

SMALL ENTITY STATUS  
DENIAL

INVOICE NUMBER

AM 1838-01

LICENSE NUMBER

NRD291D102G

LICENSE FEE AND DEBT COLLECTION BRANCH  
DIVISION OF ACCOUNTING AND FINANCE  
OFFICE OF THE CHIEF FINANCIAL OFFICER  
U.S. NUCLEAR REGULATORY COMMISSION  
WASHINGTON, DC 20555-0001

The enclosed Certification of Small Entity Status (NRC Form 526) is being returned because of the blocks checked below. Enclosed also is an additional copy of NRC Form 526 for your use. If you determine that you qualify as a small entity under a different size standard, the completed form must be returned within 10 days to the address listed above. Questions may be e-mailed to: [las2@nrc.gov](mailto:las2@nrc.gov).

Fischer Technology  
750 Marshall Phelps Rd  
Windsor, CT  
06095

Att: B. Christensen

- ☐ The license number is missing.
- ☐ The invoice number is missing.
- ☐ The SIC/NAICS code is missing.
- ☐ The name and address must be entered as it appears on the annual fee invoice.
- ☐ The size standard box has not been checked. Check one box only.
- ☐ More than one size standard box was checked. Check one box only.

Gross annual receipts include all revenue in whatever form received or accrued from whatever sources, not solely receipts reported from licensed activities. For purposes of this certification, the gross annual receipts reported on the licensee's last tax return should be used to determine eligibility for small entity status. The licensee must have an average gross receipt of \$5 million or less over its last 3 fiscal years to qualify.

- ☐ The size standards apply to the licensee, not the individual authorized users listed in the license.
- ☒ A subsidiary of a large entity does not qualify as a small entity under the NRC's size standards.
- ☐ You do not meet the financial criteria under NRC's size standards.

Licensees classified as manufacturing concerns, with an average number of 500 or fewer employees during each pay period for the preceding 12 calendar months.

- ☐ Your company is not classified as a manufacturing concern according to the SIC/NAICS code.

Governmental jurisdictions referred to in the size standards are governments of cities, counties, towns, townships, villages, school districts, or special districts.

- ☐ Governmental jurisdictions with a population over 50,000 do not qualify as small entities.
- ☐ You do not meet the criteria of a governmental jurisdiction.
- ☐ The educational institution is not supported by a qualifying governmental jurisdiction.

- ☐ Federal agencies do not qualify as small entities.

- ☐ State agencies do not qualify as small entities.

- ☐ The hospital is classified as a Nongovernmental Not-For-Profit Organization in the American Hospital Association Guide.

An educational institution referred to in the size standards is an entity whose primary function is education, whose programs are accredited by a nationally recognized accrediting agency or association, who is legally authorized to provide a program of organized instruction or study, who provides an education program for which it awards academic degrees, whose educational programs are available to the public, and is not publicly supported and has 500 or fewer employees.

- ☐ You do not meet the criteria of an educational institution.

☐ NRC Form 526 has not been signed by the Certifying Official.

☐ Additional information is required.

☒ Other *Per app conversation*

SIGNATURE - LICENSE FEE AUDITOR

*James Sheer*

DATE

*4/12/01*

Team Leader

Initials

Date

Team Leader

Initials

Date

Branch Chief

Initials

Date

CERTIFIED

DENIAL

LICENSEE CHALLENGED

SBA LETTER SENT &amp; RECD.

DATE COMPLETED

ACTION: R TABLEID: ARHT USERID: AN72

\*\*\* RECEIVABLE HEADER INQUIRY TABLE \*\*\*

KEY IS TRANS CODE, DOC NUM

TRANS CODE: LD DOC NUM: AM1838-01

DOC TYPE:

DOCUMENT DATE: 03 05 01

COMMENTS: NR0291D102G

PAYER CODE/NAME: R0291D102GL / FISCHER TECHNOLOGY, INC.

ADDRESS: 750 MARSHALL PHELPS ROAD

CITY: WINDSOR

STATE: CT ZIP: 06095 -

COLL DUE DATE: 04 05 01	LAST BILL DATE/AMT: 03 05 01 /	6,100.00
PRINT BILL: P	BILL PRINT DATE: 03 05 01	BILLED AMT: 6,100.00
INT RATE: 6.000	INT APPLY DATE: 04 04 01	INTEREST AMT: 28.00
TEXT TYPE: ADM	CHGS APPLY DATE: 04 04 01	ADM CHGS AMT: 5.00
WAIVER FLAG:	PEN APPLY DATE:	PENALTY AMT: 0.00
DUNNING COUNT: 01	LAST DUN DATE: 04 05 01	TOTAL AMT: 6,133.00
OVERDUE STATUS:	OVERDUE DATE:	COLLECTED AMT: 500.00
		OUTSTANDING BALANCE: 5,633.00
WRITE-OFF FLAG:	WRITE-OFF DATE:	AGREEMENT NUM:
WRITE-OFF REASON:	WRITE-OFF AMT:	0.00
DOC CLOSING DATE:		CASE HISTORY FLAG: Y
OVERPAYMENT CAUSE:		CLOSED DOCUMENT AMT: 500.00
		REPRINT BILL AMT: 0.00

APR 12 2001

MODE = MEMORY TRANSMISSION

START=APR-13 07:04

END=APR-13 07:05

FILE NO. = 225

STN NO.	COM	ADDR NO.	STATION NAME/TEL.NO.	PAGES	DURATION
001	OK	2	918606888496	003/003	00:00'59"

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- \*\*\*\*\*

- \*\*\*\*\*

## **U.S. Nuclear Regulatory Commission License Fees**

**To:** *Prof. Christensen, VP*

**Fax #:** *860 - 688 - 8496*

**Number of Pages Inc. cover sheet** *3*

### **Message:**

*per our discussion*

**From:** **Lisa A. Shea, Auditor,** *4/12/01*

**Fax:** **301-415-5387**

**Phone:** **301-415-8721**

**Email:** **las2@nrc.gov**

**Thank you and have a nice day.**

**If you receive this fax in error, please fax back. Thank you.**

**U.S. Nuclear Regulatory Commission  
License Fees**

**To:** *Bob Christensen, VP*

**Fax #:** *860 - 688-8496*

**Number of Pages Inc. cover sheet** *3*

**Message:**

*per our discussion*

**From:** **Lisa A. Shea, Auditor,** *4/12/01*

**Fax:** **301-415-5387**

**Phone:** **301-415-8721**

**Email:** **las2@nrc.gov**

**Thank you and have a nice day.**

**If you receive this fax in error, please fax back. Thank you.**

## SMALL ENTITY CHECKLIST

Name of Licensee Fischer Tech  
 Telephone Number 860-683-0781 License # see attached  
 Invoice # AM1838-01 Licensee Contact Robert Christensen VP  
 Parent Company foreign parent corp.

Current No. of Employees \_\_\_\_\_ Average No over 3 yrs \_\_\_\_\_  
 (Based on IRS Form 941/ Return)

Type of Business Activity \_\_\_\_\_ SIC Code \_\_\_\_\_

Annual Income based on last three federal tax returns \_\_\_\_\_

Other ?

## Telecom Log

Date	Time	Contact
4/11	11:35	left message
4/12	1:39	I called spoke to Bob. No he did not count the parent corp. All together they have approx. 275 employees. Explained he was not a ZB + I'd fax him a new form to sign

Prepared

by: Lisa Shea

Title: Examiner

Date:

Because the burden for this information collection is insignificant, Office of Management and Budget (OMB) clearance is not required.

NRC FORM 526

U.S. NUCLEAR REGULATORY COMMISSION

(8-2000)  
10 CFR 171

Effective  
08/11/2000

## CERTIFICATION OF SMALL ENTITY STATUS FOR THE PURPOSES OF ANNUAL FEES IMPOSED UNDER 10 CFR PART 171 FY 2001

### SEE IMPORTANT INSTRUCTIONS ON THE REVERSE SIDE

NAME AND ADDRESS OF LICENSEE (as it appears on the invoice):

Fischer Technology, Inc.  
Attention: Radiation Safety Officer  
750 Marshall Phelps Road  
Windsor, CT 06095

INVOICE NUMBER

AM1838-01

LICENSE NUMBER

NR0291D102G

E-MAIL ADDRESS

info@fischer-technology.com

TELEPHONE (Include Area Code)

(860) 683-0781

STANDARD INDUSTRIAL CLASSIFICATION CODE

SIC 3829

### SIZE STANDARDS (Check one box only)

DO NOT RETURN THIS FORM IF YOU DO NOT QUALIFY UNDER ONE OF THESE SIZE STANDARDS

MAXIMUM ANNUAL FEE  
PER LICENSED CATEGORY  
(See Items 2 and 3 on back)

#### 1. SMALL BUSINESS

A for-profit concern that provides a service or a concern not engaged in manufacturing with average gross receipts of \$5 million or less over its last 3 completed fiscal years.

- ☐ A. \$350,000 TO \$5,000,000  
☐ B. LESS THAN \$350,000

\$ 2,300  
\$ 500

#### 2. MANUFACTURING INDUSTRY

A manufacturing concern with an average number of 500 or fewer employees based upon employment during each pay period for the preceding 12 calendar months.

- ☐ A. 35 to 500 EMPLOYEES  
☒ B. LESS THAN 35 EMPLOYEES

\$ 2,300  
\$ 500

#### 3. SMALL ORGANIZATION

A not-for-profit organization that is independently owned and operated and has annual gross receipts of \$5 million or less.

- ☐ A. \$350,000 TO \$5,000,000  
☐ B. LESS THAN \$350,000

\$ 2,300  
\$ 500

#### 4. SMALL GOVERNMENTAL JURISDICTION (INCLUDING PUBLICLY SUPPORTED EDUCATIONAL INSTITUTIONS \*

A government of a city, county, town, township, village, school district, or special district with a population of less than 50,000.

- ☐ A. 20,000 TO 50,000 POPULATION  
☐ B. LESS THAN 20,000 POPULATION

\$ 2,300  
\$ 500

#### 5. SMALL EDUCATIONAL INSTITUTION THAT IS NOT STATE OR PUBLICLY SUPPORTED AND HAS 500 OR FEWER EMPLOYEES\*

- ☐ A. 35 to 500 EMPLOYEES  
☐ B. LESS THAN 35 EMPLOYEES

\$ 2,300  
\$ 500

\* An educational institution referred to in the size standards is an entity whose primary function is education, whose programs are accredited by a nationally recognized accrediting agency or association, who is legally authorized to provide a program of organized instruction or study, who provides an educational program for which it awards academic degrees, and whose educational programs are available to the public.

### CERTIFICATION

This certification **MUST** be signed by the owner of the entity named above or an official empowered to act on behalf of the entity.

I certify that the above named NRC licensee qualifies as a small entity under the size standards established by the NRC for its licensees in 10 CFR 2.810 (60 CFR 18344). The licensee qualifies as a small entity under the specific size standard indicated above.

**WARNING:** 18 U.S.C. Section 1001, Act of June 25, 1948, 62 Stat. 749, makes it a criminal offense to make a willfully false statement or representation to any Department or Agency of the United States as to any matter within its jurisdiction. The submittal of willful false statements is punishable by fine or imprisonment, or both, and for purposes of this certification, may result in revocation or suspension of the license.

I CERTIFY UNDER PENALTY OF  
PERJURY THAT THE FOREGOING  
IS TRUE AND CORRECT.

TYPED OR PRINTED NAME AND TITLE

Robert W. Christensen, V.P.

SIGNATURE

DATE

03/28/01

Time: 8 12 am

NRC - PC MatAnn

Record #: 97274

PC MatAnn -- Matann Database										
Small Business Class    Tier A/B    Action			Category	Fee Amount		Surcharge		Cancel		
2	B			9A	500.00		0.00		N    /    /	
Invoice ID		Invoice Date		License Number		Year	Qtr	FY 95 Insp Cr		Billing %
AM1838-01S1		03/05/01		NR0291D102G		2001	0	0.00		0.00
Invoice Total		Reissue/ ResTore		FFS Batch Numbers		Audit Record			User Name	
500.00						N    04/09/01			KIM	

T:Top	N:Next	G:Goto	F:Find	D>Delete	E>Edit	A:Add
B:Bottom	P:Prev	S:Screen	L:Locate	U:Undelete	Q:Quit	R:bRowse

4/9/11

ACTION: R TABLEID: ARHT USERID: AN72

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DOCUMENT DATE: 03 05 01

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CITY: WINDSOR

STATE: CT ZIP: 06095 -

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DOC CLOSING DATE:		CASE HISTORY FLAG: Y
OVERPAYMENT CAUSE:		CLOSED DOCUMENT AMT: 500.00
		REPRINT BILL AMT: 0.00

03 09 2001



ACTION: R TABLEID: DXRF USERID: AN72

\*\*\* DOCUMENT CROSS REFERENCE INQUIRY TABLE \*\*\*

KEY IS TRANS CODE, TRANS NUMBER, F/B/A, REF TRANS ID, ACCEPT DATE, DOC ACTION

TRANS CODE: LD TRANS NUMBER: AM1838-01 DOC TOTAL: 6,100.00  
OUTST AMT: 5,600.00

	F/B/A	REF TRANS ID	ACCEPT DATE	DOC ACT	VENDOR	AMOUNT
01-	A		03 05 01	E	R0291D102G L	6,100.00
		LAST BATCH NUMBER:		CLEARING ACTION:		
02-	F	CR LB010404002	04 04 01	E	R0291D102G L	500.00
		LAST BATCH NUMBER:		CLEARING ACTION:		
03-		LAST BATCH NUMBER:		CLEARING ACTION:		
04-		LAST BATCH NUMBER:		CLEARING ACTION:		
05-		LAST BATCH NUMBER:		CLEARING ACTION:		
06-		LAST BATCH NUMBER:		CLEARING ACTION:		
03-*	L009	HEADER CHANGE				

APR 09 2001

FISCHER TECHNOLOGY, INC.

• 750 Marshall Phelps Rd  
WINDSOR, CT 06095 US

Inquiry/Match Name:

D-U-N-S Number: 09-553-8997

Business Type:

Telephone Number: (860) 683-0781

Primary SIC: 3829

Customer Number:

Folder Type: D-U-N-S Numbered Business

Parent D-U-N-S: 31-614-3791

Rating: 1R2

Rating Trend: Steady

Control Date: 1979

SIC 1 (Primary SIC): (3829) MEASURING & CONTROLLING DEVICES, NEC

County Name: HARTFORD

4/9/1 G