖHMERT'S RESULTS AT 1100°C



## Relative Displacement at Fracture vs Measured ECR at a Temperature of 275F (PRELIMINARY)



## **CONCLUDING OBSERVATION**

**We need to understand the effect of small materials differences so we don't have to repeat all tests every time the manufacturer makes a small change in the alloy.**

**G. Hache  
(more or less)**

**Comparison of M5 and E110 Composition**  
(Both are recrystallized)

Element	M5 Composition wt%	E110 Composition wt%
Zr	~99 (balance)	~99 (balance)
Nb	0.95 (0.80-1.20)*	0.9-1.1 (0.95-1.05)**
O	0.114 (0.09-0.18)*	<0.1 (0.05-0.07)**
Fe	0.054 (0.015-0.060)*	<0.05 (0.006-0.012)**
Cr	0.0029	<0.02
Si	<0.003	<0.02
C	0.0026	<0.02
Ni	<0.005	<0.02

Data from Halden report HWR-636

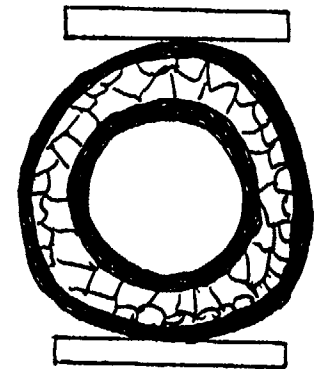
\* ASTM Toronto, p. 506

\*\* ASTM Garmisch-Partenkirchen, p. 787

# Background

## Post-Quench Ductility

- Key rationale for LOCA embrittlement criteria--1204°C (2200°F) PCT and 17% ECR limits:
  - **avoid zero-ductility in cladding**
  - ensure coolable core geometry
- Primarily based on Hobson's test 1972-73:
  - Zircaloy-4 tube oxidized at 1100-1315°C on two sides
  - short ring cut, compressed 3.8 mm slowly
  - crack-free adherent oxide, H uptake low, <150 wppm
  - **reflects O-induced embrittlement only**
  - H-induced ductility degradation negligible--unknown in 1973.



# **Background (Continued)**

## **Post-Quench Ductility**

- H-induced embrittlement at H contents higher than about 600-700 wppm:
  - observed in 1980-1983, ANL & JAERI
  - local regions near burst opening, Zircaloy-4 tube
  - H alone (low O in beta layer) not much deleterious
- Significant effect of H uptake in E110 Zr-1Nb:
  - Boehmert 1992, Griger et al. 1999
  - at H contents higher than about 150-200 wppm
- Effects of 4 factors appear inseparable:
  - oxidation (before and during LOCA transient)
  - H uptake (larger than a threshold amount)
  - high burnup
  - Nb addition (E110, M5, Zirlo, Alloy A)

### 3 Routes for Large Hydrogen Uptake

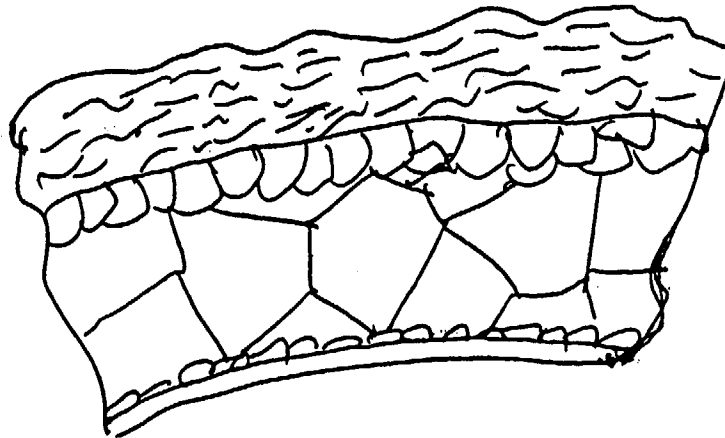
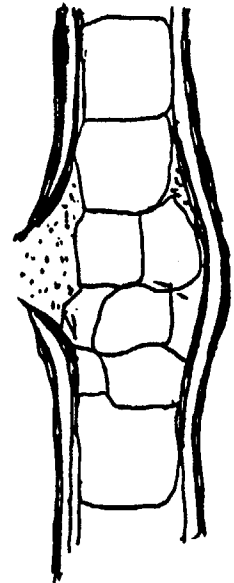
#### #1 During normal operation to high burnup ( $\approx 62$ MWd/kgU)

- standard Zircaloy-4 up to  $\approx 700$ -800 wppm
- low-Sn Zircaloy-4, Zirlo
- M5

#### #2 Through "unprotected" ID surface near burst opening

#### #3 Through "high-temperature breakaway" oxides on the OD surface

- H uptake through normal high-temperature oxide (crack-free, tetragonal, protective) is limited to  $< 150$  wppm.





## **SCOPE OF WORK ON HIGH-BURNUP ISSUES AT ARGONNE**

**(PIRT Adjusted, EPRI Cooperation)**

- **Testing in Current ANL Program for Zircaloy-2 and Zircaloy-4 (Target 2003)**
  - **Integral Test (Ballooning, Rupture, Oxidation, Quench — with Fuel)**
  - **Oxidation**
  - **Thermal Shock (to be determined)**
  - **Phase Relations**
  - **Mechanical Properties (including Post-Quench Ductility)**
  - **Post-LOCA Seismic Loading**
  - **Fuel Relocation (limited to Observation during Integral Test)**
- **NRC is Interested in Conducting Confirmatory Tests on ZIRLO and M5**
- **May only need Subset of Tests for Other Cladding Types like ZIRLO and M5**
  - **Oxidation**
  - **Thermal Shock (to be determined)**
  - **Phase Relations**
  - **Mechanical Properties (including Post-Quench Ductility)**

## **PROPOSED WORK ON UNIRRADIATED ZIRLO AND M5** (Target 2001)

- Review All Test Methods to Determine Test Conditions (Zircaloy Specimens first)
- Agreement on Test Conditions will involve EPRI, Westinghouse, and Framatome
- Post-Quench Standard Test (perhaps Axial Tensile Test) on Unirradiated Cladding
- Post-Quench Ring-Compression Tests (probably also) on Unirradiated Cladding
- Oxidation Rate and Phase Relations as needed to interpret Ductility Results
- No Mechanical Properties or Other Testing at this Time (later in High Burnup Program)
- Proprietary Treatment of Data may be arranged if Requested

## **PROPOSED COOPERATION**

- **Pattern after Current ANL Program with EPRI Cooperation**
- **Westinghouse and Framatome would be Included in all Test Planning**
- **EPRI is also Interested in further Cooperation (Subject to Approval of RFP)**
- **Once Agreement is Reached, Start Unirradiated Testing in 2001 and Irradiated Testing in 2003**

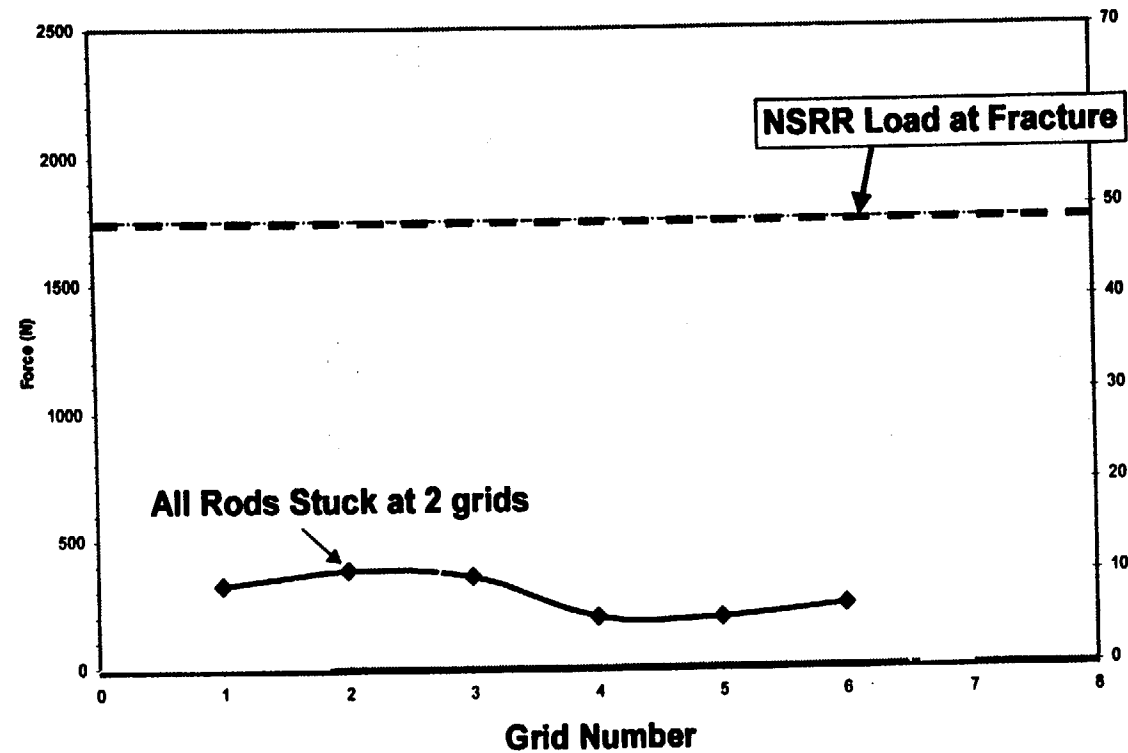
# **NRC PROGRAM FOR ADDRESSING EFFECTS OF HIGH BURNUP AND CLADDING ALLOY ON LOCA SAFETY ASSESSMENT**

**R. Meyer  
NRC Office of Nuclear Regulatory Research**

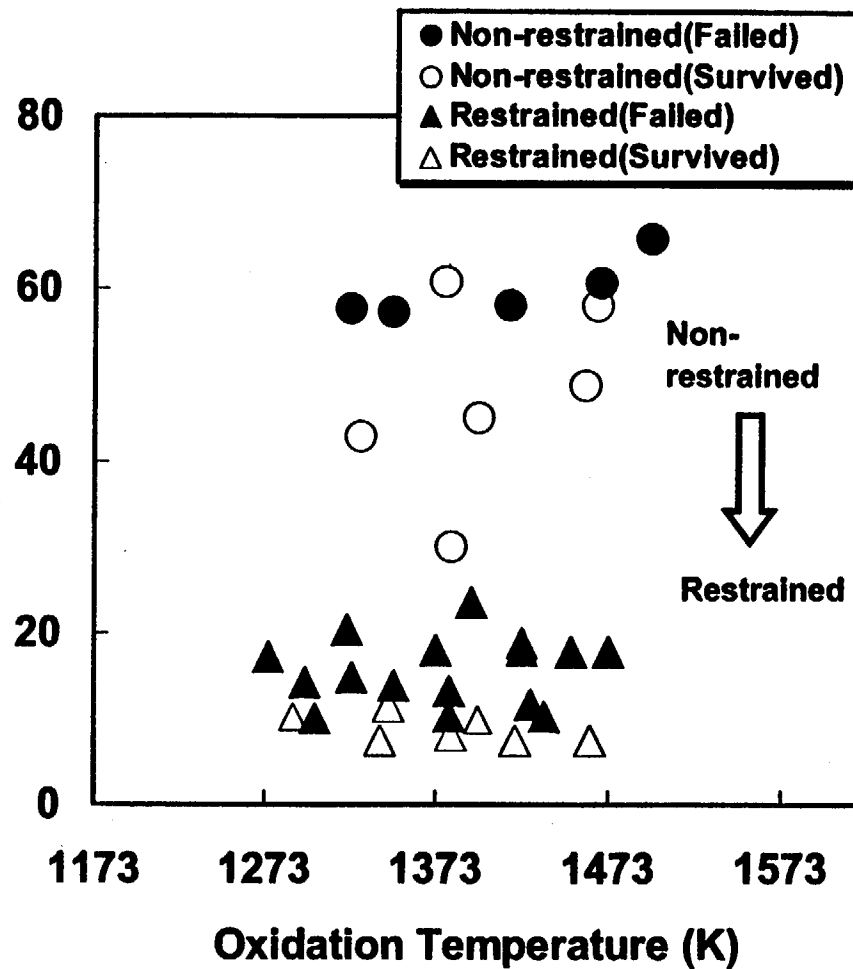
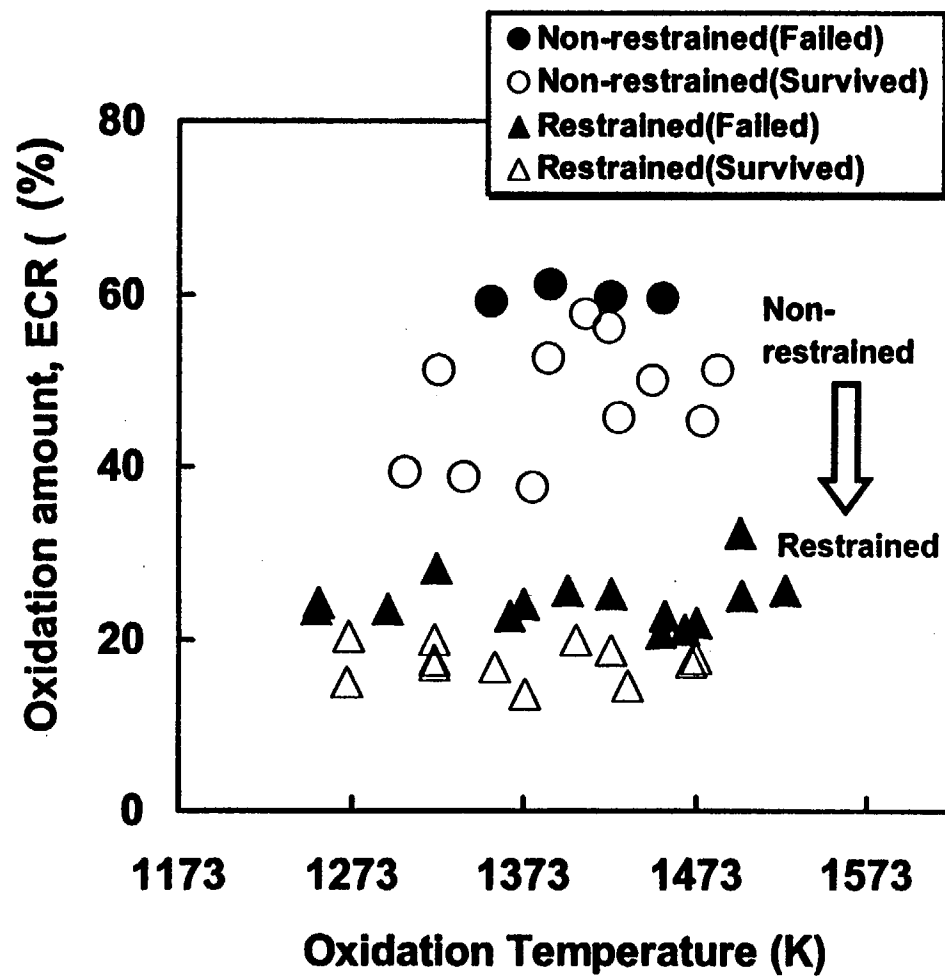
## **PRESENTATIONS ON AXIAL CONSTRAINT DURING QUENCHING**

1. H. Uetsuka (JAERI, Japan)
2. N. Waeckel (EPRI-EdF, USA-France)

## Fuel Rod Axial Force Distribution



## Failure map(2/2) -Restraint condition-

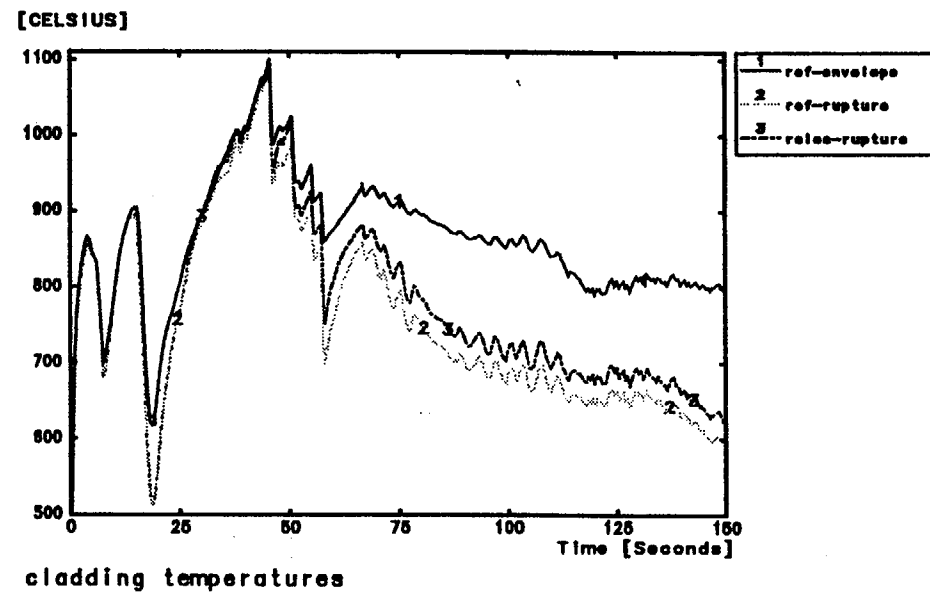


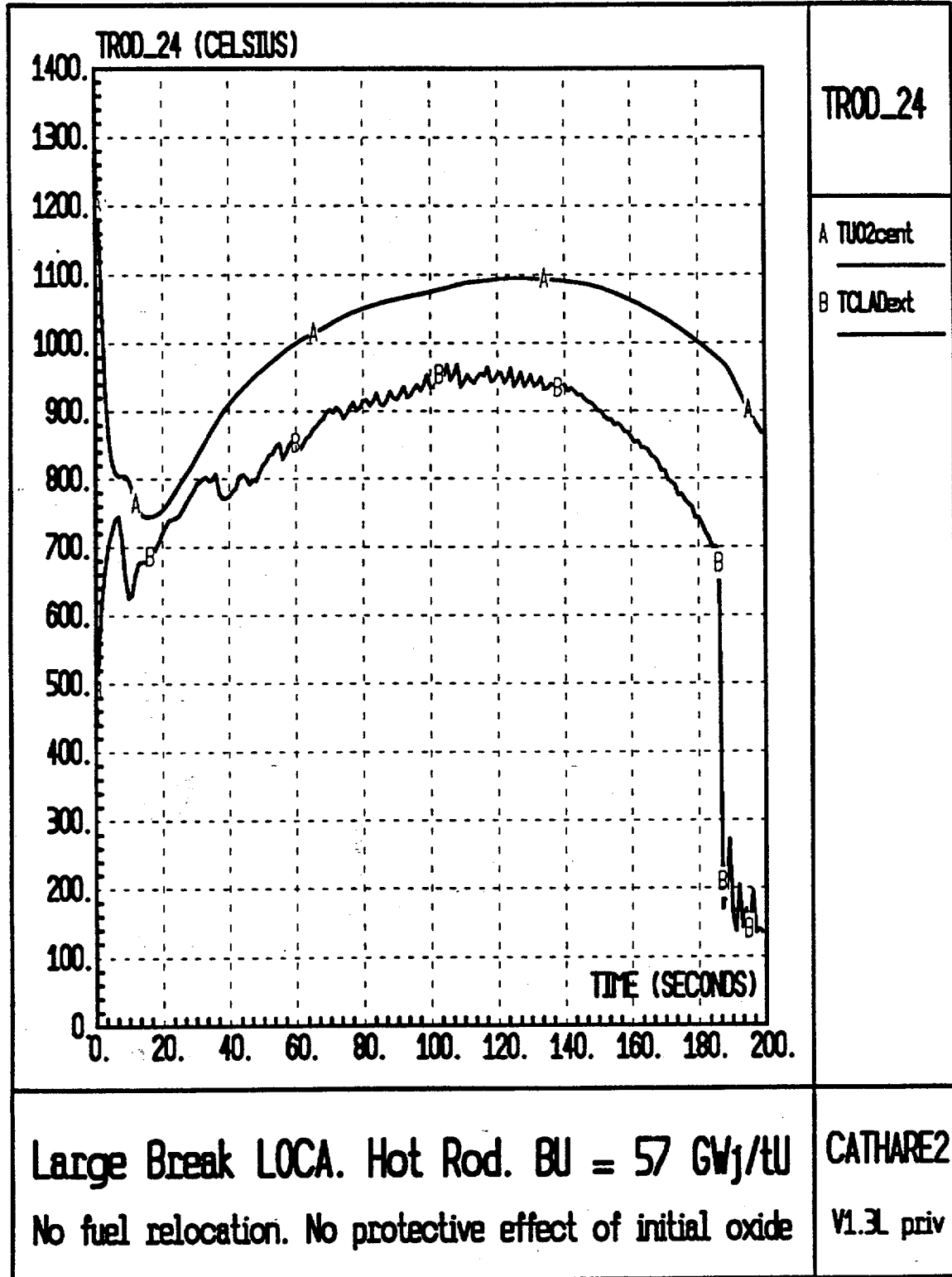
## **PRESENTATIONS ON FUEL RELOCATION INTO BALLOONS**

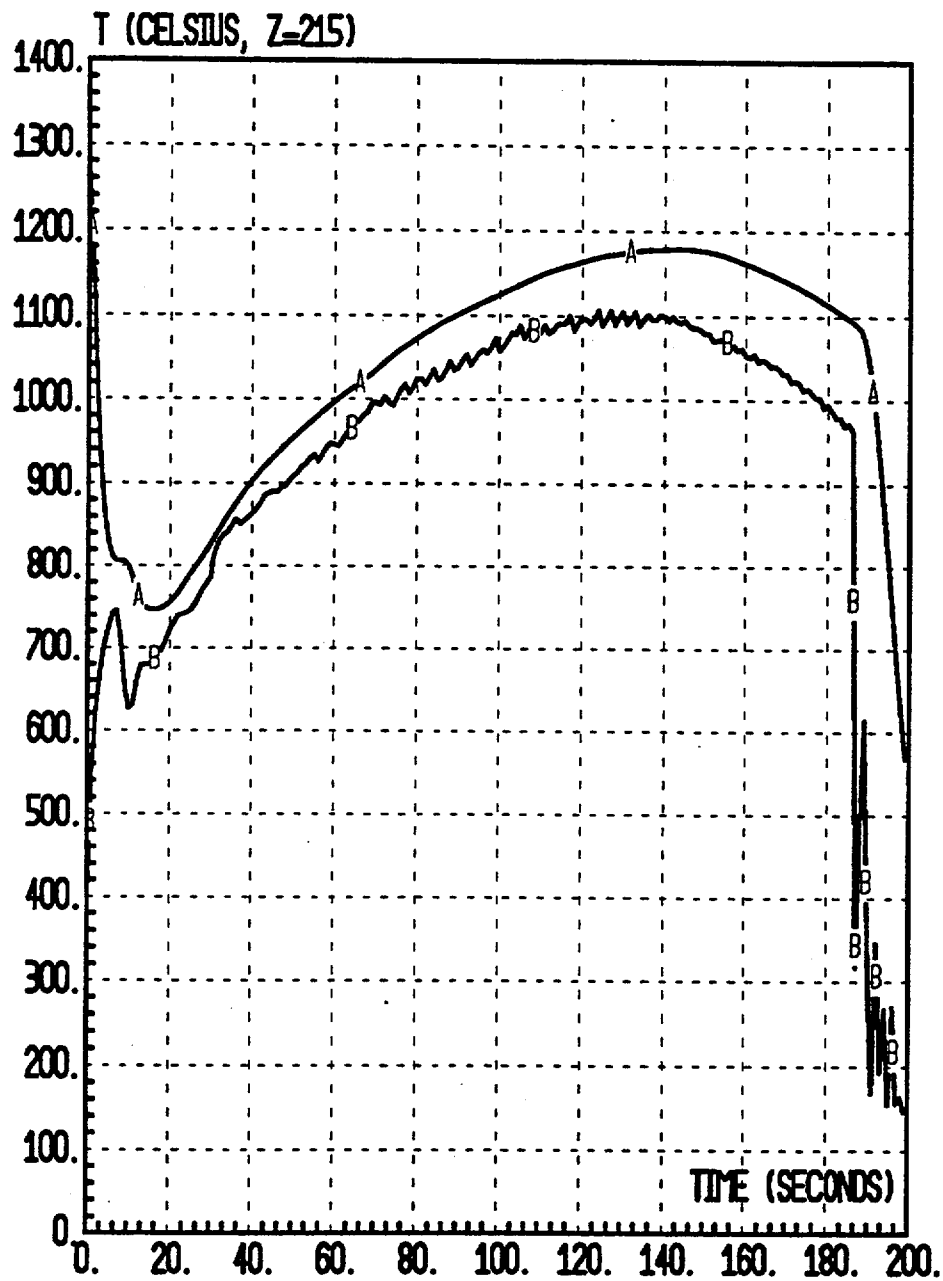
1. M. Lambert (EdF, France)
2. C. Grandjean (IPSN, France)



## Relocation in Large Break LOCA Calculation







TROD\_24

A UO2cent

B CLADext

LB LOCA. Hot Rod. Burnup = 57 GWd/tU

Fuel relocation in rupt. mesh : 61.5% of balloon volume

CATHARE2

V1.3L priv



# **United States Nuclear Regulatory Commission**

## **RESEARCH ACTIVITIES AND THE HIGH BURNUP PIRTS (Phenomenon Identification and Ranking Tables)**

Ralph Meyer  
Office of Nuclear Regulatory Research

ACRS Reactor Fuels Subcommittee  
April 4, 2001

## **FUEL CLADDING ALLOYS**

(Main Objective of Alloy Development is to Reduce Corrosion during Operation)

- Zircaloy (Zirconium with 1.2-1.7% Tin)
- Low-Tin Zircaloy
- ZIRLO (Low-Tin Zircaloy with ~1% Niobium added)
- M5 (Zirconium with ~1% Niobium and no Tin)

## **FUEL-RELATED SAFETY CRITERIA**

- Limited Fuel Damage during Postulated Accidents to Ensure Coolable Core Geometry and Avoid Core Melt
  - Criteria for Overpower Events (Reactivity Accidents)
  - Criteria for Undercooling Events (Loss-of-Coolant Accidents)
- Limited Fuel Damage during Dry Storage to Facilitate Removal from Storage
  - Criteria to Avoid Creep Rupture (Normal Storage Conditions)
- All Safety Criteria were developed for Low Burnup Fuel
  - It was thought that Early-life Conditions were more Limiting
- All Safety Criteria were developed for Zircaloy-clad Fuel
  - It was thought that Alloy Improvements for Operation would also be good for Accidents and Storage

## **STATUS**

- Reactor Operation to 62 GWd/t Burnup Approved for Zircaloy, ZIRLO, and M5
- Specific Questions have been raised about Criteria for Accidents
- Confirmatory Data and Assessment of Accident Criteria for Current Burnup Limit (62 GWd/t) to be provided by NRC
- PIRTs were developed to Help Focus Research Programs and Find Methods to Resolve High Burnup Issues
- Data and Assessment for Extended Burnup beyond 62 GWd/t to be provided by Industry
- Dry Storage to 45 GWd/t Approved for Zircaloy-clad Fuel only
- Dry Storage Criteria for Higher Burnups and Other Alloys to be developed by NRC

## **PIRT SCENARIOS**

(based on 1998 Agency Program Plan)

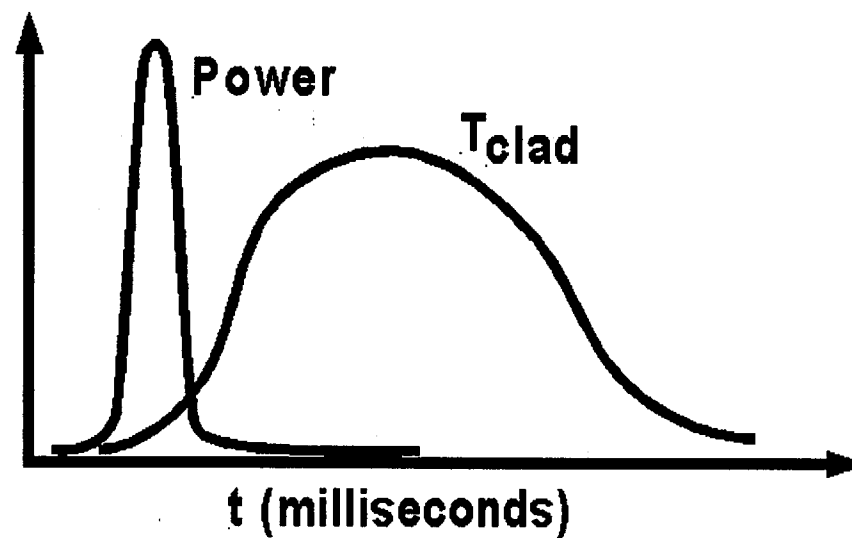
- PWR Rod Ejection Accident (assumed base case: TMI-1, 15x15 fuel, 62 GWd/t peak rod, hot zero power)
- BWR Power Oscillations without Scram (assumed base case: Lasalle-2, 8x8 fuel, 62 GWd/t, 84%power)
- Loss-of-Coolant Accident (no specific plant assumed, Zircaloy-clad fuel, 62 Gwd/t)



## **PIRT ACTIVITIES**

- ~25 Fuel Experts from Industry, Labs, Universities, and Foreign Agencies
- 8 Meetings (total 25 Days) from August 1999 to October 2000
- 3 NUREG/CR Reports with PIRTS and Related Information (final Drafts)
- Staff Report with Interpretations and Suggestions (Draft)
- Web Site with all Reports and Transcripts ([www.nrc.gov/RES/pirt](http://www.nrc.gov/RES/pirt))

**PIRT FOR PWR ROD-EJECTION ACCIDENT**  
(280 cal/g Limit in Reg. Guide 1.77)



**Fig. 1 Qualitative plot of fuel rod power and cladding temperature  
for a PWR rod-ejection accident**

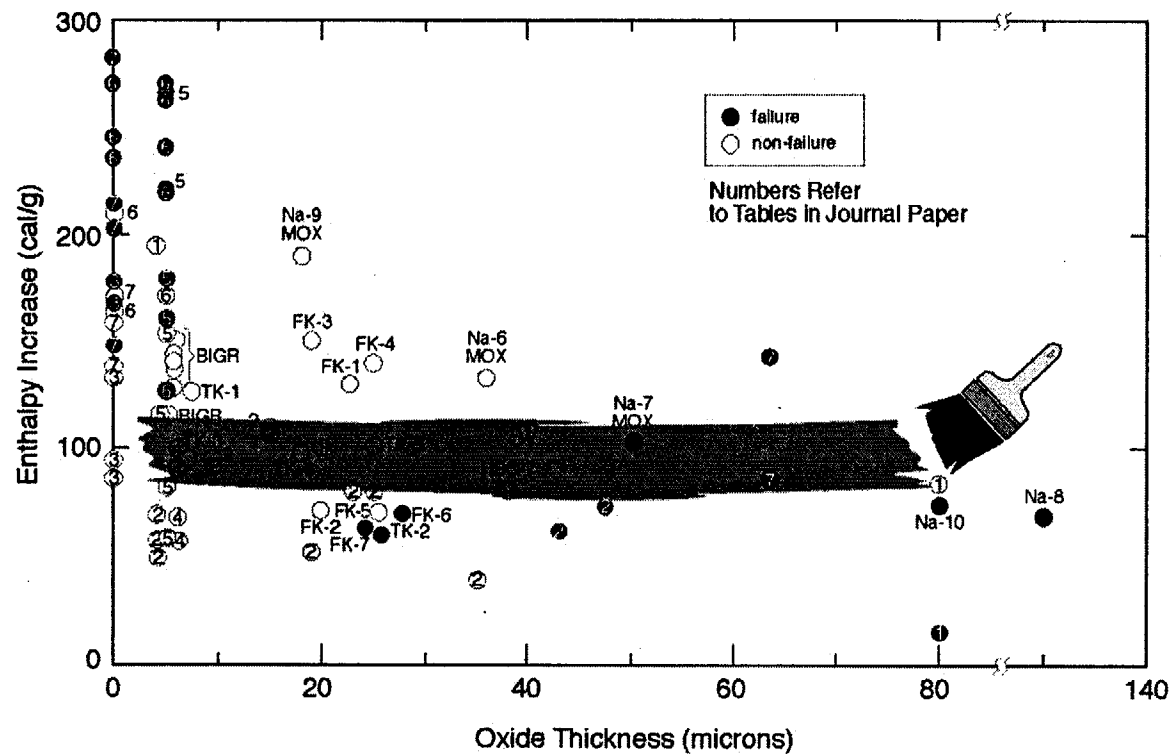
## **IMPLICATIONS FROM ROD-EJECTION PIRT**

- Core Design Changes can Alter the Energy Deposited in the Accident
- Ejected Rod Worth might be used as a Substitute for a Fuel Enthalpy Limit
- Testing in Burnup Range of Interest is Important (Oxidation Phenomena alone will not determine Outcome)
- MOX Rod Testing is Important because of the Pu-rich Agglomerates
- It is Important to Test in Correct Coolant Environment (Water Loop)
- Effect of Different Cladding Alloys not very Important (extrapolate with Mech. Props.)
- High Temperature Ballooning and Rupture might occur for Some Cladding Alloys with high Ductility (i.e., no PCMI Failure)

## **A METHOD TO RESOLVE ROD-EJECTION ISSUES**

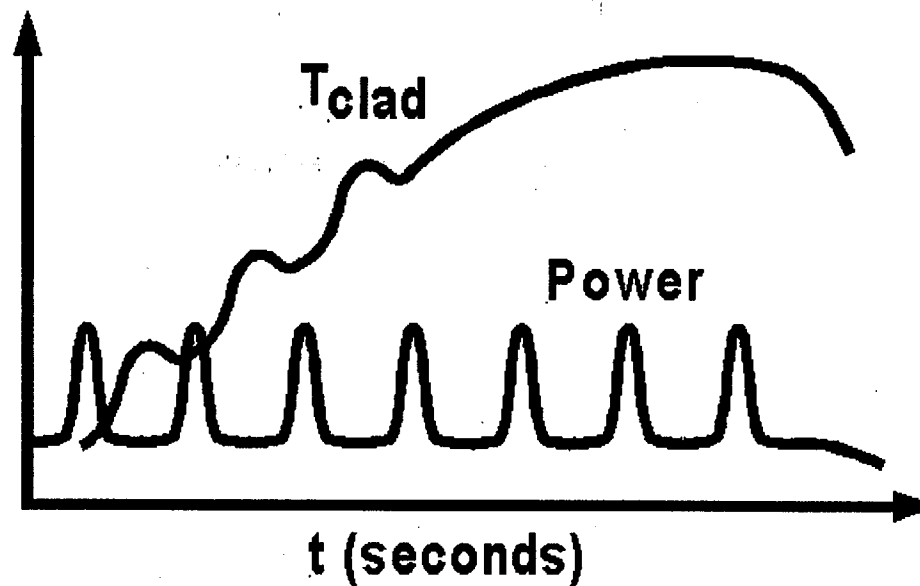
- Improve Empirical Correlation with New Data from Cabri and NSRR
- Obtain Mechanical Properties Data for Zircaloy, ZIRLO, and M5 Cladding Alloys
- Use FRAPTRAN Fuel Rod Code to Adjust Correlation for Different Alloys
- Use PARCS 3-D Neutron Kinetics Code for Generic Safety Analysis

Target late 2003 for Confirmatory Resolution at 62 GWd/t using two Cabri Tests (ZIRLO and M5), Initial Tests from NSRR High Temperature Capsule, and Code Analysis.



**Fig. 2 Fuel enthalpy as a function of oxide thickness for tests described in Ref. 10 (solid symbols indicate cladding failure; open symbols indicate no failure)**

**PIRT FOR BWR POWER OSCILLATIONS WITHOUT SCRAM**  
(280 cal/g Limit used by GE)



**Fig. 3 Qualitative plot of fuel rod power and cladding temperature  
for BWR power oscillations without scram**

## **IMPLICATIONS FROM POWER-OSCILLATION PIRT**

- Pellet-Cladding Mechanical Interaction (PCMI) Cladding Failures are Not Expected
- LOCA-like Oxidation is Expected with possible Ballooning and Rupture
- Cladding Embrittlement will take place at Lower Temperature than Cladding Melting or Fuel Melting
- Runaway Oxidation is Not Expected
- LOCA-like Embrittlement Criteria appear to be Appropriate

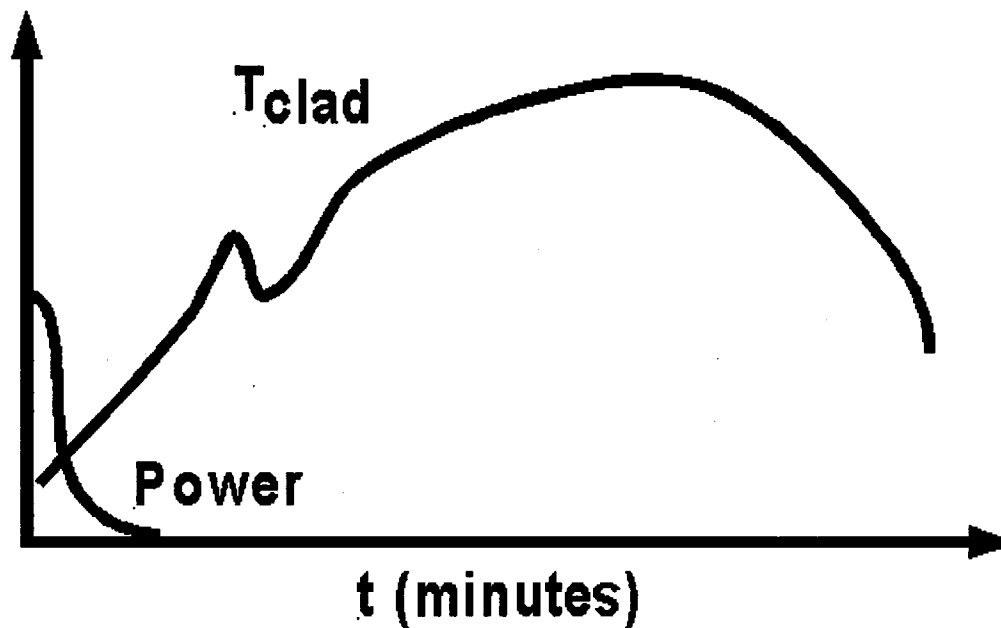
## **A METHOD TO RESOLVE POWER-OSCILLATION ISSUES**

- Repeated-Pulse Test Capability in NSRR to address PCMI Failure
- High Temperature Dryout Test Capability in Halden Reactor
- Information from LOCA Work on Embrittlement Criteria
- Generic Calculations with FRAPTRAN-GENFLO (STUK Finland) Hot Channel Code to Compare with Embrittlement Criteria

Target 2004 for Confirmatory Resolution at 62 GWd/t. Depends on Testing that has not been Fully Planned and future Code Developments.



**PIRT FOR LOSS-OF-COOLANT ACCIDENTS**  
(1204°C PCT, 17% ECR Embrittlement Criteria in 10 CFR 50.46)  
(Ballooning, Rupture, Oxidation EMs in Appendix K)



**Fig. 4. Qualitative plot of fuel rod power and cladding temperature for a loss-of-coolant accident**

## **IMPLICATIONS FROM LOCA PIRT**

- **Many Thermal-Hydraulic Models were ranked as Highly Important and Not Well Understood**
- **A Foreign Member of the PIRT Panel (G. Hache, France) reminded us that NRC's 10 CFR 50.46 Embrittlement Criteria were based on Ring-Compression Ductility Tests rather than Quench Tests**
- **Cladding Alloy Type was found to be Very Important**

## **A METHOD OF RESOLVING LOCA ISSUES**

- Integral Testing at ANL with High Burnup Zircaloy-Clad Fuel (Ballooning, Rupture, Relocation, Oxidation, and Quenching)
- Integral Testing in Halden Reactor with High Burnup Zircaloy-Clad Fuel
- Separate-Effect Testing at ANL with High Burnup Zircaloy-Clad Fuel (Mechanical Properties, Oxidation, Post-Quench Ductility)
- Related Results from JAERI and RRC-Kurchatov Institute
- Limited use of FRAPTRAN Fuel Rod Computer Code for Design and Interpretation of Experiments
- Integral and Separate-Effect Testing of Advanced Cladding (ZIRLO and M5) at ANL

Target Resolution in 2002 for BWR with Zircaloy, 2003 for PWR with Zircaloy, 2004 for PWR with ZIRLO, and 2005 for PWR with M5 depending on Availability of Fuel Rods and Other Factors

## **“NRC” FUEL RESEARCH**

- Argonne Nat’l. Lab. Hot Cells: LOCA-Related Research, Dry Storage Research, and General Mechanical Properties
- Penn. State University: Consulting and Subcontracting to Argonne
- Pacific Northwest Nat’l. Lab.: Fuel Rod Code Development for Steady State and Transients
- Brookhaven Nat’l. Lab.: Reactivity Accident Analysis with 3-D Plant Transient Code
- Halden (Norway) Materials Test Reactor: Steady-State and Transient Properties
- IPSN (France) Cabri Pulse Reactor and Hot Cells: Reactivity Accidents and Mechanical Properties
- JAERI (Japan) NSRR Pulse Reactor: Reactivity Accidents and LOCA-Related Research
- RRC-Kurchatov Institute (Russia) Pulse Reactors and Hot Cells: Reactivity Accidents and General Mechanical Properties

## **EPRI COOPERATION**

- Successfully obtained High Burnup BWR (Limerick) and PWR (H. B. Robinson) Zircaloy-Clad Fuel
- Technical Assistance in Planning Integral and Separate-Effect Tests at ANL
- Expressed Interest in Continuing this Cooperation with NRC in the ANL Program with Advanced Alloys (ZIRLO, M5)